

Koszul Homology and Resolutions

revisited through

Effective Homology

```
;; Clock  
Computing  
<TnPr <Tn  
End of computing.
```

```
;; Clock -> 2002-01-17, 19h 25m 36s.  
Computing the boundary of the generator 19 (dimension 7) :  
<TnPr <TnPr <TnPr S3 <<Abar[2 $1][2 $1]>>> <<Abar>>> <<Abar>>>  
End of computing.
```

```
Homology in dimension 6 :
```

```
Component 2/122
```

```
--done--
```

```
;; Clock -> 2002-01-17, 19h 27m 15s
```

Talk in honour of Jacques Calmet

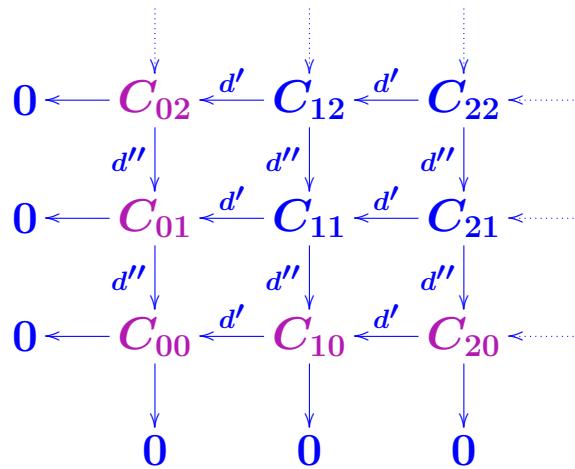
Francis Sergeraert, Institut Fourier, Grenoble, France
Logroño, February 28, 2008

Plan

1. Simplest example for an application of spectral sequence.
2. Rewriting it as a BPL application.
⇒ effective version of the same result.
3. Doing the same for the Aramova-Herzog bicomplex.
4. Computing effective resolutions in Commutative Algebra.

**Easy and convenient application of spectral sequences:
the Bicomplex Spectral Sequence.**

Particular case:



with every row and column exact

except maybe at C_{*0} and C_{0*} .

Totalization of a bicomplex.

$$\mathbf{T}_i := C_{i0} \oplus C_{i-1,1} \oplus \cdots \oplus C_{0i}$$

Double-Complex Property:

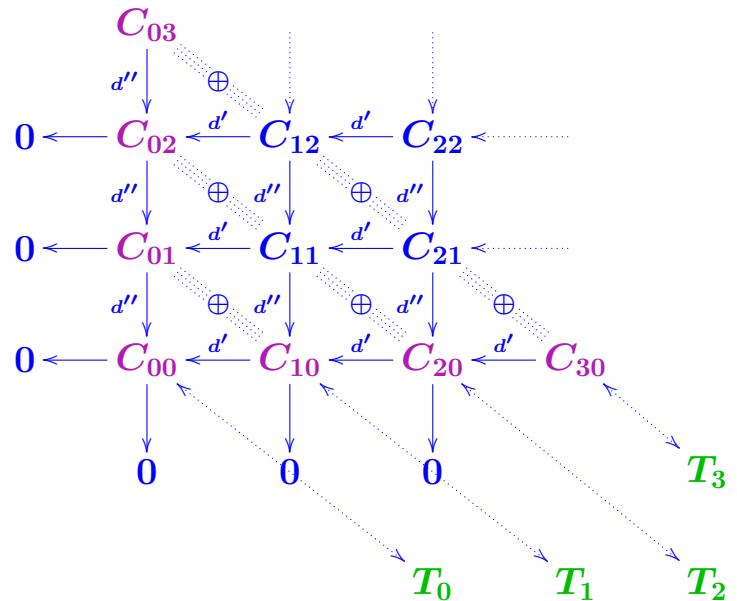
$$d'd'' + d''d' = 0$$

$$\Rightarrow d := d' \oplus d'' = \text{differential}$$

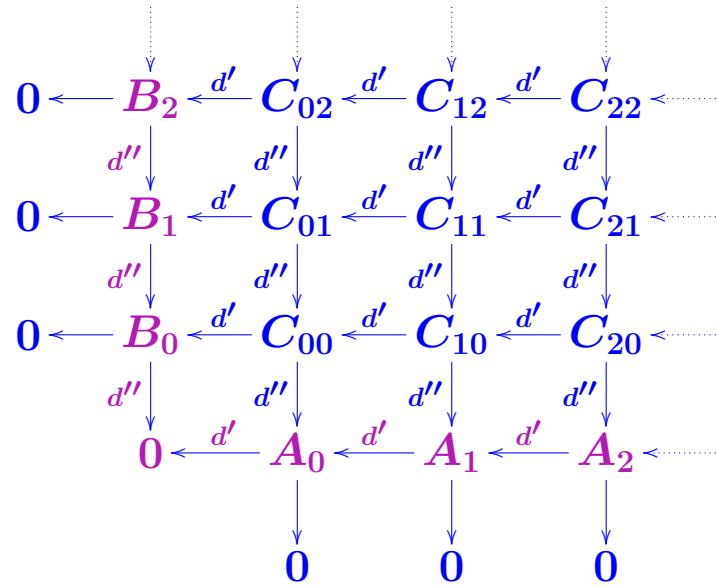
$$\Rightarrow (\mathbf{T}_i, d) = \text{Chain complex.}$$

Definition :

$$H_i(\{C_{jk}, d'_{jk}, d''_{jk}\}) = H_i^T := H_i\{0 \leftarrow T_0 \xleftarrow{d} T_1 \xleftarrow{d} T_2 \xleftarrow{d} \cdots\}$$



Other construction:



with: $B_i := C_{0i}/d'(C_{1i})$

$A_i := C_{i0}/d''(C_{i1})$

But new problem!

\Rightarrow

$$\begin{array}{ccccccc}
 & & \vdots & & \vdots & & \vdots \\
 0 & \leftarrow & \color{violet} B_2 & \xleftarrow{d'} & C_{02} & \xleftarrow{d'} & C_{12} \xleftarrow{d'} C_{22} \cdots \\
 & & d'' \downarrow & & d'' \downarrow & & d'' \downarrow \\
 0 & \leftarrow & \color{violet} B_1 & \xleftarrow{d'} & C_{01} & \xleftarrow{d'} & C_{11} \xleftarrow{d'} C_{21} \cdots \\
 & & d'' \downarrow & & d'' \downarrow & & d'' \downarrow \\
 0 & \leftarrow & \color{violet} B_0 & \xleftarrow{d'} & C_{00} & \xleftarrow{d'} & C_{10} \xleftarrow{d'} C_{20} \cdots \\
 & & d'' \downarrow & & d'' \downarrow & & d'' \downarrow \\
 0 & \leftarrow & \color{violet} A_0 & \xleftarrow{d'} & A_1 & \xleftarrow{d'} & A_2 \cdots \\
 & & & & & & \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & 0 & & 0 & & 0
 \end{array}$$

The **violet** lines are **chain complexes** in general **non-exact**.

\Rightarrow Homology groups:

$$\begin{aligned}
 H_i^A &:= H_i\{0 \leftarrow A_0 \xleftarrow{d'} A_1 \xleftarrow{d'} A_2 \xleftarrow{d'} \cdots\} \\
 H_i^B &:= H_i\{0 \leftarrow B_0 \xleftarrow{d''} B_1 \xleftarrow{d''} B_2 \xleftarrow{d''} \cdots\}
 \end{aligned}$$

Theorem :

In the special case of a first quadrant bicomplex
with rows and columns exact
except maybe at C_{*0} and C_{0*} ,

then there are canonical isomorphisms:

$$H_i^B \cong H_i^T \cong H_i^A$$

Usual proof = Filtering the totalization

⇒ Spectral sequence.

Vertical filtration:

$$F_i(T) :=$$

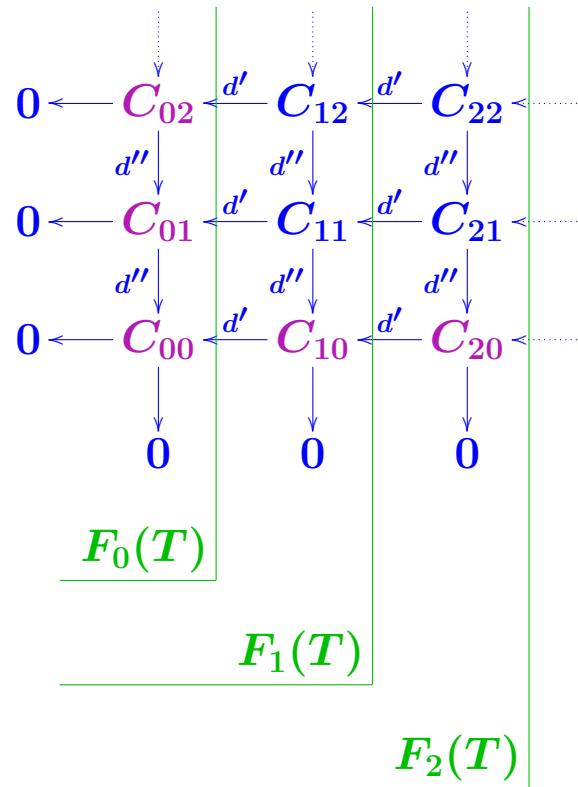
$\{C_{jk}\}$ vanished for $j > i$.

⇒

$$F_i(T)/F_{i-1}(T) = C_{i*}$$

= Column i

Acyclic except in C_{i0} .



$F_i(T)/F_{i-1}(T)$ acyclic except along the *0 axis

⇒ the spectral sequence “degenerates”.

E^1 -page of the spectral sequence:

$$\cdots \leftarrow 0 \leftarrow 0 \leftarrow 0 \leftarrow 0 \leftarrow \cdots$$

$$\cdots \leftarrow 0 \leftarrow 0 \leftarrow 0 \leftarrow 0 \leftarrow \cdots$$

$$\cdots \leftarrow 0 \leftarrow 0 \leftarrow 0 \leftarrow 0 \leftarrow \cdots$$

$$\cdots \leftarrow A_0 \xleftarrow{d'} A_1 \xleftarrow{d'} A_2 \xleftarrow{d'} A_3 \leftarrow \cdots$$

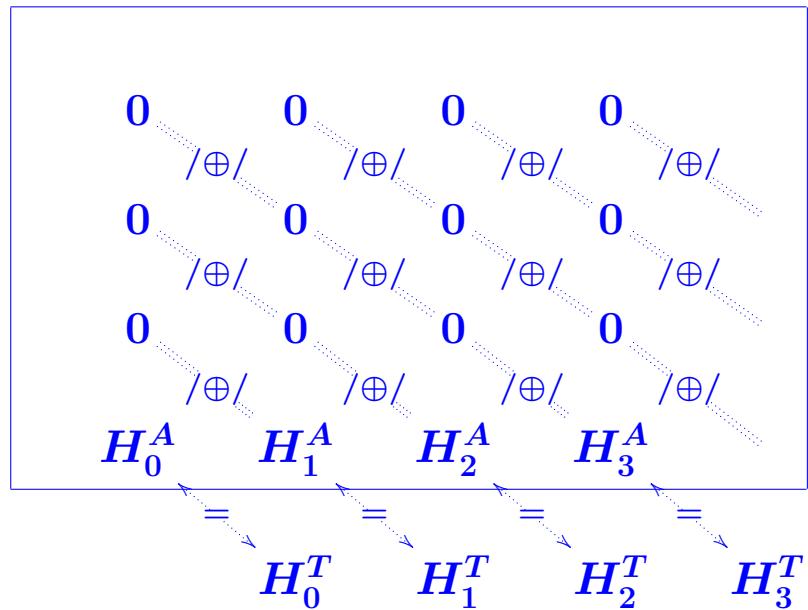
\Rightarrow

E^2 -page of the spectral sequence:

$$\begin{array}{ccccccc}
 & & 0 & \leftarrow & 0 & \leftarrow & 0 \\
 & & 0 & \leftarrow & 0 & \leftarrow & 0 \\
 & & 0 & \leftarrow & 0 & \leftarrow & 0 \\
 & & 0 & \leftarrow & 0 & \leftarrow & 0 \\
 H_0^A & \leftarrow & H_1^A & \leftarrow & H_2^A & \leftarrow & H_3^A
 \end{array}$$

$\Rightarrow r \geq 2 \Rightarrow E_{pq}^r = 0$ for $q > 0$
 and canonical isomorphism $E_{p0}^r \cong H_p^A$.

$\Rightarrow E^\infty$ -page of the spectral sequence:



\Rightarrow

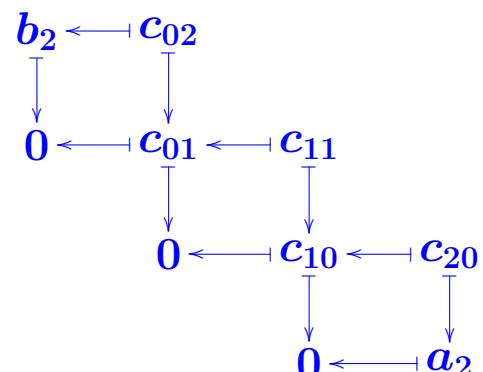
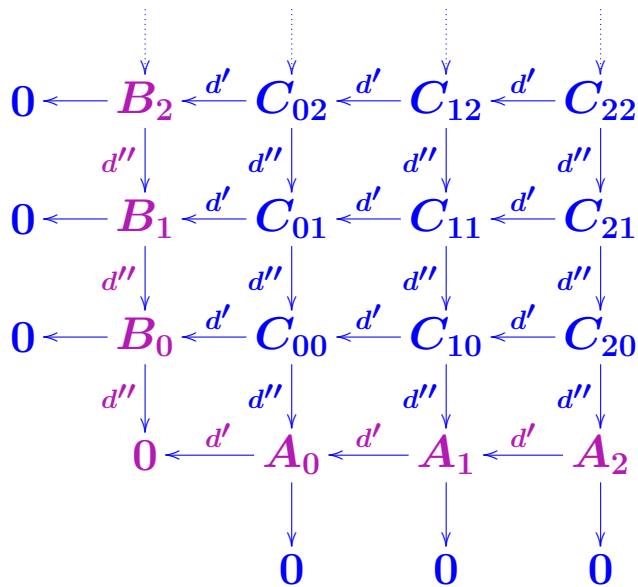
$$H_i^A \cong H_i^T \cong H_i^B$$

QED

Spectral sequence proof easy but not effective!

What about an effective proof?

Diagram chasing proof: $H_2^A \ni h_2^A \xrightarrow{??} h_2^B \in H_2^B$



Proof details: terribly awful!!

More convenient:

Use the homological perturbation “lemma”.

1. An exact sequence:

$$0 \leftarrow A_i \leftarrow C_{i0} \leftarrow C_{i1} \leftarrow \dots$$

can be viewed as a reduction:

$$\rho_i : \boxed{h_i \curvearrowright C_{i*} \xrightleftharpoons[f_i]{g_i} A_i}$$

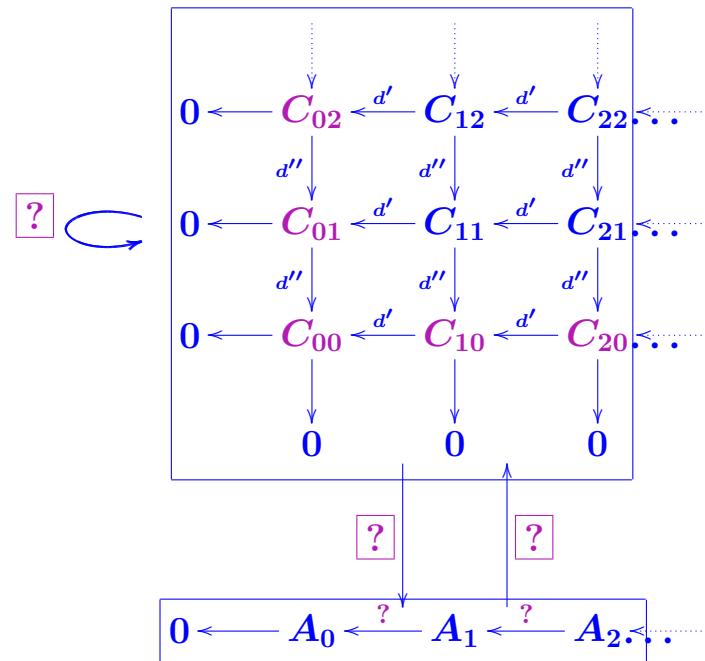
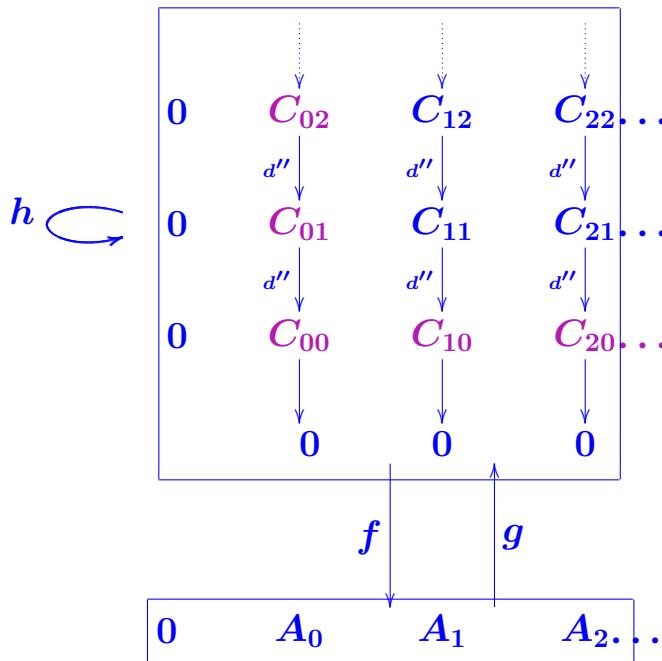
2. Direct sum with respect to i :

$$\rho : \boxed{h \curvearrowright \bigoplus_i C_{i*} \xrightleftharpoons[f]{g} \bigoplus_i A_i}$$

$\Rightarrow \dots / \dots$

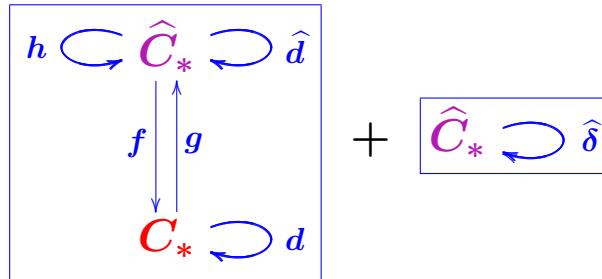
$\Rightarrow \dots / \dots$

Homological Perturbation



Basic Perturbation “Lemma” (BPL):

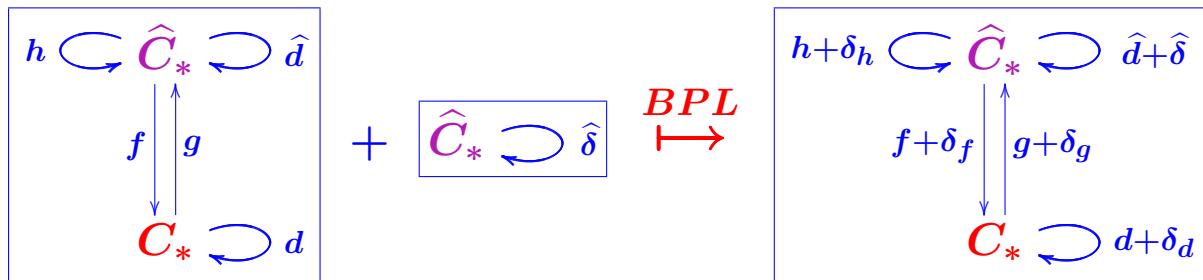
Given:

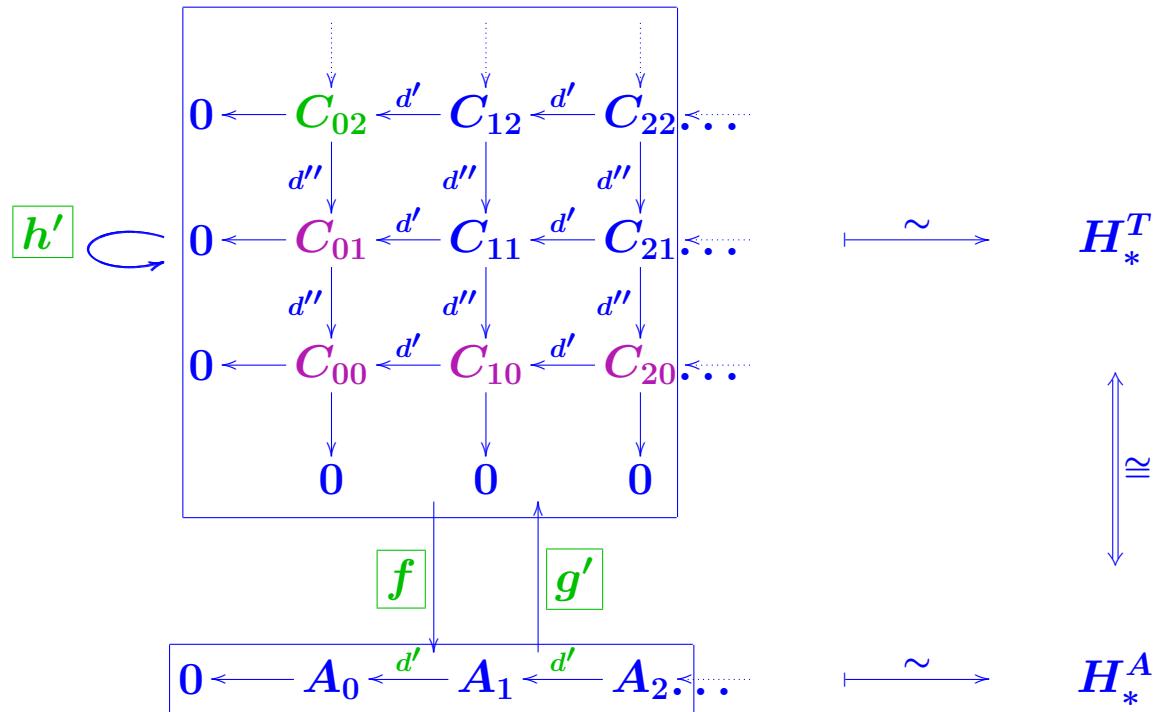


satisfying:

1. $\hat{\delta}$ is a perturbation of the differential \hat{d} ;
2. The operator $h \circ \hat{\delta}$ is pointwise nilpotent.

Then a general algorithm *BPL* constructs:

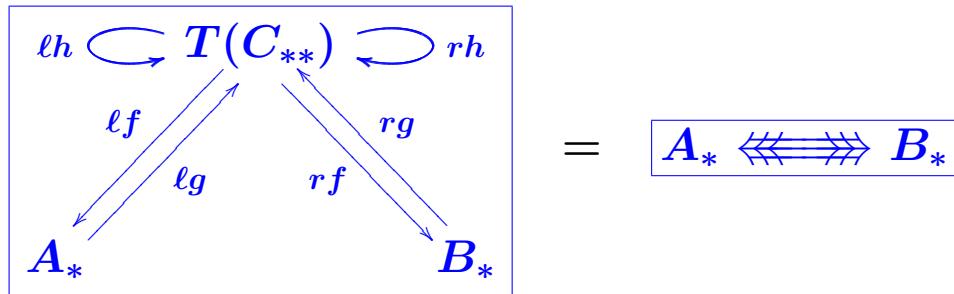


\Rightarrow 

Doing the symmetric work

in the horizontal direction

constructs an equivalence:



which effectively induces an isomorphism:

$$H_*^A \xrightleftharpoons[\ell f, \ell g, rf, rg]{} H_*^B$$

QED

Example of Application in Commutative Algebra:

Let $\mathfrak{R} = \mathfrak{k}[x_1, \dots, x_m] =$ common polynomial ring.

Let $M = \mathfrak{R}$ -module of finite type.

Strong known relations between:

1. Free \mathfrak{R} -resolution of M :

$$0 \leftarrow M \leftarrow M_0 \leftarrow M_1 \leftarrow M_2 \leftarrow \cdots$$

2. Homology of the Koszul complex $\text{Ksz}(M)$:

$$H_*(0 \leftarrow M \leftarrow M \otimes \mathfrak{k}^m \leftarrow M \otimes \wedge^2 \mathfrak{k}^m \leftarrow M \otimes \wedge^3 \mathfrak{k}^m \leftarrow \cdots)$$

Usually: Resolution \mapsto Homology of Koszul Complex:

$$\text{Rsl}(M) \mapsto \text{Rsl}(M) \otimes \mathfrak{k} \mapsto H_*(\text{Rsl}(M) \otimes \mathfrak{k}) = H_*(\text{Ksz}(M)).$$

Effective Homology produces a reverse process.

Theorem: A simple algorithm:

Input: Effective Homology $H_*^{\text{Eff}}(\text{Ksz}(M))$.

Output: An effective resolution $\text{Rsl}^{\text{Eff}}(M)$.

Combined with elementary methods of Effective Homology:

$$\text{GB}(M) \mapsto H_*^{\text{Eff}}(\text{Ksz}(M)) \mapsto \text{Rsl}^{\text{Eff}}(M)$$


New simple understanding

Koszul complex and its differential:

$$\text{Ksz}(M) := \{\dots \leftarrow M \otimes \wedge^{i-1} \mathfrak{k}^m \leftarrow M \otimes \wedge^i \mathfrak{k}^m \leftarrow \dots\}$$

$$\begin{aligned} d(\mu.dx_2 \wedge dx_3 \wedge dx_5) := \\ x_2\mu.dx_3 \wedge dx_5 - x_3\mu.dx_2 \wedge dx_5 + x_5\mu.dx_2 \wedge dx_3 \end{aligned}$$

Two \mathfrak{R} -modules $M_1, M_2 \mapsto$ a “double” Koszul complex:

$$M_1 \otimes \wedge^i \mathfrak{k}^m \otimes M_2$$

with two differentials:

$$d'(\mu_1.(dx_2 \wedge dx_5).\mu_2) := x_2\mu_1.dx_5.\mu_2 - x_5\mu_1.dx_2.\mu_2$$

$$d''(\mu_1.(dx_2 \wedge dx_5).\mu_2) := -\mu_1.dx_5.(x_2\mu_2) + \mu_1.dx_2.(x_5\mu_2)$$

\Rightarrow Double-complex.

$M = \mathfrak{R}$ -module of finite type.

$H_*^{\text{Eff}}(\text{Ksz}(M))$ given:

Equivalence: $d \circlearrowleft M \otimes \wedge \mathfrak{k}^m \rightleftharpoons EC_M \circlearrowleft d$

EC_M = chain complex of finite dimensional \mathfrak{k} -vector spaces.

Particular case: $M = \mathfrak{R}$. Koszul's theorem:

Reduction: $d \circlearrowleft \wedge \mathfrak{k}^m \otimes \mathfrak{R} \rightleftharpoons \mathfrak{k} \circlearrowleft 0$

We intend to play with both equivalences

in the double Koszul complex:

$$M \otimes \wedge \mathfrak{k}^m \otimes \mathfrak{R}$$

Detailed description of $M \otimes \wedge \mathfrak{k}^m \otimes \mathfrak{R}$
= Aramova-Herzog bicomplex:

$AH(M) :=$

$$\begin{array}{ccccccc}
& \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \\
M \otimes \wedge^3 \otimes \mathfrak{R}_0 & \xrightarrow{d''} & M \otimes \wedge^2 \otimes \mathfrak{R}_1 & \xrightarrow{d''} & M \otimes \wedge^1 \otimes \mathfrak{R}_2 & \xrightarrow{d''} & M \otimes \wedge^0 \otimes \mathfrak{R}_3 \longrightarrow 0 \\
d' \downarrow & & d' \downarrow & & d' \downarrow & & \downarrow \\
M \otimes \wedge^2 \otimes \mathfrak{R}_0 & \xrightarrow{d''} & M \otimes \wedge^1 \otimes \mathfrak{R}_1 & \xrightarrow{d''} & M \otimes \wedge^0 \otimes \mathfrak{R}_2 & \longrightarrow & 0 \\
d' \downarrow & & d' \downarrow & & \downarrow & & \\
M \otimes \wedge^1 \otimes \mathfrak{R}_0 & \xrightarrow{d''} & M \otimes \wedge^0 \otimes \mathfrak{R}_1 & \longrightarrow & 0 & & \mathfrak{R}_p = \mathfrak{k}[x_1, \dots, x_m]^{[p]} \\
d' \downarrow & & \downarrow & & & & \\
M \otimes \wedge^0 \otimes \mathfrak{R}_0 & \longrightarrow & 0 & & & & \wedge^q = \wedge^q \mathfrak{k}^m = \wedge^q (\mathfrak{m}/\mathfrak{m}^2) \\
\downarrow & & & & & & \\
0 & & & & & & M = \mathfrak{R}\text{-module}
\end{array}$$

Horizontal = $M \otimes \text{Ksz}(\mathfrak{R})_q$

$\otimes = \otimes_{\mathfrak{k}}$

Vertical = $\text{Ksz}(M) \otimes \mathfrak{R}_p$

1. Horizontal reduction.

$\text{Row}_q =$

$$\begin{aligned} & \{\cdots \rightarrow M \otimes \wedge^{q-p} \otimes \mathfrak{R}_p \rightarrow M \otimes \wedge^{q-p-1} \otimes \mathfrak{R}_{p+1} \rightarrow \cdots\} \\ &= q\text{-homogeneous component } M \otimes [\wedge \otimes \mathfrak{R}]_q \\ &= M \otimes [\text{Ksz}(\mathfrak{R})]_q \end{aligned}$$

\Rightarrow acyclic!

except for $q = 0$ where $\text{Row}_0 = M \otimes \wedge^0 \otimes \mathfrak{R}_0 = M$.

\Rightarrow Reduction: $\oplus_q \text{Row}_q \not\cong M$

Adding the vertical differentials = BPL

\Rightarrow Reduction $AH(M) \not\cong M$.

2. Vertical equivalence:

$\text{Col}_p =$

$$\{\cdots \rightarrow M \otimes \wedge^{q-p} \otimes \mathfrak{R}_p \rightarrow M \otimes \wedge^{q-p-1} \otimes \mathfrak{R}_p \rightarrow \cdots\}$$

$$= \text{Ksz}(M) \otimes \mathfrak{R}_p$$

Available equivalence: $\text{Ksz}(M) \iff C_*^{\text{Eff}} =$
 $=$ chain complex of finite-dimensional \mathfrak{k} -vector spaces.

\Rightarrow Equivalence: $\oplus_p \text{Col}_p \iff C_*^{\text{Eff}} \otimes \mathfrak{R}$

Adding the horizontal differentials = BPL

\Rightarrow Equivalence: $AH(M) \iff C_*^{\text{Eff}} \otimes \mathfrak{R} \circlearrowleft d$

where now $C_*^{\text{Eff}} \otimes \mathfrak{R} \circlearrowleft d =$

= Chain complex of free \mathfrak{R} -modules.

3. Composing Reduction 1 + Equivalence 2:

$$M \iff AH(M) \iff C_*^{\text{Eff}} \otimes \mathfrak{R}$$

gives an equivalence:

$$M \iff C_*^{\text{Eff}} \otimes \mathfrak{R}$$

Interpreting this equivalence \Rightarrow

$$C_*^{\text{Eff}} \otimes \mathfrak{R} = \boxed{\text{free effective } \mathfrak{R}\text{-resolution of } M}.$$

With simple natural explicit formulas

for the differentials and the contraction of $C_*^{\text{Eff}} \otimes \mathfrak{R}$.

$=$ Hodge decomposition of $C_*^{\text{Eff}} \otimes \mathfrak{R}$

Toy example:

$$\mathfrak{R} = \mathbb{Q}[x, y, t] \quad M = \mathfrak{R}/\langle x - t^3, y - t^5 \rangle$$

Groebner basis = $\langle xt^2 - y, t^3 - x, x^2 - yt \rangle$

\Rightarrow Approximate monomial module:

$$M' = \mathfrak{R}/\langle xt^2, t^3, x^2 \rangle$$

\Rightarrow a recursive process produces an equivalence:

$$\text{Ksz}(M') \iff \{\mathbb{Q} \xleftarrow{0} \mathbb{Q}^3 \xleftarrow{0} \mathbb{Q}^3 \not\xleftarrow{0} \mathbb{Q}\}$$

Applying BPL \Rightarrow Equivalence:

$$\text{Ksz}(M) \iff \{\mathbb{Q} \xleftarrow{0} \mathbb{Q}^3 \not\xleftarrow{0} \mathbb{Q}^3 \not\xleftarrow{0} \mathbb{Q}\}$$

Aramova-Herzog bicomplex + Two BPL applications:

⇒ Resolution:

$$M \xleftarrow{\varepsilon} \mathfrak{R} \xleftarrow{d_1} \mathfrak{R}^3 \xleftarrow{d_2} \mathfrak{R}^3 \xleftarrow{d_3} \mathfrak{R} \xleftarrow{} 0$$

$$\begin{array}{c} d_1 \\ [x^2 - yt, -t^3 + x, -xt^2 + y] \end{array} \quad \begin{array}{c} d_2 \\ \left[\begin{array}{ccc} 0 & 1 & t^2 \\ 0 & -x & -y \\ 0 & t & x \end{array} \right] \end{array} \quad \begin{array}{c} d_3 \\ \left[\begin{array}{c} -1 \\ 0 \\ 0 \end{array} \right] \end{array}$$

Final obvious **simplifications** ⇒ Minimal resolution:

$$M \xleftarrow{\varepsilon} \mathfrak{R} \xleftarrow{d'_1} \mathfrak{R}^2 \xleftarrow{d'_2} \mathfrak{R} \xleftarrow{} 0$$

$$\begin{array}{c} d'_1 \\ [-t^3 + x, -xt^2 + y] \end{array} \quad \begin{array}{c} d'_2 \\ \left[\begin{array}{c} xt^2 - y \\ -t^3 + x \end{array} \right] \end{array}$$

First Stillman example: $\mathfrak{R} = \mathbb{Q}[a, b, c, d, e, f, g, h, i]$

$$M = \mathfrak{R}/\langle fh - ei, ch - bi, \dots \rangle$$

defined by the 9 2×2 -minors of the 3×3 -matrix $\begin{bmatrix} a & b & c \\ d & e & f \\ g & h & i \end{bmatrix}$

$$M' = \mathfrak{R}/\langle fh, ch, \dots \rangle$$

Equivalence: $\text{Ksz}(M') \iff \{0 \leftarrow \mathbb{Q} \xleftarrow{0} \mathbb{Q}^9 \xleftarrow{0} \mathbb{Q}^{18} \xleftarrow{1} \mathbb{Q}^{14} \xleftarrow{1} \mathbb{Q}^4 \xleftarrow{0} 0\}$

BPL application \Rightarrow

Equivalence: $\text{Ksz}(M) \iff \{0 \leftarrow \mathbb{Q} \xleftarrow{0} \mathbb{Q}^9 \xleftarrow{0} \mathbb{Q}^{18} \xleftarrow{2} \mathbb{Q}^{14} \xleftarrow{3} \mathbb{Q}^4 \xleftarrow{0} 0\}$

\Rightarrow Betti numbers = {1, 9, 16, 9, 1}

Aramova-Herzog bicomplex + $2 \times \text{BPL} \Rightarrow$ Resolution:

$$0 \leftarrow M \leftarrow \mathfrak{R} \leftarrow \mathfrak{R}^9 \leftarrow \mathfrak{R}^{18} \xleftarrow{2} \mathfrak{R}^{14} \xleftarrow{3} \mathfrak{R}^4 \leftarrow 0$$

Obvious simplifications \Rightarrow Minimal resolution:

$$0 \leftarrow M \leftarrow \mathfrak{R} \leftarrow \mathfrak{R}^9 \leftarrow \mathfrak{R}^{16} \leftarrow \mathfrak{R}^9 \leftarrow \mathfrak{R} \leftarrow 0$$

But the methods of effective homology produce

an **effective** resolution:

with a Hodge decomposition:

$$\text{id} = dh + hd$$

.

Interpretation.

$$0 \leftarrow M \leftarrow \mathfrak{R} \leftarrow \mathfrak{R}^9 \xleftarrow[\textcolor{red}{h}]{} \mathfrak{R}^{16} \xleftarrow[\textcolor{red}{h}]{} \mathfrak{R}^9 \leftarrow \mathfrak{R} \leftarrow 0$$

Let $\mu \in \mathfrak{R}^{16} \in \mathrm{Rsl}_2(M)$.

Then:

$\mu - \textcolor{red}{h}d\mu$ is the projection of μ

over the syzygy subspace of \mathfrak{R}^{16}

$\textcolor{red}{h}\mu \in \mathfrak{R}^9 = \mathrm{Rsl}_3(M)$ is the preimage of this syzygy in \mathfrak{R}^9 .

Hodge decomposition of μ :

$$\mu = \textcolor{red}{h}d\mu + dh\mu$$

Example of calculation.

$b_{2,1}$ = first generator of $\text{Rsl}_2 = \mathfrak{R}^{16}$.

$$\mu = b_{2,1} \Rightarrow \mu - hd\mu = 0$$

$$\begin{aligned}\mu' &= fg \cdot b_{2,1} \Rightarrow \mu' - hd\mu' = \\ &\quad -di \cdot g_{2,1} - ci \cdot g_{2,3} + ei \cdot g_{2,6} - fi \cdot g_{2,7} + i^2 \cdot g_{2,15}.\end{aligned}$$

$$h\mu' = h(\mu' - hd\mu') = i \cdot g_{3,4}$$

Hodge decomposition:

$$\begin{aligned}\mu' &= fg \cdot b_{2,1} = hd\mu' + dh\mu' = \\ &\quad (fg + di) \cdot b_{2,1} + ci \cdot b_{2,3} - ei \cdot b_{2,6} + fi \cdot b_{2,7} - i^2 \cdot b_{2,15} \\ &\quad - di \cdot b_{2,1} - ci \cdot b_{2,3} + ei \cdot b_{2,6} - fi \cdot b_{2,7} + i^2 \cdot b_{2,15}\end{aligned}$$

Final scheme for computing a resolution by this method.

1. Given M = finite type \mathfrak{R} -module.
2. \Rightarrow Groebner basis $\text{Gb}(M)$.
3. \Rightarrow Monomial approximation $M' \sim M$.
4. $\Rightarrow H_*^{\text{Eff}}(\text{Ksz}(M'))$.
5. BPL $\Rightarrow H_*^{\text{Eff}}(\text{Ksz}(M))$.
6. Aramova-Herzog bicomplex + BPL $\Rightarrow \text{Rsl}^{\text{Eff}}(M)$.

The END

```
;; Clock  
Computing  
<TnPr <Tn  
End of computing.  
  
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Computing the boundary of the generator 19 (dimension 7) :  
<TnPr <TnPr <TnPr S3 <<Abar[2 $1][2 $1]>>> <<Abar>>> <<Abar>>>  
End of computing.  
  
Homology in dimension 6 :  
  
Component 2/122  
  
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Talk in honour of Jacques Calmet

Francis Sergeraert, Institut Fourier, Grenoble, France
Logroño, February 28, 2008