An algorithm for constructing certain differential operators in prime characteristic

Alberto F. Boix

Universitat Pompeu Fabra

EACA 2016 Communication

Based on joint work with:

- Alessandro De Stefani (University of Virginia).
- ► Davide Vanzo (Università degli studi di Firenze).

What is the goal of this talk (roughly speaking)?

- Input: A polynomial f with coefficients on Z/pZ, where p is prime.
- Output: produce a differential equation of the form

$$\delta\left(\frac{1}{f}\right) = \frac{1}{f^p}.$$

Background material

A surprising fact

The algorithm

An example

The case of elliptic curves

BACKGROUND MATERIAL

Preliminaries

- ► K any field.
- ► $S = \mathbb{K}[x_1, \ldots, x_d], f \in S.$

Fact

 S_f is not finitely generated as S-module.

Preliminaries

•
$$S = \mathbb{C}[x_1, \ldots, x_d], f \in S$$
.

• \mathcal{D}_S : ring of \mathbb{C} -linear differential operators.

$$\blacktriangleright \mathcal{D}_{\mathcal{S}}[y] := \mathbb{C}[y] \otimes_{\mathbb{C}} \mathcal{D}_{\mathcal{S}}.$$

Theorem (Bernstein (1972)) There are $b(y) \in \mathbb{C}[y]$ and $\Delta(y) \in \mathcal{D}_S[y]$ such that

$$b(n)f^n = \Delta(n) \bullet f^{n+1},$$

for any $n \in \mathbb{Z}$.

Preliminaries

Definition

 $b_f(y)$: monic polynomial of smallest degree of the ideal made up by the b's.

- *m*: greatest integer root in absolute value of b_f .
- (Bernstein, 1972) S_f is generated by $1/f^m$ as left \mathcal{D}_S -module.
- (Walther, 2005) S_f is not generated by $1/f^i$ for i < m.

End of preliminaries

In general, m can be strictly greater than 1.

Example If $f = x_1^2 + x_2^2 + x_3^2 + x_4^2$, then $b_f(y) = (y+1)(y+2)$. A SURPRISING FACT

New setup

From now on:

- p prime number.
- $S = \mathbb{Z}/p\mathbb{Z}[x_1,\ldots,x_d], f \in S.$
- \mathcal{D}_S : ring of $\mathbb{Z}/p\mathbb{Z}$ -linear differential operators.

A surprising fact

Theorem (Àlvarez Montaner, Blickle, Lyubeznik (2005)) S_f is generated by 1/f as \mathcal{D}_S -module.

THE LEVEL What is the level?

We have

$$\mathcal{D}_{\mathcal{S}} = \bigcup_{e \ge 0} \mathcal{D}_{\mathcal{S}}^{(e)},$$

where

and

$$\mathcal{D}_{S}^{(e)} := S\langle \partial_{i}^{[t]} \mid 1 \le i \le d, 1 \le t \le p^{e} - 1 \rangle$$

 $\partial_{i}^{[t]} := rac{1}{t!} rac{\partial^{t}}{\partial x_{i}^{t}}.$

The exponent *e* is called the *level*.

Why the surprising fact is true?

Theorem (Àlvarez Montaner, Blickle, Lyubeznik (2005)) There exists $\delta \in \mathcal{D}_{S}^{(e)}$ such that $\delta(1/f) = 1/f^{p}$.

Goal

Provide an effective procedure to calculate the level e and δ .

COMPUTING THE LEVEL

THE IDEAL OF *р^е*ТН ROOTS

The ideal of p^e th roots

•
$$g \in S = \mathbb{Z}/p\mathbb{Z}[x_1, \dots, x_d].$$

• If $\gamma = (c_1, \dots, c_d) \in \mathbb{N}^d$, then $||\gamma|| := \max\{c_i\}.$
If $\sigma = \sum_{\alpha \in \mathcal{A}} \sigma^{p^e} \mathbf{x}^{\alpha}$

$$\mathbf{g} = \sum_{\mathbf{0} \leq ||lpha|| \leq \mathbf{p}^{\mathbf{e}} - 1} \mathbf{g}_{lpha}^{\mathbf{p}^{\mathbf{e}}} \mathbf{x}^{lpha},$$

then $I_e(gS)$ is the ideal of S generated by the g_{α} 's.

Calculation of the level

We have

Set

$$R = I_0\left(f^{p^0-1}\right) \supseteq I_1\left(f^{p-1}\right) \supseteq I_2\left(f^{p^2-1}\right) \supseteq \dots$$
$$e := \inf\left\{s \ge 1 \mid \quad I_{s-1}\left(f^{p^{s-1}-1}\right) = I_s\left(f^{p^s-1}\right)\right\}.$$

Calculation of the level

Theorem (Àlvarez Montaner, Blickle, Lyubeznik (2005)) With the previous choice of e, for any $s \ge 0$

$$I_{e-1}\left(f^{p^{e-1}-1}\right)=I_{e+s}\left(f^{p^{e+s}-1}\right).$$

Moreover,

$$e = \min\left\{s \ge 1 \mid \quad f^{p^s - p} \in I_s\left(f^{p^s - 1}\right)^{[p^s]}\right\}$$

and $e \leq \deg(f)$.

THE ALGORITHM

Input

▶ *p* prime number.

•
$$S = \mathbb{Z}/p\mathbb{Z}[x_1, \ldots, x_d], f \in S$$
.

The body of the algorithm

Algorithm (B., De Stefani, Vanzo) Carry out the following steps:

• Compute $(e, I_e(f^{p^e-1}))$, where *e* is the level of δ .

► Write

$$f^{p^e-1} = \sum_{0 \le ||\alpha|| \le p^e-1} f_{\alpha}^{p^e} \mathbf{x}^{\alpha}.$$

▶ For each 0 $\leq ||lpha|| \leq p^e - 1$, there is $\delta_lpha \in \mathcal{D}_{\mathcal{S}}^{(e)}$ such that

$$\delta_{\alpha}\left(\mathbf{x}^{\beta}
ight) = \begin{cases} \mathbf{1}, \text{ if } eta = lpha, \\ \mathbf{0}, \text{otherwise.} \end{cases}$$

Here, $eta \in \mathbb{N}^d$ with $0 \leq ||eta|| \leq p^e - 1$

The body of the algorithm

Algorithm (B., De Stefani, Vanzo)

► We have

$$f^{p^e-p} \in I_e\left(f^{p^e-1}\right)^{[p^e]} = \left(f_{\alpha}^{p^e} \mid \quad 0 \le ||\alpha|| \le p^e - 1\right),$$

hence

$$f^{p^e-p}=\sum_{0\leq ||lpha||\leq p^e-1}s_lpha f_lpha^{p^e}.$$

► Set

$$\delta := \sum_{\mathbf{0} \le ||\alpha|| \le p^e - 1} \mathbf{s}_{\alpha} \delta_{\alpha}.$$

AN EXAMPLE

An example

►
$$f = x^2 y^3 z^5 \in \mathbb{Z}/2\mathbb{Z}[x, y, z].$$

► $f^{15} = x^{30} y^{45} z^{75} = (xy^2 z^4)^{16} \cdot (x^{14} y^{13} z^{11})$, so level 4.

Now, needed δ_1 such that

$$\delta_1(x^{14}y^{13}z^{11}) = 1$$

and

$$\delta_1(x^i y^j z^k) = 0$$
 for any $0 \le i, j, k \le 15 = 2^4 - 1$.

An example (continued)

•
$$\delta_1 = (\partial_1^{[15]} \partial_2^{[15]} \partial_3^{[15]}) \cdot (xy^2 z^4).$$

Moreover,

$$f^{2^4-2} = (x^{12}y^{10}z^6) \cdot (x^{16}y^{32}z^{64}) \in I_4(f^{15})^{[16]}.$$

Therefore,

$$\delta = (x^{12}y^{10}z^6) \cdot (\partial_1^{[15]}\partial_2^{[15]}\partial_3^{[15]}) \cdot (xy^2z^4).$$

THE CASE OF **ELLIPTIC CURVES**

Ordinary and supersingular elliptic curves

• $E \subseteq \mathbb{P}^2_{\mathbb{Z}/p\mathbb{Z}}$ elliptic curve defined by f.

•
$$f^{p-1} = h \cdot (xyz)^{p-1} + \dots$$

- *E* is ordinary if $h \neq 0$, otherwise supersingular.
- ▶ (Takagi, Takahashi 2008) E is ordinary iff E has level one.

Ordinary and supersingular elliptic curves

Theorem (B., De Stefani, Vanzo) *E is supersingular if and only if f has level two.* The case of elliptic curves: characteristic 2 and 3

• Set
$$D := \partial_1^{[p^2-1]} \partial_2^{[p^2-1]} \partial_3^{[p^2-1]}$$
.

р	Elliptic curve	Differential operator
2	$x^3 + y^2z + yz^2$	$y^2 Dx^3 z + z^2 Dx^3 y + x^2 Dxyz^2$
3	$x^3 - xz^2 - y^2z$	$(x^6z^3 - x^3y^6)Dx^4z^5 + +(x^9 + x^3z^6 + y^6z^3)Dxy^8 + y^3z^6Dx^4y^5$

The case of elliptic curves: sketch of proof

▶ *p* ≥ 5.

After linear change of coordinates,

$$f = y^2 z - x^3 + axz^2 + bz^3,$$

where $a, b \in \mathbb{Z}/p\mathbb{Z}$.

• If
$$a = b = 0$$
, then $I_1(f^{p-1}) = I_2(f^{p^2-1}) = (x, y)$.

• Otherwise,
$$I_1(f^{p-1}) = I_2(f^{p^2-1}) = (x, y, z).$$

NICE PLACE TO STOP