

# Efficient computations in Schubert calculus

Robert Zeier  
zeier@eecs.harvard.edu

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# Outline

- ① Overview
- ② Schubert calculus
- ③ Restricting Schubert calculus

# Fundamentals

## Lie groups and Lie algebras

- We consider a Lie group  $\mathcal{G}$  as a (closed) linear matrix group.
- a Lie algebra  $\mathfrak{g}$  (of  $\mathcal{G}$ ) is
  - the tangent space to the Lie group  $\mathcal{G}$  at the identity
  - a vector space with a bilinear and skew-symmetric multiplication  $[\cdot, \cdot]$ :
    - ①  $[g_1, g_2] \in \mathfrak{g} \quad (g_i \in \mathfrak{g})$
    - ②  $[[g_1, g_2], g_3] + [[g_3, g_1], g_2] + [[g_2, g_3], g_1] = 0$  (Jacobi identity)

## our model example: the special unitary group $\mathcal{G} = \mathrm{SU}(d)$

- $\mathcal{G} = \mathrm{SU}(d) = \{ U \in \mathrm{GL}(d, \mathbb{C}) \mid UU^\dagger = 1 \text{ and } \det(U) = 1 \}$
- $\mathcal{G}$  is **real**, **semisimple**, and **compact**
- $\mathfrak{g} = \mathfrak{su}(d) = \{ g \in \mathfrak{gl}(d, \mathbb{C}) \mid g + g^\dagger = 0 \text{ and } \mathrm{Tr}(g) = 0 \}$

# Schubert calculus: overview

purpose [see, e.g., Hiller (1981,1982)]

- module basis (with relations) of the integral cohomology group
- explicit description of the multiplicative structure

origin

- named after Hermann Schubert (1848-1911)  
who solved counting problems in projective geometry
- relies in its current form on ideas of Chevalley ( $\sim 1958$ , publ. 1994)
- worked out by Demazure (1973,1974) and Bernstein et al. (1973)
- Lascoux and Schützenberger (1982) introduced a special form  
(Schubert polynomials)

# Schubert calculus: important special case

## cohomology of Grassmannians $G(m, n)$

- $G(m, n)$  = complex  $m$ -dimensional linear subspaces of  $\mathbb{C}^{m+n}$
- module basis of the cohomology ring:  $X_\lambda$
- multiplicative structure:  $X_\lambda \cdot X_\mu = \sum_\nu c_{\lambda\mu}^\nu X_\nu$

## Littlewood-Richardson rule

- Lesieur (1947): irreducible (poly.) representations  $V^\lambda$  of  $GL(n, \mathbb{C})$  satisfy  $V^\lambda \otimes V^\mu = \sum_\nu c_{\lambda\mu}^\nu V^\nu$   
( $\lambda, \mu, \nu$  = integer partitions with  $\leq n$  parts)
- the Littlewood-Richardson rule (1934) for the  $c_{\lambda\mu}^\nu$  is exceptional

# Restricting Schubert calculus to a subgroup

basis elements in the Schubert module basis of the cohomology ring

vanishing or nonvanishing?

- if you restrict a basis element to a subgroup it may or may not vanish
- plausible that this can be decided in polynomial time for **each** basis element

our problem [see, e.g., Purbhoo (2006)]

find efficient algorithms to determine **all** nonvanishing basis elements

main example

restrict Schubert calculus from  $SU(2^n)$  to  $SU(2)^{\otimes n} = SU(2) \otimes \cdots \otimes SU(2)$

# Motivation

analyze and understand the cosets  $SU(2^n)/SU(2)^{\otimes n}$

- nonvanishing cohomology elements are important for computing the cohomology of the coset
- compare with de Rham cohomology computations using the Koszul complex
- (potential) applications in quantum computing

restricting representations (and corresponding convexity theorems)

- Berenstein and Sjamaar (2000): explicit algorithm to compute restricted representations (asymptotic version)
- restricted Schubert calculus plays a significant role in this algorithm

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# The adjoint representation and the Weyl group

adjoint representation  $\text{Ad}_{\mathfrak{g}}$  of the Lie group  $\mathfrak{G}$  on its Lie algebra  $\mathfrak{g}$

- $\text{Ad}_{\mathfrak{g}}(G) : \mathfrak{g} \rightarrow \mathfrak{g}, \quad g \mapsto G^{-1}gG \quad (G \in \mathfrak{G}, g \in \mathfrak{g})$
- $\text{Ad}_{\mathfrak{g}}(\mathfrak{H}) := \{\text{Ad}_{\mathfrak{g}}(H) : H \in \mathfrak{H}\} \quad (\mathfrak{H} \subset \mathfrak{G})$

Weyl group  $\mathcal{W}_{\mathfrak{H}}(\mathfrak{a}) := N_{\mathfrak{H}}(\mathfrak{a})/C_{\mathfrak{H}}(\mathfrak{a}) \quad (\mathfrak{H} \subset \mathfrak{G})$

- $\mathfrak{a}$  is an Abelian subalgebra of  $\mathfrak{g}$
- centralizer  $C_{\mathfrak{H}}(\mathfrak{a}) = \{H \in \mathfrak{H} \mid \forall a \in \mathfrak{a}: (\text{Ad}_{\mathfrak{g}}(H))(a) = a\}$
- normalizer  $N_{\mathfrak{H}}(\mathfrak{a}) = \{H \in \mathfrak{H} \mid (\text{Ad}_{\mathfrak{g}}(H))(\mathfrak{a}) \subset \mathfrak{a}\}$
- $\mathcal{W}_{\text{SU}(3)}(\mathfrak{a}) = \text{symmetric group } S_3, \quad |S_3| = 6$

# Roots $\Delta = \Delta_{\mathfrak{g}}$

roots  $\Delta_{\mathfrak{g}}$  of  $\mathfrak{g}$  w.r.t. an Abelian subalgebra  $\mathfrak{a}$

$$\Delta_{\mathfrak{g}} = \{\alpha \in \mathfrak{a}^* \mid \mathfrak{g}^{\alpha} \neq \{0\} \text{ and } \alpha \neq 0\}$$

where  $\mathfrak{g}^{\alpha} = \{g \in \mathfrak{g} + i\mathfrak{g} \mid \forall a \in \mathfrak{a}: [a, g] = i\alpha(a)g\}$  and  $\mathfrak{a}^* =$  dual space to  $\mathfrak{a}$

- choose  $\mathfrak{a}$  as a maximal Abelian subalgebra
  - positive and negative roots:  $\Delta = \Delta^+ \uplus \Delta^-$  where  $|\Delta^+| = |\Delta^-|$
  - simple roots  $\Sigma \subset \Delta^+$  cannot be written as sum of two positive roots
- 
- Killing form  $B(g, h) := \text{Tr}_{\mathfrak{g}}(\text{ad}(g) \circ \text{ad}(h))$ ,  $\text{ad}(g): h \mapsto [g, h]$
  - $\mathfrak{g}$  semisimple  $\Rightarrow \exists$  unique  $a_{\lambda} \in \mathfrak{a}: \lambda(\mathfrak{a}) = B(a_{\lambda}, \mathfrak{a})$  for  $\lambda \in \mathfrak{a}^*$

# Cartan matrix, weights, and the Weyl group $\mathcal{W} = \mathcal{W}_{\mathfrak{g}}(\mathfrak{a})$

Cartan matrix  $n(\alpha, \beta) = 2(\alpha, \beta) / (\alpha, \alpha) = (\alpha, \check{\beta})$  where  $\alpha, \beta \in \Delta^+$

- coroot  $\check{\alpha} := 2\alpha / (\alpha, \alpha)$  where  $(\lambda, \mu) := B(a_\lambda, a_\mu)$
- 
- Killing form  $B(g, h) := \text{Tr}_{\mathfrak{g}}(\text{ad}(g) \circ \text{ad}(h))$ ,  $\text{ad}(g): h \mapsto [g, h]$
  - $\mathfrak{g}$  semisimple  $\Rightarrow \exists$  unique  $a_\lambda \in \mathfrak{a}: \lambda(\mathfrak{a}) = B(a_\lambda, \mathfrak{a})$  for  $\lambda \in \mathfrak{a}^*$

weight lattice  $\Theta = \{\lambda \in \mathfrak{a}^*: \lambda(\check{\alpha}) \in \mathbb{Z} \quad \forall \alpha \in \Delta\}$

- fundamental weights  $\bar{\omega}_\alpha: (\bar{\omega}_\alpha, \check{\beta}) = \delta_{\alpha\beta}$
- root lattice  $\Xi = \langle \Delta \rangle \subset \Theta$

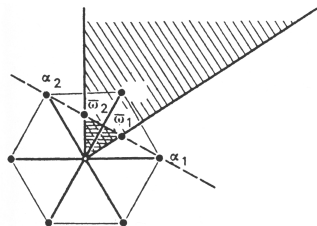
$\mathcal{W} = \langle s_\alpha: \alpha \in \Delta^+ \rangle$  where  $s_\alpha(\beta) = \alpha - n(\alpha, \beta)\beta$  is a reflection

- length function:  $l(w) = \min\{k: w = s_{\alpha_1} \cdots s_{\alpha_k}\}$
- $\exists$  unique  $w_0: l(w_0) = \max l(w) = |\Delta^+|$

# Example: $SU(3)$

## roots and weights

- simple roots  $\Sigma = \{\alpha = \alpha_1, \beta = \alpha_2\}$
- positive roots  $\Delta^+ = \Sigma \cup \{\alpha + \beta\}$
- Cartan matrix  $n(\alpha, \beta) = \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix}$
- fundamental weights:  $\bar{\omega}_1 = \bar{\omega}_\alpha = (2\alpha + \beta)/3$ ,  $\bar{\omega}_2 = \bar{\omega}_\beta = (\alpha + 2\beta)/3$



the Weyl group  $\mathcal{W} = \langle s_\alpha : \alpha \in \Delta^+ \rangle$

$$[s_\alpha(\beta) = \alpha - n(\alpha, \beta)\beta]$$

- $\mathcal{W}_{SU(3)}(\mathfrak{a}) = \text{symmetric group } S_3, \quad |S_3| = 6$
- elements:  $\text{id}, s_\alpha, s_\beta, s_\alpha s_\beta, s_\beta s_\alpha$ , and  $w_0 = s_\alpha s_\beta s_\alpha$

# Schubert calculus: theory (1)

symmetric algebra  $S(\mathfrak{a}^*)$  ( $\mathfrak{a}$  = maximal Abelian subalgebra of  $\mathfrak{g}$ )

- $\mathfrak{a}^* = \{\lambda_1, \lambda_2, \dots, \lambda_m\}$  is the dual space to  $\mathfrak{a}$
- $S(\mathfrak{a}^*)$  is isomorphic to the polynomial algebra  $\mathbb{R}[\lambda_1, \lambda_2, \dots, \lambda_m]$

cohomology ring  $H_{\mathcal{W}}$

$\{X_w : w \in \mathcal{W}\}$  = module basis index by the Weyl group  $\mathcal{W}$

the operators  $\partial_\alpha := (1 - s_\alpha)/\alpha$  where  $\partial_\alpha : S(\mathfrak{a}^*) \rightarrow S(\mathfrak{a}^*)$

- $\partial_\alpha^2 = 0$ ,  $\partial_\beta(\bar{\omega}_\alpha) = \delta_{\alpha\beta}$ , and  $\partial_\alpha(uv) = \partial_\alpha(u)v + s_\alpha(u)\partial_\alpha(v)$
- define  $\partial_w = \partial_{\alpha_1} \cdots \partial_{\alpha_k}$  if  $w = s_{\alpha_1} \cdots s_{\alpha_k}$  and  $l(w) = k$
- $\partial_w \cdot \partial_{w'} = \begin{cases} \partial_{ww'} & \text{if } l(ww') = l(w) + l(w'), \\ 0 & \text{otherwise} \end{cases}$

# Schubert calculus: theory (2)

the operators  $\partial_\alpha := (1 - s_\alpha)/\alpha$  where  $\partial_\alpha: S(\mathfrak{a}^*) \rightarrow S(\mathfrak{a}^*)$

- $\partial_\alpha^2 = 0$ ,  $\partial_\beta(\bar{\omega}_\alpha) = \delta_{\alpha\beta}$ , and  $\partial_\alpha(uv) = \partial_\alpha(u)v + s_\alpha(u)\partial_\alpha(v)$
- define  $\partial_w = \partial_{\alpha_1} \cdots \partial_{\alpha_k}$  if  $w = s_{\alpha_1} \cdots s_{\alpha_k}$  and  $l(w) = k$

characteristic homomorphism  $c: S(\mathfrak{a}^*) \rightarrow H_{\mathcal{W}} =$  cohomology ring

- $c(u) = \sum_{w \in \mathcal{W}} \varepsilon[\partial_w(u)] X_w$  (projection  $\varepsilon: S(\mathfrak{a}^*) \rightarrow S^0(\mathfrak{a}^*) \approx \mathbb{R}$ )
- $c(\frac{1}{|\mathcal{W}|} \prod_{\alpha \in \Delta^+} \alpha) = X_{w_0}$ ,  $c(\bar{\omega}_\alpha) = X_{s_\alpha}$ , and  $c(\alpha) = \sum_{\beta \in \Sigma} (\alpha, \check{\beta}) X_{s_\beta}$

- $\text{Ker}(\partial_\alpha) = S(\mathfrak{a}^*)^{\langle s_\alpha \rangle}$  and  $\text{Ker}(c) = (S(\mathfrak{a}^*)^{\mathcal{W}})_+$
- $\mathcal{W} =$  complex reflection group  $\Rightarrow S(\mathfrak{a}^*)^{\mathcal{W}} =$  polynomial algebra [Shephard-Todd, Chevalley]

# Schubert calculus: theory (3) and example $SU(3)$

characteristic homomorphism  $c: S(\mathfrak{a}^*) \rightarrow H_{\mathcal{W}} =$  cohomology ring

- $c(\frac{1}{|\mathcal{W}|} \prod_{\alpha \in \Delta^+} \alpha) = X_{w_0}$ ,  $c(\bar{\omega}_\alpha) = X_{s_\alpha}$ , and  $c(\alpha) = \sum_{\beta \in \Sigma} (\alpha, \check{\beta}) X_{s_\beta}$
- $X_{w_0} = c(d)$ ;  $X_{s_\alpha} = c(\bar{\omega}_\alpha)$ ;  $X_{s_\beta} = c(\bar{\omega}_\beta)$   $[d = \alpha\beta(\alpha + \beta)/6]$
- $\partial_\alpha(d) = \beta(\alpha + \beta)/3$

Giambelli formula:  $c(\partial_{w^{-1}w_0} \frac{1}{|\mathcal{W}|} \prod_{\alpha \in \Delta^+} \alpha) = X_w$

- $X_{s_\alpha s_\beta} = (-X_{s_\alpha}^2 + X_{s_\beta} X_{s_\alpha} + 2X_{s_\beta}^2)/3$
- computes the preimage of  $c$  (modulo  $(S(\mathfrak{a}^*)^{\mathcal{W}})_+$ )

Pieri formula:  $X_{s_\alpha} \cdot X_w = \sum_{\beta \in \Delta^+, l(ws_\beta)=l(w)+1} (\check{\beta}, \bar{\omega}_\alpha) X_{ws_\beta}$

- $X_{s_\alpha}^2 = X_{s_\beta s_\alpha}$ ;  $X_{s_\beta} X_{s_\alpha} = X_{s_\beta s_\alpha} + X_{s_\alpha s_\beta}$ ;  $X_{s_\beta}^2 = X_{s_\alpha s_\beta}$
- describes the multiplicative structure of  $H_{\mathcal{W}}$

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# Induced action on the cohomology $\phi^*$

induced actions given by the embedding  $f: \tilde{\mathfrak{G}} \hookrightarrow \mathfrak{G}$

- embedding  $f_*: \tilde{\mathfrak{g}} \hookrightarrow \mathfrak{g}$ , restriction  $f^*: \mathfrak{g}^* \rightarrow \tilde{\mathfrak{g}}^*$
- restriction  $\phi^*: H_{\mathcal{W}} \rightarrow H_{\tilde{\mathcal{W}}}$  ( $\mathcal{W}, \tilde{\mathcal{W}}$  are the Weyl groups)

computation of  $\phi^*$  ( $\mathfrak{a}, \tilde{\mathfrak{a}}$  are max. commutative subalgebras)

$$\begin{array}{ccc} S(\mathfrak{a}^*) & \xrightarrow{S(f^*)} & S(\tilde{\mathfrak{a}}^*) \\ \downarrow c & & \downarrow \tilde{c} \\ H_{\mathcal{W}} & \xrightarrow{\phi^*} & H_{\tilde{\mathcal{W}}} \end{array}$$

$S(f^*)$  uniquely given by  $f^*$  (and surjective if  $f^*$  is);  
 Demazure (1973)  $\Rightarrow c$  (or  $\tilde{c}$ ) is surjective if  $\mathfrak{G}$   
 (or  $\tilde{\mathfrak{G}}$ ) contains only SU-components and the  
 corresponding Cartan matrix is nondegenerate  
 (no torsion effects in this case);

compute  $\phi^* = \tilde{c} \circ S(f^*) \circ c^{-1}$   
 by inverting  $c$  using Giambelli

$\Rightarrow$  we are in a good situation if  $\mathfrak{G} = \mathrm{SU}(2^n)$  and  $\tilde{\mathfrak{G}} = \mathrm{SU}(2)^{\otimes n}$

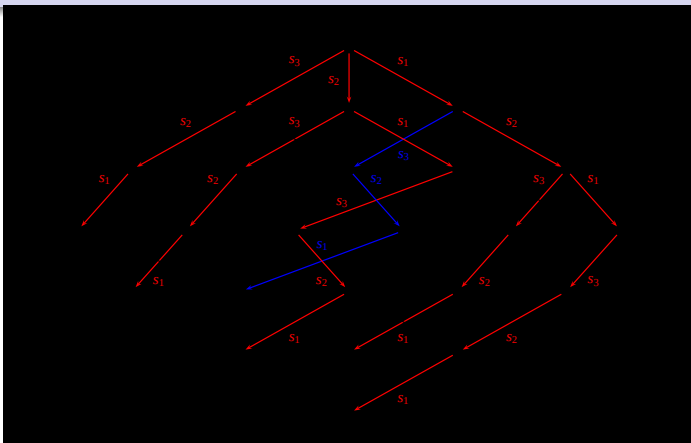
Inverting  $c$  using the Giambelli formula (1/3): [Ex.  $SU(4)$ ]

## weak order

$w_1 \leq w_2 \Leftrightarrow w_2 = w_1 s_{j_1} \dots s_{j_k}$   
s.t.  $l(w_1 s_{j_1} \dots s_{j_i}) = l(w_1) + i$   
for all  $i \in \{1, \dots, k\}$

Giambelli formula:  $c(\partial_{w^{-1}w_0} \frac{1}{|\mathcal{W}|} \prod_{\alpha \in \Delta^+} \alpha) = X_w$

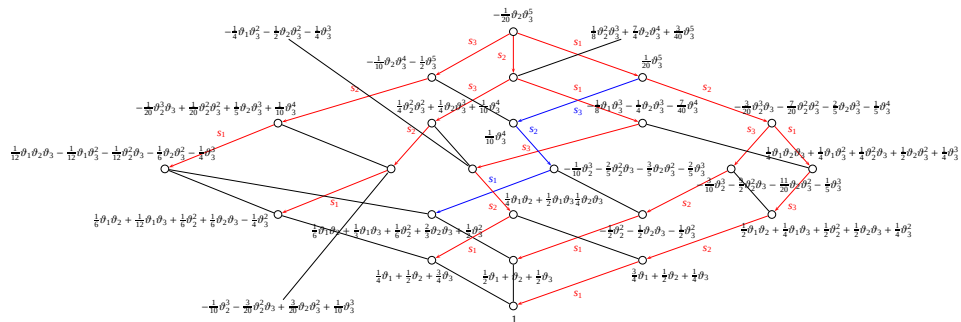
- $\partial_w = \partial_{\alpha_1} \dots \partial_{\alpha_k}$  if  $w = s_{\alpha_1} \dots s_{\alpha_k}$  and  $l(w) = k$
- $\partial_\alpha := (1 - s_\alpha)/\alpha$

Inverting  $c$  using the Giambelli formula (2/3): [Ex.  $SU(4)$ ]

Giambelli formula:  $c(\partial_{w^{-1}w_0} \frac{1}{|\mathcal{W}|} \prod_{\alpha \in \Delta^+} \alpha) = X_w$

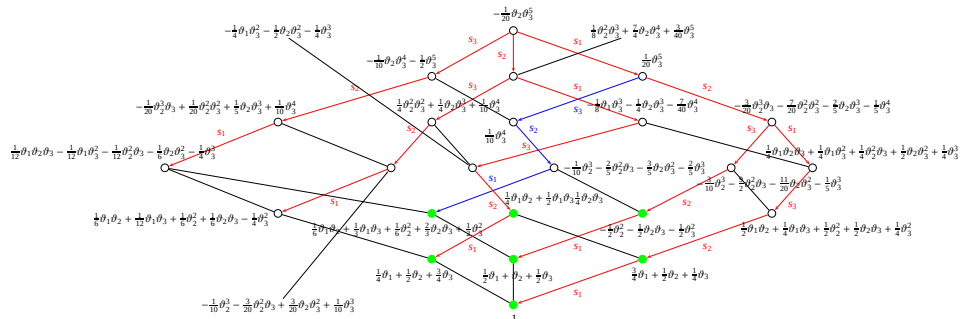
- $\partial_w = \partial_{\alpha_1} \cdots \partial_{\alpha_k}$  if  $w = s_{\alpha_1} \cdots s_{\alpha_k}$  and  $l(w) = k$
- $\partial_\alpha := (1 - s_\alpha)/\alpha$

# Inverting $c$ using the Giambelli formula (3/3): [Ex. $SU(4)$ ]



- $\partial_{w^{-1}w_0} \frac{1}{|\mathcal{W}|} \prod_{\alpha \in \Delta^+} \alpha$  is normalized w.r.t.  $(S(\mathfrak{a}^*)^{\mathcal{W}})_+$
- $\frac{1}{|\mathcal{W}|} \prod_{\alpha \in \Delta^+} \alpha$  is normalized to  $-\frac{1}{20} \vartheta_2 \vartheta_3^5$

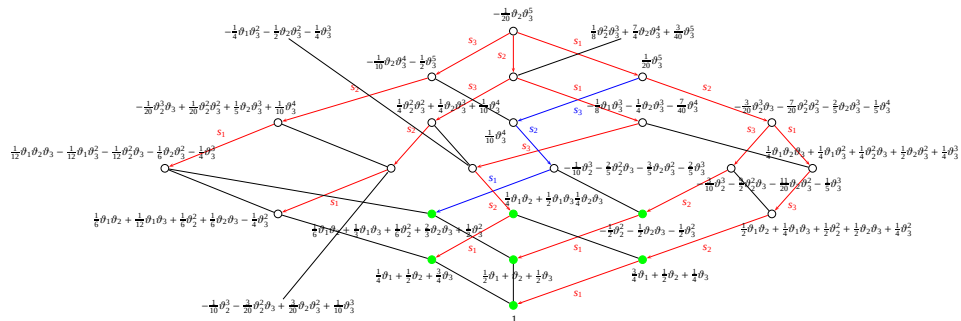
# Restricting from $\mathfrak{G} = \mathrm{SU}(4)$ to $\tilde{\mathfrak{G}} = \mathrm{SU}(2) \otimes \mathrm{SU}(2)$ (1/2)



- 1 use  $S(f^*)$  (uniquely given by  $f^*$  and surjective if  $f^*$  is)
- 2 use  $\tilde{c}(u) = \sum_{w \in \tilde{W}} \tilde{\varepsilon}[\partial_w(u)] X_w$  (projection  $\tilde{\varepsilon}: S(\tilde{a}^*) \rightarrow S^0(\tilde{a}^*) \approx \mathbb{R}$ )

$$|\mathcal{W}| = 24 \text{ and } |\tilde{\mathcal{W}}| = 4$$

# Restricting from $\mathfrak{G} = \mathrm{SU}(4)$ to $\tilde{\mathfrak{G}} = \mathrm{SU}(2) \otimes \mathrm{SU}(2)$ (2/2)



seven nonvanishing basis elements

$(|\mathcal{W}| = 24 \text{ and } |\tilde{\mathcal{W}}| = 4)$

- $\phi^*(X_{\mathrm{id}}) = X_{\mathrm{id}}$
- $\phi^*(X_{s_1}) = \phi^*(X_{s_3}) = X_{s_1} + X_{s_2}$  and  $\phi^*(X_{s_2}) = 2X_{s_1}$
- $\phi^*(X_{s_2s_1}) = \phi^*(X_{s_3s_1}) = \phi^*(X_{s_2s_3}) = 2X_{s_2s_1}$

General case:  $\tilde{\mathfrak{G}} = \mathrm{SU}(2)^{\otimes n} \hookrightarrow \mathfrak{G} = \mathrm{SU}(2^n) \ (n > 2)$

Challenge: combinatorial explosion  $(|\tilde{\mathcal{W}}| = 2^n \text{ and } |\mathcal{W}| = (2^n)!)$

- $n = 2 \Rightarrow |\tilde{\mathcal{W}}| = 4 \text{ and } |\mathcal{W}| = 24$
- $n = 3 \Rightarrow |\tilde{\mathcal{W}}| = 8 \text{ and } |\mathcal{W}| = 40320$
- $n = 4 \Rightarrow |\tilde{\mathcal{W}}| = 16 \text{ and } |\mathcal{W}| = 20922789888000 \text{ (This is a problem!)}$

Example:  $\tilde{\mathfrak{G}} = \mathrm{SU}(2) \otimes \mathrm{SU}(2) \otimes \mathrm{SU}(2) \hookrightarrow \mathfrak{G} = \mathrm{SU}(8)$

83 nonvanishing basis elements  $(|\tilde{\mathcal{W}}| = 8 \text{ and } |\mathcal{W}| = 8! = 40320)$

- $\phi^*(X_{\mathrm{id}}) = X_{\mathrm{id}}, \phi^*(X_{s_1}) = \phi^*(X_{s_7}) = X_{s_1} + X_{s_2} + X_{s_2},$   
 $\phi^*(X_{s_2}) = \phi^*(X_{s_6}) = 2X_{s_1} + 2X_{s_2},$   
 $\phi^*(X_{s_3}) = \phi^*(X_{s_5}) = 3X_{s_1} + X_{s_2} + X_{s_3}, \text{ and } \phi^*(X_{s_4}) = 4X_{s_1},$
- 25 nonvanishing elements  $X_w$  where  $w \in \mathcal{W}$  is of length  $l(w) = 2$
- 50 nonvanishing elements  $X_w$  where  $w \in \mathcal{W}$  is of length  $l(w) = 3$
- no nonvanishing elements  $X_w$  where  $w \in \mathcal{W}$  is of length  $l(w) > 3$

# Further ideas

## tree-free computations

(work in progress)

- local decision rule for finding descendants (ideas of Stembridge)
- potential speed-up in the case of
$$\tilde{\mathfrak{G}} = \mathrm{SU}(2) \otimes \mathrm{SU}(2) \otimes \mathrm{SU}(2) \hookrightarrow \mathfrak{G} = \mathrm{SU}(8)$$

## algorithms which do not touch all basis elements in $H_{\mathcal{W}}$

(future)

- Can  $S(f^*)$  be inverted? (respecting  $(S(\mathfrak{a}^*)^{\mathcal{W}})_+$  and  $(S(\tilde{\mathfrak{a}}^*)^{\tilde{\mathcal{W}}})_+$ )
- This may give us a significantly smaller candidate set in  $\mathcal{W}$ !



Thank you for your attention!