

# example-Z4Z2

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# 1 Definition of a ring of completion homomorphisms

```

theory HomGroupCompletion
  imports
    ~~/src/HOL/Algebra/Ring

```

begin

## 2 Definition of completion functions and some related lemmas

**constdefs**

*completion* ::  $[(\text{'a}, \text{'c}) \text{ monoid-scheme}, (\text{'b}, \text{'d}) \text{ monoid-scheme}, (\text{'a} \Rightarrow \text{'b})] \Rightarrow (\text{'a} \Rightarrow \text{'b})$

*completion*  $G \ G' \ f == (\%x. \text{ if } x \in \text{carrier } G \text{ then } f \ x \text{ else one } G')$

**lemma** *completion-in-funcset*:  $(!!x. x \in \text{carrier } G \Rightarrow f \ x \in \text{carrier } G') \Rightarrow (\text{completion } G \ G' \ f) \in \text{carrier } G \rightarrow \text{carrier } G'$

**by** (*simp add: Pi-def completion-def*)

**lemma** *completion-in-hom*: **includes** *group-hom*  $G \ G' \ h$  **shows** *completion*  $G \ G' \ h \in \text{hom } G \ G'$

**by** (*unfold completion-def hom-def Pi-def, auto*)

**lemma** *completion-apply-carrier* [*simp*]:  $x \in \text{carrier } G \Rightarrow \text{completion } G \ G' \ h \ x = h \ x$

**by** (*simp add: completion-def*)

**lemma** *completion-apply-not-carrier* [*simp*]:  $x \notin \text{carrier } G \Rightarrow \text{completion } G \ G' \ h \ x = \text{one } G'$

**by** (*simp add: completion-def*)

**lemma** *completion-ext*:  $(!!x. x \in \text{carrier } G \Rightarrow h \ x = g \ x) \Rightarrow (\text{completion } G \ G' \ h) = (\text{completion } G \ G' \ g)$

**by** (*simp add: expand-fun-eq Pi-def completion-def*)

**lemma** *inj-on-completion-eq*: *inj-on*  $(\text{completion } G \ G' \ h) (\text{carrier } G) = \text{inj-on } h (\text{carrier } G)$

**by** (*unfold inj-on-def, simp*)

**constdefs**

*completion-fun* ::  $[(\text{'a}, \text{'c}) \text{ monoid-scheme}, (\text{'b}, \text{'d}) \text{ monoid-scheme}] \Rightarrow (\text{'a} \Rightarrow \text{'b}) \text{ set}$

*completion-fun*  $G \ G' == \{f. f = (\%x. \text{ if } x \in \text{carrier } G \text{ then } f \ x \text{ else one } G')\}$

**constdefs**

*completion-fun2* ::  $[(\text{'a}, \text{'c}) \text{ monoid-scheme}, (\text{'b}, \text{'d}) \text{ monoid-scheme}] \Rightarrow (\text{'a} \Rightarrow \text{'b}) \text{ set}$

*completion-fun2*  $G \ G' == \{f. \exists g. f = \text{completion } G \ G' \ g\}$

**lemma** *f-in-completion-fun2-f-completion*:  $f \in \text{completion-fun2 } G \ G' \Rightarrow f = \text{completion } G \ G' \ f$

**by** (*unfold completion-fun2-def, unfold completion-def, auto simp add: if-def*)

**lemma** *completion-in-completion-fun*: *completion*  $G$   $G'$   $h \in \text{completion-fun } G$   $G'$   
**by** (*unfold completion-fun-def completion-def*) (*simp add: if-def*)

**lemma** *completion-in-completion-fun2*: **shows** *completion*  $G$   $G'$   $h \in \text{completion-fun2 } G$   $G'$   
**by** (*unfold completion-fun2-def*) *auto*

**lemma** *completion-fun-completion-fun2*: *completion-fun*  $G$   $G' = \text{completion-fun2 } G$   $G'$   
**by** (*unfold completion-fun-def completion-fun2-def completion-def*) (*auto simp add: if-def*)

**lemma** *completion-id-in-completion-fun*: **shows** *completion*  $G$   $G'$  *id*  $\in \text{completion-fun } G$   $G'$   
**by** (*unfold completion-fun-def completion-def, auto simp add: expand-fun-eq*)

**lemma** *completion-closed2*: **assumes**  $h: h \in \text{completion-fun2 } G$   $G'$  **and**  $x: x \notin \text{carrier } G$  **shows**  $h\ x = \text{one } G'$   
**using** *prems*  
**by** (*unfold completion-fun2-def completion-def, auto*)

## 2.1 Homomorphisms defined as completions

**constdefs**  
*hom-completion* :: [ $('a, 'c)$  *monoid-scheme*,  $('b, 'd)$  *monoid-scheme*]  $\Rightarrow ('a \Rightarrow 'b)\text{set}$   
*hom-completion*  $G$   $G' == \{h. h \in \text{completion-fun2 } G$   $G' \ \& \ h \in \text{hom } G$   $G'\}$

**lemma** *hom-completionI*: **assumes**  $h \in \text{completion-fun2 } G$   $G'$  **and**  $h \in \text{hom } G$   $G'$  **shows**  $h \in \text{hom-completion } G$   $G'$   
**by** (*unfold hom-completion-def, simp add: prems*)

**lemma** *hom-completion-is-hom*: **assumes**  $f: f \in \text{hom-completion } G$   $G'$  **shows**  $f \in \text{hom } G$   $G'$   
**using**  $f$  **by** (*unfold hom-completion-def, simp*)

**lemma** *hom-completion-mult*: **assumes**  $h \in \text{hom-completion } G$   $G'$  **and**  $x \in \text{carrier } G$  **and**  $y \in \text{carrier } G$   
**shows**  $h (\text{mult } G\ x\ y) = \text{mult } G' (h\ x) (h\ y)$   
**using** *prems* **by** (*simp add: hom-completion-is-hom hom-mult*)

**lemma** *hom-completion-closed*: **assumes**  $h: h \in \text{hom-completion } G$   $G'$  **and**  $x: x \in \text{carrier } G$  **shows**  $h\ x \in \text{carrier } G'$   
**using**  $h$  **and**  $x$  **by** (*unfold hom-completion-def hom-def Pi-def, simp*)

**lemma** *hom-completion-one*[*simp*]: **includes** *group*  $G$  + *group*  $G'$   
**assumes**  $h: h \in \text{hom-completion } G$   $G'$  **shows**  $h (\text{one } G) = \text{one } G'$   
**using**  $h$  **and** *group-hom.hom-one* [*of*  $G$   $G'$   $h$ ] **and** *prems*  
**by** (*unfold hom-completion-def group-hom-def group-hom-axioms-def, simp*)

**lemma comp-sum: includes group  $G$**   
**assumes**  $h: h \in \text{hom } G \ G$  **and**  $h': h' \in \text{hom } G \ G$  **and**  $x: x \in \text{carrier } G$  **and**  $y: y \in \text{carrier } G$   
**shows**  $h' (h (\text{mult } G \ x \ y)) = \text{mult } G \ (h' (h \ x)) \ (h' (h \ y))$   
**proof** –  
**have**  $h (\text{mult } G \ x \ y) = \text{mult } G \ (h \ x) \ (h \ y)$   
**by** (rule hom-mult [of  $h \ G \ G \ x \ y$ ], simp-all add:  $h \ x \ y$ )  
**then have**  $h' (h (\text{mult } G \ x \ y)) = h' (\text{mult } G \ (h \ x) \ (h \ y))$  **by** simp  
**also from**  $h \ h' \ x \ y$  **have**  $\dots = \text{mult } G \ (h' (h \ x)) \ (h' (h \ y))$   
**by** (intro hom-mult, unfold hom-def Pi-def, simp-all)  
**finally show** ?thesis **by** simp  
**qed**

**lemma comp-is-hom: includes group  $G$**   
**assumes**  $h: h \in \text{hom } G \ G$  **and**  $h': h' \in \text{hom } G \ G$   
**shows**  $h' \circ h \in \text{hom } G \ G$   
**using**  $h \ h'$  **by** (unfold hom-def Pi-def, simp)

Usual composition  $op \circ$  of completion homomorphisms is closed

**lemma hom-completion-comp: includes group  $G$**   
**assumes**  $f \in \text{hom-completion } G \ G$  **and**  $g \in \text{hom-completion } G \ G$   
**shows**  $f \circ g \in \text{hom-completion } G \ G$   
**proof** –  
**from prems show** ?thesis  
**apply** (unfold hom-completion-def hom-def Pi-def, auto)  
**apply** (unfold completion-fun2-def completion-def, simp)  
**apply** (intro exI [of  $- \circ g$ ])  
**apply** (auto simp add: expand-fun-eq)  
**done**  
**qed**

## 2.2 Completion homomorphisms with usual composition form a monoid

The underlying algebraic structures in our development, except otherwise stated, will be commutative groups or differential groups

**lemma (in comm-group) hom-completion-monoid:**  
**shows** monoid ( $| \text{carrier} = \text{hom-completion } G \ G, \text{mult} = op \circ, \text{one} = (\lambda x. \text{if } x \in \text{carrier } G \text{ then } id \ x \text{ else } 1) \ |$ )  
**(is monoid ?H-CO)**  
**proof** (intro monoidI)  
**fix**  $x \ y$   
**assume**  $x: x \in \text{carrier } ?H-CO$  **and**  $y: y \in \text{carrier } ?H-CO$   
**from prems show**  $x \otimes_{?H-CO} y \in \text{carrier } ?H-CO$   
**by** (simp, intro hom-completion-comp)(simp-all add: comm-group-def)  
**next**  
**show**  $1_{?H-CO} \in \text{carrier } ?H-CO$

```

    by (unfold hom-completion-def hom-def completion-fun2-def completion-def)(auto
simp add: Pi-def)
next
  fix x y z

  show  $x \otimes_{?H-CO} y \otimes_{?H-CO} z = x \otimes_{?H-CO} (y \otimes_{?H-CO} z)$ 
    by (simp) (rule sym, rule o-assoc)
next
  fix x
  assume x:  $x \in \text{carrier } ?H-CO$ 
  from prems show  $\mathbf{1}_{?H-CO} \otimes_{?H-CO} x = x$ 
    by (unfold hom-completion-def completion-fun2-def completion-def hom-def
Pi-def, auto simp add: expand-fun-eq)
next
  fix x
  assume x:  $x \in \text{carrier } ?H-CO$ 
  from prems show  $x \otimes_{?H-CO} \mathbf{1}_{?H-CO} = x$ 
    by (unfold hom-completion-def completion-fun2-def, auto simp add: expand-fun-eq)
    (intro group-hom.hom-one, unfold group-hom-def group-hom-axioms-def hom-def
Pi-def comm-group-def, simp)
qed

```

Homomorphisms, without the completion condition, are also a monoid with usual composition and the identity

```

lemma (in group) hom-group-monoid:
  shows monoid (| carrier = hom G G, mult = op o, one = id |)
  (is monoid ?HOM)
proof (intro monoidI)
  fix x y
  assume x:  $x \in \text{carrier } ?HOM$  and y:  $y \in \text{carrier } ?HOM$ 
  from prems show  $x \otimes_{?HOM} y \in \text{carrier } ?HOM$  by (simp add: Pi-def hom-def)
next
  show  $\mathbf{1}_{?HOM} \in \text{carrier } ?HOM$  by (simp add: hom-def Pi-def)
next
  fix x y z
  assume x:  $x \in \text{carrier } ?HOM$  and y:  $y \in \text{carrier } ?HOM$  and z:  $z \in \text{carrier } ?HOM$ 
  show  $x \otimes_{?HOM} y \otimes_{?HOM} z = x \otimes_{?HOM} (y \otimes_{?HOM} z)$  using o-assoc [of x y
z] by simp
next
  fix x
  assume x:  $x \in \text{carrier } ?HOM$ 
  show  $\mathbf{1}_{?HOM} \otimes_{?HOM} x = x$  by simp
next
  fix x
  assume x:  $x \in \text{carrier } ?HOM$ 
  show  $x \otimes_{?HOM} \mathbf{1}_{?HOM} = x$  by simp
qed

```

## 2.3 Preliminary facts about addition of homomorphisms

**lemma** *homI*:

**assumes** *closed*:  $\bigwedge x. x \in \text{carrier } G \implies f x \in \text{carrier } H$   
**and** *mult*:  $\bigwedge x y. \llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies f (x \otimes_G y) = f x \otimes_H f y$   
**shows**  $f \in \text{hom } G H$  **by** (*unfold hom-def*) (*simp add: Pi-def closed mult*)

The operation we are going to use as addition for homomorphisms is based on the multiplicative operation of the underlying algebraic structures

The three following lemmas show how we can define the addition of homomorphisms in different ways with satisfactory result

**lemma** (*in comm-group*) *hom-mult-is-hom*: **assumes**  $F: f \in \text{hom } G G$  **and**  $G: g \in \text{hom } G G$  **shows**  $(\lambda x. f x \otimes g x) \in \text{hom } G G$

**proof** (*rule homI*)

**fix**  $x$   
**assume**  $X: x \in \text{carrier } G$   
**from** *prems* **show**  $f x \otimes g x \in \text{carrier } G$  **by** (*intro m-closed, simp-all only: hom-closed*)  
**next**  
**fix**  $x y$   
**assume**  $X: x \in \text{carrier } G$  **and**  $Y: y \in \text{carrier } G$   
**from** *prems* **have**  $f (x \otimes y) \otimes g (x \otimes y) = f x \otimes f y \otimes (g x \otimes g y)$  **by** (*unfold hom-def, simp add: m-ac*)  
**with** *prems* **show**  $f (x \otimes y) \otimes g (x \otimes y) = f x \otimes g x \otimes (f y \otimes g y)$  **by** (*simp add: m-ac hom-closed*)  
**qed**

**lemma** (*in comm-group*) *hom-mult-is-hom-rest*:

**assumes**  $f: f \in \text{hom } G G$  **and**  $g: g \in \text{hom } G G$   
**shows**  $(\lambda x \in \text{carrier } G. f x \otimes g x) \in \text{hom } G G$  (**is**  $?fg \in -$ )

**proof** (*rule homI*)

**fix**  $x$  **assume**  $x \in \text{carrier } G$   
**with**  $f g$  **show**  $?fg x \in \text{carrier } G$  **by** (*simp add: hom-closed*)  
**next**  
**fix**  $x y$  **assume**  $x \in \text{carrier } G$   $y \in \text{carrier } G$   
**with**  $f g$  **show**  $?fg (x \otimes y) = ?fg x \otimes ?fg y$  **by** (*simp add: hom-closed hom-mult m-ac*)  
**qed**

**lemma** (*in comm-group*) *hom-mult-is-hom-completion*:

**assumes**  $f: f \in \text{hom } G G$  **and**  $g: g \in \text{hom } G G$   
**shows**  $(\lambda x. \text{if } x \in \text{carrier } G \text{ then } f x \otimes g x \text{ else } \mathbf{1}) \in \text{hom } G G$   
(**is**  $?fg \in -$ )  
**apply** (*rule homI*)  
**using**  $f g$  **apply** (*simp add: hom-closed*)  
**using**  $f g$  **apply** (*simp add: hom-mult m-ac hom-closed*)  
**done**

The inverse for the addition of homomorphisms will be given by the  $\lambda x. \text{inv}$



$f$   $x$  operation

**lemma** (in *comm-group*) *hom-inv-is-hom*: **assumes**  $f: f \in \text{hom } G \ G$  **shows**  $(\lambda x. \text{inv } f \ x) \in \text{hom } G \ G$

**proof** (*unfold hom-def, simp, intro conjI*)

**from**  $f$  **show**  $(\lambda x. \text{inv } f \ x) \in \text{carrier } G \rightarrow \text{carrier } G$  **by** (*unfold Pi-def hom-def, auto*)

**next**

**show**  $\forall x \in \text{carrier } G. \forall y \in \text{carrier } G. \text{inv } f \ (x \otimes y) = \text{inv } f \ x \otimes \text{inv } f \ y$

**proof** (*intro ballI*)

**fix**  $x \ y$  **assume**  $x: x \in \text{carrier } G$  **and**  $y: y \in \text{carrier } G$

**from** *prems* **show**  $\text{inv } f \ (x \otimes y) = \text{inv } f \ x \otimes \text{inv } f \ y$

**proof** –

**from** *prems* **have**  $f \ (x \otimes y) = f \ x \otimes f \ y$  **by** (*intro hom-mult, simp-all*)

**then** **have**  $\text{inv } f \ (x \otimes y) = \text{inv } (f \ x \otimes f \ y)$  **by** *simp*

**also from** *prems* **have**  $\dots = \text{inv } (f \ y) \otimes \text{inv } (f \ x)$

**by** (*intro inv-mult-group*) (*simp-all add: hom-closed*)

**also from** *prems* **have**  $\dots = \text{inv } (f \ x) \otimes \text{inv } (f \ y)$

**by** (*intro m-comm*) (*simp-all add: inv-closed hom-closed*)

**finally show** *?thesis* **by** *simp*

**qed**

**qed**

**qed**

Lemma  $?f \in \text{hom } G \ G \implies (\lambda x. \text{inv } ?f \ x) \in \text{hom } G \ G$  proves that the multiplicative inverse of the underlying structure preserves the homomorphism definition

**locale** *group-end = group-hom*  $G \ G \ h$

Due to the partial definitions of domains, it would not be possible to prove that  $h \circ (\lambda x. \text{inv } h \ x) = (\lambda x. \mathbf{1})$ ; the closer fact that can be proven is  $h \circ (\lambda x. \text{inv } h \ x) = (\lambda x \in \text{carrier } G. \mathbf{1})$ ;

**lemma** (in *comm-group*) *hom-completion-inv-is-hom-completion*:

**assumes**  $f \in \text{hom-completion } G \ G$

**shows**  $(\lambda x. \text{if } x \in \text{carrier } G \text{ then } \text{inv } f \ x \text{ else } \mathbf{1}) \in \text{hom-completion } G \ G$

**proof** (*unfold hom-completion-def completion-fun2-def completion-def, simp, intro conjI*)

**show**  $\exists g. (\lambda g. \text{if } g \in \text{carrier } G \text{ then } \text{inv } f \ g \text{ else } \mathbf{1}) = (\lambda x. \text{if } x \in \text{carrier } G \text{ then } g \ x \text{ else } \mathbf{1})$

**by** (*rule exI [of -  $\lambda x. \text{inv } (f \ x)$ ], simp*)

**next**

**show**  $(\lambda x. \text{if } x \in \text{carrier } G \text{ then } \text{inv } f \ x \text{ else } \mathbf{1}) \in \text{hom } G \ G$

**proof** (*unfold hom-def, simp, intro conjI*)

**from** *prems* **show**  $(\lambda x. \text{if } x \in \text{carrier } G \text{ then } \text{inv } f \ x \text{ else } \mathbf{1}) \in \text{carrier } G \rightarrow \text{carrier } G$

**by** (*unfold Pi-def hom-completion-def completion-fun2-def completion-def hom-def Pi-def, auto*)

**next**

**show**  $\forall x \in \text{carrier } G. \forall y \in \text{carrier } G. \text{inv } f \ (x \otimes y) = \text{inv } f \ x \otimes \text{inv } f \ y$

```

proof (intro ballI)
  fix x y
  assume x ∈ carrier G and y ∈ carrier G
  show inv f (x ⊗ y) = inv f x ⊗ inv f y
  proof –
    from prems have f (x ⊗ y) = f x ⊗ f y by (intro hom-mult, unfold
hom-completion-def, simp-all)
    then have inv f (x ⊗ y) = inv (f x ⊗ f y) by simp
    also from prems have ... = inv (f y) ⊗ inv (f x)
      by (intro inv-mult-group) (unfold hom-completion-def hom-def Pi-def,
simp-all)
    also from prems have ... = inv (f x) ⊗ inv (f y)
      by (intro m-comm)(unfold hom-completion-def hom-def Pi-def, simp-all)
    finally show ?thesis by simp
  qed
qed
qed
qed

```

```

lemma (in comm-group) hom-completion-mult-inv-is-hom-completion:
  assumes f ∈ hom-completion G G
  shows ∃ g ∈ hom-completion G G. (λx. if x ∈ carrier G then g x ⊗ f x else 1) =
(λx. 1)
proof (intro bexI [of - (λx. if x ∈ carrier G then inv (f x) else 1)])
  from prems show (λg. if g ∈ carrier G then inv f g else 1) ∈ hom-completion
G G
  by (intro hom-completion-inv-is-hom-completion)
next
  from prems show (λx. if x ∈ carrier G then (if x ∈ carrier G then inv f x else
1) ⊗ f x else 1) = (λx. 1)
  by (unfold hom-completion-def hom-def Pi-def, auto simp add: expand-fun-eq)
qed

```

## 2.4 Completion homomorphisms are a commutative group with the underlying operation

```

lemma (in comm-group) hom-completion-mult-comm-group:
  shows comm-group (|carrier = hom-completion G G, mult = λf. λg. (λx. if x
∈ carrier G then f x ⊗ g x else 1),
one = (λx. if x ∈ carrier G then 1 else 1)|)
  (is comm-group ?H-CO)
proof (intro comm-groupI)
  fix x y
  assume x ∈ carrier ?H-CO and y ∈ carrier ?H-CO
  from prems show x ⊗?H-CO y ∈ carrier ?H-CO
  by (unfold hom-completion-def completion-fun2-def completion-def, auto simp
add: hom-mult-is-hom-completion)
next
  show 1?H-CO ∈ carrier ?H-CO

```

```

    by (unfold hom-completion-def completion-fun2-def completion-def hom-def
        Pi-def expand-fun-eq, auto)
next
  fix x y z
  assume x ∈ carrier ?H-CO and y ∈ carrier ?H-CO and z ∈ carrier ?H-CO
  from prems show x ⊗?H-CO y ⊗?H-CO z = x ⊗?H-CO (y ⊗?H-CO z)
  by (unfold hom-completion-def completion-fun2-def completion-def expand-fun-eq,
      auto simp add: hom-def Pi-def m-assoc)
next
  fix x y
  assume x ∈ carrier ?H-CO and y ∈ carrier ?H-CO
  from prems show x ⊗?H-CO y = y ⊗?H-CO x
  by (unfold comm-monoid-axioms-def expand-fun-eq hom-completion-def hom-def
      Pi-def, simp add: m-comm)
next
  fix x
  assume x ∈ carrier ?H-CO
  from prems show 1?H-CO ⊗?H-CO x = x
  by (unfold hom-completion-def completion-fun2-def completion-def expand-fun-eq
      group-axioms-def hom-def Pi-def, auto)
next
  fix x
  assume x ∈ carrier ?H-CO

  from prems and hom-completion-mult-inv-is-hom-completion [of x] show ∃ y ∈ carrier
    ?H-CO. y ⊗?H-CO x = 1?H-CO
    by simp
qed

```

**lemma** (in comm-group) hom-completion-mult-comm-group2:

```

  shows comm-group (| carrier = hom-completion G G, mult = λf. λg. (λx. if x
    ∈ carrier G then f x ⊗ g x else 1), one = (λx. 1)|)
  proof -
    from prems have comm-group (| carrier = hom-completion G G, mult = λf g x.
    if x ∈ carrier G then f x ⊗ g x else 1,
      one = λx. if x ∈ carrier G then 1 else 1) by (intro hom-completion-mult-comm-group)
    then show ?thesis by simp
  qed

```

**lemma** (in comm-group) hom-completion-mult-comm-monoid:

```

  includes comm-group G
  shows comm-monoid (| carrier = hom-completion G G, mult = λf. λg. (λx. if
    x ∈ carrier G then f x ⊗ g x else 1), one = (λx. 1)|)
  proof -
    from prems have comm-group (| carrier = hom-completion G G, mult = λf g x.
    if x ∈ carrier G then f x ⊗ g x else 1,
      one = λx. if x ∈ carrier G then 1 else 1) by (intro hom-completion-mult-comm-group)
  qed

```

```

    then have comm-group (carrier = hom-completion G G, mult =  $\lambda f g x. \text{if } x \in \text{carrier } G \text{ then } f x \otimes g x \text{ else } 1$ ,
      one =  $\lambda x. 1$ ) by simp
    then show ?thesis by (unfold comm-group-def comm-monoid-def, simp)
qed

```

## 2.5 Endomorphisms with suitable operations form a ring

The distributive law is proved first

**lemma** (in comm-group) *r-mult-dist-add*: assumes  $f \in \text{hom-completion } G \ G$  and  $g \in \text{hom-completion } G \ G$  and  $h \in \text{hom-completion } G \ G$

shows  $(\lambda x. \text{if } x \in \text{carrier } G \text{ then } f x \otimes g x \text{ else } 1) \circ h = (\lambda x. \text{if } x \in \text{carrier } G \text{ then } (f \circ h) x \otimes (g \circ h) x \text{ else } 1)$

**proof** (simp add: expand-fun-eq, intro allI impI conjI)

fix  $x$

assume  $x \in \text{carrier } G$  and  $h x \notin \text{carrier } G$

from prems show  $1 = f (h x) \otimes g (h x)$

by (unfold hom-completion-def completion-fun2-def completion-def, auto)

next

fix  $x$

assume  $x \notin \text{carrier } G$  and  $h x \in \text{carrier } G$

show  $f (h x) \otimes g (h x) = 1$

**proof** –

from prems have  $f (h x) = 1$  and  $g (h x) = 1$

apply (unfold hom-completion-def completion-fun2-def completion-def, auto)

apply (intro group-hom.hom-one, unfold group-hom-def group-hom-axioms-def comm-group-def hom-completion-def hom-def Pi-def, auto)+

done

then show ?thesis by simp

qed

qed

**lemma** (in comm-group) *l-mult-dist-add*: assumes  $f \in \text{hom-completion } G \ G$  and  $g \in \text{hom-completion } G \ G$  and  $h \in \text{hom-completion } G \ G$

shows  $h \circ (\lambda x. \text{if } x \in \text{carrier } G \text{ then } f x \otimes g x \text{ else } 1) = (\lambda x. \text{if } x \in \text{carrier } G \text{ then } (h \circ f) x \otimes (h \circ g) x \text{ else } 1)$

**proof** –

from prems show ?thesis

apply (simp add: expand-fun-eq, intro allI impI conjI)

apply (intro hom-mult, unfold hom-completion-def hom-def Pi-def, auto)

apply (intro group-hom.hom-one)

apply (unfold comm-group-def group-hom-def group-hom-axioms-def hom-def Pi-def, simp)

done

qed

Endomorphisms with the previous operations form a ring

**lemma** (in comm-group) *hom-completion-ring*:

**shows** ring ( $|$  carrier = hom-completion  $G$   $G$ , mult = op  $o$ , one = ( $\lambda x$ . if  $x \in$  carrier  $G$  then id  $x$  else  $\mathbf{1}$ ),  
 zero = ( $\lambda x$ . if  $x \in$  carrier  $G$  then  $\mathbf{1}$  else  $\mathbf{1}$ ), add =  $\lambda f$ .  $\lambda g$ . ( $\lambda x$ . if  $x \in$  carrier  $G$  then  $f x \otimes g x$  else  $\mathbf{1}$ ) $|$ )  
**proof** (rule ringI, unfold abelian-group-def abelian-monoid-def abelian-group-axioms-def,  
 simp-all add: hom-completion-mult-comm-monoid hom-completion-mult-comm-group)  
**show** monoid ( $|$  carrier = hom-completion  $G$   $G$ , mult = op  $o$ , one =  $\lambda x$ . if  $x \in$  carrier  $G$  then id  $x$  else  $\mathbf{1}$ , zero =  $\lambda x$ .  $\mathbf{1}$ ,  
 add =  $\lambda f g x$ . if  $x \in$  carrier  $G$  then  $f x \otimes g x$  else  $\mathbf{1}$   $|$ )  
**proof** –  
**from** comm-group.hom-completion-monoid **and** prems  
**have** monoid ( $|$  carrier = hom-completion  $G$   $G$ , mult = op  $o$ , one =  $\lambda x$ . if  $x \in$  carrier  $G$  then id  $x$  else  $\mathbf{1}$ )  
**by** (unfold comm-group-def, auto)  
**then show** ?thesis **by** (unfold monoid-def, simp)  
**qed**  
**next**  
**show** comm-group ( $|$  carrier = hom-completion  $G$   $G$ , mult =  $\lambda f g x$ . if  $x \in$  carrier  $G$  then  $f x \otimes g x$  else  $\mathbf{1}$ , one =  $\lambda x$ .  $\mathbf{1}$ )  
**by** (intro hom-completion-mult-comm-group2)  
**next**  
**show**  $\bigwedge x y z$ .  $\llbracket x \in$  hom-completion  $G$   $G$ ;  $y \in$  hom-completion  $G$   $G$ ;  $z \in$  hom-completion  $G$   $G$   $\rrbracket$   
 $\implies (\lambda xa$ . if  $xa \in$  carrier  $G$  then  $x xa \otimes y xa$  else  $\mathbf{1}$ )  $\circ z = (\lambda xa$ . if  $xa \in$  carrier  $G$  then  $(x \circ z) xa \otimes (y \circ z) xa$  else  $\mathbf{1}$ )  
**by** (erule r-mult-dist-add) (assumption+)  
**next**  
**show**  $\bigwedge x y z$ .  $\llbracket x \in$  hom-completion  $G$   $G$ ;  $y \in$  hom-completion  $G$   $G$ ;  $z \in$  hom-completion  $G$   $G$   $\rrbracket$   
 $\implies z \circ (\lambda xa$ . if  $xa \in$  carrier  $G$  then  $x xa \otimes y xa$  else  $\mathbf{1}$ ) = ( $\lambda xa$ . if  $xa \in$  carrier  $G$  then  $(z \circ x) xa \otimes (z \circ y) xa$  else  $\mathbf{1}$ )  
**by** (erule l-mult-dist-add)(assumption+)  
**qed**

**locale** hom-completion-ring = comm-group  $G$  + ring  $R$  +  
**assumes**  $R = (|$  carrier = hom-completion  $G$   $G$ , mult = op  $o$ ,  
 one = ( $\lambda x$ . if  $x \in$  carrier  $G$  then id  $x$  else  $\mathbf{1}$ ),  
 zero = ( $\lambda x$ . if  $x \in$  carrier  $G$  then one  $G$  else  $\mathbf{1}$ ),  
 add =  $\lambda f$ .  $\lambda g$ . ( $\lambda x$ . if  $x \in$  carrier  $G$  then  $f x \otimes g x$  else  $\mathbf{1}$ ) $|$ )

Some examples where it is shown the usefulness of the previous proofs

**lemma** (in hom-completion-ring) r-dist-minus:  
 $\llbracket f \in$  carrier  $R$ ;  $g \in$  carrier  $R$ ;  $h \in$  carrier  $R$   $\rrbracket$   
 $\implies (f \ominus_2 g) \otimes_2 h = (f \otimes_2 h) \ominus_2 (g \otimes_2 h)$  **by** algebra

**lemma** (in hom-completion-ring) sublemma:  
 $\llbracket f \in$  carrier  $R$ ;  $h \in$  carrier  $R$ ;  $f \otimes_2 h = h$   $\rrbracket \implies (\mathbf{1}_2 \ominus_2 f) \otimes_2 h = \mathbf{0}_2$  **by** algebra

## 2.6 Definition of differential group

According to Section 2.3 in Aransay's memoir, in the following we will be dealing with ungraded algebraic structures.

The Basic Perturbation Lemma is usually stated in terms of differential structures; these include differential groups as well as chain complexes.

Moreover, chain complexes can be defined in terms of differential groups (more concretely, as indexed collections of differential groups).

The proof of the Basic Perturbation Lemma does not include any reference to graded structures or proof obligations derived from the degree information.

Thus, we preferred to state and prove the Basic Perturbation Lemma in terms of ungrades structures (differential and abelian groups), for the sake of simplicity, and avoid implementing and dealing with graded structures (chain complexes and graded groups).

**record** *'a* *diff-group* = *'a* *monoid* +  
*diff* :: *'a*  $\Rightarrow$  *'a* (*differ*<sub>1</sub> 81)

**locale** *diff-group* = *comm-group* *D* +  
**assumes** *diff-hom* : *diff*  $\in$  *hom-completion* *D* *D*  
**and** *diff-nilpot* : *diff*  $\circ$  *diff* = ( $\lambda x.$  **1**)

**lemma** *diff-groupI*:  
**includes** *struct* *D*  
**assumes** *m-closed*:  
 $\llbracket x \in \text{carrier } D; y \in \text{carrier } D \rrbracket \implies x \otimes y \in \text{carrier } D$   
**and** *one-closed*: **1**  $\in$  *carrier* *D*  
**and** *m-assoc*:  
 $\llbracket x \in \text{carrier } D; y \in \text{carrier } D; z \in \text{carrier } D \rrbracket \implies (x \otimes y) \otimes z = x \otimes (y \otimes z)$   
**and** *m-comm*:  
 $\llbracket x \in \text{carrier } D; y \in \text{carrier } D \rrbracket \implies x \otimes y = y \otimes x$   
**and** *l-one*:  $\llbracket x \in \text{carrier } D \rrbracket \implies \mathbf{1} \otimes x = x$   
**and** *l-inv-ex*:  $\llbracket x \in \text{carrier } D \rrbracket \implies \exists y \in \text{carrier } D. y \otimes x = \mathbf{1}$   
**and** *diff-hom*: *diff*  $\in$  *hom-completion* *D* *D*  
**and** *diff-nilpot*:  $\llbracket x. (\text{diff}) ((\text{diff}) x) = \mathbf{1} \rrbracket$   
**shows** *diff-group* *D*  
**using** *prems* **by** (*unfold diff-group-def diff-group-axioms-def comm-group-def group-def group-axioms-def comm-monoid-def*  
*comm-monoid-axioms-def Units-def monoid-def, auto simp add: expand-fun-eq*)

## 2.7 Definition of homomorphisms between differential groups

**locale** *hom-completion-diff* = *diff-group* *C* + *diff-group* *D* + *var* *f* +  
**assumes** *f-hom-completion*: *f*  $\in$  *hom-completion* *C* *D*  
**and** *f-coherent*: *f*  $\circ$  *diff*<sub>1</sub> = *diff*<sub>2</sub>  $\circ$  *f*

```

constdefs (structure  $C$  and  $D$ )
   $hom\_diff :: - \Rightarrow - \Rightarrow ('a \Rightarrow 'b)$  set
   $hom\_diff\ C\ D == \{f. f \in hom\_completion\ C\ D \ \& \ (f \circ (diff_C) = (diff_D) \circ f)\}$ 

lemma  $hom\_diff\_is\_hom\_completion$ : assumes  $h: h \in hom\_diff\ C\ D$ 
shows  $h \in hom\_completion\ C\ D$ 
using  $h$  by (unfold hom\_diff-def, simp)

lemma  $hom\_diff\_closed$ : assumes  $h: h \in hom\_diff\ C\ D$  and  $x: x \in carrier\ C$ 
shows  $h\ x \in carrier\ D$ 
using  $h$  and  $x$  by (unfold hom\_diff-def hom\_completion-def hom-def Pi-def, simp)

lemma  $hom\_diff\_mult$ : assumes  $h: h \in hom\_diff\ C\ D$  and  $x: x \in carrier\ C$  and
 $y: y \in carrier\ C$  shows  $h\ (x \otimes_C y) = h\ (x) \otimes_D h\ (y)$ 
using  $hom\_completion\_mult$  [of  $h\ C\ D\ x\ y$ ] and  $h$  and  $x$  and  $y$  by (unfold
 $hom\_diff\_def, simp$ )

lemma  $hom\_diff\_coherent$ : assumes  $h: h \in hom\_diff\ C\ D$  shows  $h \circ diff_C =$ 
 $diff_D \circ h$ 
using  $h$  by (unfold hom\_diff-def, simp)

lemma (in diff-group)  $hom\_diff\_comp\_closed$ : assumes  $f \in hom\_diff\ D\ D$  and  $g$ 
 $\in hom\_diff\ D\ D$  shows  $g \circ f \in hom\_diff\ D\ D$ 
proof –
  from prems show  $g \circ f \in hom\_diff\ D\ D$ 
  proof (unfold hom\_diff-def, auto)
    from prems show  $g \circ f \in hom\_completion\ D\ D$ 
    by (intro hom\_completion-comp, unfold comm-group-def group-def group-axioms-def
 $hom\_diff\_def, simp-all$ )
    from prems show  $g \circ f \circ diff = diff \circ (g \circ f)$ 
    proof –
      have  $g \circ f \circ diff = g \circ (f \circ diff)$ 
      by (rule sym, rule o-assoc)
      also from prems have  $\dots = g \circ (diff \circ f)$ 
      by (unfold hom\_diff-def, auto)
      also have  $\dots = (g \circ diff) \circ f$ 
      by (rule o-assoc)
      also from prems have  $\dots = (diff \circ g) \circ f$ 
      by (unfold hom\_diff-def, auto)
      also have  $\dots = diff \circ (g \circ f)$ 
      by (rule sym, rule o-assoc)
      finally show ?thesis by simp
    qed
  qed
qed

lemma (in diff-group)  $hom\_diff\_monoid$ :

```

```

shows monoid ( $|carrier = hom\_diff\ D\ D, mult = op\ o, one = (\lambda x. \text{if } x \in carrier\ D \text{ then } id\ x \text{ else } 1)|$ )
(is monoid ?DIFF)
proof (intro monoidI)
  fix  $x\ y$ 
  assume  $x \in carrier\ ?DIFF\ y \in carrier\ ?DIFF$ 
  then show  $x \otimes_{?DIFF} y \in carrier\ ?DIFF$  by simp (rule hom-diff-comp-closed)
next
  show  $1_{?DIFF} \in carrier\ ?DIFF$ 
  proof (simp, unfold hom-diff-def hom-completion-def completion-fun2-def completion-def
hom-def Pi-def diff-group-def, auto)
    from prems show  $(\lambda x. \text{if } x \in carrier\ D \text{ then } id\ x \text{ else } 1) \circ differ = differ \circ$ 
 $(\lambda x. \text{if } x \in carrier\ D \text{ then } id\ x \text{ else } 1)$ 
    apply (unfold diff-group-def diff-group-axioms-def hom-completion-def completion-fun2-def
completion-def hom-def Pi-def)
    apply (auto simp add: expand-fun-eq)
    apply (rule sym, intro group-hom.hom-one, unfold group-hom-def group-hom-axioms-def
comm-group-def hom-def Pi-def, simp)
    done
  qed
next
  fix  $x\ y\ z$ 
  assume  $x \in carrier\ ?DIFF$  and  $y \in carrier\ ?DIFF$  and  $z \in carrier\ ?DIFF$ 
  show  $x \otimes_{?DIFF} y \otimes_{?DIFF} z = x \otimes_{?DIFF} (y \otimes_{?DIFF} z)$  by (simp add: o-assoc)
next
  fix  $x$ 
  assume  $x \in carrier\ ?DIFF$  from prems show  $1_{?DIFF} \otimes_{?DIFF} x = x$ 
  by (unfold hom-diff-def hom-completion-def hom-def Pi-def completion-fun2-def
completion-def, auto simp add: expand-fun-eq)
next
  fix  $x$ 
  assume  $x \in carrier\ ?DIFF$ 
  from prems show  $x \otimes_{?DIFF} 1_{?DIFF} = x$ 
  by (unfold hom-diff-def hom-completion-def hom-def Pi-def completion-fun2-def
completion-def, auto simp add: expand-fun-eq)
  (rule group-hom.hom-one, unfold group-hom-def group-hom-axioms-def diff-group-def
comm-group-def hom-def Pi-def, simp)
qed

```

## 2.8 Completion homomorphisms between differential structures form a commutative group with the underlying operation

**lemma** (*in diff-group*) *hom-diff-mult-closed*: **assumes**  $f \in hom\_diff\ D\ D$  **and**  $g \in hom\_diff\ D\ D$

**shows**  $(\lambda x. \text{if } x \in carrier\ D \text{ then } f\ x \otimes g\ x \text{ else } 1) \in hom\_diff\ D\ D$

**proof** (*unfold hom-diff-def hom-completion-def completion-fun2-def completion-def, simp, intro conjI*)

**show**  $\exists ga. (\lambda x. \text{if } x \in carrier\ D \text{ then } f\ x \otimes g\ x \text{ else } 1) = (\lambda x. \text{if } x \in carrier\ D$



```

then ga x else 1)
  by (rule exI [of -  $\lambda x. f x \otimes g x$ ], simp)
next
  from prems show  $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } f x \otimes g x \text{ else } 1) \in \text{hom } D D$ 
  by (unfold hom-diff-def hom-completion-def hom-def Pi-def, auto simp add:
m-ac)
next
  show  $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } f x \otimes g x \text{ else } 1) \circ \text{differ} = \text{differ} \circ (\lambda x. \text{if } x \in$ 
 $\text{carrier } D \text{ then } f x \otimes g x \text{ else } 1)$ 
  proof (rule ext)
    fix x
    show  $((\lambda x. \text{if } x \in \text{carrier } D \text{ then } f x \otimes g x \text{ else } 1) \circ \text{differ}) x = (\text{differ} \circ (\lambda x. \text{if } x \in$ 
 $\text{carrier } D \text{ then } f x \otimes g x \text{ else } 1)) x$ 
    proof (cases  $x \in \text{carrier } D$ )
      case True
      from prems show ?thesis
      by (unfold diff-group-axioms-def hom-diff-def hom-completion-def completion-fun2-def
diff-group-def completion-def hom-def Pi-def)
      (auto simp add: expand-fun-eq)
    next
      case False
      from prems show ?thesis
      by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def
completion-fun2-def completion-def hom-def Pi-def)
      (auto simp add: expand-fun-eq)
    qed
  qed
qed

lemma (in diff-group) hom-diff-inv-def: assumes  $f \in \text{hom-diff } D D$ 
  shows  $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } \text{inv } f x \text{ else } 1) \in \text{hom-diff } D D$ 
proof (unfold hom-diff-def, auto)
  from prems show  $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } \text{inv } f x \text{ else } 1) \in \text{hom-completion}$ 
 $D D$ 
  by (intro hom-completion-inv-is-hom-completion, unfold hom-diff-def, simp)
next
  from prems show  $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } \text{inv } f x \text{ else } 1) \circ \text{differ} = \text{differ} \circ$ 
 $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } \text{inv } f x \text{ else } 1)$ 
  proof (simp add: expand-fun-eq, intro allI impI conjI)
    fix x
    assume  $x \in \text{carrier } D$ 
    show  $\text{inv } f ((\text{differ}) x) = (\text{differ}) (\text{inv } f x)$ 
    proof -
      have  $(\text{inv } f ((\text{differ}) x) = (\text{differ}) (\text{inv } f x)) = (\text{inv } f ((\text{differ}) x) \otimes f ((\text{differ})$ 
 $x)) = (\text{differ}) (\text{inv } f x) \otimes f ((\text{differ}) x)$ 
      proof (rule sym, rule r-cancel)
        from prems show  $f ((\text{differ}) x) \in \text{carrier } D$ 
        by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def

```

```

hom-def Pi-def, simp)
  next
    from prems show  $\text{inv } f ((\text{differ}) x) \in \text{carrier } D$ 
    by (intro inv-closed)(unfold diff-group-def diff-group-axioms-def hom-diff-def
hom-completion-def hom-def Pi-def, simp)
  next
    from prems show  $(\text{differ}) (\text{inv } f x) \in \text{carrier } D$ 
    by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def
hom-def Pi-def, simp)
  qed
  also have  $\text{inv } f ((\text{differ}) x) \otimes f ((\text{differ}) x) = (\text{differ}) (\text{inv } f x) \otimes f ((\text{differ})$ 
x)
  proof -
    have l-h:  $\text{inv } f ((\text{differ}) x) \otimes f ((\text{differ}) x) = 1$ 
    proof (rule l-inv)
      from prems show  $f ((\text{differ}) x) \in \text{carrier } D$ 
      by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def
hom-def Pi-def, simp)
    qed
    have r-h:  $(\text{differ}) (\text{inv } f x) \otimes f ((\text{differ}) x) = 1$ 
    proof -
      have  $(\text{differ}) (\text{inv } f x) \otimes f ((\text{differ}) x) = (\text{differ}) (\text{inv } f x) \otimes (\text{differ}) (f x)$ 
      proof -
        from prems have  $f ((\text{differ}) x) = (\text{differ}) (f x)$ 
        by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def
hom-def Pi-def, simp add: expand-fun-eq)
        then show ?thesis by simp
      qed
      also have  $\dots = (\text{differ}) (\text{inv } f x \otimes f x)$ 
      proof (rule sym, rule hom-mult)
        from prems show  $\text{differ} \in \text{hom } D D$ 
        by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def,
simp)
      qed
    next
      from prems show  $\text{inv } f x \in \text{carrier } D$ 
      by (intro inv-closed, unfold hom-diff-def hom-completion-def hom-def
Pi-def, simp)
    next
      from prems show  $f x \in \text{carrier } D$ 
      by (unfold hom-diff-def hom-completion-def hom-def Pi-def, simp)
    qed
    also have  $\dots = (\text{differ}) (1)$ 
    proof -
      from prems have  $\text{inv } f x \otimes f x = 1$ 
      by (intro l-inv, unfold hom-diff-def hom-completion-def hom-def Pi-def,
simp)
      then show ?thesis by simp
    qed
    also from prems have  $\dots = 1$ 

```

```

      proof (intro group-hom.hom-one, unfold diff-group-def comm-group-def
group-hom-def group-hom-axioms-def,
      intro conjI, simp-all)
      from prems show differ ∈ hom D D
      by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def,
simp)
      qed
      finally show ?thesis by simp
      qed
      from l-h and r-h show ?thesis by simp
      qed
      finally show ?thesis by simp
      qed
    next

    fix x
    assume x ∈ carrier D and (differ) x ∉ carrier D

    from prems show 1 = (differ) (inv f x)
    by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def
hom-def Pi-def, simp)
    next
    fix x
    assume x ∉ carrier D
    from prems show inv f ((differ) x) = (differ) 1
    proof –
      have l-h: inv f ((differ) x) = 1 thm inv-one
    proof –
      from prems have inv f ((differ) x) = inv f (1)
      by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def
completion-fun2-def completion-def Pi-def,
      auto)
      also have ... = inv 1
    proof –
      from prems have f 1 = 1
      by (intro group-hom.hom-one)
      (unfold diff-group-def comm-group-def group-hom-def group-hom-axioms-def
hom-diff-def hom-completion-def, auto)
      then show ?thesis by simp
      qed
      also have ... = 1
      by (rule inv-one)
      finally show ?thesis by simp
      qed
    from prems have r-h: (differ) 1 = 1
    by (intro group-hom.hom-one)
    (unfold diff-group-def comm-group-def diff-group-axioms-def group-hom-def
group-hom-axioms-def hom-diff-def hom-completion-def,
    auto)

```

```

    from r-h and l-h show ?thesis by simp
  qed
next
  show 1 = (differ) 1
  proof (rule sym, intro group-hom.hom-one)
    from prems show group-hom D D (differ)
    by (unfold diff-group-def comm-group-def
        diff-group-axioms-def group-hom-def group-hom-axioms-def hom-diff-def
        hom-completion-def, auto)
  qed
qed
qed
qed

lemma (in diff-group) hom-diff-inv: assumes f ∈ hom-diff D D
  shows ∃ g ∈ hom-diff D D. (λx. if x ∈ carrier D then g x ⊗ f x else 1) = (λx. 1)
proof (rule bexI [of - (λx. if x ∈ carrier D then inv f x else 1)])
  from prems show (λx. if x ∈ carrier D then (if x ∈ carrier D then inv f x else
  1) ⊗ f x else 1) = (λx. 1)
  by (auto simp add: expand-fun-eq) (rule l-inv, unfold hom-diff-def hom-completion-def
  hom-def Pi-def, simp)
next
  show (λx. if x ∈ carrier D then inv f x else 1) ∈ hom-diff D D by (rule
  hom-diff-inv-def, simp add: prems)
qed

```

## 2.9 Differential homomorphisms form a commutative group with the underlying operation

```

lemma (in diff-group) hom-diff-mult-comm-group:
  shows comm-group (|carrier = hom-diff D D, mult = λf. λg. (λx. if x ∈ carrier
  D then f x ⊗ g x else 1),
  one = (λx. if x ∈ carrier D then 1 else 1)|)
  (is comm-group ?DIFF)
proof (intro comm-groupI)
  fix x y
  assume x ∈ carrier ?DIFF and y ∈ carrier ?DIFF
  then show x ⊗ ?DIFF y ∈ carrier ?DIFF by simp (erule hom-diff-mult-closed,
  assumption)
next
  from prems show 1 ?DIFF ∈ carrier ?DIFF
  proof (simp, unfold hom-diff-def hom-completion-def hom-def Pi-def completion-fun2-def,
  auto)
    show ∃ g. (λx. 1) = completion D D g by (rule exI [of - λx. 1], unfold
    completion-def, simp)
  next
    from prems show (λx. 1) ∘ differ = differ ∘ (λx. 1)
    proof (auto simp add: expand-fun-eq)
      show 1 = (differ) 1
      proof (rule sym, intro group-hom.hom-one)

```

```

      from prems show group-hom D D (differ)
    by (unfold group-hom-def group-hom-axioms-def diff-group-def comm-group-def
diff-group-axioms-def hom-diff-def
      hom-completion-def, simp)
  qed
  qed
  qed
next
  fix x y z
  assume x ∈ carrier ?DIFF and y ∈ carrier ?DIFF and z ∈ carrier ?DIFF
  from prems show x ⊗?DIFF y ⊗?DIFF z = x ⊗?DIFF (y ⊗?DIFF z)
  by (auto simp add: expand-fun-eq) (intro m-assoc, unfold hom-diff-def hom-completion-def,
auto simp add: hom-closed)
next
  fix x y
  assume x ∈ carrier ?DIFF and y ∈ carrier ?DIFF
  from prems show x ⊗?DIFF y = y ⊗?DIFF x
  by (auto simp add: expand-fun-eq) (intro m-comm, unfold hom-diff-def hom-completion-def
hom-def Pi-def, auto)
next
  fix x
  assume x ∈ carrier ?DIFF
  from prems show 1?DIFF ⊗?DIFF x = x
  by (auto simp add: expand-fun-eq)
  (intro l-one, unfold hom-diff-def hom-completion-def completion-fun2-def completion-def
hom-def Pi-def, auto)
next
  fix x
  assume x ∈ carrier ?DIFF

  from prems and hom-diff-inv [of x] show ∃ y ∈ carrier ?DIFF. y ⊗?DIFF x =
1?DIFF by simp
qed

```

The completion homomorphisms between differential groups are a ring with suitable operations

**lemma** (in *diff-group*) *hom-diff-ring*:

**shows** ring (| carrier = hom-diff D D, mult = op o, one = (λx. if x ∈ carrier D then id x else 1),  
zero = (λx. if x ∈ carrier D then 1 else 1), add = λf. λg. (λx. if x ∈ carrier D then f x ⊗ g x else 1)|)  
(is ring ?DIFF)

**proof** (rule ringI, unfold abelian-group-def abelian-group-axioms-def abelian-monoid-def, auto)

**show** monoid (| carrier = hom-diff D D, mult = op o, one = λx. if x ∈ carrier D then id x else 1, zero = λx. 1,  
add = λf g x. if x ∈ carrier D then f x ⊗ g x else 1 |)

**proof** –

**from** *diff-group.hom-diff-monoid* **and** prems **have** monoid (|carrier = hom-diff

```

D D, mult = op ∘,
  one = λx. if x ∈ carrier D then id x else 1)
  by (unfold diff-group-def, auto)
  then show ?thesis by (unfold monoid-def, simp)
qed
next
  show comm-monoid (|carrier = hom-diff D D, mult = λf g x. if x ∈ carrier D
then f x ⊗ g x else 1, one = λx. 1|)
  proof -
    from diff-group.hom-diff-mult-comm-group and prems
    have comm-group (|carrier = hom-diff D D, mult = λf g x. if x ∈ carrier D
then f x ⊗ g x else 1,
      one = λx. if x ∈ carrier D then 1 else 1|)
      by (unfold diff-group-def, auto)
    then show ?thesis by (unfold comm-group-def comm-monoid-def, simp)
  qed
next
  show comm-group (|carrier = hom-diff D D, mult = λf g x. if x ∈ carrier D
then f x ⊗ g x else 1, one = λx. 1|)
  proof -
    from diff-group.hom-diff-mult-comm-group and prems
    have comm-group (|carrier = hom-diff D D, mult = λf g x. if x ∈ carrier D
then f x ⊗ g x else 1,
      one = λx. if x ∈ carrier D then 1 else 1|)
      by (unfold diff-group-def, auto)
    then show ?thesis by simp
  qed
next
  show ∧x y z. [|x ∈ hom-diff D D; y ∈ hom-diff D D; z ∈ hom-diff D D|]
    ⇒ (λxa. if xa ∈ carrier D then x xa ⊗ y xa else 1) ∘ z = (λxa. if xa ∈ carrier
D then (x ∘ z) xa ⊗ (y ∘ z) xa else 1)
  proof -
    fix x y z
    assume x ∈ hom-diff D D and y ∈ hom-diff D D and z ∈ hom-diff D D
    from prems
    show (λxa. if xa ∈ carrier D then x xa ⊗ y xa else 1) ∘ z = (λxa. if xa ∈
carrier D then (x ∘ z) xa ⊗ (y ∘ z) xa else 1)
      by (intro r-mult-dist-add) (unfold hom-diff-def, simp-all)
  qed
next
  show ∧x y z. [|x ∈ hom-diff D D; y ∈ hom-diff D D; z ∈ hom-diff D D|]
    ⇒ z ∘ (λxa. if xa ∈ carrier D then x xa ⊗ y xa else 1) = (λxa. if xa ∈ carrier
D then (z ∘ x) xa ⊗ (z ∘ y) xa else 1)
  by (intro l-mult-dist-add) (unfold hom-diff-def, simp-all)
qed (simp-all add: hom-diff-def)

end

```

```

theory HomGroupsCompletion
  imports
    HomGroupCompletion
  begin

```

## 2.10 Homomorphisms seen as algebraic structures

Homomorphisms with the underlying operation are closed

**lemma** *hom-mult-completion-is-hom*:

```

  includes comm-group  $G$  + comm-group  $G'$ 
  shows  $[[f : \text{hom } G \ G'; g : \text{hom } G \ G']] ==> (\%x. \text{if } x \in \text{carrier } G \text{ then } f\ x \otimes_2$ 
 $g\ x \text{ else } 1_2) : \text{hom } G \ G'$ 
  apply (unfold hom-def, simp add: prems, auto simp add: Pi-def m-closed)
  apply (simp add: m-ac hom-completion-closed)
  done

```

**lemma** *hom-completion-mult-is-hom-completion*:

```

  includes comm-group  $G$  + comm-group  $G'$ 
  assumes  $f \in \text{hom-completion } G \ G'$  and  $g \in \text{hom-completion } G \ G'$ 
  shows  $(\lambda x. \text{if } x \in \text{carrier } G \text{ then } f\ x \otimes_{G'} g\ x \text{ else } 1_{G'}) \in \text{hom-completion } G \ G'$ 
proof (unfold hom-completion-def completion-fun2-def completion-def, simp, intro
conjI)
  show  $\exists ga. (\lambda x. \text{if } x \in \text{carrier } G \text{ then } f\ x \otimes_{G'} g\ x \text{ else } 1_{G'}) = (\lambda x. \text{if } x \in \text{carrier}$ 
 $G \text{ then } ga\ x \text{ else } 1_{G'})$ 
  by (rule exI [of -  $(\lambda x. f\ x \otimes_{G'} g\ x)$ ])(simp)
next
  from prems show  $(\lambda x. \text{if } x \in \text{carrier } G \text{ then } f\ x \otimes_{G'} g\ x \text{ else } 1_{G'}) \in \text{hom } G \ G'$ 
  by (intro hom-mult-completion-is-hom, unfold comm-group-def hom-completion-def)(simp-all)
qed

```

Proof of the existence of an inverse homomorphism

**lemma** *hom-completions-mult-inv-is-hom-completion*:

```

  includes comm-group  $G$  + comm-group  $G'$ 
  assumes  $f \in \text{hom-completion } G \ G'$ 
  shows  $\exists g \in \text{hom-completion } G \ G'. (\lambda x. \text{if } x \in \text{carrier } G \text{ then } g\ x \otimes_{G'} f\ x \text{ else}$ 
 $1_{G'}) = (\lambda x. 1_{G'})$ 
proof (intro exI [of -  $(\lambda x. \text{if } x \in \text{carrier } G \text{ then } \text{inv}_{G'}(f\ x) \text{ else } 1_{G'})$ ])
  show  $(\lambda g. \text{if } g \in \text{carrier } G \text{ then } \text{inv}_{G'} f\ g \text{ else } 1_{G'}) \in \text{hom-completion } G \ G'$ 
  proof (unfold hom-completion-def completion-fun2-def completion-def, simp, intro
conjI)
  show  $\exists g. (\lambda g. \text{if } g \in \text{carrier } G \text{ then } \text{inv}_{G'} f\ g \text{ else } 1_{G'}) = (\lambda x. \text{if } x \in \text{carrier}$ 
 $G \text{ then } g\ x \text{ else } 1_{G'})$ 
  by (rule exI [of -  $\lambda x. \text{inv}_{G'}(f\ x)$ ], simp)
next
  show  $(\lambda x. \text{if } x \in \text{carrier } G \text{ then } \text{inv}_{G'} f\ x \text{ else } 1_{G'}) \in \text{hom } G \ G'$ 
  proof (unfold hom-def, simp, intro conjI)
  from prems show  $(\lambda x. \text{if } x \in \text{carrier } G \text{ then } \text{inv}_{G'} f\ x \text{ else } 1_{G'}) \in \text{carrier } G$ 
 $\rightarrow \text{carrier } G'$ 

```

```

      by (unfold Pi-def hom-completion-def completion-fun2-def completion-def
hom-def Pi-def, auto)
    next
      show  $\forall x \in \text{carrier } G. \forall y \in \text{carrier } G. \text{inv}_{G'} f (x \otimes y) = \text{inv}_{G'} f x \otimes_{G'} \text{inv}_{G'} f y$ 
    proof (intro ballI)
      fix x y
      assume  $x \in \text{carrier } G$  and  $y \in \text{carrier } G$ 
      show  $\text{inv}_{G'} f (x \otimes y) = \text{inv}_{G'} f x \otimes_{G'} \text{inv}_{G'} f y$ 
      proof -
        from prems have  $f (x \otimes y) = f x \otimes_{G'} f y$  by (intro hom-mult, unfold
hom-completion-def, simp-all)
        then have  $\text{inv}_{G'} f (x \otimes y) = \text{inv}_{G'} (f x \otimes_{G'} f y)$  by simp
        also from prems have  $\dots = \text{inv}_{G'} (f y) \otimes_{G'} \text{inv}_{G'} (f x)$ 
        by (intro inv-mult-group) (unfold hom-completion-def hom-def Pi-def,
simp-all)
        also from prems have  $\dots = \text{inv}_{G'} (f x) \otimes_{G'} \text{inv}_{G'} (f y)$ 
        by (intro m-comm)(unfold hom-completion-def hom-def Pi-def, simp-all)
        finally show ?thesis by simp
      qed
    qed
  qed
next
  from prems
  show  $(\lambda x. \text{if } x \in \text{carrier } G \text{ then } (\text{if } x \in \text{carrier } G \text{ then } \text{inv}_{G'} f x \text{ else } \mathbf{1}_{G'}) \otimes_{G'} f x \text{ else } \mathbf{1}_{G'}) = (\lambda x. \mathbf{1}_{G'})$ 
  by (unfold hom-completion-def hom-def Pi-def, auto simp add: expand-fun-eq)
qed

```

## 2.11 Completion homomorphisms between two algebraic structures form a commutative group

```

lemma hom-completion-groups-mult-comm-group:
  includes comm-group G + comm-group G'
  shows comm-group (| carrier = hom-completion G G', mult =  $\lambda f. \lambda g. (\lambda x. \text{if } x \in \text{carrier } G \text{ then } f x \otimes_2 g x \text{ else } \mathbf{1}_2),$ 
    one =  $(\lambda x. \text{if } x \in \text{carrier } G \text{ then } \mathbf{1}_2 \text{ else } \mathbf{1}_2)|)$ 
  (is comm-group ?H-CO)
proof (intro comm-groupI)
  fix f g
  assume  $f \in \text{carrier } ?H-CO$  and  $g \in \text{carrier } ?H-CO$ 
  from prems show  $f \otimes_{?H-CO} g \in \text{carrier } ?H-CO$ 
  by simp (intro hom-completion-mult-is-hom-completion, unfold comm-group-def,
simp-all)
next
  show  $\mathbf{1}_{?H-CO} \in \text{carrier } ?H-CO$ 
  proof (unfold hom-completion-def completion-fun2-def completion-def, auto)
    show  $\exists g. (\lambda x. \mathbf{1}_{G'}) = (\lambda x. \text{if } x \in \text{carrier } G \text{ then } g x \text{ else } \mathbf{1}_{G'})$  by (intro exI

```



```

[of -  $\lambda x. \mathbf{1}_{G'}$ ], simp)
next
  show  $(\lambda x. \mathbf{1}_{G'}) \in \text{hom } G \ G'$  by (unfold hom-def Pi-def, simp)
qed
next
  fix  $x \ y \ z$ 
  assume  $x \in \text{carrier } ?H\text{-}CO$  and  $y \in \text{carrier } ?H\text{-}CO$  and  $z \in \text{carrier } ?H\text{-}CO$ 
  from prems show  $x \otimes_{?H\text{-}CO} y \otimes_{?H\text{-}CO} z = x \otimes_{?H\text{-}CO} (y \otimes_{?H\text{-}CO} z)$ 
  by (auto simp add: expand-fun-eq hom-completion-def hom-def Pi-def) (rule
m-assoc, simp-all)
next
  fix  $x \ y$ 
  assume  $x \in \text{carrier } ?H\text{-}CO$  and  $y \in \text{carrier } ?H\text{-}CO$ 
  from prems show  $x \otimes_{?H\text{-}CO} y = y \otimes_{?H\text{-}CO} x$ 
  by (auto simp add: expand-fun-eq hom-completion-def hom-def Pi-def) (rule
m-comm, simp-all)
next
  fix  $x$ 
  assume  $x \in \text{carrier } ?H\text{-}CO$ 
  from prems show  $\mathbf{1}_{?H\text{-}CO} \otimes_{?H\text{-}CO} x = x$ 
  by (auto simp add: expand-fun-eq hom-completion-def completion-fun2-def
completion-def hom-def Pi-def)
next
  fix  $x$ 
  assume  $x \in \text{carrier } ?H\text{-}CO$ 
  from prems and hom-completions-mult-inv-is-hom-completion [of  $G \ G' \ x$ ] show
 $\exists y \in \text{carrier } ?H\text{-}CO. y \otimes_{?H\text{-}CO} x = \mathbf{1}_{?H\text{-}CO}$ 
  by (unfold comm-group-def, simp)
qed

```

## 2.12 Previous facts about homomorphisms of differential structures

```

lemma hom-diff-mult-is-hom-diff:
  includes diff-group  $D + \text{diff-group } D'$ 
  assumes  $f \in \text{hom-diff } D \ D'$  and  $g \in \text{hom-diff } D \ D'$ 
  shows  $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } f \ x \otimes_{D'} g \ x \text{ else } \mathbf{1}_{D'}) \in \text{hom-diff } D \ D'$ 
proof (unfold hom-diff-def hom-completion-def completion-fun2-def completion-def,
simp, intro conjI)
  show  $\exists ga. (\lambda x. \text{if } x \in \text{carrier } D \text{ then } f \ x \otimes_{D'} g \ x \text{ else } \mathbf{1}_{D'}) = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } ga \ x \text{ else } \mathbf{1}_{D'})$ 
  by (rule exI [of - ( $\lambda x. f \ x \otimes_{D'} g \ x$ )](simp))
next
  from prems show  $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } f \ x \otimes_{D'} g \ x \text{ else } \mathbf{1}_{D'}) \in \text{hom } D \ D'$ 
  by (unfold hom-diff-def hom-completion-def hom-def Pi-def, auto simp add:
m-ac)
next
  show  $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } f \ x \otimes_{D'} g \ x \text{ else } \mathbf{1}_{D'}) \circ \text{differ} = \text{differ}_{D'} \circ (\lambda x. \text{if } x \in \text{carrier } D \text{ then } f \ x \otimes_{D'} g \ x \text{ else } \mathbf{1}_{D'})$ 

```

```

proof (rule ext)
  fix x
  show (( $\lambda x$ . if  $x \in \text{carrier } D$  then  $f\ x \otimes_{D'} g\ x$  else  $\mathbf{1}_{D'}$ )  $\circ$  differ)  $x = (\text{differ}_{D'}$ 
 $\circ (\lambda x$ . if  $x \in \text{carrier } D$  then  $f\ x \otimes_{D'} g\ x$  else  $\mathbf{1}_{D'}$ ))  $x$ 
  proof (cases  $x \in \text{carrier } D$ )
    case True
      from prems show ?thesis
      by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def
        completion-fun2-def completion-def hom-def Pi-def) (auto simp add:
        expand-fun-eq)
    next
      case False
      then show ?thesis
      proof (auto simp add: expand-fun-eq)
        show  $f\ ((\text{differ})\ x) \otimes_{D'} g\ ((\text{differ})\ x) = (\text{differ}_{D'})\ \mathbf{1}_{D'}$ 
        proof -
          from prems have l-h-s-1:  $f\ ((\text{differ})\ x) = \mathbf{1}_{D'}$ 
          by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def
            completion-fun2-def completion-def,
            auto) (intro group-hom.hom-one, unfold comm-group-def group-hom-def
            group-hom-axioms-def hom-def Pi-def, simp)
          moreover from prems have l-h-s-2:  $g\ ((\text{differ})\ x) = \mathbf{1}_{D'}$ 
          by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def
            completion-fun2-def completion-def,
            auto) (intro group-hom.hom-one, unfold comm-group-def group-hom-def
            group-hom-axioms-def hom-def Pi-def, simp)
          moreover from prems have r-h-s:  $(\text{differ}_{D'})\ \mathbf{1}_{D'} = \mathbf{1}_{D'}$ 
          by (intro group-hom.hom-one)(unfold diff-group-def comm-group-def
            group-hom-def group-hom-axioms-def diff-group-axioms-def
            hom-diff-def hom-completion-def, simp)
          ultimately show ?thesis by simp
        qed
      next
        show  $\mathbf{1}_{D'} = (\text{differ}_{D'})\ \mathbf{1}_{D'}$ 
        proof (rule sym, intro group-hom.hom-one)
          from prems show group-hom  $D'\ D'$   $(\text{differ}_{D'})$ 
          by (unfold diff-group-def comm-group-def group-hom-def group-hom-axioms-def
            diff-group-axioms-def hom-diff-def
            hom-completion-def, simp)
        qed
      qed
    qed
  qed
lemma hom-diff-mult-inv-is-hom-diff:
  includes diff-group  $D + \text{diff-group } D'$ 
  assumes  $f \in \text{hom-diff } D\ D'$ 

```

```

shows  $\exists g \in \text{hom-diff } D \ D'. (\lambda x. \text{ if } x \in \text{carrier } D \text{ then } g \ x \otimes_{D'} f \ x \text{ else } \mathbf{1}_{D'}) =$ 
 $(\lambda x. \mathbf{1}_{D'})$ 
proof (intro beXI [of -  $(\lambda x. \text{ if } x \in \text{carrier } D \text{ then } \text{inv}_{D'} (f \ x) \text{ else } \mathbf{1}_{D'})$ ])
  show  $(\lambda g. \text{ if } g \in \text{carrier } D \text{ then } \text{inv}_{D'} f \ g \text{ else } \mathbf{1}_{D'}) \in \text{hom-diff } D \ D'$ 
  proof (unfold hom-diff-def hom-completion-def completion-fun2-def completion-def,
simp, intro conjI)
    show  $\exists g. (\lambda g. \text{ if } g \in \text{carrier } D \text{ then } \text{inv}_{D'} f \ g \text{ else } \mathbf{1}_{D'}) = (\lambda x. \text{ if } x \in \text{carrier}$ 
 $D \text{ then } g \ x \text{ else } \mathbf{1}_{D'})$ 
    by (rule exI [of -  $\lambda x. \text{inv}_{D'} (f \ x)$ ], simp)
  next
    show  $(\lambda x. \text{ if } x \in \text{carrier } D \text{ then } \text{inv}_{D'} f \ x \text{ else } \mathbf{1}_{D'}) \in \text{hom } D \ D'$ 
    proof (unfold hom-def, simp, intro conjI)
      from prems show  $(\lambda x. \text{ if } x \in \text{carrier } D \text{ then } \text{inv}_{D'} f \ x \text{ else } \mathbf{1}_{D'}) \in \text{carrier } D$ 
 $\rightarrow \text{carrier } D'$ 
      by (unfold hom-diff-def hom-completion-def completion-fun2-def completion-def
hom-def Pi-def, auto)
    next
      show  $\forall x \in \text{carrier } D. \forall y \in \text{carrier } D. \text{inv}_{D'} f \ (x \otimes y) = \text{inv}_{D'} f \ x \otimes_{D'} \text{inv}_{D'} f \ y$ 
proof (intro ballI)
  fix  $x \ y$ 
  assume  $x \in \text{carrier } D \text{ and } y \in \text{carrier } D$ 
  show  $\text{inv}_{D'} f \ (x \otimes y) = \text{inv}_{D'} f \ x \otimes_{D'} \text{inv}_{D'} f \ y$ 
  proof -
    from prems have  $f \ (x \otimes y) = f \ x \otimes_{D'} f \ y$  by (intro hom-mult, unfold
hom-diff-def hom-completion-def, simp-all)
    then have  $\text{inv}_{D'} f \ (x \otimes y) = \text{inv}_{D'} (f \ x \otimes_{D'} f \ y)$  by simp
    also from prems have  $\dots = \text{inv}_{D'} (f \ y) \otimes_{D'} \text{inv}_{D'} (f \ x)$ 
    by (intro inv-mult-group) (unfold hom-diff-def hom-completion-def hom-def
Pi-def, simp-all)
    also from prems have  $\dots = \text{inv}_{D'} (f \ x) \otimes_{D'} \text{inv}_{D'} (f \ y)$ 
    by (intro m-comm) (unfold hom-diff-def hom-completion-def hom-def
Pi-def, simp-all)
    finally show ?thesis by simp
  qed
qed
qed
next
  show  $(\lambda g. \text{ if } g \in \text{carrier } D \text{ then } \text{inv}_{D'} f \ g \text{ else } \mathbf{1}_{D'}) \circ \text{differ} = \text{differ}_{D'} \circ (\lambda g.$ 
 $\text{ if } g \in \text{carrier } D \text{ then } \text{inv}_{D'} f \ g \text{ else } \mathbf{1}_{D'})$ 
  proof (simp add: expand-fun-eq, intro allI impI conjI)
    fix  $x$ 
    assume  $x \in \text{carrier } D$ 
    show  $\text{inv}_{D'} f \ ((\text{differ}) \ x) = (\text{differ}_{D'}) \ (\text{inv}_{D'} f \ x)$ 
    proof -
      have  $(\text{inv}_{D'} f \ ((\text{differ}) \ x) = (\text{differ}_{D'}) \ (\text{inv}_{D'} f \ x)) = (\text{inv}_{D'} f \ ((\text{differ})$ 
 $x) \otimes_{D'} f \ ((\text{differ}) \ x) = (\text{differ}_{D'}) \ (\text{inv}_{D'} f \ x)$ 
 $\otimes_{D'} f \ ((\text{differ}) \ x))$ 

```

```

proof (rule sym, rule r-cancel)
  from prems show  $f ((\text{differ}) x) \in \text{carrier } D'$ 
  by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def
hom-def Pi-def, simp)
next
  from prems show  $\text{inv}_{D'} f ((\text{differ}) x) \in \text{carrier } D'$ 
  by (intro inv-closed)(unfold diff-group-def diff-group-axioms-def hom-diff-def
hom-completion-def hom-def Pi-def, simp)
next
  from prems show  $(\text{differ}_{D'}) (\text{inv}_{D'} f x) \in \text{carrier } D'$ 
  by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def
hom-def Pi-def, simp)
qed
also have  $(\text{inv}_{D'} f ((\text{differ}) x) \otimes_{D'} f ((\text{differ}) x) = (\text{differ}_{D'}) (\text{inv}_{D'} f x)$ 
 $\otimes_{D'} f ((\text{differ}) x))$ 
proof -
  have  $l\text{-}h: \text{inv}_{D'} f ((\text{differ}) x) \otimes_{D'} f ((\text{differ}) x) = \mathbf{1}_{D'}$ 
  proof (rule l-inv)
    from prems show  $f ((\text{differ}) x) \in \text{carrier } D'$ 
    by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def
hom-def Pi-def, simp)
  qed
  moreover have  $r\text{-}h: (\text{differ}_{D'}) (\text{inv}_{D'} f x) \otimes_{D'} f ((\text{differ}) x) = \mathbf{1}_{D'}$ 
  proof -
    have  $(\text{differ}_{D'}) (\text{inv}_{D'} f x) \otimes_{D'} f ((\text{differ}) x) = (\text{differ}_{D'}) (\text{inv}_{D'} f x)$ 
 $\otimes_{D'} (\text{differ}_{D'}) (f x)$ 
    proof -
      from prems have  $f ((\text{differ}) x) = (\text{differ}_{D'}) (f x)$ 
      by (unfold diff-group-axioms-def hom-diff-def hom-completion-def
hom-def Pi-def, simp add: expand-fun-eq)
      then show ?thesis by simp
    qed
    also have  $\dots = (\text{differ}_{D'}) (\text{inv}_{D'} f x \otimes_{D'} f x)$ 
    proof (rule sym, rule hom-mult)
      from prems show  $\text{differ}_{D'} \in \text{hom } D' D'$ 
      by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def,
simp)
    next
      from prems show  $\text{inv}_{D'} f x \in \text{carrier } D'$ 
      by (intro inv-closed, unfold hom-diff-def hom-completion-def hom-def
Pi-def, simp)
    next
      from prems show  $f x \in \text{carrier } D'$ 
      by (unfold hom-diff-def hom-completion-def hom-def Pi-def, simp)
    qed
    also have  $\dots = (\text{differ}_{D'}) (\mathbf{1}_{D'})$ 
    proof -
      from prems have  $\text{inv}_{D'} f x \otimes_{D'} f x = \mathbf{1}_{D'}$ 
      by (intro l-inv, unfold hom-diff-def hom-completion-def hom-def Pi-def,

```

```

simp)
  then show ?thesis by simp
qed
also from prems have ... = 1D'
proof (intro group-hom.hom-one, unfold diff-group-def comm-group-def
group-hom-def group-hom-axioms-def, intro conjI, simp-all)
  from prems show differD' ∈ hom D' D'
  by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def,
simp)
qed
finally show ?thesis by simp
qed
ultimately show ?thesis by simp
qed
finally show ?thesis by simp
qed
next

fix x
assume x ∈ carrier D and (differ) x ∉ carrier D

from prems show 1D' = (differD') (invD' f x)
by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def
hom-def Pi-def, simp)
next
fix x
assume x ∉ carrier D
from prems show invD' f ((differ) x) = (differD') 1D'
proof -
  have l-h: invD' f ((differ) x) = 1D'
  proof -
    from prems have invD' f ((differ) x) = invD' f (1)
    by (unfold diff-group-def diff-group-axioms-def hom-diff-def hom-completion-def
completion-fun2-def completion-def Pi-def, auto)
    also have ... = invD' 1D'
    proof -
      from prems have f 1 = 1D'
      by (intro group-hom.hom-one) (unfold diff-group-def comm-group-def
group-hom-def group-hom-axioms-def hom-diff-def hom-completion-def, auto)
      then show ?thesis by simp
    qed
    also have ... = 1D'
    by (rule inv-one)
  finally show ?thesis by simp
qed
moreover from prems have r-h: (differD') 1D' = 1D'
by (intro group-hom.hom-one)
(unfold diff-group-def diff-group-axioms-def comm-group-def group-hom-def
group-hom-axioms-def hom-diff-def hom-completion-def, auto)

```

```

      ultimately show ?thesis by simp
    qed
  next
    show  $\mathbf{1}_{D'} = (\text{differ } D') \mathbf{1}_{D'}$ 
    proof (rule sym, intro group-hom.hom-one)
      from prems show group-hom  $D' D'$  ( $\text{differ } D'$ )
      by (unfold diff-group-def comm-group-def diff-group-axioms-def group-hom-def
        group-hom-axioms-def hom-diff-def hom-completion-def, auto)
    qed
  qed
next
  from prems show  $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } (\text{if } x \in \text{carrier } D \text{ then } \text{inv } D' f x \text{ else } \mathbf{1}_{D'}) \otimes_{D'} f x \text{ else } \mathbf{1}_{D'}) = (\lambda x. \mathbf{1}_{D'})$ 
  by (unfold hom-diff-def hom-completion-def hom-def Pi-def, auto simp add:
    expand-fun-eq)
qed

```

The set of completion differential homomorphisms between two differential groups are a commutative group

**lemma** *hom-diff-groups-mult-comm-group*:

```

  includes diff-group  $D + \text{diff-group } D'$ 
  shows comm-group ( $| \text{carrier} = \text{hom-diff } D D', \text{mult} = \lambda f. \lambda g. (\lambda x. \text{if } x \in \text{carrier } D \text{ then } f x \otimes_2 g x \text{ else } \mathbf{1}_2),$ 
     $\text{one} = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } \mathbf{1}_2 \text{ else } \mathbf{1}_2)|$ )
  (is comm-group ?H-DI)
proof (intro comm-groupI)
  fix f g
  assume  $f \in \text{carrier } ?H-DI$  and  $g \in \text{carrier } ?H-DI$ 
  from prems and hom-diff-mult-is-hom-diff [of  $D D' f g$ ] show  $f \otimes_{?H-DI} g \in \text{carrier } ?H-DI$ 
  by (unfold diff-group-def, simp)
next
  show  $\mathbf{1}_{?H-DI} \in \text{carrier } ?H-DI$ 
  proof (unfold hom-diff-def hom-completion-def completion-fun2-def completion-def,
    auto)
    show  $\exists g. (\lambda x. \mathbf{1}_{D'}) = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } g x \text{ else } \mathbf{1}_{D'})$ 
    by (intro exI [of  $\lambda x. \mathbf{1}_{D'}$ ], simp)
  next
    show  $(\lambda x. \mathbf{1}_{D'}) \in \text{hom } D D'$ 
    by (unfold hom-def Pi-def, simp)
  next
    from prems show  $(\lambda x. \mathbf{1}_{D'}) \circ \text{differ} = \text{differ } D' \circ (\lambda x. \mathbf{1}_{D'})$ 
    by (auto simp add: expand-fun-eq) (rule sym, intro group-hom.hom-one,
      unfold diff-group-def comm-group-def group-hom-def group-hom-axioms-def
      diff-group-axioms-def hom-diff-def hom-completion-def, simp)
  qed
next
  fix x y z

```

```

assume  $x \in \text{carrier } ?H\text{-}DI$  and  $y \in \text{carrier } ?H\text{-}DI$  and  $z \in \text{carrier } ?H\text{-}DI$ 
from prems show  $x \otimes_{?H\text{-}DI} y \otimes_{?H\text{-}DI} z = x \otimes_{?H\text{-}DI} (y \otimes_{?H\text{-}DI} z)$ 
by (auto simp add: expand-fun-eq hom-diff-def hom-completion-def hom-def
Pi-def) (rule m-assoc, simp-all)
next
fix  $x\ y$ 
assume  $x \in \text{carrier } ?H\text{-}DI$  and  $y \in \text{carrier } ?H\text{-}DI$ 
from prems show  $x \otimes_{?H\text{-}DI} y = y \otimes_{?H\text{-}DI} x$ 
by (auto simp add: expand-fun-eq hom-diff-def hom-completion-def hom-def
Pi-def) (rule m-comm, simp-all)
next
fix  $x$ 
assume  $x \in \text{carrier } ?H\text{-}DI$ 
from prems show  $\mathbf{1}_{?H\text{-}DI} \otimes_{?H\text{-}DI} x = x$ 
by (auto simp add: expand-fun-eq hom-diff-def hom-completion-def completion-fun2-def
completion-def hom-def Pi-def)
next
fix  $x$ 
assume  $x \in \text{carrier } ?H\text{-}DI$ 

from prems and hom-diff-mult-inv-is-hom-diff [of D D' x] show  $\exists y \in \text{carrier } ?H\text{-}DI. y \otimes_{?H\text{-}DI} x = \mathbf{1}_{?H\text{-}DI}$ 
by (unfold diff-group-def, simp)
qed

```

The following result has been already proved in *comm-group* ( $\text{carrier} = \text{hom-diff } D\ D, \text{mult} = \lambda f\ g\ x. \text{if } x \in \text{carrier } D \text{ then } f\ x \otimes g\ x \text{ else } \mathbf{1}, \text{one} = \lambda x. \text{if } x \in \text{carrier } D \text{ then } \mathbf{1} \text{ else } \mathbf{1}$ ); now that we have provided a proof of a similar result but for two different differential groups,  $D$  and  $D'$ , it can be trivially proved for the case  $D = D'$

```

lemma (in diff-group) hom-diff-group-mult-comm-group-inst:
shows comm-group ( $\text{carrier} = \text{hom-diff } D\ D, \text{mult} = \lambda f. \lambda g. (\lambda x. \text{if } x \in \text{carrier } D \text{ then } f\ x \otimes g\ x \text{ else } \mathbf{1}),$ 
 $\text{one} = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } \mathbf{1} \text{ else } \mathbf{1})$ )
using prems hom-diff-groups-mult-comm-group [of D D] by simp

```

**end**

### 3 Previous definitions and Propositions 2.2.9, 2.2.10 and Lemma 2.2.11 in Aransay's memoir

```

theory lemma-2-2-11
imports
   $\sim\sim\text{/src/HOL/Algebra/Coset}$ 
  HomGroupsCompletion
begin

```

Definitions and results leading to prove that the *ker* and *image* sets of a

given homomorphism are subgroups and give place to suitable algebraic structures

**locale** *comm-group-hom* = *group-hom* +  
**assumes** *comm-group-G*: *comm-group* *G*  
**and** *comm-group-H*: *comm-group* *H*  
**and** *hom-completion-h*:  $h \in \text{completion-fun2 } G \ H$

**lemma** *comm-group-hom* [intro]: **assumes** *G*: *comm-group* *G* **and** *H*: *comm-group* *H* **and** *h*:  $h \in \text{hom-completion } G \ H$   
**shows** *comm-group-hom* *G* *H* *h*  
**using** *G* *H* *h*  
**by** (*unfold comm-group-hom-def comm-group-hom-axioms-def group-hom-def group-hom-axioms-def hom-completion-def comm-group-def*, *simp*)

**lemma** (**in** *comm-group-hom*) *subgroup-kernel*: *subgroup* (*kernel* *G* *H* *h*) *G*  
**by** (*rule subgroup.intro*) (*auto simp add: kernel-def*)

**lemma** (**in** *comm-group-hom*) *kernel-comm-group*: *comm-group* ( $\mid \text{carrier} = (\text{kernel } G \ H \ h), \text{mult} = \text{mult } G, \text{one} = \text{one } G$ )  
**using** *prems*  
**apply** (*intro comm-groupI*)  
**apply** (*unfold comm-group-hom-def comm-group-hom-axioms-def comm-group-def comm-monoid-axioms-def kernel-def*, *auto simp add: G.m-assoc*)  
**apply** (*unfold comm-monoid-def comm-monoid-axioms-def*, *simp*)  
**done**

**locale** *diff-group-hom-diff* = *comm-group-hom* *D* *C* *h* +  
**assumes** *diff-group-axioms-D*: *diff-group-axioms* *D*  
**and** *diff-group-axioms-C*: *diff-group-axioms* *C*  
**and** *diff-hom-h*:  $h \circ \text{differ}_D = \text{differ}_C \circ h$

**lemma** *diff-group-hom-diffI*: **assumes** *d-g-D*: *diff-group* *D* **and** *d-g-C*: *diff-group* *C* **and** *h-hom*:  $h \in \text{hom-diff } D \ C$   
**shows** *diff-group-hom-diff* *D* *C* *h*  
**using** *d-g-D* **and** *d-g-C* **and** *h-hom*  
**by** (*unfold diff-group-hom-diff-def diff-group-hom-diff-axioms-def comm-group-hom-def comm-group-hom-axioms-def diff-group-def*  
*hom-diff-def hom-completion-def group-hom-def group-hom-axioms-def comm-group-def*,  
*simp*)

**lemma** (**in** *diff-group-hom-diff*) *diff-group-D*: **shows** *diff-group* *D*  
**using** *prems* **by** (*unfold diff-group-def diff-group-hom-diff-def diff-group-hom-diff-axioms-def*  
*comm-group-hom-def comm-group-hom-axioms-def group-hom-def group-hom-axioms-def*  
*comm-group-def*) (*simp*)

**lemma** (**in** *diff-group-hom-diff*) *diff-group-C*: **shows** *diff-group* *C*  
**using** *prems* **by** (*unfold diff-group-def diff-group-hom-diff-def diff-group-hom-diff-axioms-def*



*comm-group-hom-def comm-group-hom-axioms-def group-hom-def group-hom-axioms-def  
comm-group-def*) (*simp*)

**lemma** (*in diff-group-hom-diff*) *hom-diff-h*: **shows**  $h \in \text{hom-diff } D \ C$   
**using** *prems* **by** (*unfold diff-group-def diff-group-hom-diff-def diff-group-hom-diff-axioms-def  
comm-group-hom-def comm-group-hom-axioms-def group-hom-def group-hom-axioms-def  
hom-diff-def hom-completion-def*) *simp*

**lemma** (*in diff-group-hom-diff*) *group-hom-D-D-differ*: **shows** *group-hom*  $D \ D$   
(*differ D*)  
**using** *prems* **by** (*unfold group-hom-def group-hom-axioms-def diff-group-hom-diff-def  
diff-group-hom-diff-axioms-def comm-group-hom-def diff-group-axioms-def [of  
D] hom-completion-def*) *simp*

**lemma** (*in diff-group-hom-diff*) *group-hom-C-C-differ*: **shows** *group-hom*  $C \ C$   
(*differ C*)  
**using** *prems* **by** (*unfold group-hom-def group-hom-axioms-def diff-group-hom-diff-def  
diff-group-hom-diff-axioms-def comm-group-hom-def diff-group-axioms-def [of  
C] hom-completion-def*) *simp*

**lemma** (*in diff-group-hom-diff*) *subgroup-kernel*: *subgroup* (*kernel*  $D \ C \ h$ )  $D$   
**by** (*rule subgroup.intro*) (*auto simp add: kernel-def*)

The following lemma corresponds to Proposition 2.2.9 in Aransay's thesis

Due to the use of completion functions for the differential, we need to define the *diff* function, which originally was a completion from  $D$  into  $D$ , as a completion from the kernel into the original differential group  $D$

**lemma** (*in diff-group-hom-diff*) *kernel-diff-group*:  
*diff-group* ( $\downarrow$  *carrier* = (*kernel*  $D \ C \ h$ ), *mult* = *mult*  $D$ , *one* = *one*  $D$ ,  
*diff* = *completion* ( $\downarrow$  *carrier* = (*kernel*  $D \ C \ h$ ), *mult* = *mult*  $D$ , *one* = *one*  $D$ , *diff*  
= *diff*  $D \ \downarrow D$  (*diff*  $D$ )) $\downarrow$ )  
(*is diff-group ?KER*)

**proof** (*intro diff-groupI, simp-all*)

**fix**  $x \ y$   
**assume** *x-in-ker*:  $x \in \text{kernel } D \ C \ h$  **and** *y-in-ker*:  $y \in \text{kernel } D \ C \ h$   
**from** *group-hom.subgroup-kernel* [*of*  $D \ C \ h$ ] **and** *subgroup-def* [*of* *kernel*  $D \ C \ h$   
 $D$ ] **and** *prems* **show**  $x \otimes y \in \text{kernel } D \ C \ h$   
**by** (*unfold diff-group-hom-diff-def comm-group-hom-def, simp*)  
**next**  
**from** *group-hom.subgroup-kernel* [*of*  $D \ C \ h$ ] **and** *subgroup-def* [*of* *kernel*  $D \ C \ h$   
 $D$ ] **and** *prems*  
**show**  $1 \in \text{kernel } D \ C \ h$  **by** (*unfold diff-group-hom-diff-def comm-group-hom-def,  
simp*)  
**next**  
**fix**  $x \ y \ z$   
**assume** *x-in-ker*:  $x \in \text{kernel } D \ C \ h$  **and** *y-in-ker*:  $y \in \text{kernel } D \ C \ h$  **and** *z-in-ker*:

```

 $z \in \text{kernel } D \ C \ h$ 
  from group-hom.subgroup-kernel [of  $D \ C \ h$ ] and subgroup-def [of  $\text{kernel } D \ C \ h$ 
 $D$ ] and prems and  $D.m\text{-assoc}$  [of  $x \ y \ z$ ]
  show  $x \otimes y \otimes z = x \otimes (y \otimes z)$  by (unfold diff-group-hom-diff-def comm-group-hom-def,
auto)
next
  fix  $x \ y$  assume  $x\text{-in-ker}$ :  $x \in \text{kernel } D \ C \ h$  and  $y\text{-in-ker}$ :  $y \in \text{kernel } D \ C \ h$ 
  from group-hom.subgroup-kernel [of  $D \ C \ h$ ] and prems
    and psubsetD [of  $\text{kernel } D \ C \ h$  carrier  $D \ x$ ]
    and psubsetD [of  $\text{kernel } D \ C \ h$  carrier  $D \ y$ ]
    and comm-monoid.m-ac (2) [of  $D \ x \ y$ ]
  show  $x \otimes y = y \otimes x$  unfolding diff-group-hom-diff-def unfolding comm-group-hom-def

  unfolding subgroup-def
  unfolding comm-group-hom-axioms-def unfolding comm-group-def [of  $D$ ] by
auto
next
  fix  $x$  assume  $x\text{-in-ker}$ :  $x \in \text{kernel } D \ C \ h$ 
  from group-hom.subgroup-kernel [of  $D \ C \ h$ ] and subgroup-def [of  $\text{kernel } D \ C \ h$ 
 $D$ ] and prems show  $\mathbf{1} \otimes x = x$ 
    unfolding diff-group-hom-diff-def comm-group-hom-def by auto
next
  fix  $x$  assume  $x\text{-in-ker}$ :  $x \in \text{kernel } D \ C \ h$ 
  from bexI [of  $(\lambda y. y \otimes x = \mathbf{1})$  inv  $x \text{ kernel } D \ C \ h$ ] show  $\exists y \in \text{kernel } D \ C \ h. y$ 
 $\otimes x = \mathbf{1}$ 
    using m-inv-def [of  $- \ x$ ]
    using prems (1) using group-hom.subgroup-kernel [of  $D \ C \ h$ ] using  $x\text{-in-ker}$ 
    unfolding diff-group-hom-diff-def unfolding comm-group-hom-def unfolding
subgroup-def by auto
next
  from prems and diff-group-hom-diff.group-hom- $C \ C$ -differ [of  $D \ C \ h$ ] diff-group-hom-diff.group-hom- $D \ D$ -diff
[of  $D \ C \ h$ ]
    and group-hom.hom-one [of  $C \ C$  differ  $C$ ]
  show completion ( $\text{carrier} = \text{kernel } D \ C \ h$ ,  $\text{mult} = \text{op} \otimes$ ,  $\text{one} = \mathbf{1}$ ,  $\text{diff} = \text{differ}$ )
 $D \ (\text{differ})$ 
     $\in \text{hom-completion}$  ( $\text{carrier} = \text{kernel } D \ C \ h$ ,  $\text{mult} = \text{op} \otimes$ ,  $\text{one} = \mathbf{1}$ ,
     $\text{diff} = \text{completion}$  ( $\text{carrier} = \text{kernel } D \ C \ h$ ,  $\text{mult} = \text{op} \otimes$ ,  $\text{one} = \mathbf{1}$ ,  $\text{diff} =$ 
 $\text{differ}$ )  $D \ (\text{differ})$ )
    ( $\text{carrier} = \text{kernel } D \ C \ h$ ,  $\text{mult} = \text{op} \otimes$ ,  $\text{one} = \mathbf{1}$ ,
     $\text{diff} = \text{completion}$  ( $\text{carrier} = \text{kernel } D \ C \ h$ ,  $\text{mult} = \text{op} \otimes$ ,  $\text{one} = \mathbf{1}$ ,  $\text{diff} =$ 
 $\text{differ}$ )  $D \ (\text{differ})$ )

  unfolding diff-group-hom-diff-def diff-group-hom-diff-axioms-def comm-group-hom-def
comm-group-hom-axioms-def
  group-hom-def group-hom-axioms-def diff-group-axioms-def hom-completion-def
hom-def completion-def completion-fun2-def
  Pi-def kernel-def by (auto simp add: expand-fun-eq)
next
  fix  $x$ 

```

**from** *prems* **and** *diff-group.diff-nilpot* [*OF diff-group-hom-diff.diff-group-D* [*of D C h*]] **and** *group-hom.hom-one* [*of D D differ<sub>D</sub>*]  
**and** *diff-group-hom-diff.group-hom-D-D-differ* [*of D C h*]  
**show** *completion* ( $\text{carrier} = \text{kernel } D \ C \ h$ ,  $\text{mult} = \text{op} \otimes$ ,  $\text{one} = \mathbf{1}$ ,  $\text{diff} = \text{differ}$ )  
*D* (*differ*)  
(*completion* ( $\text{carrier} = \text{kernel } D \ C \ h$ ,  $\text{mult} = \text{op} \otimes$ ,  $\text{one} = \mathbf{1}$ ,  $\text{diff} = \text{differ}$ ) *D*  
(*differ*) *x*) =  $\mathbf{1}$   
**unfolding** *completion-def diff-group-hom-diff-def comm-group-hom-def* **by** (*auto simp add: expand-fun-eq*)  
**qed**

The following lemma corresponds to Proposition 2.2.10 in Aransay's thesis; here it is proved for a generic homomorphism  $h$

**lemma** (*in diff-group-hom-diff*) *image-diff-group*:  
*diff-group* ( $\text{carrier} = \text{image } h \ (\text{carrier } D)$ ,  $\text{mult} = \text{mult } C$ ,  $\text{one} = \text{one } C$ ,  
 $\text{diff} = \text{completion} \ (\text{carrier} = \text{image } h \ (\text{carrier } D), \text{mult} = \text{mult } C, \text{one} = \text{one } C, \text{diff} = \text{diff } C)$ ) *C* (*diff C*)  
(*is diff-group* ( $\text{carrier} = ?\text{img-set}$ ,  $\text{mult} = \text{mult } C$ ,  $\text{one} = \text{one } C$ ,  $\text{diff} = ?\text{compl}$ )  
*is diff-group* *?IMG*)  
**proof** (*intro diff-groupI, auto*)  
**fix** *x y*  
**assume** *x*:  $x \in \text{carrier } D$  **and** *y*:  $y \in \text{carrier } D$   
**from** *hom-mult* [*OF x y*] **and** *D.m-closed* [*OF x y*] **show**  $h \ x \otimes_C h \ y \in ?\text{img-set}$   
**unfolding** *image-def* **by** *force*  
**next**  
**from** *D.one-closed* **and** *group-hom.hom-one* [*of D C h*] **show**  $\mathbf{1}_C \in ?\text{img-set}$   
**unfolding** *image-def* **by** *force*  
**next**  
**fix** *x y z*  
**assume** *x*:  $x \in \text{carrier } D$  **and** *y*:  $y \in \text{carrier } D$  **and** *z*:  $z \in \text{carrier } D$   
**from** *m-assoc* **and** *hom-closed* **and** *x y z* **show**  $h \ x \otimes_C h \ y \otimes_C h \ z = h \ x \otimes_C$   
 $(h \ y \otimes_C h \ z)$  **by** *simp*  
**next**  
**fix** *x y*  
**assume** *x*:  $x \in \text{carrier } D$  **and** *y*:  $y \in \text{carrier } D$   
**from** *prems* **and** *hom-closed* [*OF x*] **and** *hom-closed* [*OF y*] **and** *x y* **and**  
*comm-monoid.m-comm* [*of C h x h y*]  
**and** *diff-group-hom-diff.diff-group-C* [*of D C h*]  
**show**  $h \ x \otimes_C h \ y = h \ y \otimes_C h \ x$  **unfolding** *diff-group-def comm-group-def* [*of C*]  
**by** *auto*  
**next**  
**fix** *x*  
**assume** *x*:  $x \in \text{carrier } D$   
  
**from** *Units-def* [*of D*] **and** *D.Units-eq* **and** *x* **obtain** *y* **where** *y*:  $y \in \text{carrier } D$   
**and** *y-x*:  $y \otimes_D x = \mathbf{1}_D$  **by** *fast*  
**from** *group-hom.hom-one* [*of D C h*] **and** *hom-mult* [*OF y x*] **and** *y-x* **and** *y*  
**show**  $\exists y \in \text{carrier } D. h \ y \otimes_C h \ x = \mathbf{1}_C$  **by** *auto*  
**next**

```

show ?compl ∈ hom-completion ?IMG ?IMG
proof (intro hom-completionI homI, auto)
  show ?compl ∈ completion-fun2 ?IMG ?IMG unfolding completion-fun2-def
  completion-def by (auto simp add: expand-fun-eq)
next
  fix x
  assume x: x ∈ carrier D
  from prems and diff-group-hom-diff.diff-group-D [of D C h]
    and hom-completion-closed [OF diff-group.diff-hom [of D] x]
    and imageI [of (differD) x carrier D h] and diff-group-hom-diff.diff-hom-h
  [of D C h]
  show (differC) (h x) ∈ ?img-set unfolding image-def by (simp add: expand-fun-eq)
next
  fix x y
  assume x: x ∈ carrier D and y: y ∈ carrier D
  from hom-mult [OF x y] and D.m-closed [OF x y]
  have ?compl (h x ⊗C h y) = (differC) (h (x ⊗ y)) by (unfold completion-def
  image-def, auto)
  also from diff-group-hom-diff.diff-group-C [of D C h] and hom-mult [OF x y]
    and hom-completion-mult [OF diff-group.diff-hom [of C] hom-closed [OF x]
  hom-closed [OF y]] and prems
  have ... = (differC) (h x) ⊗C (differC) (h y) by simp
  finally show ?compl (h x ⊗C h y) = (differC) (h x) ⊗C (differC) (h y) by
  simp
qed
next
  from diff-group-hom-diff.diff-group-C [of D C h] and diff-group.diff-nilpot [of
  C]
  and diff-group.diff-hom [of C] and image-def and diff-group-hom-diff.group-hom-C-C-differ
  [of D C h]
  and group-hom.hom-one [of C C differC] and prems show ∧x. ?compl (?compl
  x) = 1C
  unfolding hom-completion-def completion-fun2-def completion-def by (auto
  simp add: expand-fun-eq)
qed

```

Before proving Lemma 2.2.11, we first must introduce the definition of *reduction*

```

locale reduction = diff-group D + diff-group C + var f + var g + var h +
  assumes f-hom-diff: f ∈ hom-diff D C
  and g-hom-diff: g ∈ hom-diff C D
  and h-hom-compl: h ∈ hom-completion D D
  and fg: f ∘ g = (λx. if x ∈ carrier C then id x else 1C)
  and gf-dh-hd: (λx. if x ∈ carrier D then (g ∘ f) x ⊗ (if x ∈ carrier D then
  ((differ) ∘ h) x ⊗ (h ∘ (differ)) x else 1D) else 1D) =
  (λx. if x ∈ carrier D then id x else 1D)
  and fh: f ∘ h = (λx. if x ∈ carrier D then 1C else 1C)
  and hg: h ∘ g = (λx. if x ∈ carrier C then 1D else 1D)
  and hh: h ∘ h = (λx. if x ∈ carrier D then 1D else 1D)

```

Due to the nature of the formula  $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } (g \circ f) x \otimes (\text{if } x \in \text{carrier } D \text{ then } (\text{differ} \circ h) x \otimes (h \circ \text{differ}) x \text{ else } \mathbf{1}) \text{ else } \mathbf{1}) = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } \text{id } x \text{ else } \mathbf{1})$ , we associate first the addition of  $d \circ h$  and  $h \circ d$ , and then  $g \circ f$

**lemma** *reductionI*:

**includes** *struct*  $D + \text{struct } C$   
**assumes** *src-diff-group*: *diff-group*  $D$   
**and** *trg-diff-group*: *diff-group*  $C$   
**assumes**  $f \in \text{hom-diff } D C$   
**and**  $g \in \text{hom-diff } C D$   
**and**  $h \in \text{hom-completion } D D$   
**and**  $f \circ g = (\lambda x. \text{if } x \in \text{carrier } C \text{ then } \text{id } x \text{ else } \mathbf{1}_C)$   
**and**  $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } (g \circ f) x \otimes (\text{if } x \in \text{carrier } D \text{ then } ((\text{differ}) \circ h) x \otimes (h \circ (\text{differ})) x \text{ else } \mathbf{1}_D) \text{ else } \mathbf{1}_D) =$   
 $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } \text{id } x \text{ else } \mathbf{1}_D)$   
**and**  $f \circ h = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } \mathbf{1}_C \text{ else } \mathbf{1}_C)$   
**and**  $h \circ g = (\lambda x. \text{if } x \in \text{carrier } C \text{ then } \mathbf{1}_D \text{ else } \mathbf{1}_D)$   
**and**  $h \circ h = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } \mathbf{1}_D \text{ else } \mathbf{1}_D)$   
**shows** *reduction*  $D C f g h$   
**using** *prems* **unfolding** *reduction-def* *reduction-axioms-def* *diff-group-def* **by** *simp*

**lemma** (*in reduction*) *C-diff-group*: **shows** *diff-group*  $C$  **using** *prems* **unfolding** *reduction-def* **by** *simp*

**lemma** (*in reduction*) *D-diff-group*: **shows** *diff-group*  $D$  **using** *prems* **unfolding** *reduction-def* **by** *simp*

**lemma** (*in reduction*) *D-C-f-diff-group-hom-diff*: **shows** *diff-group-hom-diff*  $D C f$  **using** *prems* **and** *diff-group-hom-diffI* [*of*  $D C f$ ]  
**unfolding** *reduction-def* *reduction-axioms-def* **by** *auto*

**lemma** (*in reduction*) *D-C-f-group-hom*: **shows** *group-hom*  $D C f$  **using** *D-C-f-diff-group-hom-diff*  
**unfolding** *diff-group-hom-diff-def* *comm-group-hom-def* **by** *simp*

**lemma** (*in reduction*) *C-D-g-diff-group-hom-diff*: **shows** *diff-group-hom-diff*  $C D g$  **using** *prems* **and** *diff-group-hom-diffI* [*of*  $C D g$ ]  
**unfolding** *reduction-def* *reduction-axioms-def* **by** *auto*

**lemma** (*in reduction*) *C-D-g-group-hom*: **shows** *group-hom*  $C D g$  **using** *C-D-g-diff-group-hom-diff*  
**unfolding** *diff-group-hom-diff-def* *comm-group-hom-def* **by** *simp*

### 3.1 Definition of isomorphic differential groups

Lemma 2.2.11, which corresponds to the first lemma in the BPL proof, has been already proved in our first approach.

It requires introducing first the notion of isomorphic differential groups; the definition is based on the one of isomorphic monoids presented in Group.thy for homomorphisms, by extending it to be coherent with the differentials.

**constdefs**

*iso-diff* :: ('a, 'c) diff-group-scheme => ('b, 'd) diff-group-scheme => ('a => 'b) set (infixr  $\cong_{diff}$  60)

$D \cong_{diff} C == \{h. h \in hom\_diff\ D\ C \ \& \ bij\_betw\ h\ (carrier\ D)\ (carrier\ C)\}$

**lemma iso-diffI: assumes** *closed*:  $\bigwedge x. x \in carrier\ D \implies h\ x \in carrier\ C$   
**and** *mult*:  $\bigwedge x\ y. \llbracket x \in carrier\ D; y \in carrier\ D \rrbracket \implies h\ (x \otimes_D y) = h\ x \otimes_C h\ y$   
**and** *complect*:  $\exists g. h = (\lambda x. \text{if } x \in carrier\ D \text{ then } g\ x \text{ else } \mathbf{1}_C)$   
**and** *coherent*:  $\bigwedge x. h\ ((diff\_D)\ x) = (diff\_C)\ (h\ x)$   
**and** *inj-on*:  $\bigwedge x\ y. \llbracket x \in carrier\ D; y \in carrier\ D; h\ (x) = h\ (y) \rrbracket \implies x = y$   
**and** *image*:  $\bigwedge y. y \in carrier\ C \implies \exists x \in carrier\ D. y = h\ (x)$   
**shows**  $h \in D \cong_{diff} C$   
**using** *prems*  
**unfolding** *iso-diff-def* **unfolding** *hom-diff-def* **apply** (*simp add: expand-fun-eq*)  
**unfolding** *hom-completion-def* **apply** *simp*  
**unfolding** *completion-fun2-def* *completion-def* **apply** (*simp add: expand-fun-eq*)  
**unfolding** *hom-def* **unfolding** *Pi-def* **apply** *simp*  
**unfolding** *bij-betw-def* *inj-on-def* **apply** *simp*  
**unfolding** *image-def* **by** *auto*

**definition**

*iso-inv-diff* :: ('a, 'c) diff-group-scheme => ('b, 'd) diff-group-scheme => (('a => 'b)  $\times$  ('b => 'a)) set (infixr  $\cong_{invdiff}$  60)

**where**  $D \cong_{invdiff} C == \{(f, g). f \in (D \cong_{diff} C) \ \& \ g \in (C \cong_{diff} D) \ \& \ (f \circ g = completion\ C\ C\ id) \ \& \ (g \circ f = completion\ D\ D\ id)\}$

**lemma iso-inv-diffI: assumes** *f*:  $f \in (D \cong_{diff} C)$  **and** *g*:  $g \in (C \cong_{diff} D)$  **and** *fg-id*:  $(f \circ g = completion\ C\ C\ id)$

**and** *gf-id*:  $(g \circ f = completion\ D\ D\ id)$  **shows**  $(f, g) \in (D \cong_{invdiff} C)$

**using** *f g fg-id gf-id* **unfolding** *iso-inv-diff-def* **by** *simp*

**lemma iso-inv-diff-iso-diff: assumes** *f-f'*:  $(f, f') \in (D \cong_{invdiff} C)$  **shows**  $f \in (D \cong_{diff} C)$

**using** *f-f'* **unfolding** *iso-inv-diff-def* **by** *simp*

**lemma iso-inv-diff-iso-diff2: assumes** *f-f'*:  $(f, f') \in (D \cong_{invdiff} C)$  **shows**  $f' \in (C \cong_{diff} D)$

**using** *f-f'* **unfolding** *iso-inv-diff-def* **by** *simp*

**lemma iso-inv-diff-id: assumes** *f-f'*:  $(f, f') \in (D \cong_{invdiff} C)$  **shows**  $f' \circ f = completion\ D\ D\ id$

**using** *f-f'* **unfolding** *iso-inv-diff-def* **by** *simp*

**lemma** *iso-inv-diff-id2*: **assumes**  $f\text{-}f'$ :  $(f, f') \in (D \cong_{\text{invdiff}} C)$  **shows**  $f \circ f' = \text{completion } C \ C \ \text{id}$   
**using**  $f\text{-}f'$  **unfolding** *iso-inv-diff-def* **by** *simp*

**lemma** *iso-inv-diff-rev*: **assumes**  $f\text{-}f'$ :  $(f, f') \in (D \cong_{\text{invdiff}} C)$  **shows**  $(f', f) \in (C \cong_{\text{invdiff}} D)$   
**using**  $f\text{-}f'$  **unfolding** *iso-inv-diff-def* **by** *simp*

**lemma** *iso-diff-hom-diff*: **assumes**  $h$ :  $h \in D \cong_{\text{diff}} C$  **shows**  $h \in \text{hom-diff } D \ C$   
**using**  $h$  **unfolding** *iso-diff-def* **by** *simp*

### 3.2 Previous facts for Lemma 2.2.11

**lemma** (*in reduction*) *g-f-hom-diff*: **shows**  $g \circ f \in \text{hom-diff } D \ D$   
**proof** (*unfold hom-diff-def hom-completion-def, auto*)  
**from** *f-hom-diff* **and** *g-hom-diff* **have**  $f$ :  $f \in \text{completion-fun2 } D \ C$  **and**  $g$ :  $g \in \text{completion-fun2 } C \ D$   
**by** (*unfold hom-diff-def hom-completion-def, simp-all*)  
**show**  $g \circ f \in \text{completion-fun2 } D \ D$   
**proof** (*unfold completion-fun2-def, simp, intro exI [of -  $g \circ f$ ], unfold completion-def, auto simp add: expand-fun-eq*)  
**fix**  $x$  **assume**  $x$ :  $x \notin \text{carrier } D$   
**from** *C-diff-group* *D-diff-group* *g-hom-diff* **and** *completion-closed2* [*OF*  $f \ x$ ]  
**and** *group-hom.hom-one* [*of*  $C \ D \ g$ ]  
**show**  $g (f x) = \mathbf{1}$  **unfolding** *diff-group-def comm-group-def group-def group-hom-def group-hom-axioms-def hom-diff-def hom-completion-def*  
**by** *simp*  
**qed**  
**next**  
**show**  $g \circ f \in \text{hom } D \ D$   
**proof** (*intro homI*)  
**fix**  $x$   
**assume**  $x$ :  $x \in \text{carrier } D$   
**from** *hom-diff-closed* [*OF* *f-hom-diff*  $x$ ] **and** *hom-diff-closed* [*OF* *g-hom-diff*, *of*  $f \ x$ ]  
**show**  $(g \circ f) \ x \in \text{carrier } D$  **by** *simp*  
**next**  
**fix**  $x \ y$   
**assume**  $x$ :  $x \in \text{carrier } D$  **and**  $y$ :  $y \in \text{carrier } D$   
**from** *f-hom-diff* *g-hom-diff* **and**  $x \ y$  **and** *hom-completion-mult* [*of*  $f \ D \ C \ x \ y$ ]  
*hom-completion-mult* [*of*  $g \ C \ D \ f \ x \ f \ y$ ]  
**and** *hom-diff-closed* [*of*  $f \ D \ C \ x$ ] *hom-diff-closed* [*of*  $f \ D \ C \ y$ ]  
**show**  $(g \circ f) (x \otimes y) = (g \circ f) \ x \otimes (g \circ f) \ y$  **unfolding** *hom-diff-def* **by** *simp*  
**qed**  
**next**  
**from** *hom-diff-coherent* [*OF* *f-hom-diff*] **and** *hom-diff-coherent* [*OF* *g-hom-diff*]  
**and** *o-assoc* [*of*  $g \ f \ \text{differ}$ ]  
**and** *o-assoc* [*of*  $g \ \text{differ } C \ f$ ] **and** *o-assoc* [*of* *differ*  $g \ f$ ] **show**  $g \circ f \circ \text{differ} = \text{differ} \circ (g \circ f)$  **by** *simp*

qed

**lemma** (in *reduction*) *D-D-g-f-diff-group-hom-diff*: **shows** *diff-group-hom-diff* *D* *D (g ∘ f)* **using** *g-f-hom-diff* **and** *D-diff-group* **and** *diff-group-hom-diffI* [*of D D (g ∘ f)*] **by** *simp-all*

**lemma** (in *reduction*) *D-D-g-f-group-hom*: **shows** *group-hom* *D D (g ∘ f)* **using** *D-D-g-f-diff-group-hom-diff* **unfolding** *diff-group-hom-diff-def comm-group-hom-def* **by** *simp*

The following lemma proves that, in a general reduction,  $f$ ,  $g$ ,  $h$ , the set image of  $g \circ f$  with the operations inherited from  $D$  is a differential group.

**lemma** (in *reduction*) *image-g-f-diff-group*: **shows** *diff-group* ( $\downarrow$  *carrier* = *image* ( $g \circ f$ ) (*carrier D*), *mult* = *mult D*, *one* = *one D*, *diff* = *completion* ( $\downarrow$  *carrier* = *image* ( $g \circ f$ ) (*carrier D*), *mult* = *mult D*, *one* = *one D*, *diff* = *diff D*  $\downarrow$  *D (diff D)*  $\downarrow$ ) **using** *diff-group-hom-diff.image-diff-group* [*of D D g ∘ f*] **and** *diff-group-hom-diffI* [*OF D-diff-group D-diff-group g-f-hom-diff*] **by** *simp*

### 3.3 Lemma 2.2.11

The following lemmas correspond to Lemma 2.2.11 in Aransay's thesis

In the version in the thesis, two differential groups are defined to be isomorphic whenever there exists two homomorphisms  $f$  and  $g$  such that their composition is the identity in both directions

The Isabelle definition is slightly different, and it requires proving that there exists *one homomorphism*, which is, additionally, injective and surjective

This is the reason why the lemma is proved in Isabelle in four different lemmas; the first two, prove that the isomorphism exists, and then we prove that they are mutually inverse

We first introduce a locale which only contains some abbreviations, the main reason is to shorten proofs and statements

We will avoid the use of record update operations

**locale** *lemma-2-2-11* = *reduction D C f g h*

FIXME: Probably the following *definitions* would be more suitably stored as *abbreviations* or *notations*

**context** *lemma-2-2-11*  
**begin**

**definition** *im-gf* **where** *im-gf* == *image* ( $g \circ f$ ) (*carrier D*)

**definition** *diff-group-im-gf* **where** *diff-group-im-gf* == ( $\downarrow$  *carrier* = *image* ( $g \circ f$ ) (*carrier D*), *mult* = *mult D*, *one* = *one D*,



$\text{diff} = \text{completion } (\text{carrier} = \text{image } (g \circ f) (\text{carrier } D), \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{diff } D) \text{ } D \text{ } (\text{diff } D)$

**definition**  $\text{diff-im-gf}$  **where**  $\text{diff-im-gf} == \text{completion diff-group-im-gf } D \text{ } (\text{diff } D)$

**end**

**lemma** (in lemma-2-2-11) lemma-2-2-11-first-part: **shows**  $g \in (C \cong_{\text{diff}} \text{diff-group-im-gf})$

**proof** (intro iso-diffI)

fix  $x$

assume  $x: x \in \text{carrier } C$

**show**  $g x \in \text{carrier diff-group-im-gf}$

**proof** (unfold diff-group-im-gf-def image-def, simp, intro bexI [of -  $g x$ ])

from  $fg$  and  $x$  **show**  $g x = g (f (g x))$  **by** (auto simp add: expand-fun-eq)

**next**

from hom-diff-closed [OF  $g\text{-hom-diff } x$ ] **show**  $g x \in \text{carrier } D$  **by** simp

**qed**

**next**

fix  $x y$

assume  $x: x \in \text{carrier } C$  and  $y: y \in \text{carrier } C$

from hom-diff-mult [OF  $g\text{-hom-diff } x y$ ] and diff-group-im-gf-def **show**  $g (x \otimes_C y) = g x \otimes_{\text{diff-group-im-gf}} g y$  **by** simp

**next**

from  $g\text{-hom-diff}$  and  $f\text{-in-completion-fun2-f-completion}$  [of  $g C D$ ] and completion-def [of  $C D g$ ] and diff-group-im-gf-def

**show**  $\exists ga. g = (\lambda x. \text{if } x \in \text{carrier } C \text{ then } ga x \text{ else } \mathbf{1}_{\text{diff-group-im-gf}})$

**unfolding** hom-diff-def hom-completion-def completion-fun2-def expand-fun-eq

**by** (intro exI [of -  $g$ ]) auto

**next**

fix  $x$

**show**  $g ((\text{differ}_C) x) = (\text{differ}_{\text{diff-group-im-gf}}) (g x)$

**proof** (cases  $x \in \text{carrier } C$ )

case True **then have**  $g x \in (g \circ f) ' \text{carrier } D$

**proof** (unfold image-def, simp, intro bexI [of -  $g x$ ])

from  $fg$  and True **show**  $g x = g (f (g x))$  **by** (simp add: expand-fun-eq)

**next**

from hom-diff-closed [OF  $g\text{-hom-diff True}$ ] **show**  $g x \in \text{carrier } D$  **by** simp

**qed**

**then have**  $(\text{differ}_{\text{diff-group-im-gf}}) (g x) = (\text{differ}) (g x)$  **unfolding** completion-def diff-group-im-gf-def im-gf-def **by** auto

**with**  $g\text{-hom-diff}$  and hom-diff-coherent [of  $g C D$ ] **show**  $g ((\text{differ}_C) x) = (\text{differ}_{\text{diff-group-im-gf}}) (g x)$

**unfolding** diff-group-im-gf-def im-gf-def **by** (simp add: expand-fun-eq)

**next**

case False

from  $C.\text{diff-hom}$  and hom-completion-def [of  $C C$ ] and completion-closed2 [OF - False, of  $\text{differ}_C C$ ] **have**  $(\text{differ}_C) x = \mathbf{1}_C$  **by** simp

**with** group-hom.hom-one [of  $C D g$ ] and  $C\text{-}D\text{-}g\text{-group-hom}$

**have**  $g ((\text{differ}_C) x) = \mathbf{1}_D$  **by** simp

```

moreover
  from  $D$ - $C$ - $f$ -group-hom  $C$ - $D$ - $g$ -group-hom  $D$ .diff-hom and group-hom.hom-one
  [of  $D$   $C$   $f$ ] group-hom.hom-one [of  $C$   $D$   $g$ ]
  group-hom.hom-one [of  $D$   $D$  differ] and  $D$ .one-closed and False and diff-group-im-gf-def
and  $C$ -diff-group  $D$ -diff-group  $g$ -hom-diff  $f$ -hom-diff
  have comp-one: (differ diff-group-im-gf) ( $g$   $x$ ) =  $\mathbf{1}_D$ 
  unfolding group-hom-def group-hom-axioms-def hom-diff-def hom-completion-def
  completion-fun2-def completion-def
  diff-group-def comm-group-def by auto
  ultimately show  $g$  ((differ  $C$ )  $x$ ) = (differ diff-group-im-gf) ( $g$   $x$ ) by simp
qed
next
  fix  $x$   $y$ 
  assume  $x$ :  $x \in \text{carrier } C$  and  $y$ :  $y \in \text{carrier } C$  and  $g$ -eq:  $g$   $x$  =  $g$   $y$ 
  from  $g$ -eq have  $fg$ -eq:  $f$  ( $g$   $x$ ) =  $f$  ( $g$   $y$ ) by simp
  with  $fg$  and  $x$  and  $y$  show  $x$  =  $y$  by (simp add: expand-fun-eq)
next
  fix  $y$ 
  assume  $y$ :  $y \in \text{carrier diff-group-im-gf}$  then have  $y \in (g \circ f)^{\cdot} (\text{carrier } D)$  by
  (unfold diff-group-im-gf-def, simp)
  then obtain  $x$  where  $g$  ( $f$   $x$ ) =  $y$  and  $x$ :  $x \in \text{carrier } D$  by auto
  with  $boxI$  [of  $\lambda x. (y = g$   $x$ )  $f$   $x$   $\text{carrier } C$ ] and hom-diff-closed [OF  $f$ -hom-diff
   $x$ ] show  $\exists x \in \text{carrier } C. y = g$   $x$  by simp
qed

```

The inverse of  $g$  is the restriction of  $f$  to the image set of  $g \circ f$

```

lemma (in lemma-2-2-11) lemma-2-2-11-second-part: shows completion diff-group-im-gf
 $C$   $f \in (\text{diff-group-im-gf} \cong_{\text{diff}} C)$ 
(is ?compl- $f \in (?IM \cong_{\text{diff}} C)$ )
proof (intro iso-diffI)
  fix  $x$ 
  assume  $x$ :  $x \in \text{carrier } ?IM$  then have  $x$ -im:  $x \in (g \circ f)^{\cdot} (\text{carrier } D)$  by (unfold
  diff-group-im-gf-def, simp)
  then obtain  $y$  where  $gf$ - $y$ :  $g$  ( $f$   $y$ ) =  $x$  and  $y$ :  $y \in \text{carrier } D$  by auto
  from  $x$ -im have ?compl- $f$   $x$  =  $f$   $x$  by (unfold completion-def diff-group-im-gf-def,
  simp)
  with  $gf$ - $y$  and hom-diff-closed [OF  $f$ -hom-diff  $y$ ] hom-diff-closed [OF  $g$ -hom-diff,
  of  $f$   $y$ ] hom-diff-closed [OF  $f$ -hom-diff, of  $g$  ( $f$   $y$ )]
  show ?compl- $f$   $x \in \text{carrier } C$  by simp
next
  fix  $x$   $y$ 
  assume  $x$ :  $x \in \text{carrier } ?IM$  and  $y$ :  $y \in \text{carrier } ?IM$  then obtain  $x'$   $y'$  where
   $gf$ - $x'$ :  $g$  ( $f$   $x'$ ) =  $x$  and  $gf$ - $y'$ :  $g$  ( $f$   $y'$ ) =  $y$ 
  and  $x'$ :  $x' \in \text{carrier } D$  and  $y'$ :  $y' \in \text{carrier } D$  unfolding diff-group-im-gf-def
  by auto
  with  $sym$  [OF hom-diff-mult [OF  $g$ -hom-diff, of  $f$   $x'$   $f$   $y'$ ]] and hom-diff-closed
  [OF  $f$ -hom-diff  $x'$ ] hom-diff-closed [OF  $f$ -hom-diff  $y'$ ]
  and  $x$   $y$  and  $sym$  [OF hom-diff-mult [OF  $f$ -hom-diff  $x'$   $y'$ ]]
  have ?compl- $f$  ( $x \otimes_{?IM} y$ ) =  $f$  ( $x \otimes_D y$ ) unfolding diff-group-im-gf-def completion-def

```

by *auto*  
 also from  $gf\text{-}x' \text{ } gf\text{-}y'$  have  $\dots = f (g (f x') \otimes_D g (f y'))$  by *simp*  
 also from  $hom\text{-}diff\text{-}closed [OF \text{ } f\text{-}hom\text{-}diff \text{ } x']$   $hom\text{-}diff\text{-}closed [OF \text{ } f\text{-}hom\text{-}diff \text{ } y']$   
 $hom\text{-}diff\text{-}closed [OF \text{ } g\text{-}hom\text{-}diff, \text{ } of \text{ } f \text{ } x']$   
 $hom\text{-}diff\text{-}closed [OF \text{ } g\text{-}hom\text{-}diff, \text{ } of \text{ } f \text{ } y']$  and  $hom\text{-}diff\text{-}mult [OF \text{ } f\text{-}hom\text{-}diff]$   
 have  $\dots = f (g (f x')) \otimes_C f (g (f y'))$  by *simp*  
 also from  $gf\text{-}x' \text{ } gf\text{-}y'$  and  $x' \text{ } y'$  have  $\dots = ?compl\text{-}f \text{ } x \otimes_C ?compl\text{-}f \text{ } y$  unfolding  
 $completion\text{-}def \text{ } diff\text{-}group\text{-}im\text{-}gf\text{-}def$  by *auto*  
 finally show  $?compl\text{-}f (x \otimes_{?IM} y) = ?compl\text{-}f \text{ } x \otimes_C ?compl\text{-}f \text{ } y$  by *simp*  
 next  
 show  $\exists g. ?compl\text{-}f = (\lambda x. \text{ if } x \in \text{carrier } ?IM \text{ then } g \text{ } x \text{ else } 1_C)$  unfolding  
 $completion\text{-}def$  by (rule *exI* [of - *f*]) *simp*  
 next  
 fix  $x$   
 show  $?compl\text{-}f ((diff_{?IM} \text{ } x) = (diff_C) (?compl\text{-}f \text{ } x)$   
 proof (cases  $x \in \text{carrier } ?IM$ )  
 case *True* then obtain  $y$  where  $y: y \in \text{carrier } D$  and  $gf\text{-}y: x = g (f \text{ } y)$   
 unfolding  $diff\text{-}group\text{-}im\text{-}gf\text{-}def$  by *auto*  
 then have  $?compl\text{-}f ((diff_{?IM} \text{ } x) = ?compl\text{-}f ((diff) (g (f \text{ } y)))$  unfolding  
 $completion\text{-}def \text{ } diff\text{-}group\text{-}im\text{-}gf\text{-}def$  by *auto*  
 also have  $\dots = f ((diff) (g (f \text{ } y)))$   
 proof -  
 from  $hom\text{-}diff\text{-}coherent [OF \text{ } g\text{-}hom\text{-}diff]$  and  $hom\text{-}diff\text{-}coherent [OF \text{ } f\text{-}hom\text{-}diff]$   
 have  $((diff) (g (f \text{ } y))) = (g (f ((diff) \text{ } y)))$   
 by (simp add: *expand-fun-eq*)  
 with  $hom\text{-}completion\text{-}closed [OF \text{ } D.diff\text{-}hom \text{ } y]$  show *?thesis* unfolding  
 $image\text{-}def \text{ } diff\text{-}group\text{-}im\text{-}gf\text{-}def \text{ } completion\text{-}def$  by *auto*  
 qed  
 also from  $hom\text{-}diff\text{-}coherent [OF \text{ } f\text{-}hom\text{-}diff]$  have  $\dots = (diff_C) (f (g (f \text{ } y)))$   
 by (simp add: *expand-fun-eq*)  
 also from  $y$  and  $gf\text{-}y$  have  $\dots = (diff_C) (?compl\text{-}f \text{ } x)$  unfolding  $completion\text{-}def$   
 $image\text{-}def \text{ } diff\text{-}group\text{-}im\text{-}gf\text{-}def$  by *auto*  
 finally show *?thesis* by *simp*  
 next  
 case *False* then have  $diff\text{-}one: ((diff_{?IM} \text{ } x) = 1$  unfolding  $diff\text{-}group\text{-}im\text{-}gf\text{-}def$   
 $completion\text{-}def$  by *auto*  
 from  $D\text{-}C\text{-}f\text{-}group\text{-}hom$  and  $C\text{-}D\text{-}g\text{-}group\text{-}hom$  and  $group\text{-}hom.hom\text{-}one [of \text{ } D \text{ } C \text{ } f]$  and  $group\text{-}hom.hom\text{-}one [of \text{ } C \text{ } D \text{ } g]$  and *beXI* [of - *1*]  
 and  $diff\text{-}group\text{-}im\text{-}gf\text{-}def$  and  $im\text{-}gf\text{-}def$  have  $one\text{-}image: 1 \in (g \circ f)' \text{ } carrier \text{ } D$   
 unfolding  $image\text{-}def$  by *simp*  
 with  $diff\text{-}one$  have  $?compl\text{-}f ((diff_{?IM} \text{ } x) = f \text{ } 1$  unfolding  $completion\text{-}def$   
 $diff\text{-}group\text{-}im\text{-}gf\text{-}def$  by *simp*  
 also from  $D\text{-}C\text{-}f\text{-}group\text{-}hom$  and  $group\text{-}hom.hom\text{-}one [of \text{ } D \text{ } C \text{ } f]$  have  $\dots =$   
 $1_C$  by *simp*  
 finally have  $l\text{-}h\text{-}s: ?compl\text{-}f ((diff_{?IM} \text{ } x) = 1_C$  by *simp*  
 from *False* have  $diff\text{-}one: (diff_C) (?compl\text{-}f \text{ } x) = (diff_C) 1_C$  unfolding  
 $completion\text{-}def$  by *simp*  
 also from  $C.diff\text{-}hom$  and  $group\text{-}hom.hom\text{-}one [of \text{ } C \text{ } C \text{ } (diff_C)]$  and  $C\text{-}diff\text{-}group$   
 have  $\dots = 1_C$

```

unfolding group-hom-def group-hom-axioms-def diff-group-def comm-group-def
hom-completion-def by simp
with diff-one have r-h-s: (differC) (?compl-f x) = 1C by simp
from l-h-s and r-h-s show ?thesis by simp
qed
next
fix x y
assume x: x ∈ carrier ?IM and y: y ∈ carrier ?IM then obtain x' y' where
gf-x': g (f x') = x and gf-y': g (f y') = y
and x': x' ∈ carrier D and y': y' ∈ carrier D unfolding diff-group-im-gf-def
by auto
assume eq: ?compl-f x = ?compl-f y with x y have f x = f y unfolding
completion-def by simp
with gf-x' gf-y' have f (g (f x')) = f (g (f y')) by simp
then have g (f (g (f x'))) = g (f (g (f y'))) by simp
with fg and hom-diff-closed [OF f-hom-diff x'] hom-diff-closed [OF f-hom-diff
y'] and gf-x' gf-y' show x = y
by (auto simp add: expand-fun-eq)
next
fix y
assume y: y ∈ carrier C
from fg have fg-idemp: (f ∘ g) ∘ (f ∘ g) = (f ∘ g) by (simp add: expand-fun-eq)
with bexI [of λx. (y = f (g (f x))) g y carrier D] and hom-diff-closed [OF
g-hom-diff y] and fg and y
show ∃ x ∈ carrier ?IM. y = ?compl-f x unfolding completion-def diff-group-im-gf-def
image-def by (auto simp add: expand-fun-eq)
qed

```

We now prove that  $g$  and the restriction of  $f$  are inverse of each other.

```

lemma (in lemma-2-2-11) lemma-2-2-11-third-part: shows completion diff-group-im-gf
C f ∘ g = (λx. if x ∈ carrier C then id x else 1C)
(is ?compl-f ∘ g = ?id-C)
proof (unfold expand-fun-eq, auto)
fix x
assume x: x ∈ carrier C with diff-group-im-gf-def and image-def [of (g ∘ f)
carrier D]
and bexI [of λy. (g x = (g ∘ f) y) g x carrier D] and fg and hom-diff-closed
[OF g-hom-diff x]
show ?compl-f (g x) = x unfolding completion-def by (simp add: expand-fun-eq)
next
fix x
assume x: x ∉ carrier C
from diff-group-im-gf-def and g-hom-diff and completion-closed2 [OF - x, of g
D] and D-C-f-group-hom and group-hom.hom-one [of D C f]
show ?compl-f (g x) = 1C unfolding hom-diff-def hom-completion-def completion-def
by simp
qed

```

**lemma** (in lemma-2-2-11) lemma-2-2-11-fourth-part:

```

shows  $g \circ \text{completion diff-group-im-gf } C f = (\lambda x. \text{ if } x \in \text{carrier diff-group-im-gf}$ 
 $\text{ then id } x \text{ else } \mathbf{1}_{\text{diff-group-im-gf}})$ 
(is  $g \circ ?\text{compl-f} = ?\text{id-IM})$ 
proof (unfold diff-group-im-gf-def completion-def expand-fun-eq, auto)
  fix  $x$ 
  assume  $x: x \in \text{carrier } D$ 
  from  $fg$  and hom-diff-closed [OF f-hom-diff x] show  $g (f (g (f x))) = g (f x)$ 
by (simp add: expand-fun-eq)
next
  from C-D-g-group-hom and group-hom.hom-one [of C D g] show  $g \mathbf{1}_C = \mathbf{1}_D$ 
by simp
qed

```

The following is just the recollection of the four parts in which we have divided the proof of Lemma 2.2.11

The following statement should be compared to Lemma 2.2.11 in Aransay memoir

```

lemma (in lemma-2-2-11) lemma-2-2-11: shows  $(g, \text{completion diff-group-im-gf}$ 
 $C f) \in (C \cong_{\text{invdiff}} \text{diff-group-im-gf})$ 
  using lemma-2-2-11-first-part and lemma-2-2-11-second-part and lemma-2-2-11-third-part
and lemma-2-2-11-fourth-part
  unfolding iso-inv-diff-def completion-def by simp

end

```

## 4 Propositions 2.2.12, 2.2.13 and Lemma 2.2.14 in Aransay's memoir

```

theory lemma-2-2-14
  imports
    lemma-2-2-11
  begin

```

### 4.1 Previous definitions for Lemma 2.2.14

In the following we introduce some locale specifications and definitions that will ease our proofs

For instance, we introduce the locale *ring-endomorphisms* which will allow us to apply equational reasoning with endomorphisms

In the *ring-endomorphisms* specification we introduce as an assumption the fact *ring-R*, stating that completion endomorphisms are a ring; we have proved this fact in the library *HomGroupCompletion.thy* and here it should be introduced by means of an *interpretation*, but some technical limitations in the interpretation mechanism led us to introduce this fact as an assumption

**locale** *ring-endomorphisms* = *diff-group*  $D$  + *ring*  $R$  +  
**assumes** *ring-R*:  $R = (\mid \text{carrier} = \text{hom-completion } D \ D, \text{mult} = \text{op } o,$   
 $\text{one} = (\lambda x. \text{if } x \in \text{carrier } D \text{ then id } x \text{ else } \mathbf{1}),$   
 $\text{zero} = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } \mathbf{1} \text{ else } \mathbf{1}),$   
 $\text{add} = \lambda f. \lambda g. (\lambda x. \text{if } x \in \text{carrier } D \text{ then } f \ x \otimes g \ x \text{ else } \mathbf{1}) \mid)$

**locale** *lemma-2-2-14* = *ring-endomorphisms*  $D \ R$  + *var*  $h$  +  
**assumes** *h-hom*:  $h \in \text{hom-completion } D \ D$   
**and** *h-nil*:  $h \otimes_R h = \mathbf{0}_R$   
**and** *hdh-h*:  $h \otimes_R \text{differ} \otimes_R h = h$

**context** *lemma-2-2-14*  
**begin**

**definition** *p* **where**  $p == (\text{differ}) \otimes_R h \oplus_R h \otimes_R (\text{differ})$

**definition** *ker-p* **where**  $\text{ker-p} == \text{kernel } D \ D \ p$

**definition** *diff-group-ker-p* **where**  $\text{diff-group-ker-p} == (\mid \text{carrier} = \text{kernel } D \ D \ p,$   
 $\text{mult} = \text{mult } D, \text{one} = \text{one } D,$   
 $\text{diff} = \text{completion } (\mid \text{carrier} = \text{kernel } D \ D \ p, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff}$   
 $= \text{diff } D) \mid D \ (\text{diff } D) \mid)$

**definition** *inc-ker-p* **where**  $\text{inc-ker-p} == (\lambda x. \text{if } x \in (\text{kernel } D \ D \ p) \text{ then } x \text{ else } \mathbf{1}_D)$

**end**

**lemma** (in *ring-endomorphisms*) *D-diff-group*: **shows** *diff-group*  $D$  **using** *prems*  
**unfolding** *ring-endomorphisms-def* **by** *simp*

**lemma** (in *ring-endomorphisms*) *diff-in-R* [*simp*]: **shows**  $\text{differ} \in \text{carrier } R$  **using**  
 $D.\text{diff-hom}$  **and** *ring-R* **by** *simp*

**lemma** (in *lemma-2-2-14*) *h-in-R* [*simp*]: **shows**  $h \in \text{carrier } R$  **using** *h-hom* **and**  
*ring-R* **by** *simp*

**lemma** (in *lemma-2-2-14*) *p-in-R* [*simp*]: **shows**  $p \in \text{carrier } R$  **using** *p-def* **by**  
*simp*

**lemma** (in *ring-endomorphisms*) *diff-nilpot*[*simp*]: **shows**  $\text{differ} \otimes_R \text{differ} = \mathbf{0}_R$   
**using** *ring-R* **and**  $D.\text{diff-nilpot}$  **by** *simp*

## 4.2 Proposition 2.2.12

The following two lemmas correspond to Proposition 2.2.12 in Aransay's memoir

**lemma** (in *lemma-2-2-14*) *p-in-hom-diff*: **shows**  $p \in \text{hom-diff } D \ D$

**proof** (*unfold hom-diff-def, simp, intro conjI*)

**from** *ring-R* **and** *p-in-R* **show**  $p \in \text{hom-completion } D \ D$  **by** *simp*

**next**

**show**  $p \circ \text{differ} = \text{differ} \circ p$

**proof** –

**from** *ring-R* **have**  $p \circ \text{differ} = p \otimes_R \text{differ}$  **by** *simp*

**also from** *p-def* **have**  $\dots = ((\text{differ}) \otimes_R h \oplus_R h \otimes_R (\text{differ})) \otimes_R \text{differ}$  **by** *simp*

**also from** *h-in-R* **and** *diff-in-R* **have**  $\dots = ((\text{differ}) \otimes_R h) \otimes_R (\text{differ}) \oplus_R (h \otimes_R (\text{differ})) \otimes_R \text{differ}$  **by** *algebra*

**also from** *h-in-R* **and** *diff-in-R* **have**  $\dots = (\text{differ}) \otimes_R (h \otimes_R (\text{differ})) \oplus_R (h \otimes_R (\text{differ})) \otimes_R \text{differ}$  **by** *algebra*

**also from** *h-in-R* **and** *diff-in-R* **have**  $\dots = (\text{differ}) \otimes_R (h \otimes_R (\text{differ})) \oplus_R h \otimes_R (\text{differ} \otimes_R \text{differ})$  **by** *algebra*

**also from** *h-in-R* **and** *diff-in-R* **and** *diff-nilpot* **have**  $\dots = (\text{differ}) \otimes_R (h \otimes_R (\text{differ})) \oplus_R 0_R$  **by** *algebra*

**also have**  $\dots = (\text{differ}) \otimes_R (h \otimes_R (\text{differ})) \oplus_R (\text{differ} \otimes_R \text{differ} \otimes_R h)$  **by** *simp*

**also from** *h-in-R* **and** *diff-in-R* **have**  $\dots = (\text{differ}) \otimes_R (h \otimes_R (\text{differ})) \oplus_R \text{differ} \otimes_R (\text{differ} \otimes_R h)$  **by** *algebra*

**also from** *h-in-R* **and** *diff-in-R* **have**  $\dots = \text{differ} \otimes_R (h \otimes_R \text{differ} \oplus_R \text{differ} \otimes_R h)$  **by** *algebra*

**also from** *h-in-R* **and** *diff-in-R* **have**  $\dots = \text{differ} \otimes_R (\text{differ} \otimes_R h \oplus_R h \otimes_R \text{differ})$  **by** *algebra*

**also from** *p-def* **and** *ring-R* **have**  $\dots = \text{differ} \circ p$  **by** *simp*

**finally show** *?thesis* **by** *simp*

**qed**

**qed**

**lemma** (*in lemma-2-2-14*) *ker-p-diff-group*: *diff-group diff-group-ker-p*

**using** *diff-group-hom-diffI* [*OF D-diff-group D-diff-group p-in-hom-diff*] **and** *diff-group-ker-p-def*

**using** *diff-group-hom-diff.kernel-diff-group* **by** *simp*

### 4.3 Proposition 2.2.13

The following lemma corresponds to Proposition 2.2.13 in Aransay's Ph.D.

**lemma** (*in ring-endomorphisms*) *image-subset*: **assumes** *p-in-R*:  $p \in \text{carrier } R$  **and** *p-idemp*:  $p \otimes_R p = p$

**shows**  $\text{image } (1_R \ominus_R p) (\text{carrier } D) \subseteq \text{kernel } D \ D \ p$

**proof** (*unfold image-def kernel-def, auto*)

**fix**  $x$

**assume** *x-in-D*:  $x \in \text{carrier } D$

**from** *minus-closed* [*OF one-closed p-in-R*] **and** *ring-R* **have**  $\text{one-minus-p}: (1_R \ominus_R p) \in \text{hom-completion } D \ D$  **by** *simp*

**from** *hom-completion-closed* [*OF one-minus-p x-in-D*] **show**  $(1_R \ominus_R p) \ x \in \text{carrier } D$  **by** *simp*

**next**

**fix**  $x$

**assume**  $x \in \text{carrier } D$

**show**  $p \ ((1_R \ominus_R p) \ x) = 1$   
**proof** –

from  $p$ -in- $R$  have  $p \otimes_R (1_R \ominus_R p) = p \otimes_R 1_R \ominus_R p \otimes_R p$  by algebra  
 also from  $p$ -in- $R$  and  $p$ -idemp have  $\dots = p \ominus_R p$  by simp  
 also from  $p$ -in- $R$  have  $\dots = 0_R$  by algebra  
 finally have  $p \otimes_R (1_R \ominus_R p) = 0_R$  by simp  
 then have  $(p \otimes_R (1_R \ominus_R p))(x) = 0_R \ (x)$  by (simp only: expand-fun-eq)  
 with ring- $R$  show ?thesis by simp

**qed**  
**qed**

**lemma** (in group-hom) *ker-m-closed*: **assumes**  $x$ -in- $\ker$ :  $x \in \text{kernel } G \ H \ h$  and  $y$ -in- $\ker$ :  $y \in \text{kernel } G \ H \ h$   
**shows**  $x \otimes y \in \text{kernel } G \ H \ h$   
**using**  $x$ -in- $\ker$  and  $y$ -in- $\ker$  **unfolding** *kernel-def* **by** auto

#### 4.4 Lemma 2.2.14

The following lemma, proved in a generic ring, will help us to prove that  $p = d \otimes_R h \oplus_R h \otimes_R d$  is a projector

**lemma** (in ring) *idemp-prod*: **assumes**  $a$ :  $a \in \text{carrier } R$  and  $b$ :  $b \in \text{carrier } R$  and  $a$ -idemp:  $a \otimes a = a$  and  $b$ -idemp:  $b \otimes b = b$   
**and**  $a$ - $b$ :  $a \otimes b = 0$  and  $b$ - $a$ :  $b \otimes a = 0$  **shows**  $(a \oplus b) \otimes (a \oplus b) = (a \oplus b)$   
**using**  $a \ b \ a$ -idemp  $b$ -idemp  $a$ - $b \ b$ - $a$  **by** algebra

The following lemma corresponds to the first part of Lemma 2.2.14 as stated in Aransay's memoir

**lemma** (in lemma-2-2-14) *p-projector*: **shows**  $p \otimes_R p = p$   
**proof** –

from  $p$ -def have  $p \otimes_R p = (\text{differ} \otimes_R h \oplus_R h \otimes_R \text{differ}) \otimes_R (\text{differ} \otimes_R h \oplus_R h \otimes_R \text{differ})$   
 (is  $p \otimes_R p = (?d-h \oplus_R ?h-d) \otimes_R (?d-h \oplus_R ?h-d)$ ) by simp  
 also have  $\dots = (?d-h \oplus_R ?h-d)$   
**proof** (intro ring.idemp-prod)  
 from ring-endomorphisms-def [of  $D \ R$ ] and prems show ring  $R$  by (unfold lemma-2-2-14-def, simp)  
 show  $?d-h \in \text{carrier } R$  by simp  
 show  $?h-d \in \text{carrier } R$  by simp  
 from  $R$ .m-*assoc* [of  $\text{differ } h \ \text{differ} \otimes_R h$ ] and  $R$ .m-*assoc* [of  $h \ \text{differ } h$ ] and *diff-in- $R$*   $h$ -in- $R$   $h$ dh- $h$   
 show  $?d-h \otimes_R ?d-h = ?d-h$  by simp  
 from  $R$ .m-*assoc* [of  $h \otimes_R \text{differ } h \ \text{differ}$ ] and *diff-in- $R$*  and  $h$ -in- $R$  and  $h$ dh- $h$   
 show  $?h-d \otimes_R ?h-d = ?h-d$  by simp  
 from  $h$ -nil and  $R$ .m-*assoc* [of  $\text{differ } h \ h \otimes_R \text{differ}$ ] and sym [OF  $R$ .m-*assoc* [of  $h \ h \ \text{differ}$ ]] show  $?d-h \otimes_R ?h-d = 0_R$  by simp  
 from  $h$ -nil and  $R$ .m-*assoc* [of  $h \ \text{differ } \text{differ} \otimes_R h$ ] and sym [OF  $R$ .m-*assoc* [of  $\text{differ } \text{differ } h$ ]] show  $?h-d \otimes_R ?d-h = 0_R$  by simp



```

qed
also from p-def have ... = p by simp
finally show ?thesis by simp
qed

```

```

lemma (in abelian-group) minus-equality:
  [| x ∈ carrier G; y ∈ carrier G; y ⊕ x = 0 |] ==> ⊖ x = y
  using group.inv-equality [OF a-group, of y x] unfolding a-inv-def by simp

```

```

lemma (in abelian-monoid) minus-unique:
  [| x ∈ carrier G; y ∈ carrier G; y' ∈ carrier G; y ⊕ x = 0; x ⊕ y' = 0 |] ==>
  y = y'
  using monoid.inv-unique [OF a-monoid, of y x] by simp

```

When proving that  $R$  is a ring, you have to give an element such that it satisfies the condition of the additive inverse; nevertheless, when you really want to know the explicit expression of this inverse, there is no direct way to recover it. This makes a difference with the rest of constants and operations in a ring, such as the addition, the product, or the units.

This is the reason why we had to introduce the following lemma, giving us the expression of the additive inverse of any element  $a$  in  $R$

```

lemma (in ring-endomorphisms) minus-interpret: assumes a: a ∈ carrier R
  shows (⊖R a) = (λx. if x ∈ carrier D then invD (a x) else 1D)
proof (rule abelian-group.minus-equality)
  from prems show abelian-group R by intro-locales
next
  show a ∈ carrier R by assumption
next
  from ring-R and a and comm-group.hom-completion-inv-is-hom-completion [of
  D a] and D-diff-group
  show inv-in-R: (λx. if x ∈ carrier D then inv a x else 1) ∈ carrier R unfolding
  diff-group-def comm-group-def by simp
next
  from ring-R and a and hom-completion-closed [of a D D] show (λx. if x ∈
  carrier D then inv a x else 1) ⊕R a = 0R
  by (auto simp add: expand-fun-eq)
qed

```

The following proof is a nice example of how we can take advantage of reasoning with endomorphisms as elements of a ring, making use of the automatic tactics for this structure (*by algebra, ...*)

```

lemma (in lemma-2-2-14) one-minus-p-hom-diff: shows 1R ⊖R p ∈ hom-diff D
D
proof (unfold hom-diff-def, simp, intro conjI)
  from R.minus-closed [OF R.one-closed p-in-R] and ring-R show 1R ⊖R p ∈
  hom-completion D D by simp

```

```

next
  show  $1_R \ominus_R p \circ \text{differ} = \text{differ} \circ 1_R \ominus_R p$ 
  proof -
    from ring-R have  $1_R \ominus_R p \circ \text{differ} = (1_R \ominus_R p) \otimes_R \text{differ}$  by simp
    also from diff-in-R and p-in-R have  $\dots = (\text{differ}) \ominus_R (p \otimes_R \text{differ})$  by
    algebra
    also from ring-R and hom-diff-coherent [OF p-in-hom-diff] have  $\dots = \text{differ}$ 
 $\ominus_R (\text{differ} \otimes_R p)$  by simp
    also from sym [OF R.r-one [of differ]] have  $\dots = (\text{differ} \otimes_R 1_R) \ominus_R (\text{differ}$ 
 $\otimes_R p)$  by simp
    also from diff-in-R and p-in-R have  $\dots = \text{differ} \otimes_R (1_R \ominus_R p)$  by algebra
    also from ring-R have  $\dots = \text{differ} \circ (1_R \ominus_R p)$  by simp
    finally show ?thesis by simp
  qed
qed

```

The following lemma allows us to change the codomain of a homomorphism, whenever its image set is a subset of the new codomain

```

lemma (in diff-group-hom-diff) h-image-hom-diff: assumes image-subset: image
h (carrier D)  $\subseteq C'$ 
  shows  $h \in \text{hom-diff } D \langle \text{carrier} = C', \text{mult} = \text{mult } C, \text{one} = \text{one } C,$ 
 $\text{diff} = \text{completion } \langle \text{carrier} = C', \text{mult} = \text{mult } C, \text{one} = \text{one } C, \text{diff} = \text{diff } C \rangle$ 
 $C (\text{diff } C) \rangle$ 
  proof -
    from diff-group-hom-diff.hom-diff-h [of D C h] and prems have h-hom-diff:  $h$ 
 $\in \text{hom-diff } D C$  by simp
    with image-subset and group-hom.hom-one [of C C differ_C] and diff-group-hom-diff.group-hom-C-C-differ
    [of D C h] and prems
    show ?thesis unfolding hom-diff-def hom-completion-def hom-def completion-fun2-def
    completion-def image-def Pi-def
    unfolding expand-fun-eq by auto+
  qed

```

We denote as inclusion, *inc*, a homomorphism from a subgroup into a group such that it maps every element to the same element

```

lemma inc-ker-hom-diff: includes diff-group D
  assumes h-hom-diff:  $h \in \text{hom-diff } D D$ 
  shows  $(\lambda x. \text{if } x \in \text{kernel } D D h \text{ then } x \text{ else } 1_D) \in$ 
 $\text{hom-diff } \langle \text{carrier} = \text{kernel } D D h, \text{mult} = \text{mult } D, \text{one} = \text{one } D,$ 
 $\text{diff} = \text{completion } \langle \text{carrier} = \text{kernel } D D h, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff}$ 
 $= \text{diff } D \rangle D (\text{diff } D) \rangle D$ 
  (is ?inc-KER  $\in \text{hom-diff } ?KER$  -)
  proof (unfold hom-diff-def hom-completion-def, auto)
    show ?inc-KER  $\in \text{completion-fun2 } ?KER D$  unfolding completion-fun2-def by
    (auto simp add: expand-fun-eq)
  next
    show ?inc-KER  $\in \text{hom } ?KER D$ 
    proof (rule homI, auto)
      fix x

```

```

    assume  $x \in \text{kernel } D \ D \ h$  then show  $x \in \text{carrier } D$  unfolding kernel-def by
simp
next
  fix  $x \ y$ 
  assume  $x\text{-ker}: x \in \text{kernel } D \ D \ h$  and  $y\text{-ker}: y \in \text{kernel } D \ D \ h$  and  $x\text{-times-}y$ :
 $x \otimes y \notin \text{kernel } D \ D \ h$ 
  from group-hom.ker-m-closed [of  $D \ D \ h \ x \ y$ ] and prems show  $1 = x \otimes y$ 
  unfolding group-hom-def group-hom-axioms-def hom-diff-def hom-completion-def
diff-group-def comm-group-def by simp
  qed
next
  show  $?inc\text{-}KER \circ \text{completion} (\downarrow \text{carrier} = \text{kernel } D \ D \ h, \text{mult} = \text{mult } D, \text{one} =$ 
 $\text{one } D, \text{diff} = \text{diff } D) \ D \ (\text{differ}) = \text{differ} \circ ?inc\text{-}KER$ 
  (is  $?inc\text{-}KER \circ ?compl\text{-}diff = \text{differ} \circ ?inc\text{-}KER$ )
  proof (rule ext)
    fix  $x$ 
    show  $(?inc\text{-}KER \circ ?compl\text{-}diff) \ x = (\text{differ} \circ ?inc\text{-}KER) \ x$ 
    proof (cases  $x \in \text{kernel } D \ D \ h$ )
      case True
        from True and diff-group.diff-hom [of  $D$ ] and hom-completion-closed [of
diff  $D \ D \ x$ ] and
        hom-diff-def [of  $D \ D$ ] and h-hom-diff and expand-fun-eq [of  $h \circ \text{differ} \ \text{differ}$ 
 $\circ h$ ] and group-hom.hom-one [of  $D \ D \ \text{differ}$ ]
        and prems
        show  $(?inc\text{-}KER \circ ?compl\text{-}diff) \ x = (\text{differ} \circ ?inc\text{-}KER) \ x$ 
        unfolding diff-group-def comm-group-def group-hom-def
        group-hom-axioms-def kernel-def subset-eq hom-diff-def hom-completion-def
by simp
      case False
        from False and diff-group.diff-hom [of  $D$ ] and group-hom.hom-one [of  $D \ D$ 
diff  $D \ D \ x$ ] and prems
        show  $(?inc\text{-}KER \circ ?compl\text{-}diff) \ x = (\text{differ} \circ ?inc\text{-}KER) \ x$ 
        unfolding diff-group-def comm-group-def group-hom-def group-hom-axioms-def
hom-completion-def by auto
    qed
  qed
qed

```

The following lemma corresponds to the second part of Lemma 2.2.14 in Aransay's memoir; we prove that a given triple of homomorphisms is a reduction

```

lemma (in lemma-2-2-14) lemma-2-2-14: shows reduction  $D \ \text{diff-group-ker-}p \ (1_R$ 
 $\ominus_R \ p) \ inc\text{-ker-}p \ h$ 
  (is reduction  $D \ ?KER \ (1_R \ominus_R \ p) \ ?inc\text{-}KER \ h$ )
proof (intro reductionI)
  from  $D\text{-diff-group}$  show diff-group  $D$  by simp
next
  from  $D\text{-diff-group} \ \text{diff-group-hom-diff}.kernel\text{-diff-group}$  [of  $D \ D \ p$ ] and diff-group-ker- $p\text{-def}$ 

```

```

and diff-group-hom-diffI [OF - - p-in-hom-diff]
  show diff-group ?KER by simp
next
  from diff-group-hom-diff.h-image-hom-diff [of D D 1R ⊖R p kernel D D p] and
  diff-group-hom-diffI [OF - - one-minus-p-hom-diff]
    and image-subset [OF p-in-R p-projector] and D-diff-group and diff-group-ker-p-def
  show 1R ⊖R p ∈ hom-diff D ?KER by simp
next
  from D-diff-group and inc-ker-hom-diff [OF - p-in-hom-diff] and diff-group-ker-p-def
and inc-ker-p-def
  show ?inc-KER ∈ hom-diff ?KER D by simp
next
  from h-hom show h ∈ hom-completion D D by simp
next

  show 1R ⊖R p ∘ ?inc-KER = (λx. if x ∈ carrier ?KER then id x else 1?KER)
    (is 1R ⊖R p ∘ ?inc-KER = ?id-KER)
  proof -

    from p-in-R have (1R ⊖R p) = (1R ⊕R (⊖R p)) by algebra
    also from ring-R and minus-interpret [OF p-in-R]
    have ... = (λx. if x ∈ carrier D then (λx. if x ∈ carrier D then id x else 1D)
  x
    ⊗ (λx. if x ∈ carrier D then inv p x else 1D) x else 1D) by simp
    finally have one-minus-p: (1R ⊖R p) = (λx. if x ∈ carrier D then (λx. if x
  ∈ carrier D then id x else 1D) x
    ⊗ (λx. if x ∈ carrier D then inv p x else 1D) x else 1D) by simp
    then show 1R ⊖R p ∘ ?inc-KER = ?id-KER
    proof -
      from group-hom.hom-one [of D D p] and p-in-hom-diff and D-diff-group
    have p 1 = 1
      unfolding group-hom-def group-hom-axioms-def hom-diff-def diff-group-def
    comm-group-def hom-completion-def by simp
      then have inv-p-one: inv p 1 = 1 by simp
      with one-minus-p and diff-group-ker-p-def and inc-ker-p-def show ?thesis
    by (auto simp add: kernel-def expand-fun-eq)
    qed
    qed
  next

  show (λx. if x ∈ carrier D then
    (?inc-KER ∘ 1R ⊖R p) x ⊗ (if x ∈ carrier D then (differ ∘ h) x ⊗ (h ∘ differ)
  x else 1) else 1) =
    (λx. if x ∈ carrier D then id x else 1)

  proof -
    from ring-R
    have (λx. if x ∈ carrier D then (?inc-KER ∘ 1R ⊖R p) x ⊗ (if x ∈ carrier D

```

then  $(\text{differ} \circ h) x \otimes (h \circ \text{differ}) x \text{ else } \mathbf{1}) \text{ else } \mathbf{1})$   
 $= (?inc-KER \otimes_R (\mathbf{1}_R \ominus_R p) \oplus_R (\text{differ} \otimes_R h \oplus_R h \otimes_R \text{differ})) \text{ by } (simp$   
*add: expand-fun-eq)*  
 also have  $\dots = (\mathbf{1}_R \ominus_R p) \oplus_R (\text{differ} \otimes_R h \oplus_R h \otimes_R \text{differ})$   
 proof –  
 from *ring-R* have  $?inc-KER \otimes_R (\mathbf{1}_R \ominus_R p) = ?inc-KER \circ \mathbf{1}_R \ominus_R p \text{ by}$   
*simp*  
 also have  $?inc-KER \circ \mathbf{1}_R \ominus_R p = \mathbf{1}_R \ominus_R p$   
 proof (rule *ext*)  
 fix  $x$   
 show  $(?inc-KER \circ \mathbf{1}_R \ominus_R p) x = (\mathbf{1}_R \ominus_R p) x$   
 proof (cases  $x \in \text{carrier } D$ )  
 case *True* with *image-subset* [*OF p-in-R p-projector*] have  $(\mathbf{1}_R \ominus_R p) x$   
 $\in \text{kernel } D \text{ } D \text{ } p \text{ by } (auto \text{ simp } add: \text{imageI})$   
 with *inc-ker-p-def* show  $?thesis \text{ by } simp$   
 next  
 case *False* from *inc-ker-p-def* and *minus-closed* [*OF one-closed p-in-R*]  
 and *ring-R*  
 and *completion-closed2* [*OF - False, of (\mathbf{1}\_R \ominus\_R p) D*] show  $?thesis$   
 unfolding *hom-completion-def* by *simp*  
 qed  
 qed  
 finally have  $?inc-KER \otimes_R (\mathbf{1}_R \ominus_R p) = \mathbf{1}_R \ominus_R p \text{ by } simp$   
 then show  $(?inc-KER \otimes_R (\mathbf{1}_R \ominus_R p) \oplus_R (\text{differ} \otimes_R h \oplus_R h \otimes_R \text{differ}))$   
 $= (\mathbf{1}_R \ominus_R p) \oplus_R (\text{differ} \otimes_R h \oplus_R h \otimes_R \text{differ}) \text{ by } simp$   
 qed  
 also from *p-def* have  $\dots = (\mathbf{1}_R \ominus_R p) \oplus_R p \text{ by } simp$   
 also from *p-in-R* have  $\dots = \mathbf{1}_R \text{ by algebra}$   
 also from *ring-R* have  $\dots = (\lambda x. \text{if } x \in \text{carrier } D \text{ then id } x \text{ else } \mathbf{1}) \text{ by } simp$   
 finally show  $?thesis \text{ by } simp$   
 qed  
 next  
 from *prems* show  $\mathbf{1}_R \ominus_R p \circ h = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } \mathbf{1}_{?KER} \text{ else } \mathbf{1}_{?KER})$   
 (is  $\mathbf{1}_R \ominus_R p \circ h = ?zero-R$ )  
 proof –  
 from *ring-R* have  $\mathbf{1}_R \ominus_R p \circ h = (\mathbf{1}_R \ominus_R p) \otimes_R h \text{ by } simp$   
 also from *h-in-R* and *p-in-R* have  $\dots = h \ominus_R (p \otimes_R h) \text{ by algebra}$   
 also from *p-def* have  $\dots = h \ominus_R ((\text{differ} \otimes_R h \oplus_R h \otimes_R \text{differ}) \otimes_R h) \text{ by}$   
*simp*  
 also from *diff-in-R* and *h-in-R* and *hdh-h* and *h-nil* have  $\dots = h \ominus_R h \text{ by}$   
*algebra*  
 also from *h-in-R* have  $\dots = \mathbf{0}_R \text{ by algebra}$   
 also from *ring-R* and *diff-group-ker-p-def* have  $\dots = ?zero-R \text{ by } simp$   
 finally show  $\mathbf{1}_R \ominus_R p \circ h = ?zero-R \text{ by } simp$   
 qed  
 next  
 show  $h \circ ?inc-KER = (\lambda x. \text{if } x \in \text{carrier } \text{diff-group-ker-p} \text{ then } \mathbf{1} \text{ else } \mathbf{1})$

```

(is h ∘ ?inc-KER = ?zero-KER)

proof (unfold inc-ker-p-def diff-group-ker-p-def, auto simp add: expand-fun-eq)

  fix x
  assume x-in-ker: x ∈ kernel D D p
  show h x = 1
  proof -
    from hdh-h and ring-R and expand-fun-eq [of h ∘ differ ∘ h h] have h x =
    h ((differ) (h x)) by auto
    also from sym [OF D.r-one [of h ((differ) (h x))]] and hom-completion-closed
    [OF h-hom, of x]
    and x-in-ker and hom-completion-closed [of differ D D h x] and D.diff-hom

    and hom-completion-closed [OF h-hom, of (differ) (h x)] have ... = h
    ((differ) (h x)) ⊗D 1 by (unfold kernel-def, simp)
    also from h-nil and ring-R have ... = h ((differ) (h x)) ⊗D h (h ((differ)
    x)) by (simp add: expand-fun-eq)
    also from sym [OF hom-completion-mult [OF h-hom, of (differ) (h x) h
    ((differ) x)]] and D.diff-hom
    and hom-completion-closed [OF h-hom, of (differ) x] hom-completion-closed
    [OF D.diff-hom, of x]
    and hom-completion-closed [OF D.diff-hom, of h x] hom-completion-closed
    [OF h-hom, of x] and x-in-ker
    have ... = h ((differ) (h x)) ⊗D h ((differ) x) unfolding kernel-def by simp
    also from p-def and ring-R and x-in-ker have ... = h (p (x)) unfolding
    expand-fun-eq kernel-def by simp
    also from x-in-ker have ... = h 1D unfolding kernel-def by simp
    also from hom-completion-one [OF - - h-hom] and D-diff-group have ... =
    1D unfolding diff-group-def comm-group-def group-def by simp
    finally show h x = 1 by simp
  qed
next

  fix x assume x ∉ kernel D D p
  from hom-completion-one [OF - - h-hom] and D-diff-group show h 1D = 1D
  unfolding diff-group-def comm-group-def group-def by simp
  qed
next

  from h-nil and ring-R show h ∘ h = (λx. if x ∈ carrier D then 1 else 1) by
  simp
  qed

end

```

## 5 Infinite Sets and Related Concepts

theory *Infinite-Set*

```

imports ATP-Linkup
begin

```

## 5.1 Infinite Sets

Some elementary facts about infinite sets, mostly by Stefan Merz. Beware! Because "infinite" merely abbreviates a negation, these lemmas may not work well with *blast*.

### abbreviation

```

infinite :: 'a set  $\Rightarrow$  bool where
infinite S ==  $\neg$  finite S

```

Infinite sets are non-empty, and if we remove some elements from an infinite set, the result is still infinite.

```

lemma infinite-imp-nonempty: infinite S  $\implies$  S  $\neq$  {}
by auto

```

```

lemma infinite-remove:
infinite S  $\implies$  infinite (S - {a})
by simp

```

```

lemma Diff-infinite-finite:
assumes T: finite T and S: infinite S
shows infinite (S - T)
using T
proof induct
from S
show infinite (S - {}) by auto
next
fix T x
assume ih: infinite (S - T)
have S - (insert x T) = (S - T) - {x}
by (rule Diff-insert)
with ih
show infinite (S - (insert x T))
by (simp add: infinite-remove)
qed

```

```

lemma Un-infinite: infinite S  $\implies$  infinite (S  $\cup$  T)
by simp

```

```

lemma infinite-super:
assumes T: S  $\subseteq$  T and S: infinite S
shows infinite T
proof
assume finite T
with T have finite S by (simp add: finite-subset)
with S show False by simp

```

qed

As a concrete example, we prove that the set of natural numbers is infinite.

```
lemma finite-nat-bounded:
  assumes  $S$ : finite ( $S::\text{nat set}$ )
  shows  $\exists k. S \subseteq \{..<k\}$  (is  $\exists k. ?bounded\ S\ k$ )
using  $S$ 
proof induct
  have  $?bounded\ \{\}\ 0$  by simp
  then show  $\exists k. ?bounded\ \{\}\ k$  ..
next
  fix  $S\ x$ 
  assume  $\exists k. ?bounded\ S\ k$ 
  then obtain  $k$  where  $k$ :  $?bounded\ S\ k$  ..
  show  $\exists k. ?bounded\ (\text{insert } x\ S)\ k$ 
  proof (cases  $x < k$ )
    case True
    with  $k$  show  $?thesis$  by auto
  next
    case False
    with  $k$  have  $?bounded\ S\ (\text{Suc } x)$  by auto
    then show  $?thesis$  by auto
  qed
qed
```

```
lemma finite-nat-iff-bounded:
   $\text{finite } (S::\text{nat set}) = (\exists k. S \subseteq \{..<k\})$  (is  $?lhs = ?rhs$ )
proof
  assume  $?lhs$ 
  then show  $?rhs$  by (rule finite-nat-bounded)
next
  assume  $?rhs$ 
  then obtain  $k$  where  $S \subseteq \{..<k\}$  ..
  then show finite  $S$ 
  by (rule finite-subset) simp
qed
```

```
lemma finite-nat-iff-bounded-le:
   $\text{finite } (S::\text{nat set}) = (\exists k. S \subseteq \{..k\})$  (is  $?lhs = ?rhs$ )
proof
  assume  $?lhs$ 
  then obtain  $k$  where  $S \subseteq \{..<k\}$ 
  by (blast dest: finite-nat-bounded)
  then have  $S \subseteq \{..k\}$  by auto
  then show  $?rhs$  ..
next
  assume  $?rhs$ 
  then obtain  $k$  where  $S \subseteq \{..k\}$  ..
  then show finite  $S$ 
```



```

    by (rule finite-subset) simp
qed

lemma infinite-nat-iff-unbounded:
  infinite (S::nat set) = ( $\forall m. \exists n. m < n \wedge n \in S$ )
  (is ?lhs = ?rhs)
proof
  assume ?lhs
  show ?rhs
  proof (rule ccontr)
    assume  $\neg ?rhs$ 
    then obtain m where m:  $\forall n. m < n \longrightarrow n \notin S$  by blast
    then have  $S \subseteq \{..m\}$ 
      by (auto simp add: sym [OF linorder-not-less])
    with ⟨?lhs⟩ show False
      by (simp add: finite-nat-iff-bounded-le)
  qed
next
  assume ?rhs
  show ?lhs
  proof
    assume finite S
    then obtain m where  $S \subseteq \{..m\}$ 
      by (auto simp add: finite-nat-iff-bounded-le)
    then have  $\forall n. m < n \longrightarrow n \notin S$  by auto
    with ⟨?rhs⟩ show False by blast
  qed
qed

lemma infinite-nat-iff-unbounded-le:
  infinite (S::nat set) = ( $\forall m. \exists n. m \leq n \wedge n \in S$ )
  (is ?lhs = ?rhs)
proof
  assume ?lhs
  show ?rhs
  proof
    fix m
    from ⟨?lhs⟩ obtain n where  $m < n \wedge n \in S$ 
      by (auto simp add: infinite-nat-iff-unbounded)
    then have  $m \leq n \wedge n \in S$  by simp
    then show  $\exists n. m \leq n \wedge n \in S$  ..
  qed
next
  assume ?rhs
  show ?lhs
  proof (auto simp add: infinite-nat-iff-unbounded)
    fix m
    from ⟨?rhs⟩ obtain n where  $\text{Suc } m \leq n \wedge n \in S$ 
      by blast
  qed

```

```

    then have  $m < n \wedge n \in S$  by simp
    then show  $\exists n. m < n \wedge n \in S$  ..
  qed
qed

```

For a set of natural numbers to be infinite, it is enough to know that for any number larger than some  $k$ , there is some larger number that is an element of the set.

```

lemma unbounded-k-infinite:
  assumes  $k: \forall m. k < m \longrightarrow (\exists n. m < n \wedge n \in S)$ 
  shows infinite ( $S :: \text{nat set}$ )
proof -
  {
    fix  $m$  have  $\exists n. m < n \wedge n \in S$ 
    proof (cases  $k < m$ )
      case True
        with  $k$  show ?thesis by blast
      next
        case False
          from  $k$  obtain  $n$  where  $\text{Suc } k < n \wedge n \in S$  by auto
          with False have  $m < n \wedge n \in S$  by auto
          then show ?thesis ..
    qed
  }
  then show ?thesis
  by (auto simp add: infinite-nat-iff-unbounded)
qed

```

```

lemma nat-infinite [simp]: infinite ( $UNIV :: \text{nat set}$ )
  by (auto simp add: infinite-nat-iff-unbounded)

```

```

lemma nat-not-finite [elim]: finite ( $UNIV :: \text{nat set}$ )  $\implies R$ 
  by simp

```

Every infinite set contains a countable subset. More precisely we show that a set  $S$  is infinite if and only if there exists an injective function from the naturals into  $S$ .

```

lemma range-inj-infinite:
   $\text{inj } (f :: \text{nat} \Rightarrow 'a) \implies \text{infinite } (\text{range } f)$ 
proof
  assume inj  $f$ 
  and finite ( $\text{range } f$ )
  then have finite ( $UNIV :: \text{nat set}$ )
    by (auto intro: finite-imageD simp del: nat-infinite)
  then show False by simp
qed

```

```

lemma int-infinite [simp]:
  shows infinite ( $UNIV :: \text{int set}$ )

```

```

proof –
  from inj-int have infinite (range int) by (rule range-inj-infinite)
  moreover
    have range int  $\subseteq$  (UNIV::int set) by simp
    ultimately show infinite (UNIV::int set) by (simp add: infinite-super)
qed

```

The “only if” direction is harder because it requires the construction of a sequence of pairwise different elements of an infinite set  $S$ . The idea is to construct a sequence of non-empty and infinite subsets of  $S$  obtained by successively removing elements of  $S$ .

```

lemma linorder-injI:
  assumes hyp:  $\forall x\ y. x < (y::'a::linorder) \implies f\ x \neq f\ y$ 
  shows inj f
proof (rule inj-onI)
  fix  $x\ y$ 
  assume f-eq:  $f\ x = f\ y$ 
  show  $x = y$ 
  proof (rule linorder-cases)
    assume  $x < y$ 
    with hyp have  $f\ x \neq f\ y$  by blast
    with f-eq show ?thesis by simp
  next
    assume  $x = y$ 
    then show ?thesis .
  next
    assume  $y < x$ 
    with hyp have  $f\ y \neq f\ x$  by blast
    with f-eq show ?thesis by simp
  qed
qed

```

```

lemma infinite-countable-subset:
  assumes inf: infinite (S::'a set)
  shows  $\exists f. \text{inj } (f::\text{nat} \Rightarrow 'a) \wedge \text{range } f \subseteq S$ 
proof –
  def Sseq  $\equiv$  nat-rec S ( $\lambda n\ T. T - \{SOME\ e. e \in T\}$ )
  def pick  $\equiv$   $\lambda n. (SOME\ e. e \in Sseq\ n)$ 
  have Sseq-inf:  $\bigwedge n. \text{infinite } (Sseq\ n)$ 
  proof –
    fix  $n$ 
    show infinite (Sseq n)
    proof (induct n)
      from inf show infinite (Sseq 0)
      by (simp add: Sseq-def)
    next
      fix  $n$ 
      assume infinite (Sseq n) then show infinite (Sseq (Suc n))
      by (simp add: Sseq-def infinite-remove)
  qed

```

```

    qed
  qed
  have Sseq-S:  $\bigwedge n. Sseq\ n \subseteq S$ 
  proof -
    fix n
    show Sseq n  $\subseteq S$ 
    by (induct n) (auto simp add: Sseq-def)
  qed
  have Sseq-pick:  $\bigwedge n. pick\ n \in Sseq\ n$ 
  proof -
    fix n
    show pick n  $\in Sseq\ n$ 
    proof (unfold pick-def, rule someI-ex)
      from Sseq-inf have infinite (Sseq n) .
      then have Sseq n  $\neq \{\}$  by auto
      then show  $\exists x. x \in Sseq\ n$  by auto
    qed
  qed
  with Sseq-S have rng: range pick  $\subseteq S$ 
  by auto
  have pick-Sseq-gt:  $\bigwedge n\ m. pick\ n \notin Sseq\ (n + Suc\ m)$ 
  proof -
    fix n m
    show pick n  $\notin Sseq\ (n + Suc\ m)$ 
    by (induct m) (auto simp add: Sseq-def pick-def)
  qed
  have pick-pick:  $\bigwedge n\ m. pick\ n \neq pick\ (n + Suc\ m)$ 
  proof -
    fix n m
    from Sseq-pick have pick (n + Suc m)  $\in Sseq\ (n + Suc\ m)$  .
    moreover from pick-Sseq-gt
    have pick n  $\notin Sseq\ (n + Suc\ m)$  .
    ultimately show pick n  $\neq pick\ (n + Suc\ m)$ 
    by auto
  qed
  have inj: inj pick
  proof (rule linorder-injI)
    fix i j :: nat
    assume i < j
    show pick i  $\neq pick\ j$ 
    proof
      assume eq: pick i = pick j
      from (i < j) obtain k where j = i + Suc k
      by (auto simp add: less-iff-Suc-add)
      with pick-pick have pick i  $\neq pick\ j$  by simp
      with eq show False by simp
    qed
  qed
  from rng inj show ?thesis by auto

```

qed

**lemma** *infinite-iff-countable-subset*:

*infinite*  $S = (\exists f. \text{inj } (f::\text{nat} \Rightarrow 'a) \wedge \text{range } f \subseteq S)$

**by** (*auto simp add: infinite-countable-subset range-inj-infinite infinite-super*)

For any function with infinite domain and finite range there is some element that is the image of infinitely many domain elements. In particular, any infinite sequence of elements from a finite set contains some element that occurs infinitely often.

**lemma** *inf-img-fin-dom*:

**assumes** *img: finite* ( $f'A$ ) **and** *dom: infinite*  $A$

**shows**  $\exists y \in f'A. \text{infinite } (f - \{y\})$

**proof** (*rule ccontr*)

**assume**  $\neg ?thesis$

**with** *img* **have** *finite* ( $UN\ y:f'A. f - \{y\}$ ) **by** (*blast intro: finite-UN-I*)

**moreover** **have**  $A \subseteq (UN\ y:f'A. f - \{y\})$  **by** *auto*

**moreover** **note** *dom*

**ultimately** **show** *False* **by** (*simp add: infinite-super*)

qed

**lemma** *inf-img-fin-domE*:

**assumes** *finite* ( $f'A$ ) **and** *infinite*  $A$

**obtains**  $y$  **where**  $y \in f'A$  **and** *infinite* ( $f - \{y\}$ )

**using** *assms* **by** (*blast dest: inf-img-fin-dom*)

## 5.2 Infinitely Many and Almost All

We often need to reason about the existence of infinitely many (resp., all but finitely many) objects satisfying some predicate, so we introduce corresponding binders and their proof rules.

**definition**

*Inf-many*  $:: ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$  (**binder** *INFM* 10) **where**

*Inf-many*  $P = \text{infinite } \{x. P\ x\}$

**definition**

*Alm-all*  $:: ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$  (**binder** *MOST* 10) **where**

*Alm-all*  $P = (\neg (\text{INFM } x. \neg P\ x))$

**notation** (*xsymbols*)

*Inf-many* (**binder**  $\exists_\infty$  10) **and**

*Alm-all* (**binder**  $\forall_\infty$  10)

**notation** (*HTML output*)

*Inf-many* (**binder**  $\exists_\infty$  10) **and**

*Alm-all* (**binder**  $\forall_\infty$  10)

**lemma** *INF-EX*:

$(\exists_{\infty} x. P x) \implies (\exists x. P x)$   
**unfolding** *Inf-many-def*  
**proof** (*rule ccontr*)  
**assume** *inf*: *infinite*  $\{x. P x\}$   
**assume**  $\neg ?thesis$  **then have**  $\{x. P x\} = \{\}$  **by** *simp*  
**then have** *finite*  $\{x. P x\}$  **by** *simp*  
**with** *inf* **show** *False* **by** *simp*  
**qed**

**lemma** *MOST-iff-finiteNeg*:  $(\forall_{\infty} x. P x) = \text{finite } \{x. \neg P x\}$   
**by** (*simp add: Alm-all-def Inf-many-def*)

**lemma** *ALL-MOST*:  $\forall x. P x \implies \forall_{\infty} x. P x$   
**by** (*simp add: MOST-iff-finiteNeg*)

**lemma** *INF-mono*:  
**assumes** *inf*:  $\exists_{\infty} x. P x$  **and** *q*:  $\bigwedge x. P x \implies Q x$   
**shows**  $\exists_{\infty} x. Q x$   
**proof** –  
**from** *inf* **have** *infinite*  $\{x. P x\}$  **unfolding** *Inf-many-def* .  
**moreover from** *q* **have**  $\{x. P x\} \subseteq \{x. Q x\}$  **by** *auto*  
**ultimately show** *?thesis*  
**by** (*simp add: Inf-many-def infinite-super*)  
**qed**

**lemma** *MOST-mono*:  $\forall_{\infty} x. P x \implies (\bigwedge x. P x \implies Q x) \implies \forall_{\infty} x. Q x$   
**unfolding** *Alm-all-def* **by** (*blast intro: INF-mono*)

**lemma** *INF-nat*:  $(\exists_{\infty} n. P (n::nat)) = (\forall m. \exists n. m < n \wedge P n)$   
**by** (*simp add: Inf-many-def infinite-nat-iff-unbounded*)

**lemma** *INF-nat-le*:  $(\exists_{\infty} n. P (n::nat)) = (\forall m. \exists n. m \leq n \wedge P n)$   
**by** (*simp add: Inf-many-def infinite-nat-iff-unbounded-le*)

**lemma** *MOST-nat*:  $(\forall_{\infty} n. P (n::nat)) = (\exists m. \forall n. m < n \longrightarrow P n)$   
**by** (*simp add: Alm-all-def INF-nat*)

**lemma** *MOST-nat-le*:  $(\forall_{\infty} n. P (n::nat)) = (\exists m. \forall n. m \leq n \longrightarrow P n)$   
**by** (*simp add: Alm-all-def INF-nat-le*)

### 5.3 Enumeration of an Infinite Set

The set's element type must be wellordered (e.g. the natural numbers).

**consts**

*enumerate* :: 'a::wellorder set => (nat => 'a::wellorder)

**primrec**

*enumerate-0*: *enumerate* *S* 0 = (*LEAST* *n*. *n* ∈ *S*)

*enumerate-Suc*: *enumerate* *S* (*Suc* *n*) = *enumerate* (*S* – {*LEAST* *n*. *n* ∈ *S*}) *n*

```

lemma enumerate-Suc':
  enumerate S (Suc n) = enumerate (S - {enumerate S 0}) n
  by simp

lemma enumerate-in-set: infinite S  $\implies$  enumerate S n : S
  apply (induct n arbitrary: S)
  apply (fastsimp intro: LeastI dest!: infinite-imp-nonempty)
  apply (fastsimp iff: finite-Diff-singleton)
  done

declare enumerate-0 [simp del] enumerate-Suc [simp del]

lemma enumerate-step: infinite S  $\implies$  enumerate S n < enumerate S (Suc n)
  apply (induct n arbitrary: S)
  apply (rule order-le-neq-trans)
  apply (simp add: enumerate-0 Least-le enumerate-in-set)
  apply (simp only: enumerate-Suc')
  apply (subgoal-tac enumerate (S - {enumerate S 0}) 0 : S - {enumerate S
0})
  apply (blast intro: sym)
  apply (simp add: enumerate-in-set del: Diff-iff)
  apply (simp add: enumerate-Suc')
  done

lemma enumerate-mono: m < n  $\implies$  infinite S  $\implies$  enumerate S m < enumerate S
n
  apply (erule less-Suc-induct)
  apply (auto intro: enumerate-step)
  done

5.4 Miscellaneous

A few trivial lemmas about sets that contain at most one element. These
simplify the reasoning about deterministic automata.

definition
  atmost-one :: 'a set  $\Rightarrow$  bool where
    atmost-one S = ( $\forall x y. x \in S \wedge y \in S \longrightarrow x=y$ )

lemma atmost-one-empty: S = {}  $\implies$  atmost-one S
  by (simp add: atmost-one-def)

lemma atmost-one-singleton: S = {x}  $\implies$  atmost-one S
  by (simp add: atmost-one-def)

lemma atmost-one-unique [elim]: atmost-one S  $\implies$  x  $\in$  S  $\implies$  y  $\in$  S  $\implies$  y = x
  by (simp add: atmost-one-def)

end

```

## 6 Definition of local nilpotency and Lemmas 2.2.1 to 2.2.6 in Aransay's memoir

```

theory analytic-part-local
  imports
    lemma-2-2-14
    ~/src/HOL/Library/Infinite-Set
  begin

```

### 6.1 Definition of local nilpotent element and the bound function

```

locale local-nilpotent-term = ring-endomorphisms D R + var a + var bound-funct
+
  constrains bound-funct :: 'a => nat
  assumes a-in-R: a ∈ carrier R
  and a-local-nilpot:  $\forall x \in \text{carrier } D. (a \text{ } ^)_{\mathbf{R}} (\text{bound-funct } x) x = \mathbf{1}_D$ 
  and bound-is-least: bound-funct x = (LEAST n. (a ( ^ )R (n::nat)) x =  $\mathbf{1}_D$ )

```

The following lemma maybe could be included in the *Group.thy* file; there is already a lemma called  $\mathbf{1} \text{ } ( ^ ) \text{ } ?n = \mathbf{1}$ , about  $\mathbf{1}$ , but nothing about  $x \text{ } ( ^ ) (1::'c)$

```

lemma (in monoid) nat-pow-1: assumes x: x ∈ carrier G shows  $x \text{ } ( ^ )_G (1::\text{nat}) = x$ 
using nat-pow-Suc [of x 0] and nat-pow-0 [of x] and l-one [OF x] by simp

```

If the element *a* is nilpotent, with  $(a \text{ } ( ^ )_{\mathbf{R}} \text{ bound } x) x = \mathbf{1}$ , and *bound-funct* *x* ≤ *m*, then  $(a \text{ } ( ^ )_{\mathbf{R}} m) x = \mathbf{1}$

```

lemma (in local-nilpotent-term) a-n-zero-a-m-zero: assumes bound-le-m: bound-funct x ≤ m
shows  $(a \text{ } ( ^ )_{\mathbf{R}} (m)) x = \mathbf{1}_D$ 
proof (cases x ∈ carrier D)
  case True
    then have x-in-D: x ∈ carrier D by simp
    show  $(a \text{ } ( ^ )_{\mathbf{R}} m) x = \mathbf{1}$ 
      using a-local-nilpot and bound-le-m proof (induct m)
        case 0 assume bound-le-zero: bound-funct x ≤ 0 and alpha-n:  $\forall x \in \text{carrier } D. (a \text{ } ( ^ )_{\mathbf{R}} \text{ bound-funct } x) x = \mathbf{1}$  with x-in-D
          show  $(a \text{ } ( ^ )_{\mathbf{R}} (0::\text{nat})) x = \mathbf{1}_D$  by auto
        next
          case (Suc m)
            assume hypo:  $\llbracket \forall x \in \text{carrier } D. (a \text{ } ( ^ )_{\mathbf{R}} \text{ bound-funct } x) x = \mathbf{1}; \text{bound-funct } x \leq m \rrbracket \implies (a \text{ } ( ^ )_{\mathbf{R}} m) x = \mathbf{1}$ 
            and a-bound-funct:  $\forall x \in \text{carrier } D. (a \text{ } ( ^ )_{\mathbf{R}} \text{ bound-funct } x) x = \mathbf{1}$  and bound-funct-le-Suc-m: bound-funct x ≤ Suc m
            then show  $(a \text{ } ( ^ )_{\mathbf{R}} \text{ Suc } m) x = \mathbf{1}$ 
              proof (cases bound-funct x = Suc m)
                case True with a-bound-funct and x-in-D show ?thesis by auto

```



```

next
  case False with bound-funct-le-Suc-m have bound-funct  $x \leq m$  by arith
  with hypo and a-bound-funct have a-m:  $(a \text{ } (^) _R m) x = 1$  by simp
  have  $(a \text{ } (^) _R \text{Suc } m) x = (a \otimes_R (a \text{ } (^) _R m)) x$ 
  proof -
    have  $\text{Suc } m = (1::nat) + m$  by arith
    with sym [OF nat-pow-mult [OF a-in-R, of 1 m]] and nat-pow-1 [OF a-in-R]
  show ?thesis by (simp only: expand-fun-eq)
  qed
  also from ring-R and x-in-D and a-m have  $\dots = a \text{ } 1$  by simp
  also from ring-R and hom-completion-one [of D D a] and D-diff-group and
a-in-R have  $\dots = 1$ 
  unfolding diff-group-def comm-group-def group-def by simp
  finally show  $(a \text{ } (^) _R \text{Suc } m) x = 1$  by simp
  qed
qed
next
  case False
  with nat-pow-closed [OF a-in-R, of m] and ring-R and completion-closed2 [of
 $a \text{ } (^) _R m \text{ } D \text{ } D \text{ } x$ ]
  show  $(a \text{ } (^) _R m) x = 1$  unfolding hom-completion-def completion-fun2-def by
simp
qed

```

The following definition is the power series of the local nilpotent endomorphism  $a$  in an element of its domain  $x$ ; the power series is defined as the finite product in the differential group  $D$  of the powers  $\lambda i$ .  $(a \text{ } (^) _R i) x$ , up to *bound-funct*  $x$

A different solution would be to consider the finite sum in the ring of endomorphisms  $R$  of terms  $op \text{ } (^) _R a$  and then apply it to each element of the domain  $x$

The first solution seems to me more coherent with the notion of "local nilpotency" we are dealing with, but both are identical

## 6.2 Definition of power series and some lemmas

```

context local-nilpotent-term
begin
definition power-series  $x == \text{finprod } D (\lambda i::nat. (a \text{ } (^) _R i) x) \{.. \text{bound-funct } x\}$ 
end

```

Some results about the power series

```

lemma (in local-nilpotent-term) power-pi:  $(op \text{ } (^) _R a) \in \{..(k::nat)\} \rightarrow \text{carrier } R$ 
  using nat-pow-closed [OF a-in-R] and Pi-def [of  $\{..k\} (\lambda i::nat. \text{carrier } R)$ ] by
simp

```

**lemma** (in *local-nilpotent-term*) *power-pi-D*:  $(\lambda i::nat. (a(\cdot)_R i) x) \in \{..(k::nat)\}$   
 $\rightarrow$  *carrier D*  
**proof** (unfold *Pi-def*, *auto*, *cases*  $x \in \text{carrier } D$ )  
 fix  $i$   
 assume  $i \leq k$   
 from *nat-pow-closed* [*OF a-in-R*, *of i*] **have** *a-i-in-R*:  $(a(\cdot)_R i) \in \text{carrier } R$  **by** *simp*  
 case *True* **with** *a-i-in-R* **and** *ring-R* **and** *hom-completion-closed* [*of*  $(a(\cdot)_R i)$   $D D x$ ] **show**  $(a(\cdot)_R i) x \in \text{carrier } D$  **by** *simp*  
**next**  
 fix  $i$   
 assume  $i \leq k$   
 from *nat-pow-closed* [*OF a-in-R*, *of i*] **have** *a-i-in-R*:  $(a(\cdot)_R i) \in \text{carrier } R$  **by** *simp*  
 case *False* **with** *a-i-in-R completion-closed2* [*of*  $(a(\cdot)_R i)$   $D D x$ ] **and** *ring-R*  
**show**  $(a(\cdot)_R i) x \in \text{carrier } D$   
 unfolding *hom-completion-def completion-fun2-def* **by** *simp*  
**qed**

As we already stated,  $\lambda x. \bigotimes i \in \{..j\}. (a(\cdot)_R i) x$  is equal to *finsum R* (*op*  $(\cdot)_R a$ )  $\{..j\}$

**lemma** (in *local-nilpotent-term*) *finprod-eq-finsum-bound-funct*:  
**shows** *finprod D*  $(\lambda i::nat. (a(\cdot)_R i) x) \{..bound-funct x\} = ((\text{finsum } R (\lambda i::nat. (a(\cdot)_R i)) \{..bound-funct x\}) x)$   
**proof** (*induct bound-funct x*)  
 case 0  
 from *nat-pow-0* [*of a*] **and** *finsum-0* [*of op*  $(\cdot)_R a$ ] **and** *power-pi* [*of 0::nat*] **and** *finprod-0* [*of*  $(\lambda i::nat. (a(\cdot)_R i) x)$ ]  
**and** *power-pi-D* [*of x 0::nat*]  
**show**  $(\bigotimes i::nat \in \{..0::nat\}. (a(\cdot)_R i) x) = \text{finsum } R (\text{op } (\cdot)_R a) \{..0::nat\} x$   
**by** *simp*  
**next**  
 case (*Suc n*)  
 assume *hypo*:  $(\bigotimes i \in \{..n\}. (a(\cdot)_R i) x) = \text{finsum } R (\text{op } (\cdot)_R a) \{..n\} x$   
**show**  $(\bigotimes i \in \{..Suc n\}. (a(\cdot)_R i) x) = \text{finsum } R (\text{op } (\cdot)_R a) \{..Suc n\} x$   
**proof** (*cases*  $x \in \text{carrier } D$ )  
 case *True*  
 from *finsum-Suc* [*OF power-pi*, *of n*] **have**  $\text{finsum } R (\text{op } (\cdot)_R a) \{..Suc n\} = a(\cdot)_R \text{Suc } n \oplus_R \text{finsum } R (\text{op } (\cdot)_R a) \{..n\}$  **by** *simp*  
**with** *ring-R* **and** *True* **have**  $\text{finsum } R (\text{op } (\cdot)_R a) \{..Suc n\} x = (a(\cdot)_R \text{Suc } n) x \otimes_D (\text{finsum } R (\text{op } (\cdot)_R a) \{..n\} x)$  **by** *simp*  
**moreover**  
 from *True* **and** *finprod-Suc* [*OF power-pi-D*, *of x n*] **have**  $(\bigotimes i \in \{..Suc n\}. (a(\cdot)_R i) x) = (a(\cdot)_R \text{Suc } n) x \otimes (\bigotimes i \in \{..n\}. (a(\cdot)_R i) x)$   
**by** *simp*  
**with** *hypo* **have**  $(\bigotimes i \in \{..Suc n\}. (a(\cdot)_R i) x) = (a(\cdot)_R \text{Suc } n) x \otimes (\text{finsum } R (\text{op } (\cdot)_R a) \{..n\} x)$  **by** *simp*  
 ultimately **show** *?thesis* **by** *simp*  
**next**

```

case False
from finsum-closed [OF - power-pi] and finite-nat-iff-bounded [of {..Suc n}]
have finsum R (op (^)R a) {..Suc n} ∈ carrier R by simp
with ring-R and completion-closed2 [of finsum R (op (^)R a) {..Suc n} D D]
and False
have finsum-one: finsum R (op (^)R a) {..Suc n} x = 1 unfolding hom-completion-def
completion-fun2-def by simp
moreover
have  $\forall i \in \{..Suc\ n\}. (a\ (^)\_R\ i)\ x = \mathbf{1}$ 
proof
fix i assume i-in-nat: i ∈ {..Suc n}
from nat-pow-closed [OF a-in-R, of i] and ring-R and completion-closed2
[of - D D] and False show  $(a\ (^)\_R\ i)\ x = \mathbf{1}$ 
unfolding hom-completion-def completion-fun2-def by simp
qed
with finprod-cong [of {..Suc n} {..Suc n} ( $\lambda i. (a\ (^)\_R\ i)\ x$ )  $\lambda x. \mathbf{1}$ ] and
power-pi-D [of x Suc n]
have  $(\bigotimes_{i \in \{..Suc\ n\}}. (a\ (^)\_R\ i)\ x) = (\bigotimes_{i \in \{..Suc\ n\}}. \mathbf{1})$  by simp
with finprod-one [of {..Suc n}] and finite-nat-iff-bounded [of {..Suc n}] have
finprod-one:  $(\bigotimes_{i \in \{..Suc\ n\}}. (a\ (^)\_R\ i)\ x) = \mathbf{1}$ 
by simp
ultimately show ?thesis by simp
qed
qed

```

```

lemma (in local-nilpotent-term) power-series-closed: shows  $(\bigotimes_{i \in \{..m::nat\}}. (a\ (^)\_R\ i)\ x) \in \text{carrier } D$ 
proof (rule finprod-closed)
from finite-nat-iff-bounded show finite {..m} by auto
from power-pi-D [of x m] show  $(\lambda i::nat. (a\ (^)\_R\ i)\ x) \in \{..m\} \rightarrow \text{carrier } D$  by
simp
qed

```

The following result is equal to the previous one but for the case of definition of the power series

```

lemma (in local-nilpotent-term) power-series-closed2:  $(\bigotimes_{i \in \{..bound-funct\ x\}}. (a\ (^)\_R\ i)\ x) \in \text{carrier } D$ 
proof (rule finprod-closed)
from finite-nat-iff-bounded show finite {..bound-funct x} by auto
from power-pi-D [of x bound-funct x] and Pi-def [of {..bound-funct x} ( $\lambda i::nat. \text{carrier } R$ )]
show  $(\lambda i::nat. (a\ (^)\_R\ i)\ x) \in \{..bound-funct\ x\} \rightarrow \text{carrier } D$  by simp
qed

```

```

lemma (in local-nilpotent-term) power-series-extended: assumes bf-le-m: bound-funct
x ≤ m
shows power-series x = finprod D ( $\lambda i::nat. (a\ (^)\_R\ i)\ x$ ) {..m}
using bf-le-m proof (induct m)
case 0

```

```

    from this and power-series-def [of x] show power-series  $x = (\bigotimes_{i \in \{..(0::nat)\}})$ .
    ( $a \binom{\cdot}{\cdot}_R i$ )  $x$ ) by simp
  next
    case (Suc m)
    assume hypo: bound-funct  $x \leq m \implies$  power-series  $x = (\bigotimes_{i \in \{..m\}})$ . ( $a \binom{\cdot}{\cdot}_R i$ )
     $x$ ) and bf-le-Suc-m: bound-funct  $x \leq$  Suc m
    show power-series  $x = (\bigotimes_{i \in \{..Suc\ m\}})$ . ( $a \binom{\cdot}{\cdot}_R i$ )  $x$ )
    proof (cases bound-funct  $x =$  Suc m)
      case True thus ?thesis unfolding power-series-def by simp
    next
      case False with bf-le-Suc-m and hypo have hypo-m: power-series  $x = (\bigotimes_{i \in \{..m\}})$ .
      ( $a \binom{\cdot}{\cdot}_R i$ )  $x$ ) by arith
      from a-n-zero-a-m-zero [OF bf-le-Suc-m] have a-Suc-m-one: ( $a \binom{\cdot}{\cdot}_R$  Suc m)
       $x = 1$ .
      from power-pi-D [of x Suc m] and finprod-Suc [of ( $\lambda i::nat.$  ( $a \binom{\cdot}{\cdot}_R i$ )  $x$ ) m]
      have ( $\bigotimes_{i \in \{..Suc\ m\}})$ . ( $a \binom{\cdot}{\cdot}_R i$ )  $x$ ) = ( $a \binom{\cdot}{\cdot}_R$  Suc m)  $x \otimes (\bigotimes_{i \in \{..m\}})$ . ( $a \binom{\cdot}{\cdot}_R i$ )
       $x$ ) by simp
      also from a-Suc-m-one have ... =  $1 \otimes (\bigotimes_{i \in \{..m\}})$ . ( $a \binom{\cdot}{\cdot}_R i$ )  $x$ ) by simp
      also from hypo-m and D.l-one [OF power-series-closed [of x m]] have ... =
      power-series  $x$  by simp
      finally show ?thesis by simp
    qed
  qed

```

The power series is itself an endomorphism of the differential group

**lemma** (in local-nilpotent-term) power-series-in-R: shows power-series  $\in$  carrier  $R$

**proof** –

```

  have power-series  $\in$  hom-completion  $D\ D$ 
  proof (unfold hom-completion-def hom-def Pi-def, auto)
    fix x
    assume x-in-D:  $x \in$  carrier  $D$ 
    from power-series-closed [of x bound-funct x] and power-series-def [of x] show
    power-series  $x \in$  carrier  $D$  by simp
  next
    fix x y
    assume x-in-D:  $x \in$  carrier  $D$  and y-in-D:  $y \in$  carrier  $D$ 
    show power-series ( $x \otimes y$ ) = power-series  $x \otimes$  power-series  $y$ 
    proof –
      let ?max = max (bound-funct ( $x \otimes y$ )) (max (bound-funct  $x$ ) (bound-funct
      y))
      from power-series-extended [of  $x \otimes y$  ?max] have p-s-ex-xy: power-series ( $x \otimes y$ ) =
      ( $\bigotimes_{i \in \{..?max\}})$ . ( $a \binom{\cdot}{\cdot}_R i$ ) ( $x \otimes y$ )) by arith
      from power-series-extended [of x ?max] have p-s-ex-x: power-series  $x =$ 
      ( $\bigotimes_{i \in \{..?max\}})$ . ( $a \binom{\cdot}{\cdot}_R i$ )  $x$ ) by arith
      from power-series-extended [of y ?max] have p-s-ex-y: power-series  $y =$ 
      ( $\bigotimes_{i \in \{..?max\}})$ . ( $a \binom{\cdot}{\cdot}_R i$ )  $y$ ) by arith
      from p-s-ex-x and p-s-ex-y
      have power-series  $x \otimes$  power-series  $y = (\bigotimes_{i \in \{..?max\}})$ . ( $a \binom{\cdot}{\cdot}_R i$ )  $x$ )  $\otimes$ 

```

$(\bigotimes_{i \in \{..?max\}}. (a \ (\wedge)_R i) y)$  **by** *simp*  
**also from** *sym* [*OF finprod-mult* [*of* ( $\lambda i::nat. (a \ (\wedge)_R i) x$ ) *?max* ( $\lambda i::nat. (a \ (\wedge)_R i) y$ )]]  
**and** *power-pi-D* [*of* *x ?max*] *power-pi-D* [*of* *y ?max*] **and** *finite-nat-iff-bounded* [*of*  $\{..?max\}$ ]  
**have**  $\dots = (\bigotimes_{i \in \{..?max\}}. (a \ (\wedge)_R i) x \otimes (a \ (\wedge)_R i) y)$  **by** *simp*  
**also from** *nat-pow-closed* [*OF a-in-R*] **and** *hom-completion-mult* [*OF - x-in-D y-in-D, of - D*] **and** *ring-R*  
**have**  $\dots = (\bigotimes_{i \in \{..?max\}}. (a \ (\wedge)_R i) (x \otimes y))$  **by** *simp*  
**also from** *sym* [*OF p-s-ex-xy*] **have**  $\dots = \text{power-series } (x \otimes y)$  **by** *simp*  
**finally show** *?thesis* **by** *simp*  
**qed**  
**next**  
**show** *power-series*  $\in$  *completion-fun2 D D*  
**proof** (*unfold completion-fun2-def completion-def expand-fun-eq, simp, intro exI* [*of - power-series*], *auto*)  
**fix** *x*  
**assume**  $x \notin \text{carrier } D$   
**with** *nat-pow-closed* [*OF a-in-R*] **and** *completion-closed2* [*of - D D x*] **and** *ring-R* **have** ( $\lambda i::nat. (a \ (\wedge)_R i) x$ ) = ( $\lambda i::nat. \mathbf{1}$ )  
**by** (*unfold hom-completion-def expand-fun-eq, auto*)  
**with** *finprod-one* [*of*  $\{..bound-funct x\}$ ] **and** *power-series-def* [*of x*]  
**show** *power-series*  $x = \mathbf{1}$  **by** *auto*  
**qed**  
**qed**  
**with** *ring-R* **show** *power-series*  $\in$  *carrier R* **by** *simp*  
**qed**

### 6.3 Some basic operations over finite series

Right distributivity of the product

**lemma** (*in ring*) *finsum-dist-r*: **assumes** *a-in-R*:  $a \in \text{carrier } R$  **and** *b-in-R*:  $b \in \text{carrier } R$

**shows**  $b \otimes \text{finsum } R (op \ (\wedge) a) \{..(m::nat)\} = (\bigoplus_{i \in \{..(m::nat)\}}. b \otimes a \ (\wedge) i)$

**proof** (*induct m*)

**case** *0*

**from** *finsum-0* [*of*  $\lambda i::nat. a \ (\wedge) i$ ] **and** *finsum-0* [*of*  $\lambda i::nat. b \otimes a \ (\wedge) i$ ] **and** *b-in-R*

**show**  $b \otimes \text{finsum } R (op \ (\wedge) a) \{..(0::nat)\} = (\bigoplus_{i \in \{..(0::nat)\}}. b \otimes a \ (\wedge) i)$   
**by** *simp*

**next**

**case** (*Suc m*)

**assume** *hypo*:  $b \otimes \text{finsum } R (op \ (\wedge) a) \{..m\} = (\bigoplus_{i \in \{..m\}}. b \otimes a \ (\wedge) i)$

**show**  $b \otimes \text{finsum } R (op \ (\wedge) a) \{..Suc\ m\} = (\bigoplus_{i \in \{..Suc\ m\}}. b \otimes a \ (\wedge) i)$

**proof** –

**from** *finsum-Suc* [*of* ( $op \ (\wedge) a$ ) *m*] **and** *Pi-def* [*of*  $\{..Suc\ m\} \lambda i::nat. \text{carrier } R$ ] *nat-pow-closed* [*OF a-in-R*]

**have**  $b \otimes (\bigoplus_{i \in \{..Suc\ m\}}. a \ (\wedge) i) = b \otimes (a \ (\wedge) (Suc\ m) \oplus (\bigoplus_{i \in \{..m\}}. a \ (\wedge) i))$  **by** *simp*

**also from**  $r\text{-distr}$   $[OF\ nat\text{-}pow\text{-}closed\ [OF\ a\text{-}in\text{-}R,\ of\ Suc\ m] - b\text{-}in\text{-}R,\ of\ finsum\ R\ (op\ (\wedge)\ a)\ \{\cdot..m\}]$   
 $b\text{-}in\text{-}R\ nat\text{-}pow\text{-}closed\ [OF\ a\text{-}in\text{-}R,\ of\ Suc\ m]\ finsum\text{-}closed\ [of\ \{\cdot..m\}\ op\ (\wedge)\ a]$   
**and**  $Pi\text{-}def\ [of\ \{\cdot..m\}\ \lambda i::nat.\ carrier\ R]\ nat\text{-}pow\text{-}closed\ [OF\ a\text{-}in\text{-}R]$  **and**  
 $finite\text{-}nat\text{-}iff\text{-}bounded\ [of\ \{\cdot..m\}]$   
**have**  $\dots = b \otimes a(\wedge)(Suc\ m) \oplus b \otimes (\bigoplus_{i \in \{\cdot..m\}}. a(\wedge)\ i)$  **by**  $simp$   
**also from**  $hypo$  **have**  $\dots = b \otimes a(\wedge)(Suc\ m) \oplus (\bigoplus_{i \in \{\cdot..m\}}. b \otimes a(\wedge)\ i)$  **by**  
 $simp$   
**also from**  $finsum\text{-}Suc\ [of\ (\lambda i::nat.\ b \otimes a(\wedge)\ i),\ of\ m]$  **and**  $Pi\text{-}def\ [of\ \{\cdot..Suc\ m\}\ \lambda i::nat.\ carrier\ R]$   
 $nat\text{-}pow\text{-}closed\ [OF\ a\text{-}in\text{-}R]$  **and**  $b\text{-}in\text{-}R$   
**have**  $\dots = (\bigoplus_{i \in \{\cdot..Suc\ m\}}. b \otimes a(\wedge)\ i)$  **by**  $simp$   
**finally show**  $?thesis$  **by**  $simp$   
**qed**  
**qed**

**lemma** (in  $local\text{-}nilpotent\text{-}term$ )  $b\text{-}power\text{-}pi\text{-}D$ : **assumes**  $b\text{-}in\text{-}R$ :  $b \in carrier\ R$   
**shows**  $(\lambda i. b\ ((a(\wedge)_R\ i)\ x)) \in \{\cdot..(k::nat)\} \rightarrow carrier\ D$   
**using**  $power\text{-}pi\text{-}D\ [of\ x\ k]$  **and**  $ring\text{-}R$  **and**  $b\text{-}in\text{-}R$  **unfolding**  $hom\text{-}completion\text{-}def$   
 $completion\text{-}fun2\text{-}def\ completion\text{-}def\ hom\text{-}def\ Pi\text{-}def$  **by**  $auto$

**lemma** (in  $local\text{-}nilpotent\text{-}term$ )  $nat\text{-}pow\text{-}closed\text{-}D$ : **shows**  $(a(\wedge)_R\ (m::nat))\ x \in carrier\ D$   
**using**  $ring\text{-}R$  **and**  $nat\text{-}pow\text{-}closed\ [OF\ a\text{-}in\text{-}R,\ of\ m]$  **unfolding**  $hom\text{-}completion\text{-}def$   
 $completion\text{-}fun2\text{-}def\ completion\text{-}def\ hom\text{-}def\ Pi\text{-}def$  **by**  $auto$

Left distributivity of the product of a finite sum

**lemma** (in  $local\text{-}nilpotent\text{-}term$ )  $power\text{-}series\text{-}dist\text{-}l$ : **assumes**  $b\text{-}in\text{-}R$ :  $b \in carrier\ R$

**shows**  $b\ (\bigotimes_{i \in \{\cdot..(m::nat)\}}. (a(\wedge)_R\ i)\ x) = (\bigotimes_{i \in \{\cdot..(m::nat)\}}. (b\ ((a(\wedge)_R\ i)\ x)))$

**proof** (induct  $m$ )

**case** 0

**from**  $finprod\text{-}0\ [of\ (\lambda i. (a(\wedge)_R\ i)\ x)]$  **and**  $power\text{-}pi\text{-}D\ [of\ x\ 0::nat]$  **and**  $finprod\text{-}0$   
 $[of\ (\lambda i. b\ ((a(\wedge)_R\ i)\ x))]$

**and**  $b\text{-}power\text{-}pi\text{-}D\ [OF\ b\text{-}in\text{-}R,\ of\ x\ 0::nat]$  **show**  $b\ (\bigotimes_{i \in \{\cdot..0::nat\}}. (a(\wedge)_R\ i)\ x) = (\bigotimes_{i \in \{\cdot..0::nat\}}. b\ ((a(\wedge)_R\ i)\ x))$  **by**  $simp$

**next**

**case**  $(Suc\ m)$

**assume**  $hypo$ :  $b\ (\bigotimes_{i \in \{\cdot..m\}}. (a(\wedge)_R\ i)\ x) = (\bigotimes_{i \in \{\cdot..m\}}. b\ ((a(\wedge)_R\ i)\ x))$

**show**  $b\ (\bigotimes_{i \in \{\cdot..Suc\ m\}}. (a(\wedge)_R\ i)\ x) = (\bigotimes_{i \in \{\cdot..Suc\ m\}}. b\ ((a(\wedge)_R\ i)\ x))$

**proof** –

**from**  $finprod\text{-}Suc\ [OF\ power\text{-}pi\text{-}D\ [of\ x],\ of\ m]$  **have**  $b\ (\bigotimes_{i \in \{\cdot..Suc\ m\}}. (a(\wedge)_R\ i)\ x) = b\ ((a(\wedge)_R\ Suc\ m)\ x \otimes (\bigotimes_{i \in \{\cdot..m\}}. (a(\wedge)_R\ i)\ x))$

**by**  $simp$

**also from**  $b\text{-}in\text{-}R$  **and**  $ring\text{-}R$  **and**  $hom\text{-}completion\text{-}mult\ [of\ b\ D\ D\ (a(\wedge)_R\ Suc\ m)\ x\ (\bigotimes_{i \in \{\cdot..m\}}. (a(\wedge)_R\ i)\ x)]$

**and**  $finprod\text{-}closed\ [of\ \{\cdot..m\}\ (\lambda i. (a(\wedge)_R\ i)\ x)]\ power\text{-}pi\text{-}D\ [of\ x\ m]\ nat\text{-}pow\text{-}closed\text{-}D$

$[of\ Suc\ m\ x]$   
**have**  $\dots = b\ ((a\ (^)\_R\ Suc\ m)\ x) \otimes b\ ((\bigotimes_{i \in \{..m\}} (a\ (^)\_R\ i)\ x))$  **by** *simp*  
**also from** *hypo* **have**  $\dots = b\ ((a\ (^)\_R\ Suc\ m)\ x) \otimes (\bigotimes_{i \in \{..m\}} b\ ((a\ (^)\_R\ i)\ x))$  **by** *simp*  
**also from** *sym*  $[OF\ finprod\text{-}Suc\ [OF\ b\text{-}power\text{-}pi\text{-}D\ [OF\ b\text{-}in\text{-}R,\ of\ x],\ of\ m]]$   
**have**  $\dots = (\bigotimes_{i \in \{..Suc\ m\}} b\ ((a\ (^)\_R\ i)\ x))$  **by** *simp*  
**finally show** *?thesis* **by** *simp*  
**qed**  
**qed**

**lemma** (in *local-nilpotent-term*) *power-pi-b-D*: **assumes** *b-in-R*:  $b \in carrier\ R$   
**shows**  $(\lambda i. (a\ (^)\_R\ i)\ (b\ x)) \in \{..(k::nat)\} \rightarrow carrier\ D$   
**using** *power-pi-D*  $[of\ b\ x\ k]$  **and** *ring-R* **and** *b-in-R* **by** *simp*

**lemma** (in *local-nilpotent-term*) *power-series-dist-r*: **assumes** *b-in-R*:  $b \in carrier\ R$   
**shows**  $(\lambda x. (\bigotimes_{i \in \{..m\}} (a\ (^)\_R\ i)\ x))\ (b\ x) = (\bigotimes_{i \in \{..(m::nat)\}} ((a\ (^)\_R\ i)\ (b\ x)))$  **by** *simp*

The following lemma showed to be useful in some situations

**lemma** (in *comm-monoid*) *finprod-singleton*  $[simp]$ :  
 $f \in \{i::nat\} \rightarrow carrier\ G \implies finprod\ G\ f\ \{i\} = f\ i$  **by** (*simp add: Pi-def*)

Finite series can be decomposed in the product of its first element and the remaining part

**lemma** (in *local-nilpotent-term*) *power-series-first-element*:  
**shows**  $finprod\ D\ (\lambda i::nat. (a\ (^)\_R\ i)\ x)\ \{..(i::nat)\} = (a\ (^)\_R\ (0::nat))\ x \otimes finprod\ D\ (\lambda i::nat. (a\ (^)\_R\ i)\ x)\ \{1..(i::nat)\}$   
**proof** (*induct i*)  
**case**  $0$   
**from** *ring-R* **have** *one-x*:  $1_R\ x \in carrier\ D$  **unfolding** *hom-completion-def completion-fun2-def completion-def* **by** *auto*  
**from** *finprod-0*  $[of\ (\lambda i. (a\ (^)\_R\ i)\ x)]$  **and** *power-pi-D*  $[of\ x\ 0]$  **and** *D.r-one*  $[OF\ one\text{-}x]$   
**show**  $(\bigotimes_{i \in \{..(0::nat)\}} (a\ (^)\_R\ i)\ x) = (a\ (^)\_R\ (0::nat))\ x \otimes (\bigotimes_{i \in \{(1::nat)..0\}} (a\ (^)\_R\ i)\ x)$  **by** *simp*  
**next**  
**case** (*Suc i*)  
**assume** *hypo*:  $(\bigotimes_{i \in \{..i\}} (a\ (^)\_R\ i)\ x) = (a\ (^)\_R\ (0::nat))\ x \otimes (\bigotimes_{i \in \{1..i\}} (a\ (^)\_R\ i)\ x)$   
**show**  $(\bigotimes_{i \in \{..Suc\ i\}} (a\ (^)\_R\ i)\ x) = (a\ (^)\_R\ (0::nat))\ x \otimes (\bigotimes_{i \in \{1..Suc\ i\}} (a\ (^)\_R\ i)\ x)$   
**proof** —  
**from** *finprod-Suc*  $[of\ (\lambda i::nat. (a\ (^)\_R\ i)\ x)\ i]$  **and** *power-pi-D*  $[of\ x\ Suc\ i]$   
**have**  $(\bigotimes_{i \in \{..Suc\ i\}} (a\ (^)\_R\ i)\ x) = (a\ (^)\_R\ Suc\ i)\ x \otimes (\bigotimes_{i \in \{..i\}} (a\ (^)\_R\ i)\ x)$  **by** *simp*  
**also from** *hypo* **have**  $\dots = (a\ (^)\_R\ Suc\ i)\ x \otimes ((a\ (^)\_R\ (0::nat))\ x \otimes (\bigotimes_{i \in \{1..i\}} (a\ (^)\_R\ i)\ x))$  **by** *simp*

**also have** ... =  $((a \text{ } ^\wedge)_R \text{ Suc } i) x \otimes (a \text{ } ^\wedge)_R (0::\text{nat})) x \otimes (\bigotimes_{i \in \{1..i\}}. (a \text{ } ^\wedge)_R i) x$   
**proof** (rule sym [OF D.m-assoc [of  $(a \text{ } ^\wedge)_R \text{ Suc } i) x (a \text{ } ^\wedge)_R (0::\text{nat})) x (\bigotimes_{i \in \{1..i\}}. (a \text{ } ^\wedge)_R i) x$ ]])  
**from** nat-pow-closed-D [of Suc i x] **show**  $(a \text{ } ^\wedge)_R \text{ Suc } i) x \in \text{carrier } D$  **by** simp  
**from** nat-pow-closed-D [of 0::nat x] **show**  $(a \text{ } ^\wedge)_R (0::\text{nat})) x \in \text{carrier } D$   
**by** simp  
**from** finprod-closed [of  $\{1..i\} (\lambda i::\text{nat}. (a \text{ } ^\wedge)_R i) x$ ] **and** finite-nat-iff-bounded [of  $\{1..i\}$ ]  
**and** nat-pow-closed-D [of - x] **and** Pi-def [of  $\{1..i\} \lambda i::\text{nat}. \text{carrier } D$ ]  
**show**  $(\bigotimes_{i \in \{1..i\}}. (a \text{ } ^\wedge)_R i) x \in \text{carrier } D$  **by** simp  
**qed**  
**also from** m-comm [OF nat-pow-closed-D [of Suc i x] nat-pow-closed-D [of 0::nat x]]  
**have** ... =  $((a \text{ } ^\wedge)_R (0::\text{nat})) x \otimes (a \text{ } ^\wedge)_R \text{ Suc } i) x \otimes (\bigotimes_{i \in \{1..i\}}. (a \text{ } ^\wedge)_R i) x$  **by** simp  
**also have** ... =  $(a \text{ } ^\wedge)_R (0::\text{nat})) x \otimes ((a \text{ } ^\wedge)_R \text{ Suc } i) x \otimes (\bigotimes_{i \in \{1..i\}}. (a \text{ } ^\wedge)_R i) x$   
**proof** (rule D.m-assoc [of  $(a \text{ } ^\wedge)_R (0::\text{nat})) x (a \text{ } ^\wedge)_R (\text{Suc } i) x (\bigotimes_{i \in \{1..i\}}. (a \text{ } ^\wedge)_R i) x$ ]])  
**from** nat-pow-closed-D [of Suc i x] **show**  $(a \text{ } ^\wedge)_R \text{ Suc } i) x \in \text{carrier } D$  **by** simp  
**from** nat-pow-closed-D [of 0::nat x] **show**  $(a \text{ } ^\wedge)_R (0::\text{nat})) x \in \text{carrier } D$   
**by** simp  
**from** finprod-closed [of  $\{1..i\} (\lambda i::\text{nat}. (a \text{ } ^\wedge)_R i) x$ ] **and** finite-nat-iff-bounded [of  $\{1..i\}$ ]  
**and** nat-pow-closed-D [of - x] **and** Pi-def [of  $\{1..i\} \lambda i::\text{nat}. \text{carrier } D$ ]  
**show**  $(\bigotimes_{i \in \{1..i\}}. (a \text{ } ^\wedge)_R i) x \in \text{carrier } D$  **by** simp  
**qed**  
**also from** nat-pow-closed-D [of Suc i x]  
**have** ... =  $(a \text{ } ^\wedge)_R (0::\text{nat})) x \otimes ((\bigotimes_{i \in \{\text{Suc } i\}}. (a \text{ } ^\wedge)_R i) x \otimes (\bigotimes_{i \in \{1..i\}}. (a \text{ } ^\wedge)_R i) x)$  **by** simp  
**also from** sym [OF finprod-Un-disjoint [of  $\{\text{Suc } i\} \{1..i\} (\lambda i::\text{nat}. (a \text{ } ^\wedge)_R i) x$ ]]  
**and** finite-nat-iff-bounded [of  $\{1..i\}$ ] finite-nat-iff-bounded [of  $\{\text{Suc } i\}$ ] **and** Pi-def [of  $\{(1::\text{nat})..i\} (\lambda i::\text{nat}. \text{carrier } D)$ ]  
Pi-def [of  $\{\text{Suc } i\} (\lambda i::\text{nat}. \text{carrier } D)$ ] **and** nat-pow-closed-D [of Suc i x] nat-pow-closed-D [of - x]  
**have**  $(a \text{ } ^\wedge)_R (0::\text{nat})) x \otimes ((\bigotimes_{i \in \{\text{Suc } i\}}. (a \text{ } ^\wedge)_R i) x \otimes (\bigotimes_{i \in \{1..i\}}. (a \text{ } ^\wedge)_R i) x)$   
=  $(a \text{ } ^\wedge)_R (0::\text{nat})) x \otimes (\bigotimes_{i \in \{1..i\} \cup \{\text{Suc } i\}}. (a \text{ } ^\wedge)_R i) x$  **by** simp  
**also have** ... =  $(a \text{ } ^\wedge)_R (0::\text{nat})) x \otimes (\bigotimes_{i \in \{1..\text{Suc } i\}}. (a \text{ } ^\wedge)_R i) x$   
**proof** –  
**have**  $\{1..i\} \cup \{\text{Suc } i\} = \{1..\text{Suc } i\}$  **by** auto  
**then show** ?thesis **by** simp  
**qed**  
**finally show** ?thesis **by** simp  
**qed**



qed

Finite series which start in index one can be seen as the product of the generic term and the finite series in index zero

**lemma** (in *local-nilpotent-term*) *power-series-factor*: **shows**  $(\bigotimes_{j \in \{1::nat\}..Suc\ i}. (a\ (^)\_R\ j)\ x) = a\ (\bigotimes_{j \in \{..i\}}. (a\ (^)\_R\ j)\ x)$

**proof** (induct *i*)

case 0

have  $a\ x = a\ (1_R\ x)$

**proof** (cases  $x \in carrier\ D$ )

case True **with** *ring-R* **show**  $a\ x = a\ (1_R\ x)$  **by** *simp*

next

case False **with** *completion-closed2* [of  $a\ D\ D\ x$ ] *hom-completion-one* [of  $D\ D\ a$ ] **and** *D-diff-group* **and** *a-in-R* **and** *ring-R*

**show**  $a\ x = a\ (1_R\ x)$  **unfolding** *diff-group-def* *comm-group-def* *group-def* *hom-completion-def* *completion-fun2-def* **by** *simp*

qed

**with** *finprod-0* [of  $(\lambda j::nat. (a\ (^)\_R\ j)\ x)$ ] *finprod-insert* [of  $\{ \}$  *Suc 0*  $(\lambda j::nat. (a\ (^)\_R\ j)\ x)$ ]

**and** *nat-pow-closed-D* [of *Suc 0*  $x$ ] **and** *nat-pow-closed-D* [of  $0\ x$ ] *a-in-R* *finprod-singleton* [of  $(\lambda j::nat. (a\ (^)\_R\ j)\ x)$  *Suc 0*]

**show**  $(\bigotimes_{j \in \{1..Suc\ 0\}}. (a\ (^)\_R\ j)\ x) = a\ (\bigotimes_{j \in \{..0::nat\}}. (a\ (^)\_R\ j)\ x)$  **by** *simp*

next

case (*Suc i*)

**assume** *hypo*:  $(\bigotimes_{j \in \{1..Suc\ i\}}. (a\ (^)\_R\ j)\ x) = a\ (\bigotimes_{j \in \{..i\}}. (a\ (^)\_R\ j)\ x)$

**show**  $(\bigotimes_{j \in \{1..Suc\ (Suc\ i)\}}. (a\ (^)\_R\ j)\ x) = a\ (\bigotimes_{j \in \{..Suc\ i\}}. (a\ (^)\_R\ j)\ x)$

**proof** –

have  $(\bigotimes_{j \in \{1..Suc\ (Suc\ i)\}}. (a\ (^)\_R\ j)\ x) = (\bigotimes_{j \in \{1..Suc\ i\} \cup \{Suc\ (Suc\ i)\}}. (a\ (^)\_R\ j)\ x)$

**proof** –

have  $\{1..Suc\ i\} \cup \{Suc\ (Suc\ i)\} = \{1..Suc\ (Suc\ i)\}$  **by** *auto*

**thus** *?thesis* **by** *simp*

qed

**also from** *finprod-Un-disjoint* [of  $\{1..Suc\ i\}$   $\{Suc\ (Suc\ i)\}$   $(\lambda j::nat. (a\ (^)\_R\ j)\ x)$ ]

**and** *finite-nat-iff-bounded* [of  $\{1..Suc\ i\}$ ] *finite-nat-iff-bounded* [of  $\{Suc\ (Suc\ i)\}$ ] **and**

*Pi-def* [of  $\{(1::nat)..Suc\ i\}$   $(\lambda j::nat. carrier\ D)$ ]

*Pi-def* [of  $\{Suc\ (Suc\ i)\}$   $(\lambda j::nat. carrier\ D)$ ] **and** *nat-pow-closed-D* [of *Suc* (*Suc i*)  $x$ ] *nat-pow-closed-D* [of  $- x$ ]

have  $\dots = (\bigotimes_{j \in \{1..Suc\ i\}}. (a\ (^)\_R\ j)\ x) \otimes (\bigotimes_{j \in \{Suc\ (Suc\ i)\}}. (a\ (^)\_R\ j)\ x)$  **by** *simp*

**also from** *hypo* **and** *finprod-singleton* [of  $(\lambda j::nat. (a\ (^)\_R\ j)\ x)$  *Suc* (*Suc i*)] **and** *nat-pow-closed-D* [of *Suc* (*Suc i*)  $x$ ]

have  $\dots = a\ (\bigotimes_{j \in \{..i\}}. (a\ (^)\_R\ j)\ x) \otimes ((a\ (^)\_R\ Suc\ (Suc\ i))\ x)$  **by** *simp*

**also from** *ring-R* **have**  $\dots = a\ (\bigotimes_{j \in \{..i\}}. (a\ (^)\_R\ j)\ x) \otimes a\ ((a\ (^)\_R\ Suc\ i)\ x)$

**proof** –

```

    have 1 + Suc i = Suc (Suc i) by arith
    with sym [OF nat-pow-mult [OF a-in-R, of 1 Suc i]] and nat-pow-1 [OF
a-in-R]
    have a ( ^ )R Suc (Suc i) = a ⊗R (a ( ^ )R Suc i) by simp
    with ring-R have ((a ( ^ )R Suc (Suc i)) x) = a ((a ( ^ )R Suc i) x) by simp
    then show ?thesis by simp
qed
also have ... = a ((⊗j∈{..i}. (a ( ^ )R j) x) ⊗ (a ( ^ )R Suc i) x)
proof (intro sym [OF hom-completion-mult [of a D D ⊗j∈{..i}. (a ( ^ )R j) x
(a ( ^ )R Suc i) x]])
  from ring-R and a-in-R show a ∈ hom-completion D D by simp
  from finprod-closed [of {..i} (λj::nat. (a ( ^ )R j) x)] and power-pi-D [of x i]
  show (⊗j∈{..i}. (a ( ^ )R j) x) ∈ carrier D by simp
  from nat-pow-closed-D [of Suc i x] show (a ( ^ )R Suc i) x ∈ carrier D by
simp
qed
also from nat-pow-closed-D [of Suc i x] have ... = a ((⊗j∈{..i}. (a ( ^ )R j)
x) ⊗ (⊗j∈{Suc i}. (a ( ^ )R Suc i) x))
  by simp
also from sym [OF finprod-Un-disjoint [of {..i} {Suc i} (λj::nat. (a ( ^ )R j)
x)]]
  and finite-nat-iff-bounded [of {..i}] finite-nat-iff-bounded [of {Suc i}] and
Pi-def [of {..i} (λj::nat. carrier D)]
  Pi-def [of {Suc i} (λj::nat. carrier D)] and nat-pow-closed-D [of Suc i x]
nat-pow-closed-D [of x]
  have ... = a (⊗j∈{..i} ∪ {Suc i}. (a ( ^ )R j) x) by simp
  also have ... = a (⊗j∈{..Suc i}. (a ( ^ )R j) x)
  proof -
    have {..i} ∪ {Suc i} = {..Suc i} by auto
    thus ?thesis by simp
  qed
finally show ?thesis by simp
qed
qed

```

If we were able to interpret locales, now the idea would be to interpret the *locale nilpotent-term* with local nilpotent term  $\alpha$ , as later defined in *locale alpha-beta*

## 6.4 Definition and some lemmas of perturbations

Perturbations are a homomorphism of  $D$  (not a differential homomorphism!) such that its addition with the differential is again a differential

```

constdefs (structure D)
  pert :: - => ('a => 'a) set
  pert D == {δ. δ ∈ hom-completion D D &
    diff-group (| carrier = carrier D, mult = mult D, one = one D, diff = (λx. if x
    ∈ carrier D then ((differ) x) ⊗ (δ x) else 1))}

```

**locale** *diff-group-pert* = *diff-group* *D* + *var*  $\delta$  +  
**assumes** *delta-pert*:  $\delta \in \text{pert } D$

**lemma** (in *diff-group-pert*) *diff-group-pert-is-diff-group*:  
**shows** *diff-group* ( $\text{carrier} = \text{carrier } D$ ,  $\text{mult} = \text{mult } D$ ,  $\text{one} = \text{one } D$ ,  $\text{diff} =$   
 $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } ((\text{differ}_D) x) \otimes_D (\delta x) \text{ else } \mathbf{1}_D)$ )  
**using** *diff-group-pert.delta-pert* [of *D*  $\delta$ ] **and** *prems* **unfolding** *diff-group-pert-def*  
*pert-def* **by** *simp*

**lemma** (in *diff-group-pert*) *pert-is-hom*: **shows**  $\delta \in \text{hom-completion } D \ D$   
**using** *diff-group-pert.delta-pert* [of *D*  $\delta$ ] **and** *prems* **unfolding** *diff-group-pert-def*  
*pert-def* **by** *simp*

**lemma** (in *ring-endomorphisms*) *diff-group-pert-is-diff-group*: **assumes** *delta*:  $\delta \in \text{pert } D$   
**shows** *diff-group* ( $\text{carrier} = \text{carrier } D$ ,  $\text{mult} = \text{mult } D$ ,  $\text{one} = \text{one } D$ ,  $\text{diff} =$   
 $(\text{differ}_D) \oplus_R \delta$ )  
**using** *diff-group-pert.diff-group-pert-is-diff-group* [of *D*  $\delta$ ] **and** *ring-R* **and** *prems*  
**unfolding** *diff-group-pert-def* *diff-group-pert-axioms-def* *ring-endomorphisms-def*  
**by** *simp*

**lemma** (in *ring-endomorphisms*) *pert-in-R* [*simp*]: **assumes** *delta*:  $\delta \in \text{pert } D$   
**shows**  $\delta \in \text{carrier } R$   
**using** *ring-R* **and** *diff-group-pert.pert-is-hom* [of *D*  $\delta$ ] **and** *prems*  
**unfolding** *diff-group-pert-def* *diff-group-pert-axioms-def* *ring-endomorphisms-def*  
**by** *simp*

**lemma** (in *ring-endomorphisms*) *diff-pert-in-R* [*simp*]: **assumes** *delta*:  $\delta \in \text{pert } D$   
**shows**  $(\text{differ}_D) \oplus_R \delta \in \text{carrier } R$   
**using** *delta* **by** *simp*

The reason to introduce  $\alpha$  by means of a *defines* command is to get the expected behavior when merging this locale with locale *local-nilpotent-term* *D R*  $\alpha$  *bound-phi* in the definition of locale *local-nilpotent-alpha*

**locale** *alpha-beta* = *ring-endomorphisms* + *reduction* + *var*  $\delta$  + *var*  $\alpha$  +  
**assumes** *delta-pert*:  $\delta \in \text{pert } D$   
**defines** *alpha-def*:  $\alpha == \ominus_R (\delta \otimes_R h)$

**context** *alpha-beta*  
**begin**

**definition** *beta-def*:  $\beta = \ominus_R (h \otimes_R \delta)$

**end**

**locale** *local-nilpotent-alpha* = *alpha-beta* + *local-nilpotent-term* *D R*  $\alpha$  *bound-phi*

The definition of  $\Phi$  corresponds with the one given in the Basic Perturbation Lemma, Lemma 2.3.1 in Aransay's memoir

**context** *local-nilpotent-alpha*  
**begin**

**definition** *phi-def*:  $\Phi == \text{local-nilpotent-term.power-series } D \ R \ \alpha \ \text{bound-phi}$

**end**

**lemma** (in *alpha-beta*) *pert-in-R* [*simp*]: **shows**  $\delta \in \text{carrier } R$   
**using** *delta-pert* **and** *ring-R* **by** (*unfold pert-def*, *simp*)

**lemma** (in *alpha-beta*) *h-in-R* [*simp*]: **shows**  $h \in \text{carrier } R$   
**using** *h-hom-compl* **and** *ring-R* **by** *simp*

**lemma** (in *alpha-beta*) *alpha-in-R*: **shows**  $\alpha \in \text{carrier } R$   
**using** *alpha-def* **and** *pert-in-R* **and** *h-in-R* **by** *simp*

**lemma** (in *alpha-beta*) *beta-in-R*: **shows**  $\beta \in \text{carrier } R$   
**using** *beta-def* **and** *pert-in-R* **and** *h-in-R* **by** *simp*

**lemma** (in *alpha-beta*) *alpha-i-in-R*: **shows**  $\alpha(\cdot)_R (i::\text{nat}) \in \text{carrier } R$   
**using** *alpha-in-R* **and** *R.nat-pow-closed* **by** *simp*

**lemma** (in *alpha-beta*) *beta-i-in-R*: **shows**  $\beta(\cdot)_R (i::\text{nat}) \in \text{carrier } R$   
**using** *beta-in-R* **and** *nat-pow-closed* **by** *simp*

**lemma** (in *ring*) *power-minus-a-b*:

**assumes** *a*:  $a \in \text{carrier } R$  **and** *b*:  $b \in \text{carrier } R$  **shows**  $(\ominus (a \otimes b)) (\cdot) \text{Suc } n$   
 $= \ominus a \otimes ((\ominus (b \otimes a)) (\cdot) n) \otimes b$

**proof** (*induct n*)

**case** 0

**from** *a* **and** *b* **and** *nat-pow-0* [*of a*  $a \otimes b$ ] **show**  $\ominus (a \otimes b) (\cdot) \text{Suc } 0 = \ominus a \otimes$   
 $\ominus (b \otimes a) (\cdot) (0::\text{nat}) \otimes b$  **by** *simp algebra*

**next**

**case** (*Suc n*)

**assume** *hypo*:  $(\ominus (a \otimes b)) (\cdot) \text{Suc } n = \ominus a \otimes \ominus (b \otimes a) (\cdot) n \otimes b$

**have**  $(\ominus (a \otimes b)) (\cdot) \text{Suc } (\text{Suc } n) = \ominus (a \otimes b) (\cdot) (\text{Suc } n) \otimes \ominus (a \otimes b)$  **by**  
*simp*

**also from** *hypo* **have**  $\dots = \ominus a \otimes \ominus (b \otimes a) (\cdot) n \otimes b \otimes \ominus (a \otimes b)$  **by** *simp*

**also from** *sym* [*OF l-minus* [*OF a b*]] **have**  $\dots = \ominus a \otimes \ominus (b \otimes a) (\cdot) n \otimes b$   
 $\otimes (\ominus a \otimes b)$  **by** *simp*

**also from** *sym* [*OF m-assoc* [*OF b - b*, *of*  $\ominus a$ ]] **and** *a-inv-closed* [*OF a*] **and**  
*nat-pow-closed* [*of*  $\ominus (b \otimes a) n$ ]

**and** *a-inv-closed* [*of b*  $a$ ] **and** *a b r-minus* [*OF b a*]

**have**  $\dots = \ominus a \otimes \ominus (b \otimes a) (\cdot) n \otimes (\ominus (b \otimes a) \otimes b)$  **by** *algebra*

**also from** *nat-pow-closed* [*of*  $\ominus (b \otimes a) n$ ] **and** *a-inv-closed* [*of b*  $a$ ] **and** *a b*

**and** *sym* [*OF m-assoc* [*of*  $(\ominus (b \otimes a)) (\cdot) n (\ominus (b \otimes a)) b$ ]]

**have**  $\dots = \ominus a \otimes (\ominus (b \otimes a) (\cdot) n \otimes (\ominus (b \otimes a))) \otimes b$  **by** *algebra*

```

also from sym [OF nat-pow-Suc [of  $\ominus (b \otimes a) n$ ]]
have  $\dots = \ominus a \otimes (\ominus (b \otimes a)) (\wedge) (Suc\ n) \otimes b$  by simp
finally show  $\ominus (a \otimes b) (\wedge) Suc\ (Suc\ n) = \ominus a \otimes \ominus (b \otimes a) (\wedge) Suc\ n \otimes b$  by
simp
qed

```

The following comment is already obsolete in the *Isabelle-11–Feb–2007* repository version

Comment: At the moment, the "definition" command is not inherited by locales defined from old ones; in the following lemma, there would be two ways of recovering the definition of  $\beta$ . The first one would be to give its long name *local-nilpotent-alpha*. $\beta$   $\delta$ , and the other way is to use abbreviations.

Due to aesthetic reasons, we choose the second solution, while waiting to the "definition" command to be properly inherited

*abbreviation (in local-nilpotent-alpha)  $\beta == \text{alpha-beta}.\beta\ R\ h\ \delta$*

The following lemma proves that whenever  $\alpha$  is a local nilpotent term, so will be  $\beta$

```

lemma (in local-nilpotent-alpha) nilp-alpha-nilp-beta: shows local-nilpotent-term
 $D\ R\ \beta\ (\lambda x. (LEAST\ n::nat. (\beta\ (\wedge)_R\ n)\ x = 1_D))$ 
proof (unfold local-nilpotent-alpha-def local-nilpotent-term-def local-nilpotent-term-axioms-def,
simp, intro conjI)
  from prems show ring-endomorphisms  $D\ R$  by intro-locales
  from beta-in-R show  $\beta \in \text{carrier } R$  by simp
  show  $\forall x \in \text{carrier } D. (\beta\ (\wedge)_R\ (LEAST\ n::nat. (\beta\ (\wedge)_R\ n)\ x = 1))\ x = 1$ 
  proof (intro ballI)
    fix  $x$  assume x-in-D:  $x \in \text{carrier } D$ 
    show  $(\beta\ (\wedge)_R\ (LEAST\ n::nat. (\beta\ (\wedge)_R\ n)\ x = 1))\ x = 1$ 
    proof (rule LeastI-ex [of  $\lambda n::nat. (\beta\ (\wedge)_R\ n)\ x = 1$ ])
      from a-local-nilpot and pert-in-R and ring-R and hom-completion-closed
      [OF - x-in-D, of  $\delta\ D$ ]
      have alpha-nilpot:  $(\alpha\ (\wedge)_R\ \text{bound-phi } (\delta\ x))\ (\delta\ x) = 1$  by simp
      from beta-def have  $(\beta\ (\wedge)_R\ (Suc\ (\text{bound-phi } (\delta\ x))))\ x = ((\ominus_R\ (h \otimes_R\ \delta))\ (\wedge)_R\ (Suc\ (\text{bound-phi } (\delta\ x))))\ x$  by simp
      also from h-in-R and pert-in-R and power-minus-a-b [OF h-in-R pert-in-R,
of bound-phi  $(\delta\ x)$ ]
      have  $\dots = (\ominus_R\ h \otimes_R\ (\ominus_R\ (\delta \otimes_R\ h)\ (\wedge)_R\ (\text{bound-phi } (\delta\ x)))) \otimes_R\ \delta)\ x$  by
simp
      also from alpha-nilpot and alpha-def and ring-R have  $\dots = (\ominus_R\ h)\ 1$  by
simp
      also from a-inv-closed [OF h-in-R] and ring-R and hom-completion-one [of
 $D\ D\ \ominus_R\ h$ ] and D-diff-group
      have  $\dots = 1$  by (unfold diff-group-def comm-group-def group-def, simp)
      finally have beta-nil:  $(\beta\ (\wedge)_R\ (Suc\ (\text{bound-phi } (\delta\ x))))\ x = 1$  by simp
      from exI [of  $\lambda n::nat. (\beta\ (\wedge)_R\ n)\ x = 1\ (Suc\ (\text{bound-phi } (\delta\ x)))$ ] and beta-nil
      show  $\exists n::nat. (\beta\ (\wedge)_R\ n)\ x = 1$  by simp

```

qed  
qed  
qed

**lemma** (in *local-nilpotent-alpha*) *bound-psi-exists*: **shows**  $\exists$  *bound-psi*. *local-nilpotent-term*  
 $D \ R \ \beta \ \text{bound-psi}$   
**using** *nilp-alpha-nilp-beta* **by** *iprover*

**context** *local-nilpotent-alpha*  
**begin**

**definition** *bound-psi*  $\equiv (\lambda x. (LEAST \ n::nat. (\beta \ (\wedge)_R \ n) \ x = \mathbf{1}_D))$

The definition of  $\Psi$  below is equivalent to the one given in the statement of Lemma 2.3.1 in Aransay's memoir

**definition** *psi-def*:  $\Psi \equiv \text{local-nilpotent-term.power-series } D \ R \ \beta \ \text{bound-psi}$

**end**

## 6.5 Some properties of the endomorphisms $\Phi$ , $\Psi$ , $\alpha$ and $\beta$

**lemma** (in *local-nilpotent-alpha*) *local-nilpotent-term-alpha*: **shows** *local-nilpotent-term*  
 $D \ R \ \alpha \ \text{bound-phi}$   
**using** *prems* **by** (*unfold local-nilpotent-alpha-def local-nilpotent-term-def*, *simp*)

**lemma** (in *local-nilpotent-alpha*) *local-nilpotent-term-beta*: **shows** *local-nilpotent-term*  
 $D \ R \ \beta \ \text{bound-psi}$   
**using** *prems* **and** *nilp-alpha-nilp-beta* **and** *bound-psi-def* **by** (*unfold local-nilpotent-term-def*, *simp*)

**lemma** (in *local-nilpotent-alpha*) *phi-x-in-D* [*simp*]: **shows**  $\Phi \ x \in \text{carrier } D$   
**using** *phi-def local-nilpotent-term.power-series-def* [*OF local-nilpotent-term-alpha*,  
*of x*]  
 $D.\text{finprod-closed}$  [*of*  $\{.. \text{bound-phi } x\} (\lambda i::nat. (\alpha \ (\wedge)_R^i) \ x)$ ] **and** *finite-nat-iff-bounded*  
[*of*  $\{.. \text{bound-phi } x\}$ ]  
**and** *local-nilpotent-term.power-pi-D* [*OF local-nilpotent-term-alpha*, *of x bound-phi*  
*x*] **by** *simp*

**lemma** (in *local-nilpotent-alpha*) *phi-in-R* [*simp*]: **shows**  $\Phi \in \text{carrier } R$   
**using** *phi-def local-nilpotent-term.power-series-in-R* [*OF local-nilpotent-term-alpha*]  
**by** *simp*

**lemma** (in *local-nilpotent-alpha*) *phi-in-hom*: **shows**  $\Phi \in \text{hom-completion } D \ D$   
**using** *phi-in-R* **and** *ring-R* **by** *simp*

**lemma** (in *local-nilpotent-alpha*) *psi-in-R* [*simp*]: **shows**  $\Psi \in \text{carrier } R$   
**using** *psi-def local-nilpotent-term.power-series-in-R* [*OF local-nilpotent-term-beta*]  
**by** *simp*

**lemma** (in *local-nilpotent-alpha*) *psi-in-hom*: **shows**  $\Psi \in \text{hom-completion } D \ D$   
**using** *psi-in-R* **and** *ring-R* **by** *simp*

**lemma** (in *local-nilpotent-alpha*) *psi-x-in-D* [*simp*]: **shows**  $\Psi \ x \in \text{carrier } D$   
**using** *psi-def* *local-nilpotent-term.power-series-def* [*OF* *local-nilpotent-term-beta*,  
*of x*]  
*D.finprod-closed* [*of*  $\{..bound\text{-}psi \ x\} (\lambda i::nat. (\alpha \ (^) _R i) \ x)$ ] **and** *finite-nat-iff-bounded*  
[*of*  $\{..bound\text{-}psi \ x\}$ ]  
**and** *local-nilpotent-term.power-pi-D* [*OF* *local-nilpotent-term-beta*, *of x bound-psi*  
*x*] **by** *simp*

**lemma** (in *local-nilpotent-alpha*) *h-alpha-eq-beta-h*:  $h \otimes_R \alpha \ (^) _R (i::nat) = \beta \ (^) _R$   
 $i \otimes_R h$   
**proof** (*induct i*)  
**case** 0  
**from** *h-in-R* **and** *R.nat-pow-0* **show**  $h \otimes_R \alpha \ (^) _R (0::nat) = \beta \ (^) _R (0::nat)$   
 $\otimes_R h$  **by** *simp*  
**next**  
**case** (*Suc i*)  
**assume** *hypo*:  $h \otimes_R \alpha \ (^) _R i = \beta \ (^) _R i \otimes_R h$   
**from** *R.nat-pow-mult* [*OF* *beta-in-R*, *of*  $(1::nat) \ i$ ] **and** *R.nat-pow-1* [*OF* *beta-in-R*]  
**have**  $\beta \ (^) _R (Suc \ i) \otimes_R h = \beta \otimes_R \beta \ (^) _R i \otimes_R h$  **by** *simp*  
**also from** *hypo* **and** *R.m-assoc* [*OF* *beta-in-R* *beta-i-in-R* [*of i*] *h-in-R*] **have** ...  
 $= \beta \otimes_R (h \otimes_R \alpha \ (^) _R i)$  **by** *simp*  
**also from** *sym* [*OF* *R.m-assoc* [*OF* *beta-in-R* *h-in-R* *alpha-i-in-R* [*of i*]]] **and**  
*beta-def* **and** *h-in-R* *pert-in-R* *alpha-i-in-R* [*of i*]  
**have** ...  $= h \otimes_R \ominus_R (\delta \otimes_R h) \otimes_R \alpha \ (^) _R i$  **by** *algebra*  
**also from** *R.m-assoc* [*OF* *h-in-R* - *alpha-i-in-R* [*of i*], *of*  $\ominus_R (\delta \otimes_R h)$ ] *pert-in-R*  
*h-in-R*  
**have** ...  $= h \otimes_R (\ominus_R (\delta \otimes_R h) \otimes_R \alpha \ (^) _R i)$  **by** *simp*  
**also from** *alpha-def* **and** *sym* [*OF* *R.nat-pow-1* [*OF* *alpha-in-R*]] **and** *R.nat-pow-mult*  
[*OF* *alpha-in-R*, *of*  $(1::nat) \ i$ ]  
**have** ...  $= h \otimes_R \alpha \ (^) _R (Suc \ i)$  **by** *simp*  
**finally show**  $h \otimes_R \alpha \ (^) _R (Suc \ i) = \beta \ (^) _R (Suc \ i) \otimes_R h$  **by** *simp*  
**qed**

## 6.6 Lemmas 2.2.1 to 2.2.6

Lemma 2.2.1

**lemma** (in *local-nilpotent-alpha*) *lemma-2-2-1*: **shows**  $\text{bound-psi} \ (h \ x) \leq \text{bound-phi}$   
 $x$   
**proof** –  
**from** *h-alpha-eq-beta-h* **and** *ring-R* **have**  $(\beta \ (^) _R \text{bound-phi} \ x) \ (h \ x) = (h \ ((\alpha$   
 $(\ (^) _R \text{bound-phi} \ x) \ x))$  **by** (*auto simp add: expand-fun-eq*)  
**also have** ...  $= h \ (1)$   
**proof** (*cases x ∈ carrier D*)  
**case** *True* **with** *local-nilpotent-term.a-local-nilpot* [*OF* *local-nilpotent-term-alpha*]  
**show** *?thesis* **by** *simp*  
**next**

```

    case False from alpha-i-in-R [of bound-phi x] and ring-R and completion-closed2
[OF - False, of  $\alpha (\cdot)_R$  bound-phi x D]
    show ?thesis unfolding hom-completion-def by simp
qed
also from hom-completion-one [of D D h] h-in-R ring-R and D-diff-group have
... = 1 unfolding diff-group-def comm-group-def group-def by simp
finally have beta-x-h-x-eq-one:  $(\beta (\cdot)_R \text{ bound-phi } x) (h x) = 1$  by simp
have beta-h-x-h-x-eq-one:  $(\beta (\cdot)_R \text{ bound-psi } (h x)) (h x) = 1$ 
proof (cases  $h x \in \text{carrier } D$ )
  case True with local-nilpotent-term.a-local-nilpot [OF local-nilpotent-term-beta]
show ?thesis by simp
next
  case False with ring-R and h-in-R
  show ?thesis unfolding hom-completion-def completion-fun2-def completion-def
hom-def Pi-def by auto
qed
from beta-x-h-x-eq-one and beta-h-x-h-x-eq-one
and local-nilpotent-term.bound-is-least [OF local-nilpotent-term-beta, of h x]
and Least-le [of  $\lambda i::\text{nat}. (\beta (\cdot)_R i) (h x) = 1$  bound-phi x] show ?thesis by
simp
qed

```

Lemma 2.2.3 with endomorphisms applied to elements

```

lemma (in local-nilpotent-alpha) lemma-2-2-3-elements: shows  $(h \circ \Phi) x = (\Psi \circ h) x$ 
proof -
  let ?max = max (bound-phi x) (bound-psi x)
  from ring-R have  $(h \circ \Phi) x = h (\Phi x)$  by simp
  also from phi-def have ... =  $h (\text{local-nilpotent-term.power-series } D R \alpha \text{ bound-phi } x)$  by simp
  also from local-nilpotent-term.power-series-extended [OF local-nilpotent-term-alpha, of x ?max]
  and le-maxI1 [of bound-phi x bound-psi x]
  have ... =  $h (\bigotimes_{i \in \{..?max\}}. (\alpha (\cdot)_R i) x)$  by simp
  also from local-nilpotent-term.power-series-dist-l [OF local-nilpotent-term-alpha h-in-R, of x ?max] and h-in-R
  have ... =  $(\bigotimes_{i \in \{..?max\}}. h ((\alpha (\cdot)_R i) x))$  by simp
  also from h-alpha-eq-beta-h and ring-R have ... =  $(\bigotimes_{i \in \{..?max\}}. ((\beta (\cdot)_R i) (h x)))$  by (auto simp add: expand-fun-eq)
  also from sym [OF local-nilpotent-term.power-series-extended [OF local-nilpotent-term-beta, of h x ?max]]
  and lemma-2-2-1 [of x]
  have ... =  $\text{local-nilpotent-term.power-series } D R \beta \text{ bound-psi } (h x)$  by arith
  also from psi-def have ... =  $\Psi (h x)$  by simp
  also have ... =  $(\Psi \circ h) x$  by simp
  finally show ?thesis by simp
qed

```

Lemma 2.2.3 with endomorphisms



**corollary** (in *local-nilpotent-alpha*) *lemma-2-2-3*: **shows**  $(h \circ \Phi) = (\Psi \circ h)$  **using** *lemma-2-2-3-elements* **by** (*simp add: expand-fun-eq*)

The following lemma is simple a renaming of the previous one; the idea is to give to the previous result the name it had before as a premise, to keep the files correspondig to the equational part of the proof working

**lemma** (in *local-nilpotent-alpha*) *psi-h-h-phi*: **shows**  $\Psi \otimes_R h = h \otimes_R \Phi$  **using** *lemma-2-2-3* **and** *ring-R* **by** *simp*

**lemma** (in *local-nilpotent-alpha*) *alpha-delta-eq-delta-beta*: **shows**  $\alpha(\cdot)_R(i::nat) \otimes_R \delta = \delta \otimes_R \beta(\cdot)_R i$

**proof** (*induct i*)

case 0

from *pert-in-R* and *R.nat-pow-0* **show**  $\alpha(\cdot)_R(0::nat) \otimes_R \delta = \delta \otimes_R \beta(\cdot)_R(0::nat)$  **by** *simp*

next

case (*Suc i*)

assume *hypo*:  $\alpha(\cdot)_R i \otimes_R \delta = \delta \otimes_R \beta(\cdot)_R i$

from *R.nat-pow-mult* [*OF alpha-in-R*, *of (1::nat) i*] and *R.nat-pow-1* [*OF alpha-in-R*]

have  $\alpha(\cdot)_R(Suc i) \otimes_R \delta = \alpha \otimes_R \alpha(\cdot)_R i \otimes_R \delta$  **by** *simp*

also from *hypo* and *R.m-assoc* [*OF alpha-in-R alpha-i-in-R [of i] pert-in-R*]

have  $\dots = \alpha \otimes_R (\delta \otimes_R \beta(\cdot)_R i)$  **by** *simp*

also from *sym* [*OF R.m-assoc [OF alpha-in-R pert-in-R beta-i-in-R [of i]]*] and *alpha-def* and *h-in-R pert-in-R beta-i-in-R [of i]*

have  $\dots = \delta \otimes_R \ominus_R (h \otimes_R \delta) \otimes_R \beta(\cdot)_R i$  **by** *algebra*

also from *R.m-assoc* [*OF pert-in-R - beta-i-in-R [of i]*, *of \ominus\_R (h \otimes\_R \delta)*] *pert-in-R h-in-R*

have  $\dots = \delta \otimes_R (\ominus_R (h \otimes_R \delta) \otimes_R \beta(\cdot)_R i)$  **by** *simp*

also from *beta-def* and *sym* [*OF R.nat-pow-1 [OF beta-in-R]*] and *R.nat-pow-mult* [*OF beta-in-R*, *of (1::nat) i*]

have  $\dots = \delta \otimes_R \beta(\cdot)_R(Suc i)$  **by** *simp*

finally **show**  $\alpha(\cdot)_R Suc i \otimes_R \delta = \delta \otimes_R \beta(\cdot)_R Suc i$  **by** *simp*

qed

Lemma 2.2.2 in Aransay's memoir

**lemma** (in *local-nilpotent-alpha*) *lemma-2-2-2*: **shows**  $bound-phi(\delta x) \leq bound-psi x$

**proof** –

from *alpha-delta-eq-delta-beta* and *ring-R* **have**  $(\alpha(\cdot)_R bound-psi x)(\delta x) = (\delta((\beta(\cdot)_R bound-psi x)x))$  **by** (*auto simp add: expand-fun-eq*)

also have  $\dots = \delta(1)$

**proof** (*cases x \in carrier D*)

case *True* **with** *local-nilpotent-term.a-local-nilpot* [*OF local-nilpotent-term-beta*]

**show** *?thesis* **by** *simp*

next

case *False* **from** *beta-i-in-R [of bound-psi x]* and *ring-R* and *completion-closed2* [*OF - False*, *of \beta(\cdot)\_R bound-psi x D*]

**show** *?thesis* **unfolding** *hom-completion-def* **by** *simp*

```

qed
also from hom-completion-one [of D D δ] pert-in-R ring-R and D-diff-group
have ... = 1
  unfolding diff-group-def comm-group-def group-def by simp
  finally have alpha-x-pert-x-eq-one: (α (·))R bound-psi x (δ x) = 1 by simp
  have alpha-pert-x-pert-x-eq-one: (α (·))R bound-phi (δ x) (δ x) = 1
  proof (cases δ x ∈ carrier D)
    case True with local-nilpotent-term.a-local-nilpot [OF local-nilpotent-term-alpha]
  show ?thesis by simp
  next
    case False with ring-R and pert-in-R
  show ?thesis unfolding hom-completion-def completion-fun2-def completion-def
hom-def Pi-def by auto
qed
from alpha-x-pert-x-eq-one and alpha-pert-x-pert-x-eq-one
and local-nilpotent-term.bound-is-least [OF local-nilpotent-term-alpha, of δ x]
and Least-le [of λi::nat. (α (·))R i (δ x) = 1 bound-psi x] show ?thesis by
simp
qed

```

Lemma 2.2.4 over endomorphisms applied to generic elements

```

lemma (in local-nilpotent-alpha) lemma-2-2-4-elements: shows (δ ∘ Ψ) x = (Φ ∘
δ) x
proof -
  let ?max = max (bound-psi x) (bound-phi x)
  from ring-R have (δ ∘ Ψ) x = δ (Ψ x) by simp
  also from psi-def have ... = δ (local-nilpotent-term.power-series D R β bound-psi
x) by simp
  also from local-nilpotent-term.power-series-extended [OF local-nilpotent-term-beta,
of x ?max]
    and le-maxI1 [of bound-psi x bound-phi x]
  have ... = δ (⊗i∈{..?max}. (β (·))R i) x by simp
  also from local-nilpotent-term.power-series-dist-l [OF local-nilpotent-term-beta
pert-in-R, of x ?max] and pert-in-R
  have ... = (⊗i∈{..?max}. δ ((β (·))R i) x) by simp
  also from alpha-delta-eq-delta-beta and ring-R have ... = (⊗i∈{..?max}. ((α
(·))R i) (δ x))) by (auto simp add: expand-fun-eq)
  also from sym [OF local-nilpotent-term.power-series-extended [OF local-nilpotent-term-alpha,
of δ x ?max]]
    and lemma-2-2-2 [of x] have ... = local-nilpotent-term.power-series D R α
bound-phi (δ x) by arith
  also from phi-def have ... = (Φ ∘ δ) x by simp
  finally show ?thesis by simp
qed

```

Lemma 2.2.4 over endomorphisms

**corollary** (in local-nilpotent-alpha) lemma-2-2-4: **shows**  $(\delta \circ \Psi) = (\Phi \circ \delta)$  **using** lemma-2-2-4-elements **by** (simp add: expand-fun-eq)

The following lemma is simple a renaming of the previous one; the idea is

to give to the previous result the name it had before as a premise, to keep the files corresponding to the equational part of the proof working

**lemma** (in *local-nilpotent-alpha*) *delta-psi-phi-delta*: **shows**  $\delta \otimes_R \Psi = \Phi \otimes_R \delta$   
**using** *lemma-2-2-4* **and** *ring-R* **by** *simp*

Lemma 2.2.5 over a generic element of the domain

**lemma** (in *local-nilpotent-alpha*) *lemma-2-2-5-elements*: **shows**  $\Psi x = (1_R \ominus_R (h \otimes_R \delta \otimes_R \Psi)) x$  **and**  $\Psi x = (1_R \ominus_R (h \otimes_R \Phi \otimes_R \delta)) x$   
**and**  $\Psi x = (1_R \ominus_R (\Psi \otimes_R h \otimes_R \delta)) x$

**proof** –

**from** *psi-def* **have**  $\Psi x = \text{local-nilpotent-term.power-series } D \ R \ \beta \ \text{bound-psi } x$   
**by** *simp*

**also from** *local-nilpotent-term.power-series-extended* [*OF local-nilpotent-term-beta*,  
*of x Suc (bound-psi x)*]

**have**  $\dots = (\bigotimes_{j \in \{..Suc \ (\text{bound-psi } x)\}} (\beta \ (\wedge)_R j) \ x)$  **by** *simp*

**also from** *local-nilpotent-term.power-series-first-element* [*OF local-nilpotent-term-beta*,  
*of x Suc (bound-psi x)*]

**have**  $\dots = (\beta \ (\wedge)_R \ (0::nat)) \ x \otimes (\bigotimes_{j \in \{1..Suc \ (\text{bound-psi } x)\}} (\beta \ (\wedge)_R j) \ x)$   
**by** *simp*

**also from** *local-nilpotent-term.power-series-factor* [*OF local-nilpotent-term-beta*,  
*of x bound-psi x*]

**have**  $\dots = (\beta \ (\wedge)_R \ (0::nat)) \ x \otimes (\beta \ (\bigotimes_{j \in \{..bound-psi \ x\}} (\beta \ (\wedge)_R j) \ x))$  **by**  
*simp*

**also from** *R.nat-pow-0* [*of*  $\beta$ ] **and** *beta-def* **and** *psi-def*

**and** *local-nilpotent-term.power-series-def* [*OF local-nilpotent-term-beta*, *of x*]  
*ring-R*

**have**  $\dots = 1_R \ x \otimes (\ominus_R (h \otimes_R \delta) \otimes_R \Psi) \ x$  **by** *simp*

**also have**  $\dots = (1_R \oplus_R (\ominus_R (h \otimes_R \delta) \otimes_R \Psi)) \ x$

**proof** (*cases x ∈ carrier D*)

**case** *True* **with** *ring-R* **show** *?thesis* **by** *simp*

**next**

**case** *False*

**with** *ring-R* **have** *one-x*:  $1_R \ x = 1$  **by** *simp*

**moreover**

**from** *h-in-R* *pert-in-R* **and** *psi-in-R* **have**  $(\ominus_R (h \otimes_R \delta) \otimes_R \Psi) \in \text{carrier } R$   
**by** *simp*

**with** *False* **and** *ring-R* **and** *completion-closed2* [*of*  $(\ominus_R (h \otimes_R \delta) \otimes_R \Psi) \ D$   
 $D \ x$ ] **have** *h-pert-psi*:  $(\ominus_R (h \otimes_R \delta) \otimes_R \Psi) \ x = 1$

**by** (*unfold hom-completion-def*, *simp*)

**moreover**

**from** *h-in-R* *pert-in-R* **and** *psi-in-R* **have**  $1_R \oplus_R (\ominus_R (h \otimes_R \delta) \otimes_R \Psi) \in \text{carrier } R$  **by** *simp*

**with** *False* **and** *ring-R* **and** *completion-closed2* [*of*  $(\ominus_R (h \otimes_R \delta) \otimes_R \Psi) \ D$   
 $D \ x$ ]

**have** *one-h-pert-psi*:  $(1_R \oplus_R \ominus_R (h \otimes_R \delta) \otimes_R \Psi) \ x = 1$  **by** *simp*

**ultimately show** *?thesis* **by** *simp*

**qed**

**also from** *R.one-closed* **and** *pert-in-R* **and** *h-in-R* **and** *psi-in-R* **have**  $\dots = (1_R \ominus_R (h \otimes_R \delta \otimes_R \Psi)) \ x$  **by** *algebra*

**finally show** *psi-eq*:  $\Psi x = (\mathbf{1}_R \ominus_R (h \otimes_R \delta \otimes_R \Psi)) x$  **by** *simp*  
**from** *lemma-2-2-4* **and** *R.m-assoc [OF h-in-R pert-in-R psi-in-R]* **and** *sym [OF R.m-assoc [OF h-in-R phi-in-R pert-in-R]]* **and** *ring-R* **and** *psi-eq*  
**show** *psi-eq2*:  $\Psi x = (\mathbf{1}_R \ominus_R (h \otimes_R \Phi \otimes_R \delta)) x$  **by** *simp*  
**with** *lemma-2-2-3* **and** *ring-R* **show**  $\Psi x = (\mathbf{1}_R \ominus_R (\Psi \otimes_R h \otimes_R \delta)) x$  **by** *simp*  
**qed**

Lemma 2.2.5 in generic terms

**lemma** (in *local-nilpotent-alpha*) *lemma-2-2-5*: **shows**  $\Psi = \mathbf{1}_R \ominus_R (h \otimes_R \delta \otimes_R \Psi)$  **and**  $\Psi = \mathbf{1}_R \ominus_R (h \otimes_R \Phi \otimes_R \delta)$   
**and**  $\Psi = \mathbf{1}_R \ominus_R (\Psi \otimes_R h \otimes_R \delta)$  **using** *lemma-2-2-5-elements* **by** (*simp-all add: expand-fun-eq*)

The following lemma is simple a renaming of the previous one; the idea is to give to the previous result the name it had before as a premise, to keep the proofs corresponding to the equational part of the proof working

**lemma** (in *local-nilpotent-alpha*) *psi-prop*: **shows**  $\Psi = \mathbf{1}_R \ominus_R (h \otimes_R \delta \otimes_R \Psi)$  **and**  $\Psi = \mathbf{1}_R \ominus_R (h \otimes_R \Phi \otimes_R \delta)$   
**and**  $\Psi = \mathbf{1}_R \ominus_R (\Psi \otimes_R h \otimes_R \delta)$  **using** *lemma-2-2-5* .

Lemma 2.2.6 over a generic element of the domain

**lemma** (in *local-nilpotent-alpha*) *lemma-2-2-6-elements*: **shows**  $\Phi x = (\mathbf{1}_R \ominus_R (\delta \otimes_R h \otimes_R \Phi)) x$  **and**  $\Phi x = (\mathbf{1}_R \ominus_R (\delta \otimes_R \Psi \otimes_R h)) x$   
**and**  $\Phi x = (\mathbf{1}_R \ominus_R (\Phi \otimes_R \delta \otimes_R h)) x$

**proof** –

**from** *phi-def* **have**  $\Phi x = \text{local-nilpotent-term.power-series } D \ R \ \alpha \ \text{bound-phi } x$  **by** *simp*

**also from** *local-nilpotent-term.power-series-extended [OF local-nilpotent-term-alpha, of x Suc (bound-phi x)]*

**have**  $\dots = (\bigotimes_{j \in \{..Suc (bound-phi x)\}} (\alpha \ (\wedge)_R j) x)$  **by** *simp*

**also from** *local-nilpotent-term.power-series-first-element [OF local-nilpotent-term-alpha, of x Suc (bound-phi x)]*

**have**  $\dots = (\alpha \ (\wedge)_R (0::nat)) x \otimes (\bigotimes_{j \in \{1..Suc (bound-phi x)\}} (\alpha \ (\wedge)_R j) x)$

**by** *simp*

**also from** *local-nilpotent-term.power-series-factor [OF local-nilpotent-term-alpha, of x bound-phi x]*

**have**  $\dots = (\alpha \ (\wedge)_R (0::nat)) x \otimes (\alpha \ (\bigotimes_{j \in \{..bound-phi x\}} (\alpha \ (\wedge)_R j) x))$  **by**

*simp*

**also from** *R.nat-pow-0 [of  $\alpha$ ]* **and** *alpha-def* **and** *phi-def* **and** *local-nilpotent-term.power-series-def [OF local-nilpotent-term-alpha, of x]*

*ring-R*

**have**  $\dots = \mathbf{1}_R x \otimes (\ominus_R (\delta \otimes_R h) \otimes_R \Phi) x$  **by** *simp*

**also have**  $\dots = (\mathbf{1}_R \oplus_R (\ominus_R (\delta \otimes_R h) \otimes_R \Phi)) x$

**proof** (*cases x ∈ carrier D*)

**case** *True* **with** *ring-R* **show** *?thesis* **by** *simp*

**next**

**case** *False*

**with** *ring-R* **have** *one-x*:  $\mathbf{1}_R x = \mathbf{1}$  **by** *simp*

**moreover**  
**from** *h-in-R* *pert-in-R* **and** *phi-in-R* **have**  $(\ominus_R (\delta \otimes_R h) \otimes_R \Phi) \in \text{carrier } R$   
**by** *simp*  
**with** *False* **and** *ring-R* **and** *completion-closed2* [*of*  $(\ominus_R (\delta \otimes_R h) \otimes_R \Phi) D D$   
*x*] **have** *h-pert-psi*:  $(\ominus_R (\delta \otimes_R h) \otimes_R \Phi) x = \mathbf{1}$   
**unfolding** *hom-completion-def* **by** *simp*  
**moreover**  
**from** *h-in-R* *pert-in-R* **and** *phi-in-R* **have**  $\mathbf{1}_R \oplus_R (\ominus_R (\delta \otimes_R h) \otimes_R \Phi) \in$   
*carrier R* **by** *simp*  
**with** *False* **and** *ring-R* **and** *completion-closed2* [*of*  $(\ominus_R (\delta \otimes_R h) \otimes_R \Phi) D D$   
*x*]  
**have** *one-h-pert-psi*:  $(\mathbf{1}_R \oplus_R \ominus_R (\delta \otimes_R h) \otimes_R \Phi) x = \mathbf{1}$  **by** *simp*  
**ultimately show** *?thesis* **by** *simp*  
**qed**  
**also from** *R.one-closed* **and** *pert-in-R* **and** *h-in-R* **and** *phi-in-R* **have**  $\dots = (\mathbf{1}_R$   
 $\ominus_R (\delta \otimes_R h \otimes_R \Phi)) x$  **by** *algebra*  
**finally show** *phi-eq*:  $\Phi x = (\mathbf{1}_R \ominus_R (\delta \otimes_R h \otimes_R \Phi)) x$  **by** *simp*  
**from** *lemma-2-2-3* **and** *R.m-assoc* [*OF* *pert-in-R* *h-in-R* *phi-in-R*] **and** *sym* [*OF*  
*R.m-assoc* [*OF* *pert-in-R* *psi-in-R* *h-in-R*]] **and** *ring-R* **and** *phi-eq*  
**show** *phi-eq2*:  $\Phi x = (\mathbf{1}_R \ominus_R (\delta \otimes_R \Psi \otimes_R h)) x$  **by** *simp*  
**with** *lemma-2-2-4* **and** *ring-R* **show**  $\Phi x = (\mathbf{1}_R \ominus_R (\Phi \otimes_R \delta \otimes_R h)) x$  **by** *simp*  
**qed**

Lemma 2.2.6

**lemma** (*in local-nilpotent-alpha*) *lemma-2-2-6*: **shows**  $\Phi = (\mathbf{1}_R \ominus_R (\delta \otimes_R h \otimes_R$   
 $\Phi))$  **and**  $\Phi = (\mathbf{1}_R \ominus_R (\delta \otimes_R \Psi \otimes_R h))$   
**and**  $\Phi = (\mathbf{1}_R \ominus_R (\Phi \otimes_R \delta \otimes_R h))$  **using** *lemma-2-2-6-elements* **by** (*simp-all*  
*add: expand-fun-eq*)

The following lemma is simple a renaming of the previous one; the idea is to give to the previous result the name it had before as a premise, to keep the proofs corresponding to the equational part of the proof working

**lemma** (*in local-nilpotent-alpha*) *phi-prop*: **shows**  $\Phi = \mathbf{1}_R \ominus_R (\delta \otimes_R h \otimes_R \Phi)$   
**and**  $\Phi = \mathbf{1}_R \ominus_R (\delta \otimes_R \Psi \otimes_R h)$   
**and**  $\Phi = \mathbf{1}_R \ominus_R (\Phi \otimes_R \delta \otimes_R h)$  **using** *lemma-2-2-6* .

**end**

## 7 Lemma 2.2.15 in Aransay's memoir

**theory** *lemma-2-2-15-local-nilpot*  
**imports**  
*analytic-part-local*  
**begin**

We define a locale setting merging the specifications introduced for *lemma 2.2.14* and also the one created for the *local nilpotent term alpha*

A few definitions are also provided in this locale setting

**locale** *lemma-2-2-15* = *lemma-2-2-14*  $D \ R \ h$  + *local-nilpotent-alpha*  $D \ R \ C \ f \ g \ h$   
 $\delta \ \alpha \ \text{bound-phi}$

**context** *lemma-2-2-15*  
**begin**

**definition**  $h'$  **where**  $h' == h \otimes_R \Phi$

**definition**  $p'$  **where**  $p' == ((\text{differ}_D) \oplus_R \delta) \otimes_R h' \oplus_R h' \otimes_R ((\text{differ}_D) \oplus_R \delta)$

**definition**  $\text{diff}'$  **where**  $\text{diff}' == \text{differ} \oplus_R \delta$

**definition**  $D'$  **where**  $D' == (\mid \text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{differ} \oplus_R \delta \mid)$

**definition**  $\text{ker-}p'$  **where**  $\text{ker-}p' == \text{kernel } (\mid \text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{differ} \oplus_R \delta \mid)$   
 $(\mid \text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{differ} \oplus_R \delta \mid) \ p'$

**definition**  $\text{diff-group-ker-}p'$

**where**  $\text{diff-group-ker-}p' == (\mid \text{carrier} = \text{kernel } (\mid \text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{differ} \oplus_R \delta \mid)$   
 $(\mid \text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{differ} \oplus_R \delta \mid) \ p',$   
 $\text{mult} = \text{mult } D,$   
 $\text{one} = \text{one } D, \text{diff} = \text{completion } (\mid \text{carrier} = \text{kernel } (\mid \text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{differ} \oplus_R \delta \mid)$   
 $(\mid \text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{differ} \oplus_R \delta \mid) \ p',$   
 $\text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{differ} \oplus_R \delta \mid)$   
 $(\mid \text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{differ} \oplus_R \delta \mid) (\text{differ} \oplus_R \delta) \mid)$

**definition**  $\text{inc-ker-}p'$  **where**  $\text{inc-ker-}p' == (\lambda x. \text{if } x \in \text{kernel } (\mid \text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{differ} \oplus_R \delta \mid)$   
 $(\mid \text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{differ} \oplus_R \delta \mid) \ p'$   
 $\text{then } x \text{ else } \mathbf{1}_{D'})$

**end**

**lemma** (**in** *lemma-2-2-15*)  $h'$ -in- $R$  [*simp*]: **shows**  $h' \in \text{carrier } R$  **using**  $h'$ -def **by** *simp*

**lemma** (**in** *lemma-2-2-15*)  $\text{pert-in-}R$  [*simp*]: **shows**  $\delta \in \text{carrier } R$  **using**  $\text{delta-pert}$  **and**  $\text{pert-def}$  [*of*  $D$ ] **by** *simp*

**lemma** (**in** *lemma-2-2-15*)  $p'$ -in- $R$  [*simp*]: **shows**  $p' \in \text{carrier } R$  **using**  $p'$ -def **and**  $\text{diff-pert-in-}R$  [*OF*  $\text{delta-pert}$ ] **and**  $h'$ -in- $R$  **by** *simp*

**lemma** (**in** *lemma-2-2-15*)  $\text{diff}'$ -in- $R$  [*simp*]: **shows**  $\text{diff}' \in \text{carrier } R$  **using**  $\text{diff}'$ -def  $\text{diff-in-}R$   $\text{pert-in-}R$  **by** *simp*

The endomorphisms (not the differential endomorphisms) over a differential group happen to be the same ones as the homomorphisms over a perturbed version of this differential group

In other words, the definition of homomorphism over a differential group is independent of the differential

In the case of differential homomorphisms, this is not always true

**lemma** (in *ring-endomorphisms*) *hom-completion-eq*: **assumes**  $\delta \in \text{pert } D$   
**shows** *hom-completion*  $(\text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{differ} \oplus_R \delta)$   
 $(\text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{differ} \oplus_R \delta) =$   
*hom-completion*  $D \ D$   
**using** *ring-R unfolding* *hom-completion-def* *completion-fun2-def* *completion-def* *hom-def expand-fun-eq* **by** *auto*

**lemma** (in *ring-endomorphisms*) *ring-endomorphisms-pert*: **assumes**  $\delta \in \text{pert } D$

**shows** *ring-endomorphisms*  $(\text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{differ} \oplus_R \delta) \ R$

(is *ring-endomorphisms*  $?D' \ R$ )

**proof** –

**from** *diff-group-pert-is-diff-group*  $[OF \ \delta]$  **have** *diff-group-pert*: *diff-group*  $?D'$   
**by** *simp*

**moreover from** *prems* **have** *R-ring*: *ring*  $R$  **unfolding** *ring-endomorphisms-def*  $[of \ D \ R]$  **by** *simp*

**moreover from** *ring-R* **have**  $R = (\text{carrier} = \text{hom-completion } ?D' \ ?D', \text{mult} = \text{op} \circ,$

$\text{one} = \lambda x. \text{if } x \in \text{carrier } ?D' \text{ then } id \ x \text{ else } \mathbf{1}_{?D'},$

$\text{zero} = \lambda x. \text{if } x \in \text{carrier } ?D' \text{ then } \mathbf{1}_{?D'} \text{ else } \mathbf{1}_{?D'},$

$\text{add} = \lambda f \ g \ x. \text{if } x \in \text{carrier } ?D' \text{ then } f \ x \otimes_{?D'} g \ x \text{ else } \mathbf{1}_{?D'})$

**proof** –

**from** *ring-R* **and** *hom-completion-eq*  $[OF \ \delta]$  **have** *carrier*  $R = \text{hom-completion } ?D' \ ?D'$  **by** *simp*

**moreover from** *ring-R* **have** *mult*  $R = \text{op} \circ$  **by** *simp*

**moreover from** *ring-R* **have** *one*  $R = (\lambda x. \text{if } x \in \text{carrier } ?D' \text{ then } id \ x \text{ else } \mathbf{1}_{?D'})$  **by**  $(\text{simp add: expand-fun-eq})$

**moreover from** *ring-R* **have** *zero*  $R = (\lambda x. \text{if } x \in \text{carrier } ?D' \text{ then } \mathbf{1}_{?D'} \text{ else } \mathbf{1}_{?D'})$  **by** *simp*

**moreover from** *ring-R* **have** *add*  $R = (\lambda f \ g \ x. \text{if } x \in \text{carrier } ?D' \text{ then } f \ x \otimes_{?D'} g \ x \text{ else } \mathbf{1}_{?D'})$  **by**  $(\text{simp add: expand-fun-eq})$

**ultimately show** *?thesis* **by** *auto*

**qed**

**ultimately show** *?thesis* **unfolding** *ring-endomorphisms-def* *ring-endomorphisms-axioms-def* *Ring.ring-def* *diff-group-def* **by** *simp*

**qed**

The two following lemmas prove that  $h' \otimes_R h' = \mathbf{0}_R$  and  $h' \otimes_R \text{diff}' \otimes_R$

$h' = h'$ ; these are the properties that will allow us to introduce *reduction*  $D \text{ diff-group-ker-}p$  ( $\mathbf{1}_R \ominus_R p$ ) *inc-ker-}p*  $h$  in order to define the reduction needed for *Lemma 2.2.15*

**lemma** (in *lemma-2-2-15*)  $h'$ -nil: shows  $h' \otimes_R h' = \mathbf{0}_R$

**proof** –

from  $h'$ -def have  $h' \otimes_R h' = (h \otimes_R \Phi) \otimes_R (h \otimes_R \Phi)$  by *simp*  
also from  $psi-h-h-phi$  and  $h-in-R \ phi-in-R \ psi-in-R$  and  $R.m-assoc$  [of  $\Psi \ h \ (h \otimes_R \Phi)$ ] and  $R.m-assoc$  [of  $h \ h \ \Phi$ ]  
have  $\dots = \Psi \otimes_R (h \otimes_R h \otimes_R \Phi)$  by *simp*  
also from  $h-nil$  have  $\dots = \mathbf{0}_R$  by *simp*  
finally show ?thesis by *simp*

qed

**lemma** (in *lemma-2-2-15*)  $h'd'h'-h'$ : shows  $h' \otimes_R \text{diff}' \otimes_R h' = h'$

**proof** –

have  $h' \otimes_R \text{diff}' \otimes_R h' = (h \otimes_R \Phi) \otimes_R \text{diff}' \otimes_R (h \otimes_R \Phi)$  unfolding  $h'$ -def by *simp*

also from  $psi-h-h-phi$  have  $\dots = (\Psi \otimes_R h) \otimes_R \text{diff}' \otimes_R (h \otimes_R \Phi)$  by *simp*  
also from  $h-in-R \ phi-in-R \ psi-in-R \ diff'-def \ diff-in-R \ pert-in-R$   
have  $\dots = (\Psi \otimes_R (h \otimes_R (\text{differ}_D) \otimes_R h \otimes_R \Phi)) \oplus_R (\Psi \otimes_R h) \otimes_R ((\delta \otimes_R h) \otimes_R \Phi)$  by *algebra*

also have  $\dots = (\Psi \otimes_R (h \otimes_R \Phi)) \oplus_R (\Psi \otimes_R h) \otimes_R (\mathbf{1}_R \ominus_R \Phi)$

**proof** –

from  $phi-prop$  have  $\Phi = \mathbf{1}_R \ominus_R \delta \otimes_R h \otimes_R \Phi$  by *simp*  
with  $h-in-R \ phi-in-R \ pert-in-R$  have  $\mathbf{1}_R \ominus_R \Phi = \mathbf{1}_R \ominus_R (\mathbf{1}_R \ominus_R \delta \otimes_R h \otimes_R \Phi)$  by *algebra*

with  $h-in-R \ phi-in-R \ pert-in-R$  have  $r-h-p$ :  $\mathbf{1}_R \ominus_R \Phi = \delta \otimes_R h \otimes_R \Phi$  by *algebra*

from  $hdh-h$  have  $l-h-p$ :  $h \otimes_R (\text{differ}_D) \otimes_R h = h$  by *simp*

from  $r-h-p$  and  $l-h-p$  show ?thesis by *simp*

qed

also from  $h-in-R \ phi-in-R \ psi-in-R \ diff-in-R \ pert-in-R$  have  $\dots = \Psi \otimes_R h \otimes_R \Phi \oplus_R \Psi \otimes_R h \ominus_R \Psi \otimes_R h \otimes_R \Phi$  by *algebra simp*

also from  $h-in-R \ phi-in-R \ psi-in-R \ diff-in-R \ pert-in-R$  have  $\dots = \Psi \otimes_R h$  by *algebra*

also from  $psi-h-h-phi$  have  $\dots = h \otimes_R \Phi$  by *simp*

also from  $h'$ -def have  $\dots = h'$  by *simp*

finally show ?thesis by *simp*

qed

The following lemma is an instantiation of *lemma-2-2-14*, where  $D' = (\text{carrier} = \text{carrier } D, \text{mult} = \text{op} \otimes, \text{one} = \mathbf{1}, \text{diff} = \text{differ} \oplus_R \delta)$   $R = R$ , and finally  $h = h \otimes_R \Phi$ .

Therefore, the premises of locale *lemma-2-2-14* have to be verified



It is not necessary to explicitly prove that  $\text{diff-group-ker-}p'$  is a differential group, since it is one of the premises in the definition of reduction

**lemma** (in *lemma-2-2-15*) *lemma-2-2-15*: **shows** *reduction*  $D'$  *diff-group-ker-}p'  
 $(1_R \ominus_R p')$  *inc-ker-}p'*  $h'$   
**proof** –*

**from** *diff-group-pert-is-diff-group* **and** *delta-pert* **have** *diff-group-}D'*: *diff-group*  
 $D'$  **unfolding**  $D'$ -def **by** *simp*

**moreover**

**from**  $h'$ -in- $R$  **and** *ring-}R* **and** *hom-completion-eq* [*OF delta-pert*] **have**  $h' \in$   
*hom-completion*  $D'$   $D'$  **unfolding**  $D'$ -def **by** *simp*

**moreover**

**from**  $h'$ -nil **have**  $h'$ -nilpot:  $h' \otimes_R h' = 0_R$  **by** *simp*

**moreover**

**from**  $h'$ -d' $h'$ - $h'$  **have**  $h' \otimes_R \text{diff}' \otimes_R h' = h'$  **by** *simp*

**moreover**

**from** *ring-endomorphisms-pert* [*OF delta-pert*] **and**  $D'$ -def **have** *ring-}D'*: *ring-endomorphisms*  
 $D'$   $R$  **unfolding** *ring-endomorphisms-def* **by** *simp*

**ultimately have** *lemma-2-2-14*: *lemma-2-2-14*  $D'$   $R$   $h'$

**unfolding** *lemma-2-2-14-def* *lemma-2-2-14-axioms-def* *diff-group-def* *ring-endomorphisms-def*  
 $\text{diff}'$ -def  $D'$ -def **by** *simp*

**show** *?thesis*

**using** *lemma-2-2-14.lemma-2-2-14* [*OF lemma-2-2-14*]

**unfolding** *lemma-2-2-14.p-def* [*OF lemma-2-2-14*] *lemma-2-2-14.inc-ker-p-def*  
[*OF lemma-2-2-14*]

*lemma-2-2-14.diff-group-ker-p-def* [*OF lemma-2-2-14*]

**unfolding** *diff-group-ker-p'-def* *inc-ker-p'-def* *inc-ker-p-def*  $p'$ -def  $D'$ -def **by**  
*simp*

**qed**

**end**

## 8 Proposition 2.2.16 and Lemma 2.2.17 in Aransay's memoir

**theory** *lemma-2-2-17-local-nilpot*

**imports**

*lemma-2-2-15-local-nilpot*

**begin**

### 8.1 Previous definitions

Locale *proposition-2-2-16* does not introduce new facts; only some new definitions are given in the locale

**locale** *proposition-2-2-16* = *lemma-2-2-15*

**context** *proposition-2-2-16*  
**begin**

**definition**  $\pi$  **where**  $\pi = 1_R \ominus_R p$

**definition**  $\pi'$  **where**  $\pi' = 1_R \ominus_R p'$

**end**

The following lemma has been extracted from the proof of *Proposition 2.2.16* as stated in the memoir

**lemma** (in *proposition-2-2-16*)  $hp'-h$  [*simp*]: **shows**  $h \otimes_R p' = h$  **and**  $p' \otimes_R h = h$

**proof** –

**show**  $h \otimes_R p' = h$

**proof** –

**from**  $p'$ -def **and**  $\text{diff}'$ -def **have**  $h \otimes_R p' = h \otimes_R ((\text{diff} \oplus_R \delta) \otimes_R h' \oplus_R h' \otimes_R (\text{diff} \oplus_R \delta))$  **by** *simp*

**also from**  $\text{diff}$ -in- $R$  **and**  $\text{pert}$ -in- $R$  **and**  $h'$ -in- $R$  **and**  $h$ -in- $R$

**have**  $\dots = h \otimes_R ((\text{diff} \oplus_R \delta) \otimes_R h') \oplus_R h \otimes_R (h' \otimes_R (\text{diff} \oplus_R \delta))$  **by** *algebra*

**also from**  $\text{diff}$ -in- $R$  **and**  $\text{pert}$ -in- $R$  **and**  $h'$ -in- $R$  **and**  $h$ -in- $R$

**have**  $\dots = h \otimes_R (\text{diff} \otimes_R h' \oplus_R \delta \otimes_R h') \oplus_R h \otimes_R h' \otimes_R (\text{diff} \oplus_R \delta)$

**by** *algebra*

**also from**  $\text{diff}$ -in- $R$  **and**  $\text{pert}$ -in- $R$  **and**  $h'$ -in- $R$  **and**  $h$ -in- $R$  **and**  $h'$ -def

**have**  $\dots = h \otimes_R (\text{diff} \otimes_R (h \otimes_R \Phi)) \oplus_R h \otimes_R (\delta \otimes_R (h \otimes_R \Phi)) \oplus_R h \otimes_R (h \otimes_R \Phi) \otimes_R (\text{diff} \oplus_R \delta)$  **by** *algebra*

**also from**  $\text{diff}$ -in- $R$  **and**  $\text{pert}$ -in- $R$  **and**  $h$ -in- $R$  **and**  $\text{phi}$ -in- $R$

**have**  $\dots = h \otimes_R \text{diff} \otimes_R h \otimes_R \Phi \oplus_R h \otimes_R (\delta \otimes_R h \otimes_R \Phi) \oplus_R h \otimes_R h \otimes_R \Phi \otimes_R (\text{diff} \oplus_R \delta)$  **by** *algebra*

**also have**  $\dots = h \otimes_R \Phi \oplus_R h \otimes_R (1_R \ominus_R \Phi)$

**proof** –

**from**  $\text{phi}$ -prop **have**  $\Phi = 1_R \ominus_R \delta \otimes_R h \otimes_R \Phi$  **by** *simp*

**with**  $\text{phi}$ -in- $R$  **and**  $h$ -in- $R$  **and**  $\text{pert}$ -in- $R$  **have**  $1_R \ominus_R \Phi = 1_R \ominus_R (1_R \ominus_R \delta \otimes_R h \otimes_R \Phi)$  **by** *algebra*

**with**  $\text{phi}$ -in- $R$  **and**  $h$ -in- $R$  **and**  $\text{pert}$ -in- $R$  **have**  $1_R \ominus_R \Phi = \delta \otimes_R h \otimes_R \Phi$  **by** *algebra*

**with**  $\text{diff}$ -in- $R$  **and**  $\text{pert}$ -in- $R$  **and**  $h'$ -in- $R$  **and**  $h$ -in- $R$  **and**  $\text{phi}$ -in- $R$  **and**  $hdh$ - $h$  **and**  $h$ -nil

**show** *?thesis* **by** *algebra*

**qed**

**also from**  $h$ -in- $R$  **and**  $\text{phi}$ -in- $R$  **and**  $R$ .r-one [*OF*  $h$ -in- $R$ ] **have**  $\dots = h$  **by** *algebra*

**finally show**  $h \otimes_R p' = h$  **by** *simp*

**qed**

**next**

**show**  $p' \otimes_R h = h$

**proof** –

from  $p'$ -def and  $\text{diff}'$ -def have  $p' \otimes_R h = ((\text{differ} \oplus_R \delta) \otimes_R h' \oplus_R h' \otimes_R (\text{differ} \oplus_R \delta)) \otimes_R h$  by *simp*  
 also from  $\text{diff-in-R}$  and  $h'$ -in-R and  $h$ -in-R and  $\text{pert-in-R}$   
 have  $\dots = ((\text{differ} \oplus_R \delta) \otimes_R h') \otimes_R h \oplus_R (h' \otimes_R (\text{differ} \oplus_R \delta)) \otimes_R h$  by *algebra*  
 also from  $\text{diff-in-R}$  and  $h'$ -in-R and  $h$ -in-R and  $\text{pert-in-R}$   
 have  $\dots = (\text{differ} \oplus_R \delta) \otimes_R (h' \otimes_R h) \oplus_R ((h' \otimes_R \text{differ}) \oplus_R (h' \otimes_R \delta)) \otimes_R h$  by *algebra*  
 also from  $h'$ -def and  $\text{diff-in-R}$  and  $h'$ -in-R and  $h$ -in-R and  $\text{pert-in-R}$   
 have  $\dots = (\text{differ} \oplus_R \delta) \otimes_R (h \otimes_R \Phi \otimes_R h) \oplus_R h' \otimes_R \text{differ} \otimes_R h \oplus_R h' \otimes_R \delta \otimes_R h$  by *algebra*  
 also from  $\text{psi-h-h-phi}$   $h'$ -def and  $\text{diff-in-R}$  and  $h'$ -in-R and  $h$ -in-R and  $\text{pert-in-R}$   
 have  $\dots = (\text{differ} \oplus_R \delta) \otimes_R (\Psi \otimes_R h \otimes_R h) \oplus_R h \otimes_R \Phi \otimes_R \text{differ} \otimes_R h \oplus_R h \otimes_R \Phi \otimes_R \delta \otimes_R h$  by *algebra*  
 also have  $\dots = h \otimes_R \Phi \otimes_R \text{differ} \otimes_R h \oplus_R (\mathbf{1}_R \ominus_R \Psi) \otimes_R h$   
 proof –  
 from  $\text{psi-prop}$  have  $\Psi = (\mathbf{1}_R \ominus_R h \otimes_R \Phi \otimes_R \delta)$  by *simp*  
 with  $\text{psi-in-R}$  and  $h$ -in-R and  $\text{phi-in-R}$  and  $\text{pert-in-R}$  have  $\mathbf{1}_R \ominus_R \Psi = \mathbf{1}_R \ominus_R (\mathbf{1}_R \ominus_R h \otimes_R \Phi \otimes_R \delta)$  by *algebra*  
 with  $\text{phi-in-R}$  and  $h$ -in-R and  $\text{pert-in-R}$  have  $\mathbf{1}_R \ominus_R \Psi = h \otimes_R \Phi \otimes_R \delta$  by *algebra*  
 with  $\text{diff-in-R}$  and  $\text{pert-in-R}$  and  $h$ -in-R and  $\text{psi-in-R}$  and  $\text{phi-in-R}$  and  $\text{hdh-h}$  and  $h$ -nil and  $R.m\text{-assoc}$  [of  $\Psi$   $h$   $h$ ]  
 show ?thesis by *algebra*  
 qed  
 also from  $\text{psi-h-h-phi}$  have  $\dots = (\Psi \otimes_R h) \otimes_R \text{differ} \otimes_R h \oplus_R (\mathbf{1}_R \ominus_R \Psi) \otimes_R h$  by *algebra*  
 also from  $\text{phi-in-R}$  and  $h$ -in-R and  $\text{diff-in-R}$  have  $\dots = \Psi \otimes_R (h \otimes_R \text{differ} \otimes_R h) \oplus_R (\mathbf{1}_R \ominus_R \Psi) \otimes_R h$  by (*simp add: R.m-assoc*)  
 also from  $\text{hdh-h}$  have  $\dots = \Psi \otimes_R h \oplus_R (\mathbf{1}_R \ominus_R \Psi) \otimes_R h$  by *simp*  
 also from  $\text{psi-in-R}$  and  $h$ -in-R and  $R.l\text{-one}$  [OF  $h$ -in-R] have  $\dots = h$  by *algebra*  
 finally show  $p' \otimes_R h = h$  by *simp*  
 qed  
 qed

Another rewriting step that will be later used

**lemma** (in *lemma-2-2-14*)  $\text{ph-h[simp]}$ : shows  $p \otimes_R h = h$  and  $h \otimes_R p = h$

**proof** –

from  $p$ -def have  $p \otimes_R h = ((\text{differ} \otimes_R h \oplus_R h \otimes_R \text{differ}) \otimes_R h)$  by *simp*  
 also from  $\text{diff-in-R}$  and  $h$ -in-R and  $\text{hdh-h}$  and  $h$ -nil have  $\dots = h$  by *algebra*  
 finally show  $p \otimes_R h = h$  by *simp*

**next**

from  $p$ -def have  $h \otimes_R p = (h \otimes_R (\text{differ} \otimes_R h \oplus_R h \otimes_R \text{differ}))$  by *simp*  
 also from  $\text{diff-in-R}$  and  $h$ -in-R have  $\dots = h \otimes_R (\text{differ} \otimes_R h) \oplus_R h \otimes_R (h \otimes_R \text{differ})$  by *algebra*  
 also from  $\text{diff-in-R}$  and  $h$ -in-R have  $\dots = h \otimes_R \text{differ} \otimes_R h \oplus_R h \otimes_R h \otimes_R \text{differ}$  by *algebra*

also from  $h\text{-in-}R$  and  $\text{diff-in-}R$  and  $hdh\text{-}h$  and  $h\text{-nil}$  have  $\dots = h$  by algebra  
 finally show  $h \otimes_R p = h$  by simp  
 qed

## 8.2 Proposition 2.2.16

The following lemma corresponds to the *Proposition 2.2.16* as stated in Aransay's memoir

The previous lemmas  $h \otimes_R p' = h$

$p' \otimes_R h = h$  and  $p \otimes_R h = h$

$h \otimes_R p = h$  are now used

**lemma** (in *proposition-2-2-16*) *proposition-2-2-16*[simp]:

shows  $h\text{-}\pi'$ :  $h \otimes_R \pi' = \mathbf{0}_R$  and  $\pi'\text{-}h$ :  $\pi' \otimes_R h = \mathbf{0}_R$  and  $\pi\text{-}h'$ :  $\pi \otimes_R h' = \mathbf{0}_R$   
 and  $h'\text{-}\pi$ :  $h' \otimes_R \pi = \mathbf{0}_R$

**proof** –

from  $\pi'\text{-def}$  and  $h\text{-in-}R$  and  $p'\text{-in-}R$  and  $R\text{-r-one}$  [OF  $h\text{-in-}R$ ] and  $hp'\text{-}h$  show  
 $h \otimes_R \pi' = \mathbf{0}_R$  by algebra

**next**

from  $\pi'\text{-def}$  and  $h\text{-in-}R$  and  $p'\text{-in-}R$  and  $R\text{-l-one}$  [OF  $h\text{-in-}R$ ] and  $hp'\text{-}h$  show  
 $\pi' \otimes_R h = \mathbf{0}_R$  by algebra

**next**

from  $\pi\text{-def}$  and  $h'\text{-def}$  have  $\pi \otimes_R h' = (\mathbf{1}_R \ominus_R p) \otimes_R (h \otimes_R \Phi)$  by simp  
 also from  $p\text{-in-}R$  and  $h\text{-in-}R$  and  $\text{phi-in-}R$  have  $\dots = (\mathbf{1}_R \ominus_R p) \otimes_R h \otimes_R \Phi$  by algebra

also from  $ph\text{-}h$  and  $p\text{-in-}R$  and  $h\text{-in-}R$  and  $\text{phi-in-}R$  and  $R\text{-l-one}$  [OF  $h\text{-in-}R$ ]  
 have  $\dots = \mathbf{0}_R$  by algebra

finally show  $\pi \otimes_R h' = \mathbf{0}_R$  by simp

**next**

from  $\pi\text{-def}$  and  $h'\text{-def}$  have  $h' \otimes_R \pi = (h \otimes_R \Phi) \otimes_R (\mathbf{1}_R \ominus_R p)$  by simp  
 also from  $\text{psi-h-h-phi}$  have  $\dots = (\Psi \otimes_R h) \otimes_R (\mathbf{1}_R \ominus_R p)$  by simp  
 also from  $\text{psi-in-}R$  and  $p\text{-in-}R$  and  $h\text{-in-}R$  have  $\dots = \Psi \otimes_R (h \otimes_R (\mathbf{1}_R \ominus_R p))$  by algebra

also from  $p\text{-in-}R$  and  $h\text{-in-}R$  and  $\text{psi-in-}R$  and  $ph\text{-}h$  and  $R\text{-r-one}$  [OF  $h\text{-in-}R$ ]  
 have  $\dots = \mathbf{0}_R$  by algebra

finally show  $h' \otimes_R \pi = \mathbf{0}_R$  by simp

qed

**lemma** (in *proposition-2-2-16*)  $p'\text{-projector}$ : shows  $p' \otimes_R p' = p'$

**proof** –

have  $p' \otimes_R p' = (\text{diff}' \otimes_R h' \oplus_R h' \otimes_R \text{diff}') \otimes_R (\text{diff}' \otimes_R h' \oplus_R h' \otimes_R \text{diff}')$   
 unfolding  $p'\text{-def}$   $\text{diff}'\text{-def}$  by simp

also have  $\dots = (\text{diff}' \otimes_R h' \oplus_R h' \otimes_R \text{diff}') (\text{is -} = ?d'h' \oplus_R ?h'd')$

**proof** (rule *ring.idemp-prod*)

from *prems* show *ring R* unfolding *proposition-2-2-16-def* *lemma-2-2-15-def* *lemma-2-2-14-def* [of  $D\ R\ h$ ] *ring-endomorphisms-def* by simp

**from**  $\text{diff}'\text{-in-}R$  **and**  $h'\text{-in-}R$  **show**  $?d'h' \in \text{carrier } R$  **by** *simp*  
**from**  $\text{diff}'\text{-in-}R$  **and**  $h'\text{-in-}R$  **show**  $?h'd' \in \text{carrier } R$  **by** *simp*  
**from**  $\text{diff}'\text{-in-}R$   $h'\text{-in-}R$  **and**  $h'd'h'-h'$  **and**  $R.m\text{-assoc}$  [of  $\text{diff}' h' ?d'h'$ ] **show**  
 $?d'h' \otimes_R ?d'h' = ?d'h'$  **by** *algebra*  
**from**  $\text{diff}'\text{-in-}R$   $h'\text{-in-}R$  **and**  $h'd'h'-h'$  **and** *sym* [OF  $R.m\text{-assoc}$  [of  $\text{diff}' h' \text{diff}'$ ]] **and** *sym* [OF  $R.m\text{-assoc}$  [of  $h' ?d'h' \text{diff}'$ ]]  
**show**  $?h'd' \otimes_R ?h'd' = ?h'd'$  **by** *algebra*  
**from**  $\text{diff}'\text{-in-}R$   $h'\text{-in-}R$  **and**  $h'\text{-nil}$  **and**  $R.m\text{-assoc}$  [of  $\text{diff}' h' ?h'd'$ ] **and** *sym*  
[OF  $R.m\text{-assoc}$  [of  $h' h' \text{diff}'$ ]]  
**show**  $?d'h' \otimes_R ?h'd' = 0_R$  **by** *algebra*  
**from**  $\text{diff}'\text{-in-}R$   $h'\text{-in-}R$  **and**  $R.m\text{-assoc}$  [of  $h' \text{diff}' ?d'h'$ ] **and** *sym* [OF  
 $R.m\text{-assoc}$  [of  $\text{diff}' \text{diff}' h'$ ]]  
**and** *ring-endomorphisms.diff-nilpot* [of  $D' R$ ] **and** *ring-endomorphisms-pert*  
[OF *delta-pert*]  
**show**  $?h'd' \otimes_R ?d'h' = 0_R$  **unfolding**  $D'\text{-def}$   $\text{diff}'\text{-def}$  **by** *simp*  
**qed**  
**also have**  $\text{diff}' \otimes_R h' \oplus_R h' \otimes_R \text{diff}' = p'$  **unfolding**  $p'\text{-def}$   $\text{diff}'\text{-def}$  **by** *simp*  
**finally show** *?thesis* **by** *simp*  
**qed**

The following lemmas  $\pi$ -projector and  $\pi'$ -projector correspond to one of the parts of the proof of Lemma 2.2.17, as stated in the memoir; here they have been extracted as independent results, because later they will be used to get some other results

**lemma** (in *proposition-2-2-16*)  $\pi\text{-in-}R$  [*simp*]: **shows**  $\pi \in \text{carrier } R$  **using** *minus-closed* [OF  $R.\text{one-closed } p\text{-in-}R$ ] **and**  $\pi\text{-def}$  **and** *ring-}R* **by** *simp*

**lemma** (in *proposition-2-2-16*)  $\pi'\text{-in-}R$  [*simp*]: **shows**  $\pi' \in \text{carrier } R$  **using** *minus-closed* [OF  $R.\text{one-closed } p'\text{-in-}R$ ] **and**  $\pi'\text{-def}$  **and** *ring-}R* **by** *simp*

**lemma** (in *proposition-2-2-16*)  $\pi$ -projector: **shows**  $\pi \otimes_R \pi = \pi$

**proof** –

**from**  $\pi\text{-def}$  **and**  $p\text{-in-}R$  **and** *minus-closed* [OF  $R.\text{one-closed } p\text{-in-}R$ ] **and** *r-distr*  
[of  $1_R \ominus_R p \ 1_R \ominus_R p$ ] **and** *a-inv-closed* [OF  $p\text{-in-}R$ ]  
**and**  $R.r\text{-one}$  [OF *minus-closed* [OF  $R.\text{one-closed } p\text{-in-}R$ ]]  
**have**  $\pi \otimes_R \pi = (1_R \ominus_R p) \ominus_R (1_R \ominus_R p) \otimes_R p$  **by** *algebra*  
**also from**  $p\text{-in-}R$  **and** *minus-closed* [OF  $R.\text{one-closed } p\text{-in-}R$ ] **and**  $p\text{-projector}$   
**and** *l-distr* [of  $1_R \ominus_R p \ p$ ] **and** *a-inv-closed* [OF  $p\text{-in-}R$ ]  
**and**  $R.l\text{-one}$  [OF  $p\text{-in-}R$ ] **have**  $\dots = (1_R \ominus_R p) \ominus_R p \oplus_R p$  **by** *algebra*  
**also from**  $\pi\text{-def}$  **and**  $p\text{-in-}R$  **have**  $\dots = \pi$  **by** *algebra*  
**finally show** *?thesis* **by** *simp*  
**qed**

**lemma** (in *proposition-2-2-16*)  $\pi'$ -projector: **shows**  $\pi' \otimes_R \pi' = \pi'$

**proof** –

**from**  $\pi'\text{-def}$  **and**  $p'\text{-in-}R$  **and** *minus-closed* [OF  $R.\text{one-closed } p'\text{-in-}R$ ] **and** *r-distr*  
[of  $1_R \ominus_R p' \ 1_R \ominus_R p'$ ]  
**and** *a-inv-closed* [OF  $p'\text{-in-}R$ ] **and**  $R.r\text{-one}$  [OF *minus-closed* [OF  $R.\text{one-closed } p'\text{-in-}R$ ]]

have  $\pi' \otimes_R \pi' = (\mathbf{1}_R \ominus_R p') \ominus_R (\mathbf{1}_R \ominus_R p') \otimes_R p'$  by algebra  
 also from  $p'$ -in- $R$  and minus-closed  $[OF\ R.one\text{-}closed\ p'\text{-}in\text{-}R]$  and  $p'$ -projector  
 and  $l\text{-}distr$  [of  $\mathbf{1}_R \ominus_R p' p'$ ]  
 and  $a\text{-}inv\text{-}closed$   $[OF\ p'\text{-}in\text{-}R]$  and  $R.l\text{-}one$   $[OF\ p'\text{-}in\text{-}R]$  have  $\dots = (\mathbf{1}_R \ominus_R p') \ominus_R p' \oplus_R p'$  by algebra  
 also from  $\pi'\text{-}def$  and  $p'\text{-}in\text{-}R$  have  $\dots = \pi'$  by algebra  
 finally show ?thesis by simp  
 qed

### 8.3 Lemma 2.2.17

Lemma 2.2.17 proves the existence of an isomorphism between the differential subgroups  $diff\text{-}group\text{-}im\text{-}\pi$  and  $diff\text{-}group\text{-}im\text{-}\pi'$

The isomorphism will be explicitly given

Lemma  $im\text{-}\pi\text{-}ker\text{-}p$  corresponds to the first part of the proof of Lemma 2.2.17 in Aransay's memoir; in this part, we prove both  $im\ \pi' = kernel\ D' D' p'$ , where  $D'$  is the differential group perturbed, i.e.,  $D' = \langle carrier = carrier\ D, mult = op \otimes, one = \mathbf{1}, diff = differ \oplus_R \delta \rangle$ , and also  $im\ \pi = kernel\ D D p$

The reason to prove these equalities between sets is that later, it will be easier to prove the existence of an isomorphism between  $diff\text{-}group\text{-}im\text{-}\pi$  and  $diff\text{-}group\text{-}im\text{-}\pi'$  than between the kernel sets

The two following proofs in lemma  $im\text{-}\pi\text{-}ker\text{-}p$  are quite similar, but maybe trying to extract the common parts and obtaining both goals just by instantiation of the obtained common lemma would have been even, at least, longer

**lemma** (in *proposition-2-2-16*)  $im\text{-}\pi\text{-}ker\text{-}p$ : **shows**  $image\ \pi\ (carrier\ D) = kernel\ D D p$  and  $image\ \pi'\ (carrier\ D') = kernel\ D' D' p'$

**proof** –

**show**  $image\ \pi\ (carrier\ D) = kernel\ D D p$

**proof** (intro equalityI)

**show**  $\pi \text{ ' } carrier\ D \leq kernel\ D D p$

**proof**

**fix**  $x$

**assume**  $x: x \in \pi \text{ ' } carrier\ D$  **then obtain**  $y$  **where**  $y: y \in carrier\ D$  **and**

$\pi\text{-}y: \pi\ (y) = x$  **by** auto

**show**  $x \in kernel\ D D p$

**proof** (unfold kernel-def, simp, intro conjI)

**from**  $\pi\text{-}in\text{-}R$  **and**  $ring\text{-}R$  **have**  $\pi \in hom\text{-}completion\ D\ D$  **by** simp

**with**  $hom\text{-}completion\text{-}closed$   $[OF\text{-}y, of\ \pi\ D]$  **and**  $\pi\text{-}y$  **show**  $x \in carrier\ D$  **by** simp

**next**

**from**  $\pi\text{-}y$  **have**  $p\ x = p\ (\pi\ y)$  **by** simp

also have  $\dots = p (p (\pi y) \otimes \pi (\pi y))$   
 proof –  
 from  $\pi$ -def and  $p$ -in- $R$  have  $1_R = p \oplus_R \pi$  by algebra  
 then have  $1_R (\pi y) = (p \oplus_R \pi) (\pi y)$  by simp  
 with  $y$  and hom-completion-closed  $[OF - y, of \pi D]$  and ring- $R$  and  
 $\pi$ -in- $R$  have  $\pi y = p (\pi y) \otimes \pi (\pi y)$  by simp  
 then show ?thesis by simp  
 qed  
 also from ring- $R$  and  $y$  and  $p$ -in- $R$   $\pi$ -in- $R$  and hom-completion-mult  $[of$   
 $p D D p (\pi y) \pi (\pi y)]$   
 and hom-completion-closed  $[of \pi D D y]$  and hom-completion-closed  $[of$   
 $p D D \pi y]$  and hom-completion-closed  $[of \pi D D \pi y]$   
 have  $\dots = p (p (\pi y)) \otimes p (\pi (\pi y))$  by simp  
 also from ring- $R$  and  $p$ -projector and  $\pi$ -projector have  $\dots = p (\pi y) \otimes$   
 $p (\pi y)$  by (simp add: expand-fun-eq)  
 also have  $\dots = 1_D$   
 proof –  
 from  $\pi$ -def and  $p$ -in- $R$  and  $p$ -projector and  $R$ .r-one  $[OF p$ -in- $R]$  have  
 $p \otimes_R \pi = 0_R$  by algebra  
 with ring- $R$  and  $y$  have  $p (\pi y) = 1_D$  by (simp add: expand-fun-eq)  
 then show ?thesis by simp  
 qed  
 finally show  $p x = 1_D$  by simp  
 qed  
 qed  
 show  $\text{kernel } D D p \leq \pi' \text{ ' carrier } D$   
 proof (unfold image-def, auto)  
 fix  $x$  assume  $x: x \in \text{kernel } D D p$  then have  $x$ -in- $D: x \in \text{carrier } D$  unfolding  
 kernel-def by simp  
 from  $\pi$ -def and  $p$ -in- $R$  have  $1_R = \pi \oplus_R p$  by algebra  
 then have  $1_R x = (\pi \oplus_R p) x$  by simp  
 with  $x$ -in- $D$  and ring- $R$  have  $x = \pi x \otimes_D p x$  by (simp add: expand-fun-eq)  
 with ring- $R$   $\pi$ -in- $R$  and hom-completion-closed  $[of \pi D D x]$  and  $x$  and  
 $D$ .r-one  $[of \pi x]$  have  $x = \pi x$  unfolding kernel-def by simp  
 with  $x$ -in- $D$  show  $\exists y \in \text{carrier } D. x = \pi y$  by auto  
 qed  
 qed  
 next  
 show  $\pi' \text{ ' carrier } D' = \text{kernel } D' D' p'$   
 proof (intro equalityI)  
 show  $\pi' \text{ ' carrier } D' \leq \text{kernel } D' D' p'$   
 proof  
 fix  $x$   
 assume  $x: x \in \pi' \text{ ' carrier } D'$  then obtain  $y$  where  $y: y \in \text{carrier } D'$  and  
 $\pi' y: \pi' (y) = x$  by auto  
 show  $x \in \text{kernel } D' D' p'$   
 proof (unfold  $D'$ -def kernel-def, auto)  
 from  $\pi'$ -in- $R$  and ring- $R$  have  $\pi' \in \text{hom-completion } D D$  by simp  
 with  $y$  and hom-completion-closed  $[of \pi' D D y]$  and  $\pi' y$  and  $D'$ -def

**show**  $x \in \text{carrier } D$  **by** *simp*  
**next**  
**from**  $\pi'$ -*y* **have**  $p' x = p' (\pi' y)$  **by** *simp*  
**also have**  $\dots = p' (p' (\pi' y) \otimes \pi' (\pi' y))$   
**proof** –  
**from**  $\pi'$ -*def* **and**  $p'$ -*in-R* **have**  $1_R = p' \oplus_R \pi'$  **by** *algebra*  
**then have**  $1_R (\pi' y) = (p' \oplus_R \pi') (\pi' y)$  **by** *simp*  
**with**  $y$  **and**  $D'$ -*def* **and** *hom-completion-closed* [*of*  $\pi' D D y$ ] **and** *ring-R*  
**and**  $\pi'$ -*in-R* **have**  $\pi' y = p' (\pi' y) \otimes \pi' (\pi' y)$  **by** *simp*  
**then show** *?thesis* **by** *simp*  
**qed**  
**also from** *ring-R* **and**  $y$  **and**  $D'$ -*def* **and**  $p'$ -*in-R*  $\pi'$ -*in-R* **and** *hom-completion-mult*  
[*of*  $p' D D p' (\pi' y) \pi' (\pi' y)$ ]  
**and** *hom-completion-closed* [*of*  $\pi' D D y$ ] **and** *hom-completion-closed* [*of*  
 $p' D D \pi' y$ ]  
**and** *hom-completion-closed* [*of*  $\pi' D D \pi' y$ ]  
**have**  $\dots = p' (p' (\pi' y)) \otimes p' (\pi' (\pi' y))$  **by** *simp*  
**also from** *ring-R* **and**  $p'$ -*projector* **and**  $\pi'$ -*projector* **have**  $\dots = p' (\pi' y)$   
 $\otimes p' (\pi' y)$  **by** (*simp add: expand-fun-eq*)  
**also have**  $\dots = 1_D$   
**proof** –  
**from**  $\pi'$ -*def* **and**  $p'$ -*in-R* **and**  $p'$ -*projector* **and** *R.r-one* [*OF*  $p'$ -*in-R*] **have**  
 $p' \otimes_R \pi' = 0_R$  **by** *algebra*  
**with** *ring-R* **and**  $y$  **and**  $D'$ -*def* **have**  $p' (\pi' y) = 1_D$  **by** (*simp add:*  
*expand-fun-eq*)  
**then show** *?thesis* **by** *simp*  
**qed**  
**finally show**  $p' x = 1_D$  **by** *simp*  
**qed**  
**qed**  
**show** *kernel*  $D' D' p' \leq \pi' \text{ ' carrier } D'$   
**proof** (*unfold image-def, auto*)  
**fix**  $x$  **assume**  $x: x \in \text{kernel } D' D' p'$  **then have**  $x\text{-in-}D: x \in \text{carrier } D$   
**unfolding** *kernel-def*  $D'$ -*def* **by** *simp*  
**from**  $\pi'$ -*def* **and**  $p'$ -*in-R* **have**  $1_R = \pi' \oplus_R p'$  **by** *algebra*  
**then have**  $1_R x = (\pi' \oplus_R p') x$  **by** *simp*  
**with**  $x\text{-in-}D$  **and** *ring-R* **have**  $x = \pi' x \otimes_D p' x$  **by** (*simp add: expand-fun-eq*)  
**with** *ring-R* **and**  $\pi'$ -*in-R* **and** *hom-completion-closed* [*of*  $\pi' D D x$ ] **and**  $x$   
**and** *D.r-one* [*of*  $\pi' x$ ] **have**  $x = \pi' x$   
**unfolding** *kernel-def*  $D'$ -*def* **by** *simp*  
**with**  $x\text{-in-}D$  **show**  $\exists y \in \text{carrier } D'. x = \pi' y$  **unfolding**  $D'$ -*def* **by** *auto*  
**qed**  
**qed**  
**qed**

The following definition is similar to the one of isomorphism given in Isabelle, but here we add the premise that the homomorphism has to be also a completion. This is mainly to keep the coherence with the previous work

constdefs



*iso-compl* :: - => - => ('a => 'b) set (**infixr**  $\cong_{\text{compl}}$  60)  
 $D \cong_{\text{compl}} C == \{h. h \in \text{hom-completion } D \ C \ \& \ \text{bij-betw } h \ (\text{carrier } D) \ (\text{carrier } C)\}$

The following is an introduction lemma for isomorphisms between groups; maybe it could be introduced in the *Group.thy* file, avoiding the premise on completions!!

**lemma** *iso-complI*: **assumes** *closed*:  $\bigwedge x. x \in \text{carrier } D \implies h \ x \in \text{carrier } C$   
**and** *mult*:  $\bigwedge x \ y. \llbracket x \in \text{carrier } D; y \in \text{carrier } D \rrbracket \implies h \ (x \otimes_D y) = h \ x \otimes_C h \ y$   
**and** *complect*:  $\exists g. h = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } g \ x \text{ else } \mathbf{1}_C)$   
**and** *inj-on*:  $\bigwedge x \ y. \llbracket x \in \text{carrier } D; y \in \text{carrier } D; h \ (x) = h \ (y) \rrbracket \implies x = y$   
**and** *image*:  $\bigwedge y. y \in \text{carrier } C \implies \exists x \in \text{carrier } D. y = h \ (x)$   
**shows**  $h \in D \cong_{\text{compl}} C$   
**using** *prems*  
**unfolding** *iso-compl-def* **unfolding** *hom-diff-def* **apply** (*simp add: expand-fun-eq*)  
**unfolding** *hom-completion-def* **apply** *simp*  
**unfolding** *completion-fun2-def* *completion-def* **apply** (*simp add: expand-fun-eq*)  
**unfolding** *hom-def* **unfolding** *Pi-def* **apply** *simp*  
**unfolding** *bij-betw-def* *inj-on-def* **apply** *simp*  
**unfolding** *image-def* **by** *auto*

Lemmas  $\pi\pi'\pi-\pi$  and  $\pi'\pi\pi'-\pi$  have been also extracted from the proof of *Lemma 2.2.17* as stated in the memoir

They are used in order to prove injectivity and surjection of  $\pi$  and  $\pi'$

**lemma** (*in proposition-2-2-16*)  $\pi\pi'\pi-\pi$ : **shows**  $\pi \otimes_R \pi' \otimes_R \pi = \pi$

**proof** –

**from**  $\pi'$ -*def* **have**  $\pi \otimes_R \pi' \otimes_R \pi = \pi \otimes_R (\mathbf{1}_R \ominus_R p') \otimes_R \pi$  **by** *simp*  
**also from**  $\pi'$ -*in-R*  $\pi$ -*in-R*  $p'$ -*in-R*  $R$ .*r-one* [*OF*  $\pi$ -*in-R*] **have**  $\dots = (\pi \ominus_R \pi \otimes_R p') \otimes_R \pi$  **by** *algebra*  
**also from**  $\pi'$ -*in-R*  $\pi$ -*in-R*  $p'$ -*in-R* **and**  $\pi$ -*projector* **have**  $\dots = \pi \ominus_R \pi \otimes_R p' \otimes_R \pi$  **by** *algebra*  
**also from**  $p'$ -*def* **have**  $\dots = \pi \ominus_R \pi \otimes_R ((\text{differ} \oplus_R \delta) \otimes_R h' \oplus_R h' \otimes_R (\text{differ} \oplus_R \delta)) \otimes_R \pi$   
**(is**  $\pi \ominus_R \pi \otimes_R (?diff' \otimes_R h' \oplus_R h' \otimes_R ?diff') \otimes_R \pi$ **)** **by** *simp*  
**also from**  $\pi$ -*in-R* **and**  $\text{diff-pert-in-R}$  [*OF*  $\text{delta-pert}$ ] **and**  $h'$ -*in-R* **and** *sym* [*OF*  $R$ .*m-assoc* [*of*  $\pi \ h' \ ?diff'$ ]]  
**have**  $\dots = \pi \ominus_R (\pi \otimes_R (?diff' \otimes_R h') \oplus_R \pi \otimes_R h' \otimes_R ?diff') \otimes_R \pi$  **by** *algebra*  
**also from** *proposition-2-2-16* **and**  $\pi$ -*in-R* **and**  $\text{diff-pert-in-R}$  [*OF*  $\text{delta-pert}$ ] **and**  $h'$ -*in-R*  
**have**  $\dots = \pi \ominus_R (\pi \otimes_R (?diff' \otimes_R h')) \otimes_R \pi$  **by** *algebra*  
**also from**  $\pi$ -*in-R* **and**  $\text{diff-pert-in-R}$  [*OF*  $\text{delta-pert}$ ] **and**  $h'$ -*in-R* **and**  $R$ .*m-assoc* [*of*  $\pi \ ?diff' \otimes_R h' \ \pi$ ] **and**  $R$ .*m-assoc* [*of*  $?diff' \ h' \ \pi$ ]  
**and** *proposition-2-2-16* **have**  $\dots = \pi$  **by** *algebra*  
**finally show**  $\pi \otimes_R \pi' \otimes_R \pi = \pi$  **by** *simp*  
**qed**

**lemma** (*in proposition-2-2-16*)  $\pi'\pi\pi'-\pi'$ : **shows**  $\pi' \otimes_R \pi \otimes_R \pi' = \pi'$

**proof** –

**from**  $\pi$ -def **have**  $\pi' \otimes_R \pi \otimes_R \pi' = \pi' \otimes_R (\mathbf{1}_R \oplus_R p) \otimes_R \pi'$  **by** simp  
**also from**  $\pi'$ -in- $R$   $\pi$ -in- $R$   $p$ -in- $R$   $R$ .r-one [OF  $\pi'$ -in- $R$ ] **have**  $\dots = (\pi' \oplus_R \pi' \otimes_R p) \otimes_R \pi'$  **by** algebra  
**also from**  $\pi'$ -in- $R$   $\pi$ -in- $R$   $p$ -in- $R$  **and**  $\pi'$ -projector **have**  $\dots = \pi' \oplus_R \pi' \otimes_R p \otimes_R \pi'$  **by** algebra  
**also from**  $p$ -def **have**  $\dots = \pi' \oplus_R \pi' \otimes_R (\text{differ} \otimes_R h \oplus_R h \otimes_R \text{differ}) \otimes_R \pi'$  **by** simp  
**also from**  $\pi'$ -in- $R$  **and**  $\text{diff}$ -in- $R$  **and**  $h$ -in- $R$  **and** sym [OF  $R$ .m-assoc [of  $\pi' h$  differ]]  
**have**  $\dots = \pi' \oplus_R (\pi' \otimes_R (\text{differ} \otimes_R h) \oplus_R \pi' \otimes_R h \otimes_R \text{differ}) \otimes_R \pi'$  **by** algebra  
**also from** proposition-2-2-16 **and**  $\pi'$ -in- $R$  **and**  $\text{diff}$ -in- $R$  **and**  $h$ -in- $R$  **have**  $\dots = \pi' \oplus_R (\pi' \otimes_R (\text{differ} \otimes_R h)) \otimes_R \pi'$  **by** algebra  
**also from**  $\pi'$ -in- $R$  **and**  $\text{diff}$ -in- $R$  **and**  $h$ -in- $R$  **and**  $R$ .m-assoc [of  $\pi' \text{differ} \otimes_R h \pi'$ ] **and**  $R$ .m-assoc [of  $\text{differ} h \pi'$ ] **and** proposition-2-2-16  
**have**  $\dots = \pi'$  **by** algebra  
**finally show**  $\pi' \otimes_R \pi \otimes_R \pi' = \pi'$  **by** simp  
**qed**

The following locale definition only introduces some new definitions of constants; they will improve the presentation of the results

**locale** lemma-2-2-17 = proposition-2-2-16

**context** lemma-2-2-17

**begin**

**definition** im- $\pi$  **where** im- $\pi == \text{image } \pi (\text{carrier } D)$

**definition** im- $\pi'$  **where** im- $\pi' == \text{image } \pi' (\text{carrier } D')$

**definition** diff-group-im- $\pi$  **where** diff-group-im- $\pi == (\text{carrier} = \text{image } \pi (\text{carrier } D), \text{mult} = \text{mult } D, \text{one} = \text{one } D,$   
 $\text{diff} = \text{completion } (\text{carrier} = \text{image } \pi (\text{carrier } D), \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{diff } D) \text{ } D (\text{diff } D))$

**definition** diff-group-im- $\pi'$  **where** diff-group-im- $\pi' == (\text{carrier} = \text{image } \pi' (\text{carrier } D'), \text{mult} = \text{mult } D, \text{one} = \text{one } D,$   
 $\text{diff} = \text{completion } (\text{carrier} = \text{image } \pi' (\text{carrier } D'), \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = (\text{differ} \oplus_R \delta))$   
 $(\text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = (\text{differ} \oplus_R \delta)) (\text{differ} \oplus_R \delta))$

**definition** diff-im- $\pi$ -def: diff-im- $\pi == \text{completion } (\text{carrier} = \text{image } \pi (\text{carrier } D), \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{diff } D) \text{ } D (\text{diff } D)$

**definition** diff-im- $\pi'$ -def: diff-im- $\pi' == \text{completion } (\text{carrier} = \text{image } \pi' (\text{carrier } D'), \text{mult} = \text{mult } D, \text{one} = \text{one } D,$   
 $\text{diff} = (\text{differ} \oplus_R \delta) \text{ } (\text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{differ} \oplus_R \delta) (\text{differ} \oplus_R \delta)$

**definition**  $\tau$  **where**  $\tau == \text{completion}$

$\langle \text{carrier} = \text{image } \pi (\text{carrier } D), \text{mult} = \text{mult } D, \text{one} = \text{one } D,$   
 $\text{diff} = \text{completion } \langle \text{carrier} = \text{image } \pi (\text{carrier } D), \text{mult} = \text{mult } D, \text{one} = \text{one } D,$   
 $\text{diff} = \text{diff } D \rangle \rangle D (\text{diff } D) \rangle$   
 $\langle \text{carrier} = \text{image } \pi' (\text{carrier } D'), \text{mult} = \text{mult } D, \text{one} = \text{one } D,$   
 $\text{diff} = \text{completion } \langle \text{carrier} = \text{image } \pi' (\text{carrier } D'), \text{mult} = \text{mult } D, \text{one} = \text{one } D,$   
 $\text{diff} = \text{diff } D \oplus_R \delta \rangle$   
 $\langle \text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{diff } D \oplus_R \delta \rangle (\text{diff } D \oplus_R \delta) \rangle \pi'$

The following definition of  $\tau'$  corresponds to the inverse of  $\tau$

**definition**

$\tau'$  **where**  $\tau' == \text{completion}$   
 $\langle \text{carrier} = \text{image } \pi' (\text{carrier } D'), \text{mult} = \text{mult } D, \text{one} = \text{one } D,$   
 $\text{diff} = \text{completion } \langle \text{carrier} = \text{image } \pi' (\text{carrier } D'), \text{mult} = \text{mult } D, \text{one} = \text{one } D,$   
 $\text{diff} = \text{diff } D \oplus_R \delta \rangle$   
 $\langle \text{carrier} = \text{carrier } D, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \text{diff } D \oplus_R \delta \rangle (\text{diff } D \oplus_R \delta) \rangle$   
 $\langle \text{carrier} = \text{image } \pi (\text{carrier } D), \text{mult} = \text{mult } D, \text{one} = \text{one } D,$   
 $\text{diff} = \text{completion } \langle \text{carrier} = \text{image } \pi (\text{carrier } D), \text{mult} = \text{mult } D, \text{one} = \text{one } D,$   
 $\text{diff} = \text{diff } D \rangle \rangle D (\text{diff } D) \rangle \pi$

**end**

As with *Lemma 2.2.14*, we divide the proof of *Lemma 2.2.17* in four parts. First we prove that there are two homomorphisms, one in each direction, satisfying that they are isomorphisms. Then, in other two lemmas, we prove that their compositions, also in both directions, are equal to the corresponding identities

**lemma** (in *lemma-2-2-17*) *lemma-2-2-17-first-part*: **shows**  $\tau \in (\text{diff-group-im-}\pi \cong_{\text{compl}} \text{diff-group-im-}\pi')$

**proof** (*intro iso-complI*)

**fix**  $x$  **assume**  $x \in \text{carrier diff-group-im-}\pi$  **then have**  $x: x \in \pi' (\text{carrier } D)$

**unfolding** *diff-group-im- $\pi$ -def* **by** *simp*

**then obtain**  $y$  **where**  $y: y \in \text{carrier } D$  **and**  $\pi \cdot y: \pi \cdot y = x$  **by** *auto*

**with** *ring-R* **and**  $\pi$ -in-*R*  $\pi'$ -in-*R* **and** *hom-completion-closed* [*of*  $\pi \cdot D \cdot D \cdot \pi \cdot y$ ]  
*hom-completion-closed* [*of*  $\pi' \cdot D \cdot D \cdot \pi \cdot y$ ]

**have**  $\pi' x \in \pi' (\text{carrier } D)$  **unfolding** *image-def* **by** *auto*

**with**  $x$  **show**  $\tau x \in \text{carrier diff-group-im-}\pi'$  **unfolding** *diff-group-im- $\pi'$ -def* **and**  $\tau$ -*def* **and**  $D'$ -*def*

**unfolding** *completion-def image-def* **by** *auto*

**next**

**fix**  $x \cdot y$  **assume**  $x \in \text{carrier diff-group-im-}\pi$  **and**  $y \in \text{carrier diff-group-im-}\pi$

**then have**  $x: x \in \pi' (\text{carrier } D)$  **and**  $y: y \in \pi' (\text{carrier } D)$  **unfolding** *diff-group-im- $\pi$ -def*

**by** *simp-all*

**then obtain**  $x' \cdot y'$  **where**  $x': x' \in \text{carrier } D$  **and**  $y': y' \in \text{carrier } D$  **and**  $\pi \cdot x':$

$\pi \cdot x' = x$  **and**  $\pi \cdot y': \pi \cdot y' = y$  **by** *auto*

**with** *ring-R* **and**  $\pi'$ -in-*R* **and**  $\pi$ -in-*R* **and** *hom-completion-closed* [of  $\pi$  *D D x*']  
*hom-completion-closed* [of  $\pi$  *D D y*']  
**and** *D.m-closed* [of  $(\pi$  *x')*  $(\pi$  *y')*] **and** *hom-completion-mult* [of  $\pi'$  *D D x y*]  
**and** *x y* **and** *sym* [*OF hom-completion-mult* [of  $\pi$  *D D x' y'*]]  
**show**  $\tau (x \otimes_{\text{diff-group-im-}\pi} y) = \tau x \otimes_{\text{diff-group-im-}\pi'} \tau y$  **unfolding**  $\tau$ -def  
*diff-group-im- $\pi$ -def* *diff-group-im- $\pi'$ -def* *completion-def* **by** *simp*  
**next**  
**from** *exI* [of -  $\tau$ ] **show**  $\exists g. \tau = (\lambda x. \text{if } x \in \text{carrier diff-group-im-}\pi \text{ then } g x \text{ else } 1_{\text{diff-group-im-}\pi'})$   
**unfolding**  $\tau$ -def *diff-group-im- $\pi$ -def* *diff-group-im- $\pi'$ -def* *completion-def* **by** *auto*  
**next**  
**fix** *x y* **assume**  $x \in \text{carrier diff-group-im-}\pi$  **and**  $y \in \text{carrier diff-group-im-}\pi$  **and**  
 $\tau$ -eq:  $\tau x = \tau y$   
**then have**  $x: x \in \pi' (\text{carrier } D)$  **and**  $y: y \in \pi' (\text{carrier } D)$  **unfolding** *diff-group-im- $\pi$ -def*  
**by** *simp-all*  
**then obtain**  $x' y'$  **where**  $x': x' \in \text{carrier } D$  **and**  $y': y' \in \text{carrier } D$  **and**  $\pi x' = x$   
**and**  $\pi y' = y$  **by** *auto*  
**with**  $\tau$ -eq **and** *x y* **have**  $\pi' (\pi x') = \pi' (\pi y')$  **unfolding**  $\tau$ -def *completion-def*  
*image-def* **by** *auto*  
**then have**  $\pi (\pi' (\pi x')) = \pi (\pi' (\pi y'))$  **by** *simp*  
**with** *ring-R* **and**  $\pi$ -in-*R* **and**  $\pi'$ -in-*R* **and**  $\pi\pi'\pi$  **have**  $\pi x' = \pi y'$  **by** (*simp*  
*add: expand-fun-eq*)  
**with**  $\pi x'$  **and**  $\pi y'$  **show**  $x = y$  **by** *simp*  
**next**  
**fix** *y* **assume**  $y \in \text{carrier diff-group-im-}\pi'$   
**then have**  $y \in \pi' (\text{carrier } D')$  **unfolding** *diff-group-im- $\pi'$ -def* **by** *simp*  
**with** *D'-def* **obtain**  $y'$  **where**  $y': y' \in \text{carrier } D$  **and**  $\pi' y' = y$  **by** *auto*  
**with**  $\pi'\pi\pi'$  **and** *ring-R* **and**  $\pi$ -in-*R* **and**  $\pi'$ -in-*R* **have**  $\pi' (\pi (\pi' y')) = \pi' y'$   
**by** (*auto simp add: expand-fun-eq*)  
**with**  $\pi' y'$  **and** *y* **and** *ring-R*  $\pi$ -in-*R*  $\pi'$ -in-*R* **and** *hom-completion-closed* [of  $\pi'$   
*D D y*'] *hom-completion-closed* [of  $\pi$  *D D  $\pi' y'$* ]  
**have**  $\pi' (\pi y) = y$  **and**  $\pi y \in \pi' (\text{carrier } D)$  **unfolding** *image-def* **by** *auto*  
**with** *diff-group-im- $\pi$ -def*  $\tau$ -def **show**  $\exists x \in \text{carrier diff-group-im-}\pi. y = \tau x$  **un-**  
**folding** *completion-def* **by** *auto*  
**qed**

*lemma-2-2-17-second-part* proves that  $\tau' \in \text{diff-group-im-}\pi' \cong_{\text{compl}} \text{diff-group-im-}\pi$

**lemma** (in *lemma-2-2-17*) *lemma-2-2-17-second-part*: **shows**  $\tau' \in (\text{diff-group-im-}\pi' \cong_{\text{compl}} \text{diff-group-im-}\pi)$

(**is**  $\tau' \in (?IM\text{-}\pi' \cong_{\text{compl}} ?IM\text{-}\pi)$ )

**proof** (*intro iso-complI*)

**fix** *x* **assume**  $x \in \text{carrier } ?IM\text{-}\pi'$  **with** *diff-group-im- $\pi'$ -def* **have**  $x: x \in \pi' (\text{carrier } D')$  **by** *simp*

**with** *D'-def* **obtain** *y* **where**  $y: y \in \text{carrier } D$  **and**  $\pi y = x$  **by** *auto*

**with** *ring-R* **and**  $\pi$ -in-*R*  $\pi'$ -in-*R* **and** *hom-completion-closed* [of  $\pi'$  *D D y*]  
*hom-completion-closed* [of  $\pi$  *D D  $\pi' y$* ]

**have**  $\pi x \in \pi' (\text{carrier } D)$  **unfolding** *image-def* **by** *auto*

**with** *x* **show**  $\tau' x \in \text{carrier diff-group-im-}\pi$  **unfolding** *diff-group-im- $\pi$ -def*  $\tau'$ -def  
**unfolding** *completion-def* *image-def* **by** *auto*

**next**  
**fix**  $x\ y$  **assume**  $x \in \text{carrier } ?IM-\pi'$  **and**  $y \in \text{carrier } ?IM-\pi'$   
**then have**  $x: x \in \pi' \text{ ' (carrier } D')$  **and**  $y: y \in \pi' \text{ ' (carrier } D')$  **unfolding**  
 $\text{diff-group-im-}\pi'\text{-def}$  **by**  $\text{simp-all}$   
**then obtain**  $x' y'$  **where**  $x': x' \in \text{carrier } D$  **and**  $y': y' \in \text{carrier } D$  **and**  $\pi-x':$   
 $\pi' x' = x$  **and**  $\pi-y': \pi' y' = y$  **unfolding**  $D'\text{-def}$  **by**  $\text{auto}$   
**with**  $\text{ring-}R$  **and**  $\pi'\text{-in-}R$  **and**  $\pi\text{-in-}R$  **and**  $\text{hom-completion-closed}$   $[\text{of } \pi' D D x]$   
 $\text{hom-completion-closed}$   $[\text{of } \pi' D D y]$   
**and**  $D.m\text{-closed}$   $[\text{of } \pi' x' \pi' y']$  **and**  $\text{hom-completion-mult}$   $[\text{of } \pi D D x y]$  **and**  
 $x\ y$  **and**  $\text{sym}$   $[\text{OF } \text{hom-completion-mult } [\text{of } \pi' D D x' y']]$   
**show**  $\tau' (x \otimes_{?IM-\pi'} y) = \tau' x \otimes_{?IM-\pi} \tau' y$  **unfolding**  $\tau'\text{-def}$   $\text{diff-group-im-}\pi\text{-def}$   
 $\text{diff-group-im-}\pi'\text{-def}$   $D'\text{-def}$   
**unfolding**  $\text{completion-def}$  **by**  $\text{simp}$   
**next**  
**from**  $\text{exI}$   $[\text{of } - \tau']$  **show**  $\exists g. \tau' = (\lambda x. \text{if } x \in \text{carrier } \text{diff-group-im-}\pi' \text{ then } g\ x$   
 $\text{else } 1_{\text{diff-group-im-}\pi})$   
**unfolding**  $\tau'\text{-def}$   $\text{diff-group-im-}\pi\text{-def}$   $\text{diff-group-im-}\pi'\text{-def}$   $\text{completion-def}$  **by**  
 $\text{auto}$   
**next**  
**fix**  $x\ y$  **assume**  $x \in \text{carrier } \text{diff-group-im-}\pi'$  **and**  $y \in \text{carrier } \text{diff-group-im-}\pi'$   
**and**  $\tau'\text{-eq: } \tau' x = \tau' y$   
**then have**  $x: x \in \pi' \text{ ' (carrier } D')$  **and**  $y: y \in \pi' \text{ ' (carrier } D')$  **unfolding**  
 $\text{diff-group-im-}\pi'\text{-def}$  **by**  $\text{simp-all}$   
**then obtain**  $x' y'$  **where**  $x': x' \in \text{carrier } D$  **and**  $y': y' \in \text{carrier } D$  **and**  $\pi'\text{-}x':$   
 $\pi' x' = x$  **and**  $\pi'\text{-}y': \pi' y' = y$   
**unfolding**  $D'\text{-def}$  **by**  $\text{auto}$   
**with**  $\tau'\text{-eq}$  **and**  $x\ y$  **have**  $\pi (\pi' x') = \pi (\pi' y')$  **unfolding**  $\tau'\text{-def}$   $\text{completion-def}$   
 $\text{image-def}$  **by**  $\text{auto}$   
**then have**  $\pi' (\pi (\pi' x')) = \pi' (\pi (\pi' y'))$  **by**  $\text{simp}$   
**with**  $\text{ring-}R$  **and**  $\pi\text{-in-}R$  **and**  $\pi'\text{-in-}R$  **and**  $\pi'\pi\pi'\text{-}\pi'$  **have**  $\pi' x' = \pi' y'$  **by**  $(\text{simp}$   
 $\text{add: expand-fun-eq})$   
**with**  $\pi'\text{-}x'$  **and**  $\pi'\text{-}y'$  **show**  $x = y$  **by**  $\text{simp}$   
**next**  
**fix**  $y$  **assume**  $y \in \text{carrier } ?IM-\pi$   
**then have**  $y \in \pi \text{ ' (carrier } D)$  **unfolding**  $\text{diff-group-im-}\pi\text{-def}$  **by**  $\text{simp}$   
**then obtain**  $y'$  **where**  $y': y' \in \text{carrier } D$  **and**  $\pi\text{-}y': \pi y' = y$  **by**  $\text{auto}$   
**with**  $\pi\pi'\pi\text{-}\pi$  **and**  $\text{ring-}R$  **and**  $\pi\text{-in-}R$  **and**  $\pi'\text{-in-}R$  **have**  $\pi (\pi' (\pi y')) = \pi y'$  **by**  
 $(\text{auto simp add: expand-fun-eq})$   
**with**  $\pi\text{-}y'$  **and**  $y'$  **and**  $\text{ring-}R$   $\pi\text{-in-}R$   $\pi'\text{-in-}R$  **and**  $\text{hom-completion-closed}$   $[\text{of } \pi$   
 $D D y]$   $\text{hom-completion-closed}$   $[\text{of } \pi' D D \pi y]$   
**have**  $\pi (\pi' y) = y$  **and**  $\pi' y \in \pi' \text{ ' (carrier } D')$  **unfolding**  $D'\text{-def}$   $\text{image-def}$  **by**  
 $\text{auto}$   
**then show**  $\exists x \in \text{carrier } \text{diff-group-im-}\pi'. y = \tau' x$  **unfolding**  $\text{diff-group-im-}\pi'\text{-def}$   
 $\tau'\text{-def}$   $\text{completion-def}$  **by**  $\text{auto}$   
**qed**

In *lemma-2-2-17-first-part* and *lemma-2-2-17-second-part* we have proved  
 the isomorphism between  $\text{diff-group-im-}\pi$  and  $\text{diff-group-im-}\pi'$ ; now, with  
 the help of  $\pi \text{ ' carrier } D = \text{kernel } D D p$

$\pi' \text{ ' carrier } D' = \text{kernel } D' D' p'$ , where we have proved both that  $\text{im } \pi = \text{ker } p$  and also that  $\text{im } \pi' = \text{ker } p'$ , we prove that  $\text{ker } p$  and  $\text{ker } p'$  are also isomorphic. Then we obtain the statement as it is presented in *Lemma 2.2.17* in Aransay's memoir

**lemma** (in lemma-2-2-17) lemma-2-2-17-kernel: **shows**  $\tau \in (\text{diff-group-ker-}p \cong_{\text{compl}} \text{diff-group-ker-}p')$   
**and**  $\tau' \in (\text{diff-group-ker-}p' \cong_{\text{compl}} \text{diff-group-ker-}p)$   
**using** im- $\pi$ -ker- $p$  lemma-2-2-17-first-part lemma-2-2-17-second-part  
**unfolding** diff-group-ker- $p$ -def diff-group-ker- $p'$ -def diff-group-im- $\pi$ -def diff-group-im- $\pi'$ -def  $D'$ -def **by** simp-all

**lemma** (in lemma-2-2-17) lemma-2-2-17-third-part:  
**shows**  $\tau \circ \tau' = (\lambda x. \text{if } x \in \text{carrier diff-group-im-}\pi' \text{ then id } x \text{ else } \mathbf{1}_{\text{diff-group-im-}\pi'})$   
**(is**  $\tau \circ \tau' = ?\text{id-image-}\pi')$   
**proof** (rule ext)  
**fix**  $x$   
**show**  $(\tau \circ \tau') x = ?\text{id-image-}\pi' x$   
**proof** (cases  $x \in \text{carrier diff-group-im-}\pi'$ )  
**case** True **then** **have**  $x: x \in \pi'^{\text{'}}(\text{carrier } D)$  **unfolding** diff-group-im- $\pi'$ -def **and**  $D'$ -def **by** simp  
**then** **obtain**  $y$  **where**  $y: y \in \text{carrier } D$  **and**  $\pi' y: \pi' y = x$  **by** auto  
**with**  $x$  **have**  $(\tau \circ \tau') x = \tau (\pi (\pi' y))$  **unfolding**  $\tau'$ -def  $D'$ -def completion-def **by** simp  
**also** **from**  $\pi'$ -in- $R$  **and** ring- $R$  hom-completion-closed [of  $\pi' D D y$ ] **and**  $y$  **and** imageI [of  $\pi' y$  carrier  $D \pi$ ]  
**have**  $\dots = \pi' (\pi (\pi' y))$  **unfolding**  $\tau$ -def completion-def **by** simp  
**also** **with**  $\pi' \pi \pi' - \pi'$  **and**  $\pi$ -in- $R$  **and**  $\pi'$ -in- $R$  **and** ring- $R$  **have**  $\dots = \pi' y$   
**unfolding** expand-fun-eq **by** simp  
**also** **with**  $\pi' y$  **and** True **have**  $\dots = ?\text{id-image-}\pi' x$  **by** simp  
**finally** **show**  $(\tau \circ \tau') x = ?\text{id-image-}\pi' x$  **by** simp  
**next**  
**case** False **then** **have**  $(\tau \circ \tau') x = \tau \mathbf{1}$  **unfolding**  $\tau'$ -def diff-group-im- $\pi'$ -def completion-def **by** simp  
**also** **from** group-hom.hom-one [of  $D D \pi$ ] **and**  $\pi$ -in- $R$  **and**  $\pi'$ -in- $R$  **and** ring- $R$  **and** imageI [OF  $D$ .one-closed, of  $\pi$ ]  
**and** group-hom.hom-one [of  $D D \pi$ ] **and**  $D$ -diff-group **have**  $\dots = \mathbf{1}$   
**unfolding** group-hom-def group-hom-axioms-def diff-group-def comm-group-def group-def hom-completion-def completion-def  $\tau$ -def **by** simp  
**also** **from** False **have**  $\dots = ?\text{id-image-}\pi' x$  **unfolding** diff-group-im- $\pi'$ -def **by** simp  
**finally** **show**  $(\tau \circ \tau') x = ?\text{id-image-}\pi' x$  **by** simp  
**qed**  
**qed**

**lemma** (in lemma-2-2-17) lemma-2-2-17-fourth-part:

**shows**  $\tau' \circ \tau = (\lambda x. \text{ if } x \in \text{carrier diff-group-im-}\pi \text{ then id } x \text{ else } \mathbf{1}_{\text{diff-group-im-}\pi})$   
**(is**  $\tau' \circ \tau = ?\text{id-image-}\pi$ )  
**proof** (*rule ext*)  
**fix**  $x$   
**show**  $(\tau' \circ \tau) x = ?\text{id-image-}\pi x$   
**proof** (*cases*  $x \in \text{carrier diff-group-im-}\pi$ )  
**case** *True* **then have**  $x \in \pi' (\text{carrier } D)$  **unfolding** *diff-group-im- $\pi$ -def* **by** *simp*  
**then obtain**  $y$  **where**  $y \in \text{carrier } D$  **and**  $\pi y = x$  **by** *auto*  
**with**  $x$  **have**  $(\tau' \circ \tau) x = \tau' (\pi' (\pi y))$  **unfolding**  *$\tau$ -def* **by** *simp*  
**also from**  *$\pi$ -in- $R$*  **and** *ring- $R$  hom-completion-closed* [*of*  $\pi D D y$ ] **and**  $y$  **and** *imageI* [*of*  $\pi y \text{ carrier } D \pi$ ]  
**have**  $\dots = \pi (\pi' (\pi y))$  **unfolding**  *$\tau'$ -def  $D'$ -def completion-def* **by** *simp*  
**also with**  $\pi \pi' \pi$  **and**  *$\pi$ -in- $R$*  **and**  *$\pi'$ -in- $R$*  **and** *ring- $R$*  **have**  $\dots = \pi y$  **unfolding** *expand-fun-eq* **by** *simp*  
**also with**  $\pi y$  **and** *True* **have**  $\dots = ?\text{id-image-}\pi x$  **by** *simp*  
**finally show**  $(\tau' \circ \tau) x = ?\text{id-image-}\pi x$  **by** *simp*  
**next**  
**case** *False* **then have**  $(\tau' \circ \tau) x = \tau' \mathbf{1}$  **unfolding**  *$\tau$ -def diff-group-im- $\pi$ -def completion-def* **by** *simp*  
**also from** *group-hom.hom-one* [*of*  $D D \pi$ ] **and**  *$\pi'$ -in- $R$*  **and**  *$\pi$ -in- $R$*  **and** *ring- $R$*  **and** *imageI* [*OF*  *$D$ .one-closed*, *of*  $\pi$ ]  
**and** *group-hom.hom-one* [*of*  $D D \pi$ ]  *$D$ -diff-group* **and** *prems* **have**  $\dots = \mathbf{1}$   
**unfolding**  *$\tau'$ -def group-hom-def group-hom-axioms-def diff-group-def comm-group-def group-def hom-completion-def completion-def* **by** *simp*  
**also from** *False* **have**  $\dots = ?\text{id-image-}\pi x$  **unfolding** *diff-group-im- $\pi$ -def* **by** *simp*  
**finally show**  $(\tau' \circ \tau) x = ?\text{id-image-}\pi x$  **by** *simp*  
**qed**  
**qed**

In the following lemma, again we transfer the result obtained in  $\tau \circ \tau' = (\lambda x. \text{ if } x \in \text{carrier diff-group-im-}\pi' \text{ then id } x \text{ else } \mathbf{1}_{\text{diff-group-im-}\pi'})$  and  $\tau' \circ \tau = (\lambda x. \text{ if } x \in \text{carrier diff-group-im-}\pi \text{ then id } x \text{ else } \mathbf{1}_{\text{diff-group-im-}\pi})$  from the image sets to the kernel sets

**lemma** (*in lemma-2-2-17*) *lemma-2-2-17-identities*: **shows**  $\tau' \circ \tau = (\lambda x. \text{ if } x \in \text{carrier diff-group-ker-}p \text{ then id } x \text{ else } \mathbf{1}_{\text{diff-group-ker-}p})$   
**and**  $\tau \circ \tau' = (\lambda x. \text{ if } x \in \text{carrier diff-group-ker-}p' \text{ then id } x \text{ else } \mathbf{1}_{\text{diff-group-ker-}p'})$   
**using** *lemma-2-2-17-third-part lemma-2-2-17-fourth-part im- $\pi$ -ker- $p$*   
**unfolding** *diff-group-ker- $p$ -def diff-group-ker- $p'$ -def* **apply** *auto*  
**unfolding** *diff-group-im- $\pi$ -def diff-group-im- $\pi'$ -def  $D'$ -def* **apply** *auto*  
**by** (*auto simp add: expand-fun-eq*)

We now define what we consider inverse isomorphisms between differential groups (actually the definition also holds for monoids) by means of homomorphism

The previous definition,  $op \cong_{\text{invdiff}}$ , defined an isomorphism by means of *differential* homomorphisms

**constdefs**

*iso-inv-compl* :: ('a, 'c) monoid-scheme => ('b, 'd) monoid-scheme => (('a => 'b) × ('b => 'a)) set (infixr  $\cong_{\text{invcompl}}$  60)  
 $D \cong_{\text{invcompl}} C == \{(f, g). f \in (D \cong_{\text{compl}} C) \ \& \ g \in (C \cong_{\text{compl}} D) \ \& \ (f \circ g = \text{completion } C \ C \ \text{id}) \ \& \ (g \circ f = \text{completion } D \ D \ \text{id})\}$

**lemma** *iso-inv-complI*: **assumes**  $f: f \in (D \cong_{\text{compl}} C)$  **and**  $g: g \in (C \cong_{\text{compl}} D)$  **and**  $fg\text{-id}: (f \circ g = \text{completion } C \ C \ \text{id})$  **and**  $gf\text{-id}: (g \circ f = \text{completion } D \ D \ \text{id})$  **shows**  $(f, g) \in (D \cong_{\text{invcompl}} C)$  **using**  $f \ g \ fg\text{-id} \ gf\text{-id}$  **unfolding** *iso-inv-compl-def* **by** *simp*

**lemma** *iso-inv-diff-impl-iso-inv-compl*: **assumes**  $f, g: (f, g) \in (D \cong_{\text{invdiff}} C)$  **shows**  $(f, g) \in (D \cong_{\text{invcompl}} C)$  **using**  $f \ g$  **unfolding** *iso-inv-diff-def iso-diff-def iso-inv-compl-def iso-compl-def hom-diff-def hom-completion-def* **by** *auto*

**lemma** *iso-inv-compl-iso-compl*: **assumes**  $f, f': (f, f') \in (D \cong_{\text{invcompl}} C)$  **shows**  $f \in (D \cong_{\text{compl}} C)$  **using**  $f \ f'$  **unfolding** *iso-inv-compl-def* **by** *simp*

**lemma** *iso-inv-compl-iso-compl2*: **assumes**  $f, f': (f, f') \in (D \cong_{\text{invcompl}} C)$  **shows**  $f' \in (C \cong_{\text{compl}} D)$  **using**  $f \ f'$  **unfolding** *iso-inv-compl-def* **by** *simp*

**lemma** *iso-inv-compl-id*: **assumes**  $f, f': (f, f') \in (D \cong_{\text{invcompl}} C)$  **shows**  $f' \circ f = \text{completion } D \ D \ \text{id}$  **using**  $f \ f'$  **unfolding** *iso-inv-compl-def* **by** *simp*

**lemma** *iso-inv-compl-id2*: **assumes**  $f, f': (f, f') \in (D \cong_{\text{invcompl}} C)$  **shows**  $f \circ f' = \text{completion } C \ C \ \text{id}$  **using**  $f \ f'$  **unfolding** *iso-inv-compl-def* **by** *simp*

**lemma** (in *lemma-2-2-17*) *lemma-2-2-17*: **shows**  $(\tau, \tau') \in (\text{diff-group-ker-}p \cong_{\text{invcompl}} \text{diff-group-ker-}p')$  **using** *lemma-2-2-17-identities* **and** *lemma-2-2-17-kernel* **and** *iso-inv-complI* **unfolding** *completion-def* **by** *auto*

**end**

## 9 Lemma 2.2.18 in Aransay's memoir

**theory** *lemma-2-2-18-local-nilpot*  
**imports**  
*lemma-2-2-17-local-nilpot*  
**begin**

Lemma 2.2.18 is generic, in the sense that the previous definitions and premises from locales *lemma-2-2-11* to *lemma-2-2-17* are not needed. Only



the notion of differential groups and isomorphism of abelian groups are introduced.

As far as we are in a generic setting, with homomorphisms instead of endomorphisms, the automation of the ring of endomorphisms is lost, and proofs become a bit more obscure

Composition of completions is again a completion

**lemma** *hom-completion-comp-closed*: **includes** *group A + group B + group C*  
**assumes** *f: f ∈ hom-completion A B and g: g ∈ hom-completion B C*  
**shows** *g ∘ f ∈ hom-completion A C*  
**using** *f g*  
**unfolding** *hom-completion-def hom-def Pi-def* **apply** *auto*  
**unfolding** *completion-fun2-def completion-def* **apply** *simp*  
**apply** (*intro exI [of - g ∘ f], auto simp add: expand-fun-eq*)  
**apply** (*rule group-hom.hom-one*)  
**unfolding** *group-hom-def group-hom-axioms-def hom-def Pi-def* **by** (*simp add: prems*)

**lemma** *iso-inv-compl-coherent-iso-inv-diff*: **assumes** *fg: (f, g) ∈ (F ≅<sub>invcompl</sub> G)*  
**and** *f-coherent: f ∘ diff F = diff G ∘ f*  
**and** *g-coherent: g ∘ diff G = diff F ∘ g* **shows** *(f, g) ∈ (F ≅<sub>invdiff</sub> G)*  
**using** *fg and f-coherent and g-coherent* **unfolding** *iso-inv-diff-def iso-inv-compl-def iso-diff-def iso-compl-def hom-diff-def* **by** *simp*

## 9.1 Lemma 2.2.18

The following lemma corresponds to *Lemma 2.2.18* in the memoir

It illustrates quite precisely the difficulties of proving facts about homomorphisms and endomorphisms when we loose the automation supplied in the previous lemmas

The difficulties are due to the necessity of operating with endomorphisms and homomorphisms between different domains, *A* and *B*

A suitable environment would be the one defined by the ring *End (A)*, the ring *End (B)*, the commutative group *hom (A, B)* and the commutative group *hom (A, B)*, but then the question would be how to supply this structure with any automation

In my opinion, the definition *comm-group ?G ≡ comm-monoid ?G ∧ group ?G* should be relaxed; in its actual version, when unfolded, the characterization *group G ∧ comm-monoid G* is obtained, which unfolded again produces *group-axioms G ∧ monoid G ∧ comm-monoid-axioms G ∧ monoid G*, which is redundant. Two possible solutions would be to define *comm-group G = group G ∧ comm-monoid-axioms G* or also *comm-group G = group-axioms G ∧ comm-monoid G*

**lemma lemma-2-2-18: assumes**  $A$ : *diff-group*  $A$  **and**  $B$ : *comm-group*  $B$  **and**  $F$ - $F'$ :  
 $(F, F') \in (A \cong_{\text{invcompl}} B)$   
**shows** *diff-group*  $(\text{carrier} = \text{carrier } B, \text{mult} = \text{mult } B, \text{one} = \text{one } B, \text{diff} = F$   
 $\circ (\text{diff } A) \circ F')$   
**(is** *diff-group*  $?B')$   
**and**  $(F, F') \in (A \cong_{\text{invdiff}} (\text{carrier} = \text{carrier } B, \text{mult} = \text{mult } B, \text{one} = \text{one } B,$   
 $\text{diff} = F \circ (\text{diff } A) \circ F'))$   
**(is**  $- \in A \cong_{\text{invdiff}} ?B')$   
**proof** –  
**show** *diff-group*  $?B'$   
**proof** (*unfold diff-group-def diff-group-axioms-def comm-group-def group-def comm-monoid-def,*  
*intro conjI*)  
**from**  $B$  **show** *monoid*  $?B'$  **unfolding** *comm-group-def group-def monoid-def*  
**by** *simp*  
**from**  $B$  **show** *monoid*  $?B'$  **unfolding** *comm-group-def group-def monoid-def*  
**by** *simp*  
**from**  $B$  **show** *comm-monoid-axioms*  $?B'$  **unfolding** *comm-group-def comm-monoid-def*  
*comm-monoid-axioms-def* **by** *simp*  
**from**  $B$  **show** *group-axioms*  $?B'$  **unfolding** *comm-group-def group-def group-axioms-def*  
*Units-def* **by** *simp*  
**next**  
**from**  $F$ - $F'$  **have**  $F'$ -*hom*:  $F' \in \text{hom-completion } B \ A$  **unfolding** *iso-inv-compl-def*  
*iso-compl-def* **by** *simp*  
**from** *diff-group.diff-hom*  $[OF \ A]$  **have** *diff*:  $\text{differ } A \in \text{hom-completion } A \ A$  **by**  
*simp*  
**from** *hom-completion-comp-closed*  $[OF \ - \ - \ F'\text{-hom } \text{diff} \ ]$  **and**  $A$  **and**  $B$  **have**  
 $\text{diff-}F'$ :  $\text{differ } A \circ F' \in \text{hom-completion } B \ A$   
**unfolding** *diff-group-def comm-group-def group-def* **by** *simp*  
**from**  $F$ - $F'$  **have**  $F$ -*hom*:  $F \in \text{hom-completion } A \ B$  **unfolding** *iso-inv-compl-def*  
*iso-compl-def* **by** *simp*  
**from** *hom-completion-comp-closed*  $[OF \ - \ - \ \text{diff-}F' \ F\text{-hom}]$  **and**  $A$  **and**  $B$  **have**  
 $\text{differ } ?B' \in \text{hom-completion } B \ B$   
**unfolding** *diff-group-def comm-group-def group-def* **by** (*simp add: o-assoc*)  
**then show**  $\text{differ } ?B' \in \text{hom-completion } ?B' \ ?B'$   
**unfolding** *hom-completion-def completion-fun2-def completion-def hom-def*  
*Pi-def expand-fun-eq* **by** *auto*  
**next**  
**from** *sym*  $[OF \ o\text{-assoc} \ [of \ F \circ \text{differ } A \ F' \ F \circ \text{differ } A \circ F']]$  **and** *sym*  $[OF \ o\text{-assoc} \ [of \ F \ \text{differ } A \ F']]$   
**and** *o-assoc*  $[of \ F' \ F \ (\text{differ } A \circ F')]$   
**have**  $\text{differ } ?B' \circ \text{differ } ?B' = F \circ \text{differ } A \circ ((F' \circ F) \circ (\text{differ } A \circ F'))$  **by**  
*simp*  
**also from** *iso-inv-compl-id*  $[OF \ F\text{-}F']$  **have**  $\dots = F \circ \text{differ } A \circ ((\lambda x. \text{if } x \in$   
 $\text{carrier } A \text{ then } id \ x \text{ else } \mathbf{1}_A) \circ (\text{differ } A \circ F'))$   
**unfolding** *iso-inv-compl-def completion-def* **by** *simp*  
**also from** *o-assoc*  $[of \ (\lambda x. \text{if } x \in \text{carrier } A \text{ then } id \ x \text{ else } \mathbf{1}_A) \ \text{differ } A \ F']$  **have**  
 $\dots = F \circ \text{differ } A \circ (\text{differ } A \circ F')$   
**proof** –  
**from** *diff-group.diff-hom*  $[OF \ A]$  **have**  $(\lambda x. \text{if } x \in \text{carrier } A \text{ then } id \ x \text{ else}$

$\mathbf{1}_A) \circ (\text{differ}_A) = (\text{differ}_A)$   
**unfolding** *hom-completion-def completion-fun2-def completion-def hom-def*  
*Pi-def expand-fun-eq* **by** *auto*  
**with** *o-assoc* [of  $(\lambda x. \text{if } x \in \text{carrier } A \text{ then } \text{id } x \text{ else } \mathbf{1}_A) \text{ differ}_A F$ ] **show**  
*?thesis* **by** *simp*  
**qed**  
**also from** *sym* [OF *o-assoc* [of  $F \text{ differ}_A \text{ differ}_A \circ F$ ]] **and** *o-assoc* [of  $\text{differ}_A$   
 $\text{differ}_A F$ ]  
**have**  $\dots = F \circ ((\text{differ}_A \circ \text{differ}_A) \circ F)$  **by** *simp*  
**also from** *diff-group.diff-nilpot* [OF  $A$ ] **have**  $\dots = F \circ ((\lambda x. \mathbf{1}_A) \circ F)$  **by**  
*simp*  
**also have**  $\dots = F \circ (\lambda x. \mathbf{1}_A)$  **by** (*simp add: expand-fun-eq*)  
**also from**  $F \circ F' A B$  **have**  $\dots = (\lambda x. \mathbf{1}_B)$  **by** (*unfold iso-inv-compl-def*  
*iso-compl-def expand-fun-eq, auto*)  
*(intro hom-completion-one, unfold group-def diff-group-def comm-group-def,*  
*simp-all)*  
**also have**  $\dots = (\lambda x. \mathbf{1}_{B'})$  **by** *simp*  
**finally show**  $\text{differ } ?B' \circ \text{differ } ?B' = (\lambda x. \mathbf{1}_{B'})$  **by** *simp*  
**qed**  
**next**  
**from**  $F \circ F'$  **have**  $F \circ F' \text{-iso-compl}: (F, F') \in A \cong_{\text{invcompl}} ?B'$   
**unfolding** *iso-inv-compl-def iso-compl-def completion-def expand-fun-eq hom-completion-def*  
*hom-def Pi-def completion-fun2-def* **by** *auto*  
**moreover have**  $F \circ \text{diff } A = \text{diff } ?B' \circ F$   
**proof** –  
**have**  $\text{diff } A = \text{diff } A \circ \text{completion } A A \text{ id}$   
**proof** (*rule ext*)  
**fix**  $x$   
**show**  $(\text{differ}_A) x = (\text{differ}_A \circ \text{completion } A A \text{ id}) x$   
**proof** (*cases*  $x \in \text{carrier } A$ )  
**case** *True* **with** *diff-group.diff-hom* [OF  $A$ ] **show**  $(\text{differ}_A) x = (\text{differ}_A \circ$   
 $\text{completion } A A \text{ id}) x$   
**unfolding** *hom-completion-def completion-fun2-def completion-def* **by** *simp*  
**next**  
**case** *False* **with** *diff-group.diff-hom* [OF  $A$ ]  
**have**  $\text{l-h-s}: (\text{differ}_A) x = \mathbf{1}_A$  **unfolding** *hom-completion-def completion-fun2-def*  
*completion-def* **by** *auto*  
**from** *False* **have**  $(\text{completion } A A \text{ id}) x = \mathbf{1}_A$  **by** (*unfold completion-def,*  
*simp*)  
**with** *hom-completion-one* [OF - - *diff-group.diff-hom* [OF  $A$ ]] **and**  $A$  **have**  
 $\text{r-h-s}: (\text{differ}_A \circ \text{completion } A A \text{ id}) x = \mathbf{1}_A$   
**unfolding** *diff-group-def comm-group-def group-def* **by** *simp*  
**from**  $\text{r-h-s}$  **and**  $\text{l-h-s}$  **show**  $(\text{differ}_A) x = (\text{differ}_A \circ \text{completion } A A \text{ id}) x$   
**by** *simp*  
**qed**  
**qed**  
**also from** *iso-inv-compl-id* [OF  $F \circ F'$ ] **and** *o-assoc* [of  $\text{diff } A F' F$ ] **have**  $\dots =$   
 $\text{diff } A \circ F' \circ F$  **by** *simp*  
**finally have**  $\text{diff } A = \text{diff } A \circ F' \circ F$  **by** *simp*

```

    with o-assoc [of F diff A  $\circ$  F' F] and o-assoc [of F diff A F'] show ?thesis
  by simp
  qed
  moreover have F'-coherent: F'  $\circ$  diff ?B' = diff A  $\circ$  F'
  proof -
    have diff A = completion A A id  $\circ$  diff A
    proof (rule ext)
      fix x
      show (diff A) x = (completion A A id  $\circ$  diff A) x
      proof (cases x  $\in$  carrier A)
        case True with diff-group.diff-hom [OF A] and diff-group.diff-hom [OF A]
          and hom-completion-closed [of diff A A A x] show (diff A) x = (completion
A A id  $\circ$  diff A) x
          unfolding hom-completion-def completion-fun2-def completion-def by simp
        next
          case False with diff-group.diff-hom [OF A] have l-h-s: (diff A) x = 1_A
            unfolding hom-completion-def completion-fun2-def completion-def by auto
            with l-h-s have r-h-s: (completion A A id  $\circ$  diff A) x = 1_A unfolding
completion-def by simp
            from r-h-s and l-h-s show (diff A) x = (completion A A id  $\circ$  diff A) x
      by simp
    qed
  qed
  also from iso-inv-compl-id [OF F-F'] and sym [OF o-assoc [of F' F diff A]]
  have ... = F'  $\circ$  F  $\circ$  diff A by simp
  finally have diff A = F'  $\circ$  F  $\circ$  diff A by simp
  then show ?thesis by (auto simp add: o-assoc)
  qed
  ultimately show (F, F')  $\in$  A  $\cong_{invdiff}$  ?B' by (intro iso-inv-compl-coherent-iso-inv-diff)
  qed
end

```

## 10 Lemma 2.2.19 in Aransay's memoir

```

theory lemma-2-2-19-local-nilpot
  imports
    lemma-2-2-18-local-nilpot
begin

```

*Lemma 2.2.19*, as well as *Lemma 2.2.18*, is generic in the sense that the previous definitions and premises from locales *lemma-2-2-11* to *lemma-2-2-17* are not needed. Only the definition of reduction is used

```

lemma (in diff-group) diff-group-is-group: shows group D using prems unfolding
  diff-group-def comm-group-def by simp

```

**lemma** *hom-diffs-comp-closed*: **includes** *diff-group A* **includes** *diff-group B* **includes** *diff-group C*  
**assumes**  $f: f \in \text{hom-diff } A \ B$  **and**  $g: g \in \text{hom-diff } B \ C$   
**shows**  $g \circ f \in \text{hom-diff } A \ C$   
**proof** (*unfold hom-diff-def hom-completion-def, auto*)  
**from**  $f$  **and**  $g$  **have**  $f\text{-compl}: f \in \text{completion-fun2 } A \ B$  **and**  $g\text{-compl}: g \in \text{completion-fun2 } B \ C$   
**unfolding** *hom-diff-def hom-completion-def* **by** *auto*  
**with**  $A.\text{diff-group-is-group } B.\text{diff-group-is-group } C.\text{diff-group-is-group } \text{hom-diff-is-hom-completion}$   
 $[OF \ f]$   
**and**  $\text{hom-diff-is-hom-completion } [OF \ g]$  **and**  $\text{hom-completion-one } [of \ B \ C \ g]$   
**and**  $\text{completion-closed2 } [OF \ f\text{-compl}]$   
**show**  $g \circ f \in \text{completion-fun2 } A \ C$   
**unfolding** *completion-fun2-def completion-def expand-fun-eq* **apply** *simp* **apply**  
 $(\text{intro } exI \ [of \ - \ g \circ f])$  **by** *auto*  
**next**  
**show**  $g \circ f \in \text{hom } A \ C$   
**proof** (*intro homI*)  
**fix**  $x$   
**assume**  $x: x \in \text{carrier } A$  **from**  $\text{hom-diff-closed } [OF \ f \ x]$  **and**  $\text{hom-diff-closed}$   
 $[OF \ g, \ of \ f \ x]$  **show**  $(g \circ f) \ x \in \text{carrier } C$  **by** *simp*  
**next**  
**fix**  $x \ y$   
**assume**  $x: x \in \text{carrier } A$  **and**  $y: y \in \text{carrier } A$  **from**  $f \ g$  **and**  $\text{hom-diff-mult}$   
 $[OF \ f \ x \ y]$  **and**  $\text{hom-diff-mult } [OF \ g, \ of \ f \ x \ y]$   
**and**  $\text{hom-diff-closed } [OF \ f \ x]$   $\text{hom-diff-closed } [OF \ f \ y]$  **show**  $(g \circ f) (x \otimes_A$   
 $y) = (g \circ f) \ x \otimes_C (g \circ f) \ y$  **by** (*unfold hom-diff-def, simp*)  
**qed**  
**next**  
**from**  $\text{hom-diff-coherent } [OF \ f]$  **and**  $\text{hom-diff-coherent } [OF \ g]$  **and**  $\text{o-assoc } [of \ g$   
 $f \ \text{differ}_A]$  **and**  $\text{o-assoc } [of \ g \ \text{differ}_B \ f]$   
**and**  $\text{o-assoc } [of \ \text{differ}_C \ g \ f]$  **show**  $g \circ f \circ \text{differ}_A = \text{differ}_C \circ (g \circ f)$  **by** *simp*  
**qed**

## 10.1 Lemma 2.2.19

The following lemma corresponds to *Lemma 2.2.19* as stated in Aransay's memoir

**lemma** (*in reduction*) *lemma-2-2-19*: **assumes**  $B: \text{diff-group } B$  **and**  $F\text{-}F'\text{-isom}: (F, F') \in (C \cong_{\text{invdiff}} B)$   
**shows**  $\text{reduction } D \ B \ (F \circ f) \ (g \circ F') \ h$   
**proof** (*intro reductionI*)  
**from** *prems* **show**  $\text{diff-group } D$  **by** (*unfold reduction-def, simp*)  
**from**  $B$  **show**  $\text{diff-group } B$  **by** *simp*  
**next**  
**from**  $\text{hom-diffs-comp-closed } [OF \ D\text{-diff-group } C\text{-diff-group } B \ f\text{-hom-diff}, \ of \ F]$   
**and**  $\text{iso-diff-hom-diff } [of \ F \ C \ B]$   
**and**  $\text{iso-inv-diff-iso-diff } [OF \ F\text{-}F'\text{-isom}]$  **show**  $F \circ f \in \text{hom-diff } D \ B$  **by** *simp*  
**next**

```

    from hom-diffs-comp-closed [OF B C-diff-group D-diff-group - g-hom-diff, of F']
  and iso-diff-hom-diff [of F' B C]
    and iso-inv-diff-iso-diff2 [OF F-F'-isom] show  $g \circ F' \in \text{hom-diff } B \ D$  by simp
next
  from h-hom-compl show  $h \in \text{hom-completion } D \ D$  by simp
next
  from sym [OF o-assoc [of F f (g ∘ F')]] and o-assoc [of f g F'] and fg
  have  $F \circ f \circ (g \circ F') = F \circ ((\lambda x. \text{if } x \in \text{carrier } C \text{ then id } x \text{ else } 1_C) \circ F')$  by
simp
  also from iso-inv-diff-iso-diff2 [OF F-F'-isom] and iso-diff-hom-diff [of F' B
C] have  $\dots = F \circ F'$ 
  unfolding hom-diff-def hom-completion-def completion-fun2-def completion-def
hom-def Pi-def expand-fun-eq by auto
  also from iso-inv-diff-id2 [OF F-F'-isom] have  $\dots = (\lambda x. \text{if } x \in \text{carrier } B \text{ then}$ 
 $\text{id } x \text{ else } 1_B)$  unfolding completion-def by simp
  finally show  $F \circ f \circ (g \circ F') = (\lambda x. \text{if } x \in \text{carrier } B \text{ then id } x \text{ else } 1_B)$  by
simp
next
  from sym [OF o-assoc [of g F' (F ∘ f)]] and o-assoc [of F' F f] and iso-inv-diff-id
[OF F-F'-isom]
  have  $g \circ F' \circ (F \circ f) = g \circ ((\lambda x. \text{if } x \in \text{carrier } C \text{ then id } x \text{ else } 1_C) \circ f)$ 
unfolding completion-def by simp
  also from f-hom-diff have  $\dots = g \circ f$ 
  unfolding hom-diff-def hom-completion-def completion-fun2-def completion-def
hom-def Pi-def expand-fun-eq by auto
  finally have  $g \circ F' \circ (F \circ f) = g \circ f$  by simp
  with gf-dh-hd show  $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } (g \circ F' \circ (F \circ f)) \ x$ 
 $\otimes (\text{if } x \in \text{carrier } D \text{ then } (\text{differ} \circ h) \ x \otimes (h \circ \text{differ}) \ x \text{ else } 1) \text{ else } 1) =$ 
 $(\lambda x. \text{if } x \in \text{carrier } D \text{ then id } x \text{ else } 1)$  by (simp only: expand-fun-eq) simp
next
  from fh and sym [OF o-assoc [of F f h]] have  $F \circ f \circ h = F \circ (\lambda x. \text{if } x \in$ 
 $\text{carrier } D \text{ then } 1_C \text{ else } 1_C)$  by simp
  also from B and iso-diff-hom-diff [of F C B] and iso-inv-diff-iso-diff [OF
F-F'-isom] and C-diff-group
  have  $\dots = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } 1_B \text{ else } 1_B)$ 
  by (unfold expand-fun-eq, simp) (intro hom-completion-one, unfold hom-diff-def
diff-group-def comm-group-def group-def, auto)
  finally show  $F \circ f \circ h = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } 1_B \text{ else } 1_B)$  by simp
next
  from hg and o-assoc [of h g F'] have  $h \circ (g \circ F') = (\lambda x. \text{if } x \in \text{carrier } C \text{ then}$ 
 $1 \text{ else } 1) \circ F'$  by simp
  also have  $\dots = (\lambda x. \text{if } x \in \text{carrier } B \text{ then } 1 \text{ else } 1)$  by (unfold expand-fun-eq,
simp)
  finally show  $h \circ (g \circ F') = (\lambda x. \text{if } x \in \text{carrier } B \text{ then } 1 \text{ else } 1)$  by simp
next
  from hh show  $h \circ h = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } 1 \text{ else } 1)$  by simp
qed
end

```

## 11 Proof of the Basic Perturbation Lemma

```

theory Basic-Perturbation-Lemma-local-nilpot
  imports
    lemma-2-2-19-local-nilpot
  begin

```

In the following locale we define an abbreviation that we will use later in proofs, and we also join the results obtained in locale *lemma-2-2-17* with the ones reached in *lemma-2-2-11*. The combination of both locales give us the set of premises in the Basic Perturbation Lemma (from now on, BPL) statement

```

locale BPL = lemma-2-2-17 + lemma-2-2-11

```

```

context BPL
begin

```

```

definition f' where f' == (completion
  (carrier = kernel D D p, mult = op  $\otimes$ , one = 1,
  diff = completion (carrier = kernel D D p, mult = op  $\otimes$ , one = 1, diff = differ)
  D (differ)) C f)

```

```

end

```

```

lemma (in BPL)  $\pi$ -gf: shows  $g \circ f = \pi$ 

```

```

proof –

```

```

  let ?gf =  $g \circ f$ 

```

```

  from g-f-hom-diff have ?gf  $\in$  hom-completion D D unfolding hom-diff-def by
simp

```

```

  with ring-R have gf-in-R: ?gf  $\in$  carrier R by simp

```

```

  from gf-dh-hd and ring-R have ?gf  $\oplus_R$  (differ  $\otimes_R$  h  $\oplus_R$  h  $\otimes_R$  differ) = 1R
by simp

```

```

  then have ?gf  $\oplus_R$  (differ  $\otimes_R$  h  $\oplus_R$  h  $\otimes_R$  differ)  $\ominus_R$  (differ  $\otimes_R$  h  $\oplus_R$  h  $\otimes_R$ 
differ) = 1R  $\ominus_R$  (differ  $\otimes_R$  h  $\oplus_R$  h  $\otimes_R$  differ) by simp

```

```

  with gf-in-R and R.one-closed and diff-in-R and h-in-R have ?gf = 1R  $\ominus_R$ 
(differ  $\otimes_R$  h  $\oplus_R$  h  $\otimes_R$  differ) by algebra

```

```

  with  $\pi$ -def and p-def and ring-R show  $g \circ f = \pi$  by simp

```

```

qed

```

### 11.1 BPL proof

The following lemma corresponds to the first part of *Lemma 2.2.20* (i.e., the BPL) in Aransay's memoir

The proof of the BPL is divided into two parts, as it is also in Aransay's memoir.

In the first part, proved in *BPL-reduction*, from the given premises, we

buid a new reduction from  $D' = (\mid \text{carrier} = \text{carrier } D, \dots, \text{diff} = \text{differ } D \oplus_R \delta \mid)$  into  $C'$ , where  $C' = (\mid \text{carrier} = \text{carrier } C, \dots, \text{diff} = f' \circ (\tau' \circ \text{differ}_{\text{diff-group-ker-p}' \circ \tau}) \circ g \mid) (f' \circ (\tau' \circ (\mathbf{1}_R \ominus_R p')))$

The reduction is given by the triple  $f' \circ (\tau' \circ \mathbf{1}_R \ominus_R p')$ ,  $\text{inc-ker-p}' \circ \tau \circ g$ ,  $h'$

In the second part of the proof of the BPL, here stored in lemma *BPL-simplifications*, the expressions  $f' \circ (\tau' \circ \mathbf{1}_R \ominus_R p')$ ,  $\text{inc-ker-p}' \circ \tau \circ g$  and  $f' \circ (\tau' \circ \text{differ}_{\text{diff-group-ker-p}' \circ \tau}) \circ g$  are simplified, obtaining the ones in the BPL statement

By finally joining *BPL-reduction* and *BPL-simplifications*, we complete the proof of the BPL

## 11.2 Existence of a reduction

**lemma (in BPL) BPL-reduction:**

**shows** *reduction D'*

$(\mid \text{carrier} = \text{carrier } C, \text{mult} = \text{mult } C, \text{one} = \text{one } C, \text{diff} = f' \circ (\tau' \circ \text{differ}_{\text{diff-group-ker-p}' \circ \tau}) \circ g \mid) (f' \circ (\tau' \circ (\mathbf{1}_R \ominus_R p')))$   
 $(\text{inc-ker-p}' \circ \tau \circ g) h'$

**proof** –

**from** *lemma-2-2-15* **have** *red-D'-ker-p'*: *reduction D' diff-group-ker-p' ( $\mathbf{1}_R \ominus_R p'$ ) inc-ker-p' h' by simp*

**have** *iso-inv-diff-ker-p'-ker-p*:

$(\tau', \tau) \in \text{diff-group-ker-p}' \cong_{\text{invdiff}} (\mid \text{carrier} = \text{kernel } D \ D \ p, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \tau' \circ \text{differ}_{\text{diff-group-ker-p}' \circ \tau} \mid)$

**(is**  $(\tau', \tau) \in \text{diff-group-ker-p}' \cong_{\text{invdiff}} ?\text{ker-p-pert}$ )

**and** *diff-group-ker-p-pert*: *diff-group ( $\mid \text{carrier} = \text{kernel } D \ D \ p, \text{mult} = \text{mult } D, \text{one} = \text{one } D, \text{diff} = \tau' \circ \text{differ}_{\text{diff-group-ker-p}' \circ \tau} \mid)$*

**(is** *diff-group ?ker-p-pert*)

**proof** –

**from** *lemma-2-2-17* **have** *iso-inv-compl*:  $(\tau', \tau) \in \text{diff-group-ker-p}' \cong_{\text{invcompl}} \text{diff-group-ker-p}$  **unfolding** *iso-inv-compl-def* **by** *simp*

**from** *lemma-2-2-15* **have** *diff-group-ker-p'*: *diff-group diff-group-ker-p' unfolding reduction-def diff-group-def by simp*

**from** *lemma-2-2-14* **have** *comm-group-ker-p*: *comm-group diff-group-ker-p unfolding reduction-def diff-group-def comm-group-def by simp*

**from** *lemma-2-2-18* [*OF diff-group-ker-p' comm-group-ker-p iso-inv-compl*]

**show**  $(\tau', \tau) \in \text{diff-group-ker-p}' \cong_{\text{invdiff}} ?\text{ker-p-pert}$  **and** *diff-group ?ker-p-pert*



**unfolding** *diff-group-ker-p-def* **by** *simp-all*  
**qed**

**from** *reduction.lemma-2-2-19* [*OF red-D'-ker-p' diff-group-ker-p-pert iso-inv-diff-ker-p'-ker-p*]  
**have** *red-D'-ker-p-pert*: *reduction D' ?ker-p-pert* ( $\tau' \circ (\mathbf{1}_R \ominus_R p')$ ) (*inc-ker-p'  $\circ$*   
 $\tau$ ) *h'* **by** *simp*

**have** *ker-p-isom-C*:  $(f', g) \in (\text{diff-group-ker-p} \cong_{\text{invdiff}} C)$   
**proof** –  
**from** *iso-inv-diff-rev* [*OF lemma-2-2-11*] **have** *im-gf-isom-C*: (*completion diff-group-im-gf*  
 $C f, g) \in (\text{diff-group-im-gf} \cong_{\text{invdiff}} C)$  **by** *simp*  
**moreover from**  $\pi\text{-gf}$  **have**  $g \circ f = \pi$  **by** *simp*  
**moreover from** *im- $\pi$ -ker-p* **have** *im- $\pi$ -ker-p*:  $\pi \text{ ' carrier } D = \text{kernel } D D p$   
**by** *simp*  
**ultimately show** *?thesis* **unfolding** *diff-group-im-gf-def im-gf-def diff-group-ker-p-def*  
 $f'\text{-def}$  **by** *simp*  
**qed**  
**then have** *ker-p-pert-isom-C*:  $(f', g) \in (?ker\text{-}p\text{-}pert \cong_{\text{invcompl}} C)$   
**unfolding** *iso-inv-diff-def iso-inv-compl-def diff-group-ker-p-def iso-diff-def iso-compl-def*  
 $\text{hom-diff-def hom-completion-def}$   
 $\text{hom-def Pi-def completion-fun2-def completion-def}$  **by** (*auto simp add: expand-fun-eq*)  
**from** *red-D'-ker-p-pert* **have** *diff-group-ker-p-pert*: *diff-group ?ker-p-pert* **unfold-**  
**ing** *reduction-def diff-group-def* **by** *simp*  
**from** *C-diff-group* **have** *C*: *comm-group C* **unfolding** *diff-group-def comm-group-def*  
**by** *simp*  
**from** *lemma-2-2-18* [*OF diff-group-ker-p-pert C ker-p-pert-isom-C*]  
**have**  $f'\text{-}g\text{-isom}$ :  $(f', g) \in (?ker\text{-}p\text{-}pert \cong_{\text{invdiff}} C)$   
 $(\text{carrier} = \text{carrier } C, \text{mult} = \text{op} \otimes_C, \text{one} = \mathbf{1}_C, \text{diff} = f' \circ (\tau' \circ \text{dif-}$   
 $\text{fer } \text{diff-group-ker-p}' \circ \tau) \circ g))$   
 $(\text{is } (f', g) \in (?ker\text{-}p\text{-}pert \cong_{\text{invdiff}} ?C'))$   
**and** *diff-group-C-pert*:  
 $\text{diff-group } (\text{carrier} = \text{carrier } C, \text{mult} = \text{op} \otimes_C, \text{one} = \mathbf{1}_C, \text{diff} = f' \circ (\tau' \circ$   
 $\text{differ } \text{diff-group-ker-p}' \circ \tau) \circ g)$   
 $(\text{is } \text{diff-group } ?C') \text{ by } \text{simp-all}$

**from** *reduction.lemma-2-2-19* [*OF red-D'-ker-p-pert diff-group-C-pert f'-g-isom*]  
**show** *reduction D' ?C' (f'  $\circ$  ( $\tau' \circ \mathbf{1}_R \ominus_R p')$ ) (inc-ker-p'  $\circ$   $\tau \circ g$ )) h'* **by** *simp*  
**qed**

### 11.3 BPL previous simplifications

In order to prove the simplifications required in the second part of the proof, i.e. lemma *BPL-simplifications*, we first have to prove some results concerning the composition of some of the homomorphisms and endomorphisms we have already introduced.

Therefore, we have the ring  $R$  and we prove that it behaves as expected with some homomorphisms from  $\text{Hom } (D \ C)$  and  $\text{Hom } (C \ D)$ , where the operation to relate them is the composition

We will prove some properties such as distributivity of composition with respect to addition of endomorphisms and the like

The results are stated in generic terms

**lemma** (in *ring-endomorphisms*) *add-dist-comp*: **assumes**  $C$ : *diff-group*  $C$  **and**  $g$ :  $g \in \text{hom-completion } C \ D$  **and**  $a$ :  $a \in \text{carrier } R$   
**and**  $b$ :  $b \in \text{carrier } R$  **shows**  $(a \oplus_R b) \circ g = (\lambda x. \text{ if } x \in \text{carrier } C \text{ then } (a \circ g) \ x \otimes (b \circ g) \ x \text{ else } 1)$   
**using** *ring-R* **and**  $g$  **and**  $a$  **and**  $b$  **and** *hom-completion-closed* [*OF*  $g$ ] **and** *completion-closed2* [*of*  $g \ C \ D$ ] **and** *group-hom.hom-one* [*of*  $D \ D \ a$ ]  
*group-hom.hom-one* [*of*  $D \ D \ b$ ] **and** *D-diff-group*  
**unfolding** *hom-completion-def* *completion-def* *diff-group-def* *comm-group-def* *group-hom-def* *group-hom-axioms-def*  
**by** (*auto simp add: expand-fun-eq*)

**lemma** (in *ring-endomorphisms*) *comp-hom-compl*: **assumes**  $C$ : *diff-group*  $C$  **and**  $g$ :  $g \in \text{hom-completion } C \ D$  **and**  $a$ :  $a \in \text{carrier } R$   
**shows**  $a \circ g = (\lambda x. \text{ if } x \in \text{carrier } C \text{ then } (a \circ g) \ x \text{ else } 1)$   
**using** *ring-R* **and**  $g$  **and**  $a$  **and** *hom-completion-closed* [*OF*  $g$ ] **and** *completion-closed2* [*of*  $g \ C \ D$ ]  
**and** *group-hom.hom-one* [*of*  $D \ D \ a$ ] **and** *D-diff-group*  
**unfolding** *hom-completion-def* *completion-def* *diff-group-def* *comm-group-def* *group-hom-def* *group-hom-axioms-def*  
**by** (*auto simp add: expand-fun-eq*)

**lemma** (in *ring-endomorphisms*) *one-comp-g*: **assumes**  $C$ : *diff-group*  $C$  **and**  $g$ :  $g \in \text{hom-completion } C \ D$   
**shows**  $1_R \circ g = g$   
**using** *ring-R* **and**  $g$  **and** *hom-completion-closed* [*OF*  $g$ ] **and** *completion-closed2* [*of*  $g \ C \ D$ ]  
**unfolding** *hom-completion-def* *completion-fun2-def* *completion-def* **apply** *simp*  
**by** (*auto simp add: expand-fun-eq*)

**lemma** (in *ring-endomorphisms*) *minus-dist-comp*: **assumes**  $C$ : *diff-group*  $C$  **and**  $g$ :  $g \in \text{hom-completion } C \ D$  **and**  $a$ :  $a \in \text{carrier } R$   
**and**  $b$ :  $b \in \text{carrier } R$  **shows**  $(a \ominus_R b) \circ g = (\lambda x. \text{ if } x \in \text{carrier } C \text{ then } (a \circ g) \ x \otimes ((\ominus_R b) \circ g) \ x \text{ else } 1)$   
**using** *add-dist-comp* [*OF*  $C \ g \ a \ a\text{-inv-closed} [*OF*  $b$ ]] **unfolding** *a-minus-def* [*OF*  $a \ b$ ] **by** *simp*$

**lemma** (in *ring-endomorphisms*) *minus-comp-g*: **assumes**  $C$ : *diff-group*  $C$  **and**  $g$ :  $g \in \text{hom-completion } C \ D$  **and**  $a$ :  $a \in \text{carrier } R$   
**and**  $b$ :  $b \in \text{carrier } R$  **and** *a-eq-b*:  $a = b$  **shows**  $(\ominus_R a) \circ g = (\ominus_R b) \circ g$   
**proof** –  
**from**  $a$  **and**  $b$  **and** *a-eq-b* **have**  $\ominus_R a = \ominus_R b$  **by** *algebra*

then show *?thesis* by *simp*  
qed

**lemma** (in *ring-endomorphisms*) *minus-comp-g2*: **assumes** *C*: *diff-group C* **and**  
*g*: *g* ∈ *hom-completion C D* **and** *a*: *a* ∈ *carrier R*  
**and** *b*: *b* ∈ *carrier R* **and** *a-eq-b*: *a* ∘ *g* = *b* ∘ *g* **shows**  $(\ominus_R a) \circ g = (\ominus_R b) \circ g$   
**using** *a-eq-b* **and** *minus-interpret [OF a]* *minus-interpret [OF b]* **and** *g* **by** (*simp*  
*add: expand-fun-eq*)

**lemma** (in *ring-endomorphisms*) *l-add-dist-comp*: **includes** *diff-group C* **assumes**  
*f*: *f* ∈ *hom-completion D C* **and** *a*: *a* ∈ *carrier R*  
**and** *b*: *b* ∈ *carrier R* **shows**  $f \circ (a \oplus_R b) = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } (f \circ a) x \otimes_C (f \circ b) x \text{ else } \mathbf{1}_C)$   
**using** *prems ring-R* **and** *f* **and** *a* **and** *b* **and** *hom-completion-closed [of a D D]*  
*hom-completion-closed [of b D D]*  
**and** *hom-completion-mult [of f D C]* *group-hom.hom-one [of D C f]* **and**  
*D.diff-group-is-group C.diff-group-is-group*  
**unfolding** *hom-completion-def [of D C]* *group-hom-def group-hom-axioms-def* **by**  
(*simp add: expand-fun-eq*)

**lemma** (in *ring-endomorphisms*) *l-comp-hom-compl*: **assumes** *C*: *diff-group C*  
**and** *f*: *f* ∈ *hom-completion D C* **and** *a*: *a* ∈ *carrier R*  
**shows**  $f \circ a = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } (f \circ a) x \text{ else } \mathbf{1}_C)$   
**using** *ring-R* **and** *f* **and** *a* **and** *hom-completion-closed [of a]* **and** *completion-closed2*  
*[of a D D]* **and** *group-hom.hom-one [of D C f]* **and** *C*  
**and** *D-diff-group*  
**unfolding** *diff-group-def comm-group-def hom-completion-def group-hom-def group-hom-axioms-def*  
**by** (*simp add: expand-fun-eq*)

**lemma** (in *ring-endomorphisms*) *l-minus-dist-comp*: **includes** *diff-group C* **as-**  
**sumes** *f*: *f* ∈ *hom-completion D C* **and** *a*: *a* ∈ *carrier R*  
**and** *b*: *b* ∈ *carrier R* **shows**  $f \circ (a \ominus_R b) = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } (f \circ a) x \otimes_C (f \circ (\ominus_R b)) x \text{ else } \mathbf{1}_C)$   
**using** *l-add-dist-comp [OF - f a a-inv-closed [OF b]]* **unfolding** *a-minus-def [OF*  
*a b]* **by** (*simp add: prems*)

**lemma** (in *ring-endomorphisms*) *l-minus-comp-f*: **assumes** *C*: *diff-group C* **and**  
*f*: *f* ∈ *hom-completion D C* **and** *a*: *a* ∈ *carrier R*  
**and** *b*: *b* ∈ *carrier R* **and** *a-eq-b*: *f* ∘ *a* = *f* ∘ *b* **shows**  $f \circ (\ominus_R a) = f \circ (\ominus_R b)$

**proof** –

**from** *HomGroupsCompletion.hom-completion-groups-mult-comm-group [of D C]*  
**and** *C* **and** *D-diff-group*

**have** *Hom-D-C*: *comm-group* ( $\downarrow \text{carrier} = \text{hom-completion } D \ C, \text{mult} = \lambda f \ g \ x. \text{if } x \in \text{carrier } D \text{ then } f \ x \otimes_C g \ x \text{ else } \mathbf{1}_C,$   
 $\text{one} = \lambda x. \text{if } x \in \text{carrier } D \text{ then } \mathbf{1}_C \text{ else } \mathbf{1}_C$ ) **unfolding** *diff-group-def*

$\text{comm-group-def}$  **by**  $\text{simp}$   
**let**  $?hom-D-C = (\lambda \text{carrier} = \text{hom-completion } D \ C, \text{mult} = \lambda f \ g \ x. \text{if } x \in \text{carrier } D \text{ then } f \ x \otimes_C g \ x \text{ else } \mathbf{1}_C,$   
 $\text{one} = \lambda x. \text{if } x \in \text{carrier } D \text{ then } \mathbf{1}_C \text{ else } \mathbf{1}_C)$   
**from**  $\text{ring-}R$  **and**  $\text{group-hom.hom-one}$   $[of \ D \ C \ f]$  **and**  $f$  **and**  $C$  **and**  $D\text{-diff-group}$   
**have**  $\text{one-fzero: one } ?hom-D-C = f \circ \mathbf{0}_R$   
**unfolding**  $\text{diff-group-def comm-group-def group-def hom-completion-def group-hom-def}$   
 $\text{group-hom-axioms-def}$  **by**  $(\text{simp add: expand-fun-eq})$   
**also from**  $R.r\text{-neg}$   $[OF \ a]$  **have**  $\dots = f \circ (a \oplus_R \ominus_R a)$  **by**  $\text{simp}$   
**also from**  $l\text{-add-dist-comp}$   $[OF \ C \ f \ a \text{-inv-closed } [OF \ a]]$  **have**  $\dots = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } (f \circ a) \ x \otimes_C (f \circ \ominus_R a) \ x \text{ else } \mathbf{1}_C)$   
**by**  $\text{simp}$   
**also from**  $a\text{-eq-}b$  **have**  $\dots = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } (f \circ b) \ x \otimes_C (f \circ \ominus_R a) \ x \text{ else } \mathbf{1}_C)$  **by**  $(\text{simp add: expand-fun-eq})$   
**also from**  $\text{Hom-}D-C$  **have**  $\dots = (f \circ b) \otimes_{?hom-D-C} (f \circ \ominus_R a)$  **by**  $\text{simp}$   
**finally have**  $\text{fb-f-minus-a: one } ?hom-D-C = (f \circ b) \otimes_{?hom-D-C} (f \circ \ominus_R a)$  **by**  
 $\text{simp}$   
**from**  $\text{one-fzero}$  **have**  $\text{one } ?hom-D-C = f \circ \mathbf{0}_R$  **by**  $\text{simp}$   
**also from**  $R.l\text{-neg}$   $[OF \ b]$  **have**  $\dots = f \circ (\ominus_R b \oplus_R b)$  **by**  $\text{simp}$   
**also from**  $l\text{-add-dist-comp}$   $[OF \ C \ f \ a\text{-inv-closed } [OF \ b] \ b]$  **and**  $\text{Hom-}D-C$  **have**  
 $\dots = (f \circ \ominus_R b) \otimes_{?hom-D-C} (f \circ b)$  **by**  $\text{simp}$   
**finally have**  $\text{f-minus-b-fb: one } ?hom-D-C = (f \circ \ominus_R b) \otimes_{?hom-D-C} (f \circ b)$  **by**  
 $\text{simp}$   
**from**  $\text{monoid.inv-unique}$   $[of \ ?hom-D-C \ (f \circ \ominus_R b) \ f \circ b \ (f \circ \ominus_R a)]$  **and**  
 $a\text{-inv-closed } [OF \ a] \ b \ a\text{-inv-closed } [OF \ b]$   
**and**  $\text{sym}$   $[OF \ \text{f-minus-b-fb}]$  **and**  $\text{sym}$   $[OF \ \text{fb-f-minus-a}]$  **and**  $\text{ring-}R$   
**and**  $\text{lemma-2-2-18-local-nilpot.hom-completion-comp-closed}$   $[of \ D \ D \ C \ \ominus_R \ a \ f]$   
**and**  $\text{lemma-2-2-18-local-nilpot.hom-completion-comp-closed}$   $[of \ D \ D \ C \ b \ f]$   
**and**  $\text{lemma-2-2-18-local-nilpot.hom-completion-comp-closed}$   $[of \ D \ D \ C \ \ominus_R \ b \ f]$   
**and**  $C$  **and**  $D\text{-diff-group}$  **and**  $f$  **and**  $\text{Hom-}D-C$   
**show**  $(f \circ \ominus_R a) = (f \circ \ominus_R b) \otimes_{?hom-D-C} (f \circ b)$  **unfolding**  $\text{diff-group-def comm-group-def}$   
 $\text{group-def}$  **by**  $\text{simp}$   
**qed**

The following properties are used later in lemma *BPL-simplifications*; just in order to make the proof of *BPL-simplification* shorter, we have extracted them, as far as they are not generic properties that can be used in other different settings

**lemma (in BPL)**  $\text{inc-ker-p}\tau\text{-eq-}\tau$ : **shows**  $\text{inc-ker-p}' \circ \tau = \tau$

**proof** –

**have**  $\text{image } \tau \ (\pi \text{ ' carrier } D) \subseteq \text{kernel } D' \ D' \ p'$

**proof**  $(\text{unfold image-def } \tau\text{-def, auto})$

**fix**  $x$  **assume**  $x: x \in \text{carrier } D$  **with**  $\pi\text{-in-}R$  **and**  $\text{ring-}R$  **and**  $\text{hom-completion-closed}$   
 $[of \ \pi \ D \ D \ x]$  **have**  $\pi \ x \in \text{carrier } D$  **by**  $\text{simp}$

**with**  $\text{im-}\pi\text{-ker-p}$  **and**  $D'\text{-def}$  **show**  $\pi' (\pi \ x) \in \text{kernel } D' \ D' \ p'$  **unfolding**  
 $\text{image-def}$  **by**  $\text{auto}$

**qed**

**with**  $\text{inc-ker-p}'\text{-def}$  **and**  $\tau\text{-def}$  **and**  $D'\text{-def}$  **show**  $\text{inc-ker-p}' \circ \tau = \tau$  **unfolding**  
 $\text{completion-def expand-fun-eq}$  **by**  $\text{auto}$

qed

**lemma** (in *BPL*)  $\tau g\text{-eq-}\pi'g$ : **shows**  $\tau \circ g = \pi' \circ g$

**proof** –

**from**  $\pi\text{-gf}$  **have**  $\text{im-}g\text{-im-}\pi$ :  $\text{image } g (\text{carrier } C) \subseteq \pi' \text{ carrier } D$

**proof** (*unfold image-def, auto simp add: expand-fun-eq*)

**fix**  $x$  **assume**  $x: x \in \text{carrier } C$  **with**  $fg$  **have**  $fg\text{-}x$ :  $f (g x) = x$  **by** (*simp add: expand-fun-eq*)

**with**  $\text{hom-diff-closed}$  [*OF g-hom-diff x*] **have**  $g (f (g x)) = g x$  **and**  $g x \in \text{carrier } D$  **by** (*simp-all*)

**with**  $\pi\text{-gf}$  **show**  $\exists y \in \text{carrier } D. g x = \pi (y)$  **by** (*force simp add: expand-fun-eq*)

qed

**show**  $\tau \circ g = \pi' \circ g$

**proof** (*rule ext*)

**fix**  $x$

**show**  $(\tau \circ g) x = (\pi' \circ g) x$

**proof** (*cases x ∈ carrier C*)

**case** *True* **with**  $\text{im-}g\text{-im-}\pi$  **and**  $\tau\text{-def}$  **and**  $g\text{-hom-diff}$  **show**  $(\tau \circ g) x = (\pi' \circ g) x$

**unfolding** *completion-def hom-diff-def hom-completion-def hom-def Pi-def*  
**by** (*auto simp add: expand-fun-eq*)

**next**

**case** *False* **with**  $g\text{-hom-diff}$  **and**  $\text{completion-closed2}$  [*of g C D x*] **have**  $g\text{-}x$ :  
 $g x = 1_D$

**unfolding** *hom-diff-def hom-completion-def* **by** *simp*

**with** *lemma-2-2-17* **and**  $\text{hom-completion-one}$  [*of diff-group-ker-p diff-group-ker-p'*  
 $\tau$ ] **and** *lemma-2-2-14* **and** *lemma-2-2-15*

**have**  $r\text{-}h\text{-}s$ :  $\tau (g x) = 1_D$

**unfolding** *reduction-def diff-group-def comm-group-def group-def*

**unfolding**  $\tau\text{-def iso-inv-compl-def iso-compl-def diff-group-ker-p-def diff-group-ker-p'-def$   
**by** *simp*

**from**  $C\text{-diff-group}$   $D\text{-diff-group}$  **and**  $g\text{-}x$  **and**  $\pi'\text{-in-}R$  **and**  $\text{ring-}R$  **and**  
 $\text{hom-completion-one}$  [*of D D  $\pi'$* ]

**have**  $l\text{-}h\text{-}s$ :  $\pi' (g x) = 1_D$  **unfolding** *diff-group-def comm-group-def group-def*  
**by** *simp*

**from**  $r\text{-}h\text{-}s$  **and**  $l\text{-}h\text{-}s$  **show**  $(\tau \circ g) x = (\pi' \circ g) x$  **by** *simp*

qed

qed

qed

**lemma** (in *BPL*)  $\text{diff}'h'g\text{-eq-zero}$ : **shows**  $(\text{diff}' \otimes_R h') \circ g = (\lambda x. \text{if } x \in \text{carrier } C \text{ then } 1 \text{ else } 1)$

**proof** –

**from**  $\text{ring-}R$  **have**  $(\text{diff}' \otimes_R h') \circ g = (\text{diff}' \circ h') \circ g$  **by** *simp*

**also have**  $\dots = \text{diff}' \circ (h' \circ g)$  **by** (*simp add: o-assoc*)

**also from**  $h'\text{-def}$  **and**  $\text{psi-h-h-phi}$  **and**  $\text{ring-}R$  **have**  $\dots = \text{diff}' \circ ((\Psi \circ h) \circ g)$   
**by** *simp*

**also have**  $\dots = \text{diff}' \circ (\Psi \circ (h \circ g))$  **by** (*simp add: o-assoc*)

**also from**  $hg$  **have**  $\dots = \text{diff}' \circ (\Psi \circ (\lambda x. \text{if } x \in \text{carrier } C \text{ then } 1 \text{ else } 1))$  **by**

*simp*  
**also from** *psi-in-R* **and** *diff'-in-R* **and** *ring-R* **and** *hom-completion-one* [of *D* *D* *diff*'] *hom-completion-one* [of *D* *D*  $\Psi$ ]  
**and** *C-diff-group* **and** *D-diff-group*  
**have** ... = ( $\lambda x.$  if  $x \in \text{carrier } C$  then **1** else **1**) **unfolding** *diff-group-def* *comm-group-def* *group-def* **by** (*simp add: expand-fun-eq*)  
**finally show** ?thesis **by** *simp*  
**qed**

**lemma** (in *BPL*) *h'diff'g-eq-psihdeltag*: **shows**  $(h' \otimes_R \text{diff}') \circ g = (\Psi \otimes_R h \otimes_R \delta) \circ g$

**proof** –

**from** *ring-R* **and** *sym* [*OF o-assoc* [of  $h' \text{diff}' g$ ]] **have**  $(h' \otimes_R \text{diff}') \circ g = h' \circ (\text{diff}' \circ g)$  **by** *simp*

**also from** *add-dist-comp* [of *C* *g* *differ*  $\delta$ ] **and** *diff'-def* **and** *diff-in-R* **and** *pert-in-R* **and** *C-diff-group* **and** *g-hom-diff*

**have** ... =  $h' \circ (\lambda x.$  if  $x \in \text{carrier } C$  then  $(\text{differ}_D \circ g) x \otimes_D (\delta \circ g) x$  else **1**) **unfolding** *hom-diff-def* **by** *simp*

**also from** *hom-diff-coherent* [*OF g-hom-diff*]

**have** ... =  $h' \circ (\lambda x.$  if  $x \in \text{carrier } C$  then  $(g \circ \text{differ}_C) x \otimes_D (\delta \circ g) x$  else **1**) **by** (*simp add: expand-fun-eq*)

**also have** ... = ( $\lambda x.$  if  $x \in \text{carrier } C$  then  $(h' \circ (g \circ \text{differ}_C)) x \otimes (h' \circ (\delta \circ g)) x$  else **1**)

**proof** (*auto simp add: expand-fun-eq*)

**fix** *x* **assume**  $x \in \text{carrier } C$

**from** *ring-R* **and** *h'-in-R* **and** *hom-completion-mult* [of  $h' D D (g ((\text{differ}_C) x)) \delta (g x)$ ]

**and** *hom-completion-closed* [*OF diff-group.diff-hom* [*OF C-diff-group*] *x*]

**and** *hom-diff-closed* [*OF g-hom-diff*, of  $(\text{differ}_C) x$ ]

**and** *hom-diff-closed* [*OF g-hom-diff* *x*] **and** *pert-in-R* *hom-completion-closed* [of  $\delta D D g x$ ]

**show**  $h' (g ((\text{differ}_C) x) \otimes \delta (g x)) = h' (g ((\text{differ}_C) x)) \otimes h' (\delta (g x))$  **by** *simp*

**next**

**from** *D.diff-group-is-group* **have** *D: group D* **by** *simp*

**with** *ring-R* **and** *h'-in-R* **show**  $h' \mathbf{1} = \mathbf{1}$  **by** (*intro hom-completion-one, simp-all*)

**qed**

**also have** ... = ( $\lambda x.$  if  $x \in \text{carrier } C$  then  $(h' \circ g \circ \text{differ}_C) x \otimes (h' \circ (\delta \circ g)) x$  else **1**) **by** (*simp add: expand-fun-eq o-assoc*)

**also from** *h'-def* **and** *ring-R* **have** ... = ( $\lambda x.$  if  $x \in \text{carrier } C$  then  $(h \circ \Phi \circ g \circ \text{differ}_C) x \otimes (h \circ \Phi \circ (\delta \circ g)) x$  else **1**)

**by** (*simp add: expand-fun-eq*)

**also from** *psi-h-h-phi* **and** *ring-R* **have** ... = ( $\lambda x.$  if  $x \in \text{carrier } C$  then  $(\Psi \circ h \circ g \circ \text{differ}_C) x \otimes (\Psi \circ h \circ (\delta \circ g)) x$  else **1**)

**by** (*simp add: expand-fun-eq*)

**also have** ... = ( $\lambda x.$  if  $x \in \text{carrier } C$  then  $(\Psi \circ h \circ (\delta \circ g)) x$  else **1**)

**proof** (*auto simp add: expand-fun-eq*)

**fix** *x* **assume**  $x \in \text{carrier } C$

from *hg* and *psi-in-R* and *hom-completion-one* [of  $D$   $D$   $\Psi$ ] and *ring-R* and *D.diff-group-is-group*  
 have  $\Psi (h (g ((\text{differ}_C) x))) = 1$  by (*simp add: expand-fun-eq*)  
 moreover have  $\Psi (h (\delta (g x))) \in \text{carrier } D$   
 proof –  
 from *pert-in-R* and *h-in-R* and *psi-in-R* have  $\Psi \otimes_R h \otimes_R \delta \in \text{carrier } R$   
 by *algebra*  
 with *ring-R* have *psi-h-pert*:  $\Psi \circ h \circ \delta \in \text{hom-completion } D D$  by *simp*  
 from *hom-completion-closed* [OF *psi-h-pert*, of  $g x$ ] and *hom-diff-closed* [OF *g-hom-diff x*]  
 show  $\Psi (h (\delta (g x))) \in \text{carrier } D$  by *simp*  
 qed  
 ultimately show  $\Psi (h (g ((\text{differ}_C) x))) \otimes \Psi (h (\delta (g x))) = \Psi (h (\delta (g x)))$   
 by (*simp add: D.r-one*)  
 qed  
 also from *ring-R* have  $\dots = (\lambda x. \text{if } x \in \text{carrier } C \text{ then } (\Psi \otimes_R h \otimes_R \delta \circ g) x \text{ else } 1)$  by (*simp add: expand-fun-eq*)  
 also from *comp-hom-compl* [of  $C g \Psi \otimes_R h \otimes_R \delta$ ] and *pert-in-R* *h-in-R* *psi-in-R* and *g-hom-diff C-diff-group*  
 have  $\dots = (\Psi \otimes_R h \otimes_R \delta) \circ g$  unfolding *hom-diff-def* by *simp*  
 finally show *?thesis* by *simp*  
 qed

lemma (in *BPL*) *p'g-eq-psihtag*: shows  $p' \circ g = (\Psi \otimes_R h \otimes_R \delta) \circ g$

proof –

from *p'-def* and *ring-R* and *diff'-def* have  $p' \circ g = ((\text{diff}' \otimes_R h') \oplus (h' \otimes_R \text{diff}')) \circ g$  by (*simp add: expand-fun-eq*)  
 also from *add-dist-comp* [of  $C g (\text{diff}' \otimes_R h') (h' \otimes_R \text{diff}')$ ] and *g-hom-diff* and *diff'-in-R* *h'-in-R* and *C-diff-group*  
 have  $\dots = (\lambda x. \text{if } x \in \text{carrier } C \text{ then } ((\text{diff}' \otimes_R h') \circ g) x \otimes ((h' \otimes_R \text{diff}') \circ g) x \text{ else } 1)$  by (*unfold hom-diff-def, simp*)  
 also from *diff'h'g-eq-zero* and *h'diff'g-eq-psihtag* have  $\dots = (\lambda x. \text{if } x \in \text{carrier } C \text{ then } (\Psi \otimes_R h \otimes_R \delta \circ g) x \text{ else } 1)$   
 proof (*auto simp add: expand-fun-eq, intro D.l-one*)  
 fix  $x$  assume  $x: x \in \text{carrier } C$   
 from *pert-in-R* and *h-in-R* and *psi-in-R* have  $\Psi \otimes_R h \otimes_R \delta \in \text{carrier } R$  by *algebra*  
 with *ring-R* have *psi-h-pert*:  $\Psi \otimes_R h \otimes_R \delta \in \text{hom-completion } D D$  by *simp*  
 from *hom-completion-closed* [OF *psi-h-pert*, of  $g x$ ] and *hom-diff-closed* [OF *g-hom-diff x*]  
 show  $(\Psi \otimes_R h \otimes_R \delta) (g x) \in \text{carrier } D$  by *simp*  
 qed  
 also from *comp-hom-compl* [of  $C g \Psi \otimes_R h \otimes_R \delta$ ] and *pert-in-R* *h-in-R* *psi-in-R* and *g-hom-diff C-diff-group*  
 have  $\dots = (\Psi \otimes_R h \otimes_R \delta) \circ g$  by (*unfold hom-diff-def, simp*)  
 finally show *?thesis* by *simp*  
 qed

lemma (in *BPL*) *tau'pi'-eq-pi'*: shows  $\tau' \circ \pi' = \pi \circ \pi'$

```

proof (rule ext)
  fix x
  show  $(\tau' \circ \pi') x = (\pi \circ \pi') x$ 
  proof (cases  $x \in \text{carrier } D$ )
    case True with  $\pi'$ -in-R ring-R and hom-completion-closed [of  $\pi' D D x$ ]
      have  $\pi'$ -im:  $\pi' x \in \pi'(\text{carrier } D)$  and  $\pi'$ -D:  $\pi' x \in \text{carrier } D$  unfolding
image-def by auto
      with  $\tau'$ -def and  $D'$ -def show  $(\tau' \circ \pi') x = (\pi \circ \pi') x$  by (simp add:
expand-fun-eq)
    next
      case False with  $\pi'$ -in-R and ring-R and completion-closed2 [of  $\pi' D D x$ ]
have  $\pi'$ -x:  $\pi' x = 1$  unfolding hom-completion-def by simp
      with lemma-2-2-17 and hom-completion-one [of diff-group-ker-p' diff-group-ker-p
 $\tau'$ ] and lemma-2-2-14 and lemma-2-2-15
      have r-h-s:  $\tau'(\pi' x) = 1_D$ 
      unfolding reduction-def diff-group-def comm-group-def group-def  $\tau'$ -def
      unfolding iso-inv-compl-def iso-compl-def diff-group-ker-p-def diff-group-ker-p'-def
by simp
      from  $\pi$ -in-R and ring-R and hom-completion-one [of  $D D \pi$ ] and  $\pi'$ -x and
 $D.\text{diff-group-is-group}$ 
      have l-h-s:  $\pi(\pi' x) = 1$  by simp
      from r-h-s and l-h-s show ?thesis by simp
qed
qed

```

```

lemma (in BPL)  $f'\pi\text{-eq-f}\pi$ : shows  $f' \circ \pi = f \circ \pi$ 
proof (rule ext)
  fix x
  show  $(f' \circ \pi) x = (f \circ \pi) x$ 
  proof (cases  $x \in \text{carrier } D$ )
    case True with  $\pi$ -in-R and ring-R and hom-completion-closed [of  $\pi D D$ ]
have  $\pi$ -im:  $\pi x \in \pi(\text{carrier } D)$ 
    and  $\pi$ -D:  $\pi x \in \text{carrier } D$  by (unfold image-def, auto)
    then have  $\pi x \in \text{kernel } D D p$  using im- $\pi$ -ker-p by simp
    then show  $(f' \circ \pi) x = (f \circ \pi) x$  unfolding  $f'$ -def by (simp add: expand-fun-eq)
  next
    case False with  $\pi$ -in-R and ring-R and completion-closed2 [of  $\pi D D x$ ] have
 $\pi$ -x:  $\pi x = 1$  unfolding hom-completion-def by simp
    from iso-inv-diff-rev [OF lemma-2-2-11] and  $\pi$ -gf im- $\pi$ -ker-p
    have  $(f', g) \in (\text{diff-group-im-gf} \cong_{\text{invdiff}} C)$  unfolding  $f'$ -def diff-group-im-gf-def
im-gf-def by simp
    with hom-completion-one [of diff-group-im-gf  $C f$ ] and  $\pi$ -x and  $C.\text{diff-group-is-group}$ 
and image-g-f-diff-group
    have r-h-s:  $f'(\pi x) = 1_C$  unfolding diff-group-im-gf-def im-gf-def diff-group-def
comm-group-def
    iso-inv-diff-def iso-diff-def hom-diff-def by simp
    from hom-diff-is-hom-completion [OF f-hom-diff] and hom-completion-one [of
 $D C f$ ] and  $\pi$ -x
    and  $D.\text{diff-group-is-group } C.\text{diff-group-is-group}$  have l-h-s:  $f(\pi x) = 1_C$  by

```



$\text{simp}$   
**from**  $r\text{-}h\text{-}s$  **and**  $l\text{-}h\text{-}s$  **show**  $?thesis$  **by**  $\text{simp}$   
**qed**  
**qed**

**lemma** (in  $BPL$ )  $f\pi\text{-}eq\text{-}f$ : **shows**  $f \circ \pi = f$   
**proof** –  
**from**  $\text{sym}$  [ $OF$   $\pi\text{-}gf$ ] **have**  $f \circ \pi = f \circ g \circ f$  **by** ( $\text{simp}$   $\text{add: o-}assoc$ )  
**also from**  $fg$  **have**  $\dots = (\lambda x. \text{if } x \in \text{carrier } C \text{ then } id\ x \text{ else } \mathbf{1}_C) \circ f$  **by**  $\text{simp}$   
**also from**  $f\text{-}hom\text{-}diff$  **have**  $\dots = f$   
**unfolding**  $hom\text{-}diff\text{-}def$   $hom\text{-}completion\text{-}def$   $completion\text{-}fun2\text{-}def$   $completion\text{-}def$   
 $hom\text{-}def$   $Pi\text{-}def$  **by** ( $\text{auto}$   $\text{simp}$   $\text{add: expand-fun-eq}$ )  
**finally have**  $f \circ \pi = f$  **by**  $\text{simp}$   
**then show**  $?thesis$  **by** ( $\text{simp}$   $\text{add: expand-fun-eq}$ )  
**qed**

**lemma** (in  $BPL$ )  $fh'\text{-}diff'\text{-}eq\text{-}zero$ : **shows**  $f \circ (h' \otimes_R diff') = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } \mathbf{1}_C \text{ else } \mathbf{1}_C)$   
**proof** –  
**from**  $ring\text{-}R$  **have**  $f \circ (h' \otimes_R diff') = f \circ h' \circ diff'$  **by** ( $\text{simp}$   $\text{add: o-}assoc$ )  
**also from**  $ring\text{-}R$  **and**  $h'\text{-}def$  **and**  $o\text{-}assoc$  [ $of\ f\ h\ \Phi$ ] **have**  $\dots = f \circ h \circ \Phi \circ diff'$  **by**  $\text{simp}$   
**also from**  $fh$  **have**  $\dots = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } \mathbf{1}_C \text{ else } \mathbf{1}_C)$  **by** ( $\text{simp}$   $\text{add: expand-fun-eq}$ )  
**finally show**  $f \circ (h' \otimes_R diff') = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } \mathbf{1}_C \text{ else } \mathbf{1}_C)$  **by**  $\text{simp}$   
**qed**

**lemma** (in  $BPL$ )  $fdiff'h'\text{-}eq\text{-}fdeltahphi$ : **shows**  $f \circ (diff' \otimes_R h') = f \circ \delta \otimes_R h \otimes_R \Phi$   
**proof** –  
**from**  $diff'\text{-}def$  **have**  $f \circ (diff' \otimes_R h') = f \circ (differ \oplus_R \delta) \otimes_R h'$  **by**  $\text{simp}$   
**also from**  $diff\text{-}in\text{-}R$  **and**  $pert\text{-}in\text{-}R$  **and**  $h'\text{-}in\text{-}R$  **have**  $\dots = f \circ (differ \otimes_R h' \oplus_R \delta \otimes_R h')$  **by**  $algebra$   
**also from**  $l\text{-}add\text{-}dist\text{-}comp$  [ $OF$   $C\text{-}diff\text{-}group$ ,  $of\ f\ differ \otimes_R h' \delta \otimes_R h'$ ] **and**  $diff\text{-}in\text{-}R$  **and**  $pert\text{-}in\text{-}R$  **and**  $h'\text{-}in\text{-}R$  **and**  $f\text{-}hom\text{-}diff$   
**have**  $\dots = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } (f \circ differ \otimes_R h')\ x \otimes_C (f \circ \delta \otimes_R h')\ x \text{ else } \mathbf{1}_C)$  **unfolding**  $hom\text{-}diff\text{-}def$  **by**  $\text{simp}$   
**also from**  $ring\text{-}R$  **have**  $\dots = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } (f \circ differ \circ h')\ x \otimes_C (f \circ \delta \otimes_R h')\ x \text{ else } \mathbf{1}_C)$  **by** ( $\text{simp}$   $\text{add: expand-fun-eq}$ )  
**also from**  $hom\text{-}diff\text{-}coherent$  [ $OF\ f\text{-}hom\text{-}diff$ ]  
**have**  $\dots = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } (differ_C \circ f \circ h')\ x \otimes_C (f \circ \delta \otimes_R h')\ x \text{ else } \mathbf{1}_C)$  **by** ( $\text{simp}$   $\text{add: expand-fun-eq}$ )  
**also from**  $h'\text{-}def$  **and**  $ring\text{-}R$  **have**  $\dots = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } (differ_C \circ f \circ h \circ \Phi)\ x \otimes_C (f \circ \delta \otimes_R h')\ x \text{ else } \mathbf{1}_C)$   
**by** ( $\text{simp}$   $\text{add: expand-fun-eq}$ )  
**also from**  $fh$  **and**  $hom\text{-}completion\text{-}one$  [ $OF$   $-$   $diff\text{-}hom$ ]  $C\text{-}diff\text{-}group\text{-}is\text{-}group$  **and**  $l\text{-}one$  **and**  $hom\text{-}completion\text{-}closed$  [ $of\ h'\ D\ D$ ]  
**and**  $hom\text{-}completion\text{-}closed$  [ $of\ \delta\ D\ D$ ] **and**  $hom\text{-}diff\text{-}closed$  [ $OF\ f\text{-}hom\text{-}diff$ ]

and *ring-R* and *h'-in-R* and *pert-in-R*  
 have ... = ( $\lambda x. \text{ if } x \in \text{carrier } D \text{ then } (f \circ \delta \otimes_R h') x \text{ else } \mathbf{1}_C$ ) by (*simp add: expand-fun-eq*)  
 also from *l-comp-hom-compl* [*OF C-diff-group*, of  $f \delta \otimes_R h'$ ] and *f-hom-diff*  
 have ... =  $f \circ \delta \otimes_R h'$  unfolding *hom-diff-def* by *simp*  
 also from *h'-def* and *pert-in-R* and *h-in-R* and *phi-in-R* have ... =  $f \circ \delta \otimes_R h \otimes_R \Phi$  by *algebra*  
 finally show *?thesis* by *simp*  
 qed

lemma (in *BPL*) *fp'-eq-fdeltahphi*: shows  $f \circ p' = f \circ \delta \otimes_R h \otimes_R \Phi$   
 proof –  
 from *p'-def* and *diff'-def* and *l-add-dist-comp* [*OF C-diff-group*, of  $f \text{diff}' \otimes_R h' h' \otimes_R \text{diff}'$ ] *f-hom-diff*  
 and *diff'-in-R* *pert-in-R* *h'-in-R*  
 have  $f \circ p' = (\lambda x. \text{ if } x \in \text{carrier } D \text{ then } (f \circ \text{diff}' \otimes_R h') x \otimes_C (f \circ h' \otimes_R \text{diff}') x \text{ else } \mathbf{1}_C)$  by (*unfold hom-diff-def, simp*)  
 also from *fh'diff'-eq-zero* and *fdiff'h'-eq-fdeltahphi* and *r-one* and *hom-completion-closed* [*of h D D*] and *hom-completion-closed* [*of Φ D D*]  
 and *hom-completion-closed* [*of δ D D*] and *hom-diff-closed* [*OF f-hom-diff*]  
 and *ring-R* and *h-in-R* and *phi-in-R* and *pert-in-R*  
 have ... = ( $\lambda x. \text{ if } x \in \text{carrier } D \text{ then } (f \circ \delta \otimes_R h \otimes_R \Phi) x \text{ else } \mathbf{1}_C$ ) by (*simp add: expand-fun-eq*)  
 also from *l-comp-hom-compl* [*OF C-diff-group*, of  $f \delta \otimes_R h \otimes_R \Phi$ ] and *h-in-R* and *phi-in-R* and *pert-in-R* *f-hom-diff*  
 have ... =  $f \circ \delta \otimes_R h \otimes_R \Phi$  unfolding *hom-diff-def* by *simp*  
 finally show *?thesis* by *simp*  
 qed

lemma (in *BPL*) *diff-ker-p'π'-eq-diff'π'*: shows  $\text{differ}_{\text{diff-group-ker-p}' \circ \pi'} = \text{diff}' \circ \pi'$   
 proof (rule *ext*)  
 fix *x*  
 show ( $\text{differ}_{\text{diff-group-ker-p}' \circ \pi'} x = (\text{diff}' \circ \pi') x$ )  
 proof (cases  $x \in \text{carrier } D$ )  
 case *True* from *im-π-ker-p* and *D'-def* have *im-π'-ker-p'*:  $\text{image } \pi' (\text{carrier } D) \subseteq \text{kernel } D' D' p'$  by *simp*  
 with *True* show ( $\text{differ}_{\text{diff-group-ker-p}' \circ \pi'} x = (\text{diff}' \circ \pi') x$ )  
 unfolding *diff-group-ker-p'-def* *diff'-def* *D'-def* *completion-def* *image-def* by  
 (*auto simp add: expand-fun-eq*)  
 next  
 case *False* with *π'-in-R* and *ring-R* and *completion-closed2* [*of π' D D x*]  
 have  $\pi' x = \mathbf{1}$  unfolding *hom-completion-def* by *simp*  
 with *diff'-in-R* and *ring-R* and *hom-completion-one* [*of D D diff'*] and *D.diff-group-is-group*  
 have *l-h-s*:  $(\text{diff}' \circ \pi') x = \mathbf{1}$  by *simp*  
 from *reduction.C-diff-group* [*OF lemma-2-2-15*] and *diff-group.diff-hom*  
 have *diff-ker-p'*:  $\text{differ}_{\text{diff-group-ker-p}'} \in \text{hom-completion } \text{diff-group-ker-p}' \text{diff-group-ker-p}'$   
 by *auto*

```

from  $\pi'$ - $x$  and hom-completion-one [OF - - diff-ker-p] and reduction.C-diff-group
[OF lemma-2-2-15]
  have  $r\text{-}h\text{-}s$ : (differ diff-group-ker-p'  $\circ \pi'$ )  $x = 1$  unfolding diff-group-ker-p'-def
diff-group-def comm-group-def group-def by simp
  from  $l\text{-}h\text{-}s$  and  $r\text{-}h\text{-}s$  show ?thesis by simp
qed
qed

lemma (in BPL)  $\tau'\text{-}diff'\text{-}eq\text{-}\pi\text{-}diff'$ : shows  $\tau' \circ \textit{differ}_{diff\text{-}group\text{-}ker\text{-}p'} = \pi \circ \textit{differ}_{diff\text{-}group\text{-}ker\text{-}p'}$ 
proof (rule ext)
  fix  $x$ 
  show  $(\tau' \circ \textit{differ}_{diff\text{-}group\text{-}ker\text{-}p'}) x = (\pi \circ \textit{differ}_{diff\text{-}group\text{-}ker\text{-}p'}) x$ 
  proof (cases  $x \in \textit{kernel } D' D' p'$ )
    case True from reduction.C-diff-group [OF lemma-2-2-15] and diff-group.diff-hom
    have  $\textit{differ}_{diff\text{-}group\text{-}ker\text{-}p'} \in \textit{hom-completion } diff\text{-}group\text{-}ker\text{-}p' diff\text{-}group\text{-}ker\text{-}p'$ 
by auto
    then have  $\textit{image } (\textit{differ}_{diff\text{-}group\text{-}ker\text{-}p'}) (\textit{kernel } D' D' p') \subseteq \textit{kernel } D' D' p'$ 
    unfolding diff-group-ker-p'-def hom-completion-def hom-def Pi-def image-def
kernel-def D'-def by auto
    with  $im\text{-}\pi\text{-}ker\text{-}p$  and  $D'\text{-def}$  have  $\textit{image } (\textit{differ}_{diff\text{-}group\text{-}ker\text{-}p'}) (\textit{kernel } D' D'$ 
 $p') \subseteq \textit{image } \pi' (\textit{carrier } D)$  by simp
    with True and  $\tau'\text{-def}$  show  $(\tau' \circ \textit{differ}_{diff\text{-}group\text{-}ker\text{-}p'}) x = (\pi \circ \textit{differ}_{diff\text{-}group\text{-}ker\text{-}p'})$ 
 $x$ 
    unfolding completion-def image-def D'-def by (auto simp add: expand-fun-eq)
  next
  case False from reduction.C-diff-group [OF lemma-2-2-15] and diff-group.diff-hom
  have  $\textit{differ}_{diff\text{-}group\text{-}ker\text{-}p'} \in \textit{hom-completion } diff\text{-}group\text{-}ker\text{-}p' diff\text{-}group\text{-}ker\text{-}p'$ 
by auto
  with completion-closed2 [of differ diff-group-ker-p' diff-group-ker-p' diff-group-ker-p'
 $x$ ] and False and  $D'\text{-def}$ 
  have  $diff'\text{-}x$ :  $(\textit{differ}_{diff\text{-}group\text{-}ker\text{-}p'}) x = 1$  unfolding hom-completion-def
diff-group-ker-p'-def by simp
  with lemma-2-2-17 and hom-completion-one [of diff-group-ker-p' diff-group-ker-p
 $\tau'$ ] and lemma-2-2-14 and lemma-2-2-15
  have  $r\text{-}h\text{-}s$ :  $\tau' ((\textit{differ}_{diff\text{-}group\text{-}ker\text{-}p'}) x) = 1_D$ 
  unfolding reduction-def diff-group-def comm-group-def group-def  $\tau'\text{-def iso-inv-compl-def}$ 
iso-compl-def diff-group-ker-p-def
   $diff\text{-}group\text{-}ker\text{-}p'\text{-def}$  by simp
  from  $\pi\text{-in-}R$  and  $ring\text{-}R$  and hom-completion-one [of D D  $\pi$ ] and  $diff'\text{-}x$  and
 $D.\textit{diff-group-is-group}$ 
  have  $l\text{-}h\text{-}s$ :  $\pi ((\textit{differ}_{diff\text{-}group\text{-}ker\text{-}p'}) x) = 1$  by simp
  from  $r\text{-}h\text{-}s$  and  $l\text{-}h\text{-}s$  show ?thesis by simp
qed
qed

lemma (in BPL)  $f\pi'g\text{-}eq\text{-}id$ : shows  $f \circ \pi' \circ g = (\lambda x. \textit{if } x \in \textit{carrier } C \textit{ then } id \textit{ } x$ 

```

else  $1_C$ )  
**proof** –  
 have  $f \circ \pi' \circ g = f \circ (1_R \ominus_R p') \circ g$  **unfolding**  $\pi'$ -def **by** *simp*  
 also from *l-minus-dist-comp* [*OF C-diff-group - R.one-closed*, of  $f p'$ ] and  $p'$ -in-*R*  
 and *f-hom-diff* and *C-diff-group*  
 have  $\dots = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } (f \circ 1_R) x \otimes_C (f \circ (\ominus_R p')) x \text{ else } 1_C)$   
 $\circ g$  **unfolding** *hom-diff-def* **by** *simp*  
 also from *phi-in-R h-in-R pert-in-R* and *l-minus-comp-f* [*OF C-diff-group*, of  $f$   
 $p' \delta \otimes_R h \otimes_R \Phi$ ] and *fp'-eq-fdeltahphi* and *f-hom-diff*  
 have  $\dots = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } (f \circ 1_R) x \otimes_C (f \circ \ominus_R (\delta \otimes_R h \otimes_R \Phi))$   
 $x \text{ else } 1_C) \circ g$   
**unfolding** *hom-diff-def* **by** (*auto simp add: expand-fun-eq*)  
 also from *sym* [*OF l-minus-dist-comp* [*OF C-diff-group - R.one-closed*, of  $f (\delta$   
 $\otimes_R h \otimes_R \Phi)$ ]] and *f-hom-diff* and *pert-in-R h-in-R phi-in-R*  
 have  $\dots = f \circ (1_R \ominus_R (\delta \otimes_R h \otimes_R \Phi)) \circ g$  **unfolding** *hom-diff-def* **by** *simp*  
 also from *phi-prop* have  $\dots = f \circ (1_R \ominus_R \Phi \otimes_R \delta \otimes_R h) \circ g$  **by** *simp*  
 also from *minus-dist-comp* [*OF C-diff-group - R.one-closed*, of  $g \Phi \otimes_R \delta \otimes_R h$ ]  
 and *g-hom-diff* and *phi-in-R pert-in-R h-in-R*  
 have  $\dots = f \circ (\lambda x. \text{if } x \in \text{carrier } C \text{ then } (1_R \circ g) x \otimes (\ominus_R (\Phi \otimes_R \delta \otimes_R h) \circ$   
 $g) x \text{ else } 1)$   
**by** (*unfold hom-diff-def, simp add: expand-fun-eq*)  
 also have  $\dots = f \circ (\lambda x. \text{if } x \in \text{carrier } C \text{ then } (1_R \circ g) x \text{ else } 1)$   
**proof** –  
 have  $(\ominus_R (\Phi \otimes_R \delta \otimes_R h) \circ g) = \ominus_R 0_R \circ g$   
**proof** –  
 from *hg* and *ring-R* and *hom-completion-one* [*of D D*  $\delta$ ] *hom-completion-one*  
 [*of D D*  $\Phi$ ] and *D.diff-group-is-group* and *pert-in-R* and *phi-in-R*  
 have  $(\Phi \otimes_R \delta \otimes_R h) \circ g = 0_R \circ g$  **by** (*simp add: expand-fun-eq*)  
 with *minus-comp-g2* [*OF C-diff-group - R.zero-closed*, of  $g \Phi \otimes_R \delta \otimes_R h$ ]  
 and *g-hom-diff* and *phi-in-R pert-in-R h-in-R*  
 show  $(\ominus_R (\Phi \otimes_R \delta \otimes_R h) \circ g) = \ominus_R 0_R \circ g$  **unfolding** *hom-diff-def* **by**  
*simp*  
**qed**  
 also have  $\dots = 0_R \circ g$  **by** *simp*  
 also from *ring-R* have  $\dots = (\lambda x. 1)$  **by** (*simp add: expand-fun-eq*)  
 finally have  $\ominus_R (\Phi \otimes_R \delta \otimes_R h) \circ g = (\lambda x. 1)$  **by** *simp*  
 with *D.r-one* and *g-hom-diff* and *hom-completion-closed* [*of g C D*] and  
*ring-R* **show** *?thesis* **by** (*simp add: expand-fun-eq*)  
**qed**  
 also from *comp-hom-compl* [*OF C-diff-group - R.one-closed*, of  $g$ ] and *g-hom-diff*  
 have  $\dots = f \circ (1_R \circ g)$  **unfolding** *hom-diff-def* **by** *simp*  
 also from *g-hom-diff* and *hom-completion-closed* [*of g C D*] and *ring-R* have  
 $\dots = f \circ g$   
**proof** –  
 from *g-hom-diff* and *hom-completion-closed* [*of g C D*] and *ring-R* have  $(1_R$   
 $\circ g) = g$   
**unfolding** *hom-diff-def* *hom-completion-def* *completion-fun2-def* *completion-def*  
**by** (*auto simp add: expand-fun-eq*)  
 then **show** *?thesis* **by** *simp*

qed  
 also from *fg* have ... =  $(\lambda x. \text{if } x \in \text{carrier } C \text{ then id } x \text{ else } \mathbf{1}_C)$  by *simp*  
 finally show  $f \circ \pi' \circ g = (\lambda x. \text{if } x \in \text{carrier } C \text{ then id } x \text{ else } \mathbf{1}_C)$  by *simp*  
 qed

lemma (in *BPL*)  $\pi'g\text{-eq-psig}$ : shows  $\pi' \circ g = \Psi \circ g$   
 proof –  
 from  $\pi'\text{-def}$  have  $\pi' \circ g = (\mathbf{1}_R \ominus_R p') \circ g$  by *simp*  
 also from *minus-dist-comp* [*of*  $C$   $g$   $\mathbf{1}_R$   $p'$ ] and  $p'\text{-in-}R$  and  $R.\text{one-closed}$  and  $g\text{-hom-diff}$  and  $C\text{-diff-group}$   
 have ... =  $(\lambda x. \text{if } x \in \text{carrier } C \text{ then } (\mathbf{1}_R \circ g) \ x \otimes ((\ominus_R p') \circ g) \ x \text{ else } \mathbf{1})$  by  
 (*unfold hom-diff-def, simp*)  
 also from  $\text{psi-in-}R$   $h\text{-in-}R$   $\text{pert-in-}R$  and *minus-comp-g2* [*OF*  $C\text{-diff-group}$ , *of*  $g$   $p' \Psi \otimes_R h \otimes_R \delta$ ] and  $p'g\text{-eq-psihdeltag}$  and  $g\text{-hom-diff}$   
 have ... =  $(\lambda x. \text{if } x \in \text{carrier } C \text{ then } (\mathbf{1}_R \circ g) \ x \otimes (\ominus_R (\Psi \otimes_R h \otimes_R \delta) \circ g) \ x \text{ else } \mathbf{1})$   
 unfolding *hom-diff-def* by (*auto simp add: expand-fun-eq*)  
 also from *sym* [*OF* *minus-dist-comp* [*OF*  $C\text{-diff-group}$  -  $R.\text{one-closed}$ , *of*  $g$   $(\Psi \otimes_R h \otimes_R \delta)$ ]] and  $g\text{-hom-diff}$  and  $\text{pert-in-}R$   $h\text{-in-}R$   $\text{phi-in-}R$   
 have ... =  $(\mathbf{1}_R \ominus_R (\Psi \otimes_R h \otimes_R \delta)) \circ g$  unfolding *hom-diff-def* by *simp*  
 also from *psi-prop* have ... =  $\Psi \circ g$  by *simp*  
 finally show ?thesis by *simp*  
 qed

## 11.4 BPL simplification

Now we can prove the simplifications of the terms in the reduction; these simplification processes correspond to the ones in pages 56 and 57 of Aransay's memoir

lemma (in *BPL*) *BPL-simplifications*: shows  $f: (f' \circ (\tau' \circ \mathbf{1}_R \ominus_R p')) = f \circ \Phi$   
 and  $g: (\text{inc-ker-}p' \circ \tau \circ g) = \Psi \circ g$   
 and *diff-C*:  $f' \circ (\tau' \circ \text{differ}_{\text{diff-group-ker-}p'} \tau) \circ g = (\lambda x. \text{if } x \in \text{carrier } C \text{ then } (\text{differ}_C) \ x \otimes_C (f \circ \delta \circ \Psi \circ g) \ x \text{ else } \mathbf{1}_C)$   
 proof –  
 show  $f' \circ (\tau' \circ \mathbf{1}_R \ominus_R p') = f \circ \Phi$

proof –  
 have  $f' \circ (\tau' \circ \mathbf{1}_R \ominus_R p') = f' \circ (\tau' \circ \pi')$  unfolding  $\pi'\text{-def}$  by *simp*  
 also have ... =  $f' \circ (\pi \circ \pi')$  unfolding  $\tau'\pi'\text{-eq-}\pi\pi'$  by *simp*  
 also have ... =  $f \circ \pi \circ \pi'$  unfolding *o-assoc* unfolding  $f'\pi\text{-eq-}f\pi$  by *simp*  
 also have ... =  $f \circ \pi'$  unfolding  $f\pi\text{-eq-}f$  by *simp*  
 also have ... =  $f \circ (\mathbf{1}_R \ominus_R p')$  unfolding  $\pi'\text{-def}$  by *simp*  
 also from *l-minus-dist-comp* [*OF*  $C\text{-diff-group}$  -  $R.\text{one-closed}$ , *of*  $f$   $p'$ ] and  $p'\text{-in-}R$  and  $f\text{-hom-diff}$  and  $C\text{-diff-group}$   
 have ... =  $(\lambda x. \text{if } x \in \text{carrier } D \text{ then } (f \circ \mathbf{1}_R) \ x \otimes_C (f \circ (\ominus_R p')) \ x \text{ else } \mathbf{1}_C)$   
 unfolding *hom-diff-def* by *simp*

**also from** *phi-in-R h-in-R pert-in-R and l-minus-comp-f* [*OF C-diff-group, of*  
*f p' δ ⊗<sub>R</sub> h ⊗<sub>R</sub> Φ*] **and** *fp'-eq-fdeltahphi and f-hom-diff*  
**have** ... = (λ*x. if x ∈ carrier D then (f ∘ 1<sub>R</sub>) x ⊗<sub>C</sub> (f ∘ ⊖<sub>R</sub> (δ ⊗<sub>R</sub> h ⊗<sub>R</sub>*  
*Φ)) x else 1<sub>C</sub>*)  
**unfolding** *hom-diff-def* **by** (*auto simp add: expand-fun-eq*)  
**also from** *sym* [*OF l-minus-dist-comp* [*OF C-diff-group - R.one-closed, of f (δ*  
*⊗<sub>R</sub> h ⊗<sub>R</sub> Φ)*]] **and** *f-hom-diff and pert-in-R h-in-R phi-in-R*  
**have** ... = *f ∘ (1<sub>R</sub> ⊖<sub>R</sub> (δ ⊗<sub>R</sub> h ⊗<sub>R</sub> Φ))* **unfolding** *hom-diff-def* **by** *simp*  
**also from** *phi-prop* **have** ... = *f ∘ Φ* **by** *simp*  
**finally show** *?thesis* **by** *simp*  
**qed**  
**next**  
**show** (*inc-ker-p' ∘ τ ∘ g*) = *Ψ ∘ g*  
**proof** –  
**have** *inc-ker-p' ∘ τ ∘ g = τ ∘ g* **unfolding** *inc-ker-pτ-eq-τ* **by** *simp*  
**also have** ... = *π' ∘ g* **unfolding** *τg-eq-π'g* **by** *simp*  
  
**also have** *π' ∘ g = (1<sub>R</sub> ⊖<sub>R</sub> p') ∘ g* **unfolding** *π'-def* **by** *simp*  
**also from** *minus-dist-comp* [*of C g 1<sub>R</sub> p'*] **and** *p'-in-R and R.one-closed and*  
*g-hom-diff and C-diff-group*  
**have** ... = (λ*x. if x ∈ carrier C then (1<sub>R</sub> ∘ g) x ⊗ ((⊖<sub>R</sub> p') ∘ g) x else 1*)  
**unfolding** *hom-diff-def* **by** *simp*  
**also from** *psi-in-R h-in-R pert-in-R and minus-comp-g2* [*OF C-diff-group, of*  
*g p' Ψ ⊗<sub>R</sub> h ⊗<sub>R</sub> δ*] **and** *p'g-eq-psihdeltag and g-hom-diff*  
**have** ... = (λ*x. if x ∈ carrier C then (1<sub>R</sub> ∘ g) x ⊗ (⊖<sub>R</sub> (Ψ ⊗<sub>R</sub> h ⊗<sub>R</sub> δ) ∘ g)*  
*x else 1*)  
**unfolding** *hom-diff-def* **by** (*auto simp add: expand-fun-eq*)  
**also from** *sym* [*OF minus-dist-comp* [*OF C-diff-group - R.one-closed, of g (Ψ*  
*⊗<sub>R</sub> h ⊗<sub>R</sub> δ)*]] **and** *g-hom-diff and pert-in-R h-in-R phi-in-R*  
**have** ... = (*1<sub>R</sub> ⊖<sub>R</sub> (Ψ ⊗<sub>R</sub> h ⊗<sub>R</sub> δ)*) ∘ *g* **unfolding** *hom-diff-def* **by** *simp*  
**also from** *psi-prop* **have** ... = *Ψ ∘ g* **by** *simp*  
**finally show** *?thesis* **by** *simp*  
**qed**  
**next**  
**show** *f' ∘ (τ' ∘ differ<sub>diff-group-ker-p'</sub> ∘ τ) ∘ g* = (λ*x. if x ∈ carrier C then*  
*(differ<sub>C</sub>) x ⊗<sub>C</sub> (f ∘ δ ∘ Ψ ∘ g) x else 1<sub>C</sub>*)  
*(is f' ∘ (τ' ∘ ?diff-ker-p' ∘ τ) ∘ g = ?diff-C-pert)*  
**proof** –  
**have** *f' ∘ (τ' ∘ ?diff-ker-p' ∘ τ) ∘ g = (f' ∘ τ') ∘ ?diff-ker-p' ∘ (τ ∘ g)* **by** (*simp*  
*add: o-assoc*)  
**also from** *τg-eq-π'g* **have** ... = (*f' ∘ τ'*) ∘ *?diff-ker-p' ∘ (π' ∘ g)* **by** *simp*  
**also have** ... = *f' ∘ (τ' ∘ ?diff-ker-p') ∘ π' ∘ g* **by** (*simp add: o-assoc*)  
**also from** *τ'diff'-eq-πdiff'* **have** ... = *f' ∘ (π ∘ differ<sub>diff-group-ker-p'</sub>) ∘ π' ∘ g*  
**by** (*simp add: expand-fun-eq*)  
**also have** ... = *f' ∘ π ∘ (differ<sub>diff-group-ker-p'</sub> ∘ π')* ∘ *g* **by** (*simp add: o-assoc*)  
**also from** *diff-ker-p'π'-eq-diff'π'* **have** ... = *f' ∘ π ∘ (diff' ∘ π')* ∘ *g* **by** (*simp*  
*add: expand-fun-eq*)  
**also from** *f'π-eq-fπ* **have** ... = *f ∘ π ∘ (diff' ∘ π')* ∘ *g* **by** *simp*  
**also from** *fπ-eq-f* **have** ... = *f ∘ (diff' ∘ π')* ∘ *g* **by** *simp*

also have  $\dots = f \circ \text{diff}' \circ (\pi' \circ g)$  by (simp add: o-assoc)  
 also from *diff'-def* have  $\dots = f \circ (\text{differ} \oplus_R \delta) \circ (\pi' \circ g)$  by simp  
 also have  $\dots = f \circ ((\text{differ} \oplus_R \delta) \circ \pi') \circ g$  by (simp add: o-assoc)  
 also from *ring-R* have  $\dots = f \circ ((\text{differ} \oplus_R \delta) \otimes_R \pi') \circ g$  by simp  
 also from  $\pi'$ -in-*R* and *diff-in-R* and *pert-in-R* and *R.one-closed* have  $\dots =$   
 $f \circ (\text{differ} \otimes_R \pi' \oplus_R \delta \otimes_R \pi') \circ g$  by algebra  
 also from *l-add-dist-comp* [OF *C-diff-group*, of  $f \text{ differ} \otimes_R \pi' \delta \otimes_R \pi'$ ]  
*f-hom-diff* *diff-in-R*  $\pi'$ -in-*R* *pert-in-R*  
 have  $\dots = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } (f \circ (\text{differ} \otimes_R \pi')) x \otimes_C (f \circ (\delta \otimes_R$   
 $\pi')) x \text{ else } \mathbf{1}_C) \circ g$  by (unfold *hom-diff-def*, simp)  
 also from *ring-R* and *o-assoc* have  $\dots = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } (f \circ \text{differ}$   
 $\circ \pi') x \otimes_C (f \circ (\delta \otimes_R \pi')) x \text{ else } \mathbf{1}_C) \circ g$   
 by (simp add: expand-fun-eq)  
 also from *hom-diff-coherent* [OF *f-hom-diff*]  
 have  $\dots = (\lambda x. \text{if } x \in \text{carrier } D \text{ then } (\text{differ}_C \circ f \circ \pi') x \otimes_C (f \circ (\delta \otimes_R$   
 $\pi')) x \text{ else } \mathbf{1}_C) \circ g$  by (simp add: expand-fun-eq)  
 also have  $\dots = (\lambda x. \text{if } x \in \text{carrier } C \text{ then } ((\text{differ}_C \circ f \circ \pi') \circ g) x \otimes_C ((f$   
 $\circ (\delta \otimes_R \pi')) \circ g) x \text{ else } \mathbf{1}_C)$   
 proof (auto simp add: expand-fun-eq)  
 fix  $x$   
 assume  $x: x \in \text{carrier } C$  and  $g x \notin \text{carrier } D$  with *g-hom-diff* and  
*hom-completion-closed* [of  $g \ C \ D$ ]  
 show  $\mathbf{1}_C = (\text{differ}_C) (f (\pi' (g x))) \otimes_C f ((\delta \otimes_R \pi') (g x))$  by (unfold  
*hom-diff-def*, simp)  
 next  
 fix  $x$   
 assume  $x \notin \text{carrier } C$  with *completion-closed2* [of  $g \ C \ D \ x$ ] and *g-hom-diff*  
 have  $g x: g x = \mathbf{1}$   
 by (unfold *hom-diff-def* *hom-completion-def*, simp)  
 with *hom-completion-one* [of  $D \ D \ \pi'$ ] and  $\pi'$ -in-*R* and *ring-R* and *D.diff-group-is-group*  
 and *hom-completion-one* [of  $D \ C \ f$ ] and *C.diff-group-is-group* and *f-hom-diff*  
 and *hom-completion-one* [of  $C \ C \ \text{differ}_C$ ] and *diff-group.diff-hom* [OF  
*C-diff-group*]  
 and *hom-completion-one* [of  $D \ D \ \delta \otimes_R \pi'$ ] and *pert-in-R* and *R.m-closed*  
 [of  $\delta \ \pi'$ ]  
 show  $(\text{differ}_C) (f (\pi' (g x))) \otimes_C f ((\delta \otimes_R \pi') (g x)) = \mathbf{1}_C$  by (unfold  
*hom-diff-def*, auto)  
 qed  
 also from *ring-R* have  $\dots = (\lambda x. \text{if } x \in \text{carrier } C \text{ then } (\text{differ}_C \circ (f \circ \pi' \circ$   
 $g)) x \otimes_C (f \circ \delta \circ \pi' \circ g) x \text{ else } \mathbf{1}_C)$   
 by (simp add: o-assoc expand-fun-eq)  
 also from *f $\pi'$ -eq-id* and *diff-group.diff-hom* [OF *C-diff-group*]  
 have  $\dots = (\lambda x. \text{if } x \in \text{carrier } C \text{ then } (\text{differ}_C) x \otimes_C (f \circ \delta \circ \pi' \circ g) x \text{ else}$   
 $\mathbf{1}_C)$   
 by (unfold *hom-completion-def* *completion-fun2-def* *completion-def*, auto simp  
 add: expand-fun-eq)  
 also from  $\pi'$ -*g-eq-psig* have  $\dots = (\lambda x. \text{if } x \in \text{carrier } C \text{ then } (\text{differ}_C) x \otimes_C (f$   
 $\circ \delta \circ \Psi \circ g) x \text{ else } \mathbf{1}_C)$  by (simp add: expand-fun-eq)  
 finally show  $f' \circ (\tau' \circ \text{differ}_{\text{diff-group-ker-}\pi'} \circ \tau) \circ g = (\lambda x. \text{if } x \in \text{carrier } C$

then  $(\text{differ}_C) x \otimes_C (f \circ \delta \circ \Psi \circ g) x \text{ else } \mathbf{1}_C$   
 by simp  
 qed  
 qed

By joining reduction  $D' \parallel \text{carrier} = \text{carrier } C, \text{mult} = \text{op} \otimes_C, \text{one} = \mathbf{1}_C, \text{diff}$   
 $= f' \circ (\tau' \circ \text{differ}_{\text{diff-group-ker-}p'} \circ \tau) \circ g \parallel (f' \circ (\tau' \circ \mathbf{1}_R \ominus_R p')) (\text{inc-ker-}p'$   
 $\circ \tau \circ g) h'$  and  $f' \circ (\tau' \circ \mathbf{1}_R \ominus_R p') = f \circ \Phi$   
 $\text{inc-ker-}p' \circ \tau \circ g = \Psi \circ g$   
 $f' \circ (\tau' \circ \text{differ}_{\text{diff-group-ker-}p'} \circ \tau) \circ g = (\lambda x. \text{if } x \in \text{carrier } C \text{ then } (\text{differ}_C)$   
 $x \otimes_C (f \circ \delta \circ \Psi \circ g) x \text{ else } \mathbf{1}_C)$  we get the proof of the BPL, stated as in  
 Lemma 2.2.20 in Aransay's memoir

**lemma** (in BPL) BPL: **shows** reduction  $D'$   
 $\parallel \text{carrier} = \text{carrier } C, \text{mult} = \text{mult } C, \text{one} = \text{one } C, \text{diff} = (\lambda x. \text{if } x \in \text{carrier}$   
 $C \text{ then } (\text{differ}_C) x \otimes_C (f \circ \delta \circ \Psi \circ g) x \text{ else } \mathbf{1}_C) \parallel$   
 $(f \circ \Phi) (\Psi \circ g) h'$   
**using** BPL-reduction and BPL-simplifications **by** simp  
 end  
**theory** Acc-tools **imports** Main  
**begin**

## 12 Definition of some results about the accesible part of a relation.

**term** acc  
**thm** accp.intros

**lemma** wf-imp-subset-accP[rule-format]: wf  $\{(y, x) . Q y \wedge Q x \wedge r y x\} \implies (\forall$   
 $y x. Q x \longrightarrow r y x \longrightarrow Q y) \implies Q x \longrightarrow \text{accp } r x$   
**apply** (erule wf-induct)  
**apply** clarify  
**apply** (rule accp.intros)  
**apply** (simp (no-asm-use))  
**apply** blast  
**done**

**lemma** subset-accP-imp-wf:  
**assumes** Q-subset:  $\forall x. Q x \longrightarrow \text{accp } r x$   
**shows** wf  $\{(a, b). Q b \wedge r a b\}$   
**proof** –  
**let** ?s =  $\lambda y x. Q x \wedge r y x$   
**have** !!  $y x. ?s y x \implies r y x$  **by** simp  
**then have** Q-sub-accP-s: !!  $x. Q x \implies \text{accp } ?s x$   
**apply** (rule accp-subset[simplified le-fun-def le-bool-def, rule-format])  
**apply** (auto simp add: Q-subset)  
**done**



```

{
  fix x
  have  $\neg (Q\ x) \implies accp\ ?s\ x$ 
    apply (rule accp.intros)
    apply auto
  done
  with Q-sub-accP-s have accp ?s x by auto
}
note accP-univ = this
show ?thesis
  apply (rule accp-wfPI[simplified wfP-def])
  apply (simp add: accP-univ)
  done
qed

lemma downchain-contr-imp-subset-accP:
  assumes downchain:  $\bigwedge f. (\bigwedge i. Q\ (f\ i)) \implies (\bigwedge i. r\ (f\ (Suc\ i))\ (f\ i)) \implies False$ 
  and downclosed:  $\bigwedge y\ x. \llbracket Q\ x; r\ y\ x \rrbracket \implies Q\ y$ 
  shows  $Q\ x \implies accp\ r\ x$ 
  apply (rule wf-imp-subset-accP[where Q=Q])
  prefer 3
  apply simp
  prefer 2
  apply (rule-tac y=y and x=xa in downclosed)
  apply simp-all
  apply (simp add: wf-iff-no-infinite-down-chain)
  apply (insert downchain)
  apply blast
  done

lemma accP-subset-induct:
  assumes Q-subset:  $\forall x. Q\ x \longrightarrow accp\ r\ x$ 
  assumes Q-downward:  $\forall x\ y. Q\ x \longrightarrow r\ y\ x \longrightarrow Q\ y$ 
  assumes Q-a:  $Q\ a$ 
  assumes Q-induct:  $!! x. Q\ x \implies \forall y. r\ y\ x \longrightarrow P\ y \implies P\ x$ 
  shows  $P\ a$ 
  proof -
    show ?thesis
      apply (rule-tac accp-subset-induct[where x=a and D=Q and R=r])
      apply (simp add: le-fun-def Q-subset le-bool-def)
      apply (auto simp add: Q-a Q-subset)
      apply (erule Q-downward[rule-format])
      apply simp
      apply (erule Q-induct[rule-format])
      apply simp
    done
  qed

end

```

```

theory Orbit
imports Main
begin

```

### 13 Definition of orbits of functions and termination conditions.

```

lemma funpow-1: (f::'a⇒'a)^(1::nat) = f
proof -
  have 1: 1 = Suc 0 by simp
  show ?thesis by (simp add: 1)
qed

```

```

lemma funpow-2: f^2 = f o f
proof -
  have wow: (2::nat) = (Suc (Suc 0)) by auto
  show ?thesis by (simp add: wow)
qed

```

```

lemma funpow-zip: (f^n) (f x) = (f^(n+1)) x
  apply (induct n)
  apply auto
  done

```

```

lemma funpow-mult: (f::'a ⇒ 'a)^(m*n) = (f^m)^n
  apply (induct n)
  apply simp
  apply (simp add: funpow-add)
  done

```

```

lemma funpow-swap: (f^n)((f^m) x) = (f^m)((f^n) x)
  apply (induct n arbitrary: m)
  apply (auto simp add: funpow-zip)
  done

```

```

lemma nat-remainder-div: 0 < (n::nat) ⇒ ∃ q r. r < n ∧ m = q * n + r
  apply (rule exI[where x = m div n])
  apply (rule exI[where x = m mod n])
  apply simp
  done

```

```

lemma cyclic-fun-range: assumes n: 0 < n and cycle: (f^n) v = v shows ∃ r.
r < n ∧ (f^m) v = (f^r) v
proof -
  from nat-remainder-div[where m=m and n=n, OF n]
  obtain q r where qr: r < n ∧ m = q * n + r by auto
  have q-pow[rule-format]: ((f^n)^q) v = v
  apply (induct q)

```

```

    apply (auto simp add: cycle)
  done
have (f^m) v = (f^r) v
  apply (simp add: qr)
  apply (subst add-commute)
  apply (simp add: funpow-add)
  apply (subst mult-commute)
  apply (simp add: funpow-mult q-pow)
  done
with qr show ?thesis by auto
qed

```

### 13.1 Definition of the orbit of a function over a given point.

**constdefs**

```

Orbit :: ('a ⇒ 'a) ⇒ 'a ⇒ 'a set
Orbit f d ≡ { (f^n) d | n. True}

```

```

lemma Orbit-refl[simp]: x ∈ Orbit f x
  apply (simp add: Orbit-def)
  apply (rule-tac x=0 in exI)
  by simp

```

```

lemma Orbit-next[dest]: y ∈ Orbit f (f x) ⇒ y ∈ Orbit f x
  apply (auto simp add: Orbit-def)
  apply (rule-tac x=Suc n in exI)
  apply (simp add: funpow-zip)
  done

```

**lemma** Orbit-reduce:

```

shows Orbit f x = { (f^m)(x) | m. ∀ i ≤ m. i > 0 ⇒ (f^i) x ≠ x } (is ?L =
?R)

```

**proof** –

```

  have case1: ?R ⊆ ?L
    by (auto simp add: Orbit-def)
  {
    assume terminates: ∃ n. n > 0 ∧ (f^n) x = x
    let ?M = LEAST m. m > 0 ∧ (f^m) x = x
    have M: ?M > 0 ∧ (f^?M) x = x
      apply (rule LeastI-ex)
      apply (rule terminates)
      done
    {
      fix N::nat
      assume N1: N > 0
      assume N2: (f^N) x = x
      with N1 N2 have ?M ≤ N by (simp add: Least-le)
    }
    note M-least = this
  }

```

```

have ?L ⊆ ?R
  apply (auto simp add: Orbit-def)
  proof -
    fix n
    have ? r. r < ?M ∧ (f^n) x = (f^r) x
      apply (rule cyclic-fun-range)
      apply (simp-all add: M)
    done
    then obtain r where r: r < ?M ∧ (f^n) x = (f^r) x by blast
    show ∃ m. (f ^ n) x = (f ^ m) x ∧ (∀ i ≤ m. 0 < i ⟶ (f ^ i) x ≠ x)
      apply (rule-tac x=r in exI)
      apply (auto simp add: r)
      apply (drule M-least)
      apply auto
      apply (subgoal-tac r < ?M)
      apply simp
      apply (simp add: r)
    done
  qed
}
note case2a = this
{
  assume not-terminates: ¬ (∃ n. n > 0 ∧ (f^n) x = x)
  then have ?L ⊆ ?R
    apply (auto simp add: Orbit-def)
    apply (rule-tac x=n in exI)
    apply auto
    apply (drule-tac x=i in spec)
    apply simp
  done
}
note case2b = this
from case1 case2a case2b show ?thesis by blast
qed

lemma Orbit-unfold1: Orbit f x = {x} ∪ Orbit f (f x)
  apply (auto simp add: Orbit-def)
  apply (rule-tac x=n - 1 in exI)
  apply (case-tac n=0)
  apply (auto simp add: funpow-zip)
  apply (rule-tac x=0 in exI)
  apply simp
  apply (rule-tac x=n+1 in exI)
  apply (simp add: funpow-zip)
done

```

## 13.2 Definition of the section of a function over a given point.

constdefs

*Section continue*  $(f::'a \Rightarrow 'a) \ x0 \equiv \{ (f^n) \ x0 \mid n. (\forall \ m. \ m \leq n \longrightarrow \text{continue } ((f^m)(x0))) \}$

**lemma** *Section-x-x*:  $\neg (\text{continue } x) \Longrightarrow \text{Section continue } f \ x = \{\}$   
**apply** (*simp add: Section-def*)  
**apply** *auto*  
**done**

**lemma** *Section-unfold*:  $\text{continue } i \Longrightarrow \text{Section continue } f \ i = \text{insert } i \ (\text{Section continue } f \ (f \ i))$   
**apply** (*auto simp add: Section-def*)  
**apply** (*case-tac n*)  
**apply** *simp*  
**apply** (*rule-tac x=n - 1 in exI*)  
**apply** (*simp add: funpow-zip*)  
**apply** *clarsimp*  
**apply** (*drule-tac x=m+1 in spec*)  
**apply** *simp*  
**apply** (*rule-tac x=0 in exI*)  
**apply** *simp*  
**apply** (*rule-tac x=n+1 in exI*)  
**apply** (*auto simp add: funpow-zip*)  
**apply** (*case-tac m*)  
**apply** *auto*  
**done**

**lemma** *Section-rightopen[dest]*:  $y \in \text{Section continue } f \ x \Longrightarrow \text{continue } y$   
**by** (*auto simp add: Section-def*)

**lemma** *Section-is-Orbit*:  
**assumes** *x0-elem-S*:  $x0 \in \text{Section continue } f \ (f \ x0)$  (**is**  $x0 \in ?S$ )  
**shows**  $?S = \text{Orbit } f \ x0$   
**proof** –  
**have** *x0-noteq-x1*:  $\text{continue } x0$   
**apply** (*insert x0-elem-S*)  
**apply** *blast*  
**done**  
**have**  $\exists \ n. \ n > 0 \wedge (f^n) \ x0 = x0 \wedge (\forall \ m \leq n. \text{continue } ((f^m) \ x0))$   
**apply** (*insert x0-elem-S*)  
**apply** (*auto simp add: Section-def*)  
**apply** (*rule-tac x=n+1 in exI*)  
**apply** (*auto simp add: funpow-zip*)  
**apply** (*case-tac m*)  
**apply** *auto*  
**done**  
**then obtain** *N* **where**  $N:N > 0 \wedge (f^N) \ x0 = x0 \wedge (\forall \ m \leq N. \text{continue } ((f^m) \ x0))$  **by** *auto*  
**{**  
**fix** *n::nat*

```

assume  $n: n > 0$ 
have  $? r. r < N \wedge (f^n) x0 = (f^r) x0$ 
  apply (rule cyclic-fun-range)
  apply (simp-all add: N)
  done
then obtain  $r$  where  $r: r < N \wedge (f^n) x0 = (f^r) x0$  by blast
then have continue  $((f^n) x0)$ 
  apply (case-tac n=0)
  apply (insert n)
  apply (auto simp add: N)
  done
}
note hammer = this
{
  fix  $n :: nat$ 
  note  $h = \text{hammer}[of\ n+1, simplified]$ 
}
note hammer = this
show ?thesis
  apply (auto simp add: Orbit-def Section-def)
  apply (rule-tac x=n+1 in exI)
  apply (simp add: funpow-zip)
  apply (case-tac n=0)
  apply simp
  apply (rule-tac x=N - 1 in exI)
  apply (auto simp add: N funpow-zip hammer)
  apply (subgoal-tac ? m. n = Suc m)
  apply auto
  apply arith
  done
qed

thm Section-is-Orbit

thm Section-def

term continue  $y = (\forall\ m. y = (f^m) x \longrightarrow (\forall\ n. n > 0 \wedge n \leq m \longrightarrow (f^n) x \neq x))$ 

lemma Section-is-Orbit':  $insert\ x\ (Section\ (\lambda y. y \neq x)\ f\ (f\ x)) = Orbit\ f\ x$ 
  apply auto
  apply (simp add: Section-def Orbit-def)
  apply clarsimp
  apply (rule-tac x=n+1 in exI)
  apply (simp add: funpow-zip)
  apply (auto simp add: Section-def Orbit-reduce)
  apply (case-tac m)
  apply (simp-all add: funpow-zip)

```

```

apply (drule-tac x=nat in spec)
apply clarsimp
apply (case-tac ma = nat)
apply auto
done

```

### 13.3 Definition of a termination condition in terms of orbits.

```

fun terminates :: ('a  $\Rightarrow$  bool)  $\times$  ('a  $\Rightarrow$  'a)  $\times$  'a  $\Rightarrow$  bool
where
  terminates (continue, f, x) = ( $\exists$  y. (y  $\in$  Orbit f x)  $\wedge$   $\neg$  (continue y))

declare terminates.simps[simp del]

lemmas terminates-simp = terminates.simps

lemma finite-Section:
  assumes terminates: terminates (continue, f, x)
  shows finite (Section continue f x)
proof -
  have ? N.  $\neg$  continue ((f^N) x)
    apply (insert terminates)
    apply (auto simp add: terminates-simp Orbit-def)
  done
  then obtain N where N:  $\neg$  continue ((f^N) x) by auto
  have Section continue f x  $\subseteq$  image ( $\lambda$  n. (f^n) x) {..N}
    apply (auto simp add: Section-def image-def Bex-def)
    apply (rule-tac x=n in exI)
    apply simp
    apply (drule-tac x=N in spec)
    apply (auto simp add: N)
  done
  note finite-sub = finite-subset[OF this, simplified]
  then show ?thesis by blast
qed

```

```

lemma terminates-imp-notin-Section:
  assumes terminates: terminates (continue, f, x)
  shows x  $\notin$  Section continue f (f x)
proof -
  {
    assume x  $\in$  Section continue f (f x)
    then have SO: Section continue f (f x) = Orbit f x
      by (rule Section-is-Orbit)
    from terminates[simplified terminates-simp]
    obtain y where y: y  $\in$  Orbit f x  $\wedge$   $\neg$  continue y by blast
    have y  $\notin$  Orbit f x
      by (auto simp add: SO[symmetric] y)
    with y have False
  }

```

```

    by auto
  }
  then show ?thesis by auto
qed

lemma orbit-stepback:  $i \neq x \implies (x \in \text{Orbit } f (f i)) = (x \in \text{Orbit } f i)$ 
  apply (auto simp add: Orbit-def)
  apply (rule-tac  $x = n+1$  in exI)
  apply (simp add: funpow-zip)
  apply (case-tac n)
  apply (auto simp add: funpow-zip)
done

lemma terminates-rec:  $\text{terminates } (\text{continue}, f, x) = (\text{if } \text{continue } x \text{ then terminates } (\text{continue}, f, f x) \text{ else True})$ 
  apply (auto simp add: terminates-simp)
  apply (rule-tac  $x=y$  in exI)
  apply (simp add: Orbit-unfold1[of f x])
  apply clarsimp
  apply (rule-tac  $x=x$  in exI)
  apply simp
done

lemma Suc-card-Section-eq:
  assumes  $x0\text{-neq-}x1$ :  $\text{continue } x0 \neq \text{continue } x1$ 
  and terminates:  $\text{terminates } (\text{continue}, f, f x0)$ 
  and finite-A:  $\text{finite } A$ 
  and  $x0\text{-elem-}A$ :  $x0 \in A$ 
  shows  $\text{Suc } (\text{card } (\text{Section } \text{continue } f (f x0) \cap A)) = \text{card } (\text{Section } \text{continue } f x0 \cap A)$ 
proof -
  have insert:  $\text{insert } x0 (\text{Section } \text{continue } f (f x0) \cap A) = \text{Section } \text{continue } f x0 \cap A$  (is ?L=?R)
  by (auto simp add: Section-unfold[of continue, OF  $x0\text{-neq-}x1$ ]  $x0\text{-elem-}A$ )
  have  $x0\text{-notin-Section}$ :  $x0 \notin \text{Section } \text{continue } f (f x0)$ 
  apply (rule terminates-imp-notin-Section)
  apply (simp add: terminates-rec[where  $x=x0$  and  $\text{continue}=\text{continue}$ ]  $x0\text{-neq-}x1$  terminates)
  done
  from  $x0\text{-notin-Section}$  finite-A have card-L:  $\text{card } ?L = \text{Suc } (\text{card } (\text{Section } \text{continue } f (f x0) \cap A))$ 
  by simp
  then show ?thesis
  by (simp add: card-L[symmetric] insert)
qed

end
theory Cycle imports Main
begin

```



**constdefs**

*closed* :: 'a set  $\Rightarrow$  ('a  $\Rightarrow$  'a)  $\Rightarrow$  bool  
*closed* A f  $\equiv \forall x \in A. f x \in A$

**constdefs**

*cyclic* :: 'a set  $\Rightarrow$  ('a  $\Rightarrow$  'a)  $\Rightarrow$  bool  
*cyclic* A f  $\equiv \forall d \in A. \exists n. n > 0 \wedge (f^n) d = d$

**constdefs**

*cyclic-equiv* :: 'a set  $\Rightarrow$  ('a  $\Rightarrow$  'a)  $\Rightarrow$  ('a  $\times$  'a) set  
*cyclic-equiv* A f  $\equiv \{ (a,b) . a \in A \wedge b \in A \wedge (\exists n. (f^n) a = b) \}$

**lemma** *refl-cyclic-equiv*: *refl* A (*cyclic-equiv* A f)

**apply** (*auto simp add: cyclic-equiv-def refl-def*)  
**apply** (*rule exI[where x=0]*)  
**apply** *simp*  
**done**

**lemma** *archimedian-law*: (*m::nat*) > 0  $\implies \exists q. n < q*m$

**apply** (*induct n*)  
**apply** *auto*  
**apply** (*rule-tac x=q+1 in exI*)  
**apply** *simp*  
**done**

**lemma** *cyclic-wrap*:

**assumes** *c*: *cyclic* A f  
**assumes** *x*: *x*  $\in$  A  
**shows**  $\exists n'. (f^{n'}) ((f^n) x) = x$

**proof** –

**from** *c x* **have**  $\exists m. m > 0 \wedge (f^m)(x) = x$  **by** (*auto simp add: Ball-def cyclic-def*)  
**then obtain** *m* **where** *m*: *m* > 0  $\wedge (f^m)(x) = x$  ..  
**with** *archimedian-law* **have**  $\exists q. n < q*m$  **by** *auto*  
**then obtain** *q* **where** *n* < *q\*m* ..  
**then have** *q*: *q\*m* – *n* + *n* = *q* \* *m* **by** *simp*  
**show**  $\exists n'. (f^{n'})((f^n) x) = x$   
**apply** (*rule exI[where x=q\*m-n]*)  
**apply** (*simp only: o-apply[where f=f ^ (q \* m – n) and g=(f ^ n), symmetric]*  
*funpow-add[symmetric] q*)  
**apply** (*induct q*)  
**apply** (*simp-all add: funpow-add m*)  
**done**

**qed**

**lemma** *sym-cyclic-equiv*: *cyclic* A f  $\implies \text{sym } (\text{cyclic-equiv } A f)$

**by** (*auto simp add: sym-def cyclic-equiv-def cyclic-wrap*)

```

lemma trans-cyclic-equiv: trans (cyclic-equiv A f)
  apply (auto simp add: cyclic-equiv-def trans-def)
  apply (rule-tac x=na+n in exI)
  apply (simp add: funpow-add)
done

```

```

lemma cyclic A f  $\implies$  equiv A (cyclic-equiv A f)
  by (simp add: equiv-def refl-cyclic-equiv sym-cyclic-equiv trans-cyclic-equiv)

```

```

constdefs
  cyclic-on A f  $\equiv$  closed A f  $\wedge$  cyclic A f

```

```

constdefs
  representing A f R  $\equiv$  closed A f  $\wedge$  cyclic A f  $\wedge$   $R \subseteq A \wedge (\forall x y. (x \in R \wedge y \in R \wedge (\exists n. (f^n)(x) = y)) \longrightarrow x = y) \wedge A = \{ (f^n)(x) \mid n x. x \in R \}$ 

```

```

end
theory While imports Acc-tools Orbit Cycle
begin

```

## 14 Definition of *while* loops as tail recursive functions.

```

function (tailrec) While :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  ('a  $\Rightarrow$  'a)  $\Rightarrow$  'a  $\Rightarrow$  'a
where
  While continue f s = (if continue s then While continue f (f s) else s)
by auto

```

We delete the definition from the simplifier to avoid infinite loops.

```

declare While.simps[simp del]
lemmas While-simp = While.simps

```

An alternative definition for termination sets.

```

fun terminates-slice :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  ('a  $\Rightarrow$  'a)  $\Rightarrow$  ('a  $\Rightarrow$  bool)  $\times$  ('a  $\Rightarrow$  'a)  $\times$  'a  $\Rightarrow$  bool
where
  terminates-slice continue0 f0 (continue, f, x) = (continue = continue0  $\wedge$  f = f0  $\wedge$  terminates (continue, f, x))

```

```

lemma lfp-const: lfp ( $\lambda p. P$ ) = P
proof –
  have mono: mono ( $\lambda p. P$ ) by (simp add: mono-def)
  then show ?thesis
    by (simp add: lfp-unfold[OF mono])
qed

```

Definition of the relation condition of *While* loops.

```

lemmas While-rel-def' = While-rel-def[simplified lfp-const]

```

```

lemma While-rel-continue: While-rel  $q$  (continue,  $f$ ,  $s$ )  $\implies$  continue  $s$ 
  apply (erule While-rel.cases)
  apply simp
  done

lemma assumes  $n-g-0$ :  $0 < (n::nat)$  shows  $\exists m. n = \text{Suc } m$ 
  using  $n-g-0$  by arith

lemma helper: shows  $\bigwedge n::nat. 0 < n \implies \exists m. n = \text{Suc } m$  by arith

lemma terminates-downward: terminates  $x \implies \text{While-rel } y \ x \implies \text{terminates } y$ 
  apply (auto simp add: While-rel-def' terminates-simp)
  apply (auto simp add: Orbit-def)
  apply (rule-tac  $x=(f^n) \ s$  in exI)
  apply (case-tac  $n=0$ )
  apply simp
  apply simp
  apply (subgoal-tac  $? m. n = \text{Suc } m$ )
  apply auto
  apply (rule-tac  $x=m$  in exI)
  apply (simp add: funpow-zip)
  apply (simp add: helper)
  done

lemma terminates-slice-downward: terminates-slice continue  $f \ x \implies \text{While-rel } y \ x$ 
 $\implies \text{terminates-slice } \text{continue } f \ y$ 
  apply (cases  $x$ , cases  $y$ ) apply auto
  unfolding While-rel-def' apply auto using terminates-rec [of continue f -] by
auto

lemma terminates-subset-dom[rule-format]: terminates (continue,  $f$ ,  $s$ )  $\longrightarrow \text{While-dom}$ 
(continue,  $f$ ,  $s$ )
proof –
  let  $?Q = \text{terminates}$ 
  let  $?r = \text{While-rel}$ 
  note  $Q = \text{terminates-downward}$ 
  {
    fix  $F$ 
    assume  $F-Q$ :  $\forall i::nat. ?Q (F \ i)$ 
    assume  $F-r$ :  $\forall i::nat. ?r (F (\text{Suc } i)) (F \ i)$ 
    { fix  $i$ 
      have  $? \text{continue } f \ s. F \ i = (\text{continue}, f, s)$ 
      apply (cases  $F \ i$ )
      apply simp
      done
    }
  }
  note  $F\text{-split} = \text{this}$ 

```

```

{
  fix continue0 f0 s0
  assume F0:F 0 = (continue0, f0, s0)
  {
    fix i
    fix continue f s
    have F i = (continue, f, s)  $\implies$  continue s
    apply (subgoal-tac ?r (F (Suc i)) (F i))
    apply simp
    apply (rule While-rel-continue[where q=(F (Suc i)) and f=f])
    apply simp
    apply (rule F-r)
    done
  }
  note continue = this
  {
    fix i
    have  $\forall$  continue f s. F i = (continue, f, s)  $\longrightarrow$  s = (f0^i) s0  $\wedge$  f = f0  $\wedge$ 
    continue = continue0
    apply (induct i)
    apply (simp add: continue)
    apply (simp add: F0)
    apply clarsimp
    apply (subgoal-tac ? continue f s. F i = (continue, f, s))
    prefer 2
    apply (simp add: F-split)
    apply clarsimp
    apply (subgoal-tac ?r (F (Suc i)) (F i))
    prefer 2
    apply (rule F-r)
    apply (simp add: While-rel-def')
    done
  }
  note calc-F = this
  {
    fix n
    have continue0 ((f0^n) s0)
    apply (insert calc-F[of n])
    apply (cases F n)
    apply simp
    apply (simp add: continue)
    done
  }
  note no-Orbit = this
  have Orbit:  $\exists$  y0  $\in$  Orbit f0 s0.  $\neg$  (continue0 y0)
  apply (insert F0)
  apply (insert F-Q[of 0])
  apply (simp add: terminates-simp Bex-def)
  done

```

```

    then have  $\exists n. \neg (\text{continue0 } ((f0^n) s0))$ 
      by (auto simp add: Orbit-def)
    with no-Orbit have False by auto
  }
  then have False
    apply (cases F 0)
    apply simp
    apply blast
  done
}
note r = this
show ?thesis
  apply (rule-tac impI)
  apply (rule downchain-contr-imp-subset-accP[where Q=?Q])
  prefer 3
  apply simp
  apply (drule r)
  apply simp
  apply simp
  apply (rule Q)
  apply simp-all
done
qed

```

**lemma** *terminates-slice-subset-dom*: *terminates-slice*  $f\ x \implies \text{While-dom } x$

```

  apply (cases x)
  apply simp
  apply (rule terminates-subset-dom)
  apply (auto)
done

```

Some additional induction rules for the previous *While* definition.

**lemma** *While-pinduct*:

```

  assumes terminates: terminates (continue, f, s)
  and I:  $\forall s. \llbracket \text{terminates } (\text{continue}, f, s); \text{continue } s \implies P (f\ s) \rrbracket \implies P\ s$ 
  shows  $P\ s$ 
proof -
  show ?thesis
    apply (subgoal-tac  $P\ s = (\lambda (\text{continue}, f, s). P\ s) (\text{continue}, f, s)$ )
    prefer 2
    apply clarify
    apply (simp only:)
    apply (rule accP-subset-induct[where r=While-rel and Q=terminates-slice
continue f])
    apply (simp add: terminates-slice-subset-dom)
    apply (simp add: terminates-slice-downward)
    apply (simp add: terminates)
    apply clarsimp

```

```

    apply (simp add: While-rel-def' I)
  done
qed

lemma While-pinduct-weak:
  assumes terminates: terminates (continue, f, s)
  and I: !! s.  $\llbracket \text{continue } s \implies P (f s) \rrbracket \implies P s$ 
  shows P s
  apply (rule While-pinduct[where P=P])
  apply (rule terminates)
  apply (rule I)
  apply simp
  done

lemma While-hoare-total:
  assumes wf-R: wf R
  and R-down: !! x.  $P x \implies \text{continue } x \implies (f x, x) \in R$ 
  and P-cont: !! x.  $P x \implies \text{continue } x \implies P (f x)$ 
  and P-not-cont: !! x.  $P x \implies \neg (\text{continue } x) \implies Q x$ 
  and P-start: P s
  shows Q (While continue f s)
proof -
  {
    fix x
    assume Px: P x
    assume  $\neg (\text{terminates } (\text{continue}, f, x))$ 
    then have continue: !! n. continue ((f^n) x) by (auto simp add: terminates-simp
Orbit-def)
    {
      fix n
      have P: P ((f^n) x)
      apply (induct n)
      apply (simp add: Px)
      apply (simp)
      apply (rule P-cont)
      apply (simp-all add: continue)
      done
      note R = R-down[OF P, OF continue]
    }
    then have  $\neg (wf R)$ 
    apply (simp add: wf-iff-no-infinite-down-chain)
    apply (rule-tac x= $\lambda n. (f^n) x$  in exI)
    apply (auto)
    done
    with wf-R have False by auto
  }
  then have !! x.  $P x \implies \text{terminates } (\text{continue}, f, x)$  by auto
  with P-start have terminates: terminates (continue, f, s) by auto
  have P s  $\longrightarrow Q (While \text{continue } f s)$ 

```

```

proof (induct rule: While-pinduct-weak[OF terminates])
  case (1 x)
  show ?case
    apply (subst While-simp)
    apply (case-tac continue x)
    apply (auto simp add: P-not-cont)
    apply (rule 1[rule-format])
    apply (simp-all add: P-cont)
  done
qed
then show ?thesis by (simp add: P-start)
qed

```

## 15 Definition of *For* loops.

**constdefs**

```

For' :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  ('a  $\Rightarrow$  'a)  $\Rightarrow$  ('a  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  ('b  $\times$  'a)
For' continue f Ac x ac  $\equiv$  While ( $\lambda$  (ac, x). continue x) ( $\lambda$  (ac, x). (Ac x ac, f
x)) (ac, x)
For continue f Ac x ac  $\equiv$  fst (For' continue f Ac x ac)

```

**term** For

**lemma** For'-simp: For' continue f Ac x ac = (if continue x then For' continue f Ac (f x) (Ac x ac) else (ac, x))

**proof** –

```

have trivial1: split ( $\lambda$ ac. continue) (ac, x) = continue x
by simp
have trivial2: (( $\lambda$ (ac, x). (Ac x ac, f x)) (ac, x)) = (Ac x ac, f x)
by simp
show ?thesis
  apply (subst For'-def)
  thm While-simp
  apply (subst For'-def)
  apply (subst While-simp[of ( $\lambda$  (ac, x). continue x) ( $\lambda$  (ac, x). (Ac x ac, f x))
(ac, x)])
  apply (simp only: trivial1 trivial2)
done
qed

```

**lemma** For-simp: For continue f Ac x ac = (if continue x then For continue f Ac (f x) (Ac x ac) else ac)

**proof** –

```

note unfold-For' = For'-simp[of continue f Ac x ac]
show ?thesis by (auto simp add: For-def unfold-For')
qed

```

**lemma** split-power-of-for-state: ? ac'. ((( $\lambda$ (ac, x). ((Ac :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b) x ac, (f :: 'a  $\Rightarrow$  'a) x)) ^ n) (a, b) = (ac', (f^n) b))

```

apply (induct n)
apply auto
done

```

**lemma** *swap-Ex*:  $(\exists a b. P a b) = (\exists b a. P a b)$  **by** *blast*

```

lemma terminates-For: terminates  $(\lambda(ac, x). \text{continue } x, \lambda(ac, x). (Ac\ x\ ac, f\ x),$ 
s) = terminates (continue, f, snd s)
apply (cases s)
apply simp
apply (auto simp add: terminates-simp Bex-def Orbit-def)
apply (rule-tac x=ba in exI)
apply clarsimp
apply (rule-tac x=n in exI)
apply (subgoal-tac ? ac'. ((( $\lambda(ac, x). (Ac\ x\ ac, f\ x)) \wedge n$ ) (a, b) = (ac', (f^n
b))))
apply clarsimp
apply (rule split-power-of-for-state)
apply (subst swap-Ex)
apply (rule-tac x=(f^n) b in exI)
apply clarsimp
apply (subst swap-Ex)
apply (rule-tac x=n in exI)
apply (subgoal-tac  $\exists aa. ((\lambda(ac, x). (Ac\ x\ ac, f\ x)) \wedge n$ ) (a, b) = (aa, (f^n
b)))
prefer 2
apply (rule split-power-of-for-state)
apply clarsimp
done

```

Additional induction rules for *For* loops.

**lemma** *For-pinduct*:

```

assumes terminates: terminates (continue, f, i)
and I:  $\bigwedge i s. \llbracket \text{terminates } (\text{continue}, f, i); \text{continue } i \implies P (f\ i) (Ac\ (i::'a)$ 
(s::'b::type)) \rrbracket  $\implies P\ i\ s$ 
shows  $P\ i\ s$ 
apply (rule While-pinduct[of ( $\lambda(ac, x). \text{continue } x$ ) ( $\lambda(ac, x). (Ac\ x\ ac, f\ x)$ ),
simplified terminates-For, where s=(s,i) and P =  $\lambda(s,i). P\ i\ s$ , simplified])
apply (simp add: terminates)
apply (subgoal-tac ? s' i'. s = (s', i'))
prefer 2
apply simp
apply (auto simp add: I)
done

```

**lemma** *For-pinduct-weak*:

```

assumes terminates: terminates (continue, f, i)
and I:  $\bigwedge i s. \llbracket \text{continue } i \implies P (f\ i) (Ac\ (i::'a) (s::'b::type)) \rrbracket \implies P\ i\ s$ 
shows  $P\ i\ s$ 
apply (rule For-pinduct[where P=P])

```



```

apply (rule terminates)
apply (rule I)
apply simp
done

constdefs
  find f x  $\equiv$  While ( $\lambda x. f x \neq x$ ) f x
  step1 f  $\equiv \{(y,x). y = f x \wedge y \neq x\}$ 

thm find-def[symmetric]
thm While-simp

lemma find-simp: find f x = (if f x  $\neq$  x then find f (f x) else x)
  apply (simp only: find-def)
  apply (rule While-simp)
done

lemma find-wf-step1-terminates: wf (step1 f)  $\implies$  terminates ( $\lambda x. f x \neq x, f, i$ )
  apply (erule wf-induct)
  apply (auto simp add: step1-def terminates-simp)
done

lemmas find-pinduct = While-pinduct-weak[of ( $\lambda x. f x \neq x$ ) f x]

lemma find:
  assumes terminates: terminates ( $\lambda x. f x \neq x, f, x$ )
  shows f(find f x) = find f x
proof (induct rule: find-pinduct)
  case 1
  show ?case by (simp add: terminates)
  case (2 x)
  show ?case
    by (auto simp add: find-simp[of f x] 2)
qed

constdefs
  section continue f x0  $\equiv$  For continue f insert x0
  card-section continue f x0  $\equiv$  For continue f ( $\lambda x y. y + (1::nat)$ ) x0

lemmas section-pinduct=For-pinduct[where Ac=insert]
lemmas section-pinduct-weak=For-pinduct-weak[where Ac=insert]
lemmas card-section-pinduct-weak=For-pinduct-weak[where Ac= $\lambda x y. y + (1::nat)$ ]

lemma section-simp: section continue f x A = (if continue x then section continue
f (f x) (insert x A) else A)
  apply (simp only: section-def)
  apply (rule For-simp)

```

```

done

lemma card-section-simp: card-section continue f x A = (if continue x then card-section
continue f (f x) (A + 1) else A)
  apply (simp only: card-section-def)
  apply (rule For-simp)
done

lemma section-is-Section:
  assumes terminates: terminates (continue, f, x0)
  shows section continue f x0 A = A  $\cup$  (Section continue f x0)
proof (induct rule: section-pinduct-weak[where f=f and continue=continue and
i=x0 and P= $\lambda$  x0 A. section continue f x0 A = A  $\cup$  (Section continue f x0)])
  case 1
  show ?case by (simp add: terminates)
  case (2 i A)
  {
    assume i-eq-x1:  $\neg$  continue i
    have e: Section continue f i = {} by (simp add: i-eq-x1 Section-x-x)
    have ?case
      apply (simp add: e)
      apply (subst section-simp)
      apply (simp add: i-eq-x1)
    done
  }
note eq = this
{
  assume i-neq-x1: continue i
  have ?case
    apply (subst section-simp)
    apply (simp add: i-neq-x1 2[OF i-neq-x1])
    apply (simp only: Un-insert-right[symmetric])
    apply (simp add: Section-unfold[where continue=continue, OF i-neq-x1])
  done
}
note neq = this
from eq neq show ?case by auto
qed

constdefs
  orbit f x  $\equiv$  section ( $\lambda$  y. y  $\neq$  x) f (f x) {x}
  card-orbit f x  $\equiv$  card-section ( $\lambda$  y. y  $\neq$  x) f (f x) 1

lemma terminates-implies: terminates (cond, f, x)  $\implies$   $\exists$  n.  $\neg$  (cond ((fn) x))
  by (auto simp add: terminates-simp Orbit-def)

lemma orbit-is-Orbit:
  assumes terminates: terminates ( $\lambda$  y. y  $\neq$  x, f, f x)
  shows orbit f x = Orbit f x

```

by (simp add: orbit-def section-is-Section[OF terminates] Section-is-Orbit')

**lemma** card-section-add:  
 assumes terminates: terminates (continue, f, x0)  
 shows card-section continue f x0 (a + b) = a + (card-section continue f x0 (b::nat))  
 proof (induct x0 b arbitrary: a rule: card-section-pinduct-weak[where continue=continue and f=f])  
 case 1  
 show ?case by (rule terminates)  
 case (2 i y x)  
 show ?case  
 by (auto simp add: card-section-simp[where x0=i] 2[of x, simplified])  
 qed

**lemma** card-section-suc:  
 assumes terminates: terminates (continue, f, x0)  
 shows card-section continue f x0 (Suc a) = Suc (card-section continue f x0 a)  
 by (rule card-section-add[OF terminates, where a=1 and b=a, simplified])

**lemma** terminates-imp: terminates (continue, f, i)  $\implies$  continue i  $\implies$  terminates (continue, f, f i)  
 by (simp add: terminates-rec[where x=i])

**lemma** Suc-first: Suc (a + b) = Suc a + b by simp

**lemma** card-section-eq[rule-format]:  
 terminates (continue, f, x0)  $\implies$  finite A  $\longrightarrow$  card-section continue f x0 (card A)  
 = card (section continue f x0 A) + card (Section continue f x0  $\cap$  A)  
 apply (rule section-pinduct[where P= $\lambda$  x0 A. finite A  $\longrightarrow$  card-section continue f x0 (card A) = card (section continue f x0 A) + card (Section continue f x0  $\cap$  A)])  
 apply (assumption)  
 apply (subst section-simp)  
 apply (subst card-section-simp)  
 apply (case-tac  $\neg$  (continue i))  
 apply clarsimp  
 apply (simp add: Section-x-x)  
 apply clarsimp  
 apply (case-tac i  $\in$  s)  
 apply (simp add: insert-absorb)  
 apply (subst card-section-suc)  
 apply (subgoal-tac terminates (continue, f, i) = (if continue i then terminates (continue, f, f i) else True))  
 apply simp  
 apply (rule terminates-rec)  
 apply simp  
 apply (subst Suc-card-Section-eq)

```

apply simp-all
apply (subgoal-tac terminates (continue, f, i) = (if continue i then terminates
(continue, f, f i) else True))
apply simp
apply (rule terminates-rec)
apply (case-tac i  $\in$  Section continue f (f i))
apply (simp add: terminates-imp-notin-Section)
apply (drule Section-unfold[where f=f and continue=continue])
apply (subgoal-tac insert i (Section continue f (f i))  $\cap$  s = Section continue f (f
i)  $\cap$  insert i s)
apply simp
apply auto
done

```

```

lemma
  assumes terminates: terminates (continue, f, x)
  shows card-section continue f x 0 = card (Section continue f x)
  by (simp add: card-section-eq[OF terminates, of {}, simplified] section-is-Section[OF
terminates])

```

```

constdefs
  orbit-terminates f x x'  $\equiv$  terminates ( $\lambda$  y. y  $\neq$  x, f, f x')

```

```

lemma card-orbit-is-card-Orbit:
  assumes terminates: orbit-terminates f x x
  shows card-orbit f x = card (Orbit f x)
  apply (simp add: card-orbit-def)
  apply (simp add: orbit-is-Orbit[OF terminates[simplified orbit-terminates-def],
symmetric])
  apply (auto simp add: card-section-eq[OF terminates[simplified orbit-terminates-def],
where A={x}, simplified] orbit-def)
  done

```

```

lemma orbit-terminates-rec: orbit-terminates f x x' = (if f x'  $\neq$  x then orbit-terminates
f x (f x') else True)
  apply (simp only: orbit-terminates-def)
  apply (rule terminates-rec)
  done

```

```

constdefs
  fold-section-def: fold-section continue h f g z a == For continue h ( $\lambda$  z a. f (g
z) a) z a

```

```

lemma finite-Orbit:
  assumes terminates: orbit-terminates  $f$   $a$   $a$ 
  shows finite (Orbit  $f$   $a$ )
proof –
  from terminates show ?thesis
    apply (simp add: Section-is-Orbit'[symmetric])
    apply (rule finite-Section)
    apply (simp add: orbit-terminates-def)
    done
qed

lemma
  fold-section-simp:
    fold-section continue  $h$   $f$   $g$   $x$   $ac$  =
      (if continue  $x$ 
        then fold-section continue  $h$   $f$   $g$  ( $h$   $x$ ) ( $f$  ( $g$   $x$ )  $ac$ ) else  $ac$ )
    apply (subst fold-section-def)+
    apply (rule For-simp)
    done

```

The following locale is simply a rewriting, or an interpretation, of *ab-semigroup-mult*, and is only used to use  $f$  as a binary operation instead of  $op$  \*

```

locale ACf =
  fixes  $f :: 'a \Rightarrow 'a \Rightarrow 'a$  (infixl  $\cdot$  70)
  assumes commute:  $x \cdot y = y \cdot x$ 
    and assoc:  $(x \cdot y) \cdot z = x \cdot (y \cdot z)$ 
begin

  lemma left-commute:  $x \cdot (y \cdot z) = y \cdot (x \cdot z)$ 
proof –
    have  $x \cdot (y \cdot z) = (y \cdot z) \cdot x$  by (simp only: commute)
    also have  $\dots = y \cdot (z \cdot x)$  by (simp only: assoc)
    also have  $z \cdot x = x \cdot z$  by (simp only: commute)
    finally show ?thesis .
qed

lemmas AC = assoc commute left-commute

end

interpretation ACf  $\subseteq$  ab-semigroup-mult  $f$  (infixl  $\cdot$  70)
by unfold-locales (simp-all add: AC)

```

```

lemma (in ACf) fold-Section-eq:
  assumes terminates: terminates (continue,  $h$ ,  $z$ )
  shows fold  $f$   $g$   $a$  (Section continue  $h$   $z$ ) = fold-section continue  $h$   $f$   $g$   $z$   $a$ 
proof –
  let ?Ac =  $\lambda z a. f$  ( $g$   $z$ )  $a$ 

```

```

show ?thesis
proof (rule For-pinduct [where  $P = \lambda z a. \text{fold } f \ g \ a \ (\text{Section } \text{continue } h \ z) =$ 
fold-section continue h f g z a and  $Ac = ?Ac$ ])
  show terminates (continue, h, z) using terminates by simp
  fix i s
  assume termin: terminates (continue, h, i)
  and continue: continue i  $\implies$  fold op  $\cdot$  g (g i  $\cdot$  s) (Section continue h (h i))
  = fold-section continue h op  $\cdot$  g (h i) (g i  $\cdot$  s)
  show fold op  $\cdot$  g s (Section continue h i) = fold-section continue h op  $\cdot$  g i s
  proof (cases continue i)
  case False with Section-x-x [of continue i h] show ?thesis using fold-section-simp
  [of continue h f g i s] by auto
  next
  case True show ?thesis
  unfolding fold-section-simp [of continue h f g i s]
  using True apply simp
  unfolding Section-unfold [of continue i h]
  unfolding sym [OF continue]
  using finite-Section [OF terminates-imp [OF termin]] using True apply
simp
  using fold-commute [of (Section continue h (h i)) g i g s] using terminates-imp-notin-Section
  [OF termin]
  using fold-insert [of (Section continue h (h i)) i g s] by simp
  qed
qed
qed

```

**lemma** (in ACf) *fold-Orbit-eq*:

```

assumes terminates: orbit-terminates h z z
shows fold f g a (Orbit h z) = fold-section ( $\lambda y. y \neq z$ ) h f g (h z) (f (g z) a)
proof –
  note  $t = \text{terminates}[\text{simplified orbit-terminates-def}]$ 
  show ?thesis
  apply (subst fold-Section-eq[symmetric])
  prefer 2
  apply (subst Section-is-Orbit'[symmetric])
  apply (subst fold-commute[symmetric])
  prefer 2
  apply (subst fold-insert)
  prefer 3
  apply (simp-all add: t finite-Section)
  apply (rule terminates-imp-notin-Section)
  apply (subst terminates-rec)
  apply simp
  done
qed

```

**lemma** *While-postcondition*:

```

assumes terminates: terminates (continue, f, x)

```

```

shows  $\neg$  (continue (While continue f x))
apply (rule While-pinduct-weak[OF terminates])
apply (subst While-simp)
apply (case-tac continue s)
apply simp-all
done

end

```

```

theory BPL-classes-2008
  imports
    Basic-Perturbation-Lemma-local-nilpot
    While
begin

```

## 16 Additional type classes

In this section we introduce some additional type classes to those provided by the Isabelle standard distribution

For instance, we need a class *diff-group-add* that can be defined from the *ab-group-add* type class from the Isabelle library:

```

class diff-group-add = ab-group-add +
  fixes diff :: 'a => 'a (d - [81] 80)
  assumes diff-hom: d (x + y) = (d x) + (d y)
  and diff-nilpot: diff  $\circ$  diff = ( $\lambda x. 0$ )

```

```

lemma (in diff-group-add) [simp]: d (d x) = 0
  using diff-nilpot
  unfolding expand-fun-eq by simp

```

According to the previous syntax definitions, *diff-group-add-class.diff* is to be used with the parameter over which it is applied, and *diff-group-add-class.diff* remains to be used as a function

We can indeed prove instances of the specified type classes. An instance of a type class makes the type class sound.

```

instantiation int :: diff-group-add
begin

```

```

definition diff-int-def: diff  $\equiv$  ( $\lambda x. 0::int$ )

```

```

instance
proof
  show diff  $\circ$  diff = ( $\lambda x::int. 0$ )
    unfolding diff-int-def
    unfolding expand-fun-eq by simp

```

```

fix x y :: int
show d (x + y) = (d x) + (d y)
  unfolding diff-int-def by arith
qed

end

```

A limitation of type classes can be observed in the following definition; using the  $op +$  symbol for  $fun$  is not possible. In a type class definition, symbols only refer to the type class being defined.

The following type class definition is not valid; the  $+$  operation can only be used for the type class being defined

The following type class represents a differential group and a perturbation over it.

```

class diff-group-add-pert = diff-group-add +
  fixes pert :: 'a  $\Rightarrow$  'a ( $\delta$  - [81] 80)
  assumes pert-hom-ab:  $\delta$  (a + b) =  $\delta$  a +  $\delta$  b
  and pert-preserv-diff-group-add:
    diff-group-add (op -) ( $\lambda x. - x$ ) 0 (op +) ( $\lambda x. d x + \delta x$ )

```

```

instantiation int :: diff-group-add-pert
begin

```

```

definition pert-int-def: pert  $\equiv$  ( $\lambda x. 0 :: int$ )

```

```

instance proof

```

```

  fix a b :: int
  show  $\delta$  (a + b) =  $\delta$  a +  $\delta$  b
    unfolding pert-int-def by simp
next
  show diff-group-add op - uminus (0 :: int) op + ( $\lambda x. d x + \delta x$ )
    unfolding diff-group-add-def
    unfolding diff-group-add-axioms-def
  proof (intro conjI)
    show ab-group-add op - uminus (0 :: int) op +
      by intro-locales
  next
  show  $\forall x (y :: int). d (x + y) + \delta (x + y) = d x + \delta x + (d y + \delta y)$ 
  proof (rule allI)+
    fix a b :: int
    show  $d (a + b) + \delta (a + b) = d a + \delta a + (d b + \delta b)$ 
      unfolding diff-int-def
      unfolding pert-int-def by arith
    qed
  next
  show ( $\lambda x :: int. d x + \delta x$ )  $\circ$  ( $\lambda x. d x + \delta x$ ) = ( $\lambda x. 0$ )
    unfolding diff-int-def

```



```

      unfolding pert-int-def by (simp add: expand-fun-eq)
    qed
  qed

end

```

We now prove some facts about generic functions. With appropriate restrictions over the type classes over which they are defined, functions can be proved to be also instances of some type classes.

```

instantiation fun :: (ab-semigroup-add, ab-semigroup-add) ab-semigroup-add
begin

```

```

definition plus-fun-def:  $f + g == (\%x. f\ x + g\ x)$ 

```

```

instance proof
  fix x y z :: 'a => 'b
  show  $x + y + z = x + (y + z)$ 
    unfolding plus-fun-def by (auto simp add: add-assoc)
next
  fix x y :: 'a => 'b
  show  $x + y = y + x$ 
    unfolding plus-fun-def by (auto simp add: add-commute)
qed

end

```

```

instantiation fun :: (comm-monoid-add, comm-monoid-add) comm-monoid-add
begin

```

```

definition zero-fun-def:  $0 == (\lambda x. 0)$ 

```

```

instance proof
  fix a :: 'a => 'b
  show  $0 + a = a$ 
    unfolding zero-fun-def plus-fun-def by simp
qed

end

```

The Isabelle release 2008 already contains the definition of the difference of functions and also the unary minus

```

instantiation fun :: (ab-group-add, ab-group-add) ab-group-add
begin

```

```

instance proof
  fix a :: 'a => 'b
  show  $- a + a = 0$ 
    unfolding fun-Compl-def
    unfolding zero-fun-def

```

```

    unfolding plus-fun-def by simp
next
  fix a b :: 'a => 'b
  show a - b = a + - b
    unfolding plus-fun-def
    unfolding fun-diff-def
    unfolding fun-Compl-def by (simp add: expand-fun-eq)
qed

end

```

The following type class specifies a differential group with a perturbation and also a homotopy operator.

The previous fact about the *fun* datatype constructor allows us now to use *op* – to define  $\alpha$  in a more readable way

```

class diff-group-add-pert-hom = diff-group-add-pert +
  fixes hom-oper:: 'a => 'a (h - [81] 80)
  assumes h-hom-ab: h (a + b) = h a + h b
  and h-nilpot: ( $\lambda x. h x$ )  $\circ$  ( $\lambda x. h x$ ) = ( $\lambda x. 0$ )
begin

definition  $\alpha$  :: 'a => 'a
  where  $\alpha = (\lambda x. - (pert (hom-oper x)))$ 

end

instantiation int :: diff-group-add-pert-hom
begin

```

```

definition hom-oper-int-def: hom-oper  $\equiv$  ( $\lambda x. 0::int$ )

```

```

instance proof
  fix a b :: int
  show h (a + b) = h a + h b
    unfolding hom-oper-int-def by arith
next
  show ( $\lambda x. h x$ )  $\circ$  ( $\lambda x. h x$ ) = ( $\lambda x::int. 0$ )
    unfolding hom-oper-int-def
    unfolding expand-fun-eq by auto
qed

end

```

```

lemma [code]: shows  $\alpha = (- ((\lambda x. \delta x) \circ (\lambda x. h x)))$ 
  unfolding  $\alpha$ -def
  unfolding fun-Compl-def by simp

```

## 17 Local nilpotency

We add now the notion of *local-bounded-func* in a purely *existential* way; from the existential definition we will later define the function providing this local bound for every  $x$ .

The reason to introduce now this notion is that  $\alpha$  is the function verifying the local nilpotency condition

**context** *ab-group-add*  
**begin**

**definition** *local-bounded-func* :: ( $'a \Rightarrow 'a$ )  $\Rightarrow$  *bool*  
**where** *local-bounded-func*  $f = (\forall x. \exists n. (f^n) x = 0)$

Here is a relevant difference with the previous proof of the BPL; there, the local bound was defined as the Least natural number  $n$  satisfying the property  $(\alpha^n) x = (0 :: 'a)$ . Now, in our attempt to make this definition computable, or executable, we define it as an iterating structure (a *For* loop), where the boolean condition in the loop is expressed as  $\lambda y. y \neq (0 :: 'a)$

Later we will try to apply the code generator over these definitions

**definition** *local-bound-gen* :: ( $'a \Rightarrow 'a$ )  $\Rightarrow$   $'a \Rightarrow \text{nat} \Rightarrow \text{nat}$   
**where** *local-bound-gen*  $f x n == \text{For } (\lambda y. y \neq 0) f (\lambda y n. n + (1 :: \text{nat})) x n$

**definition** *local-bound* :: ( $'a \Rightarrow 'a$ )  $\Rightarrow$   $'a \Rightarrow \text{nat}$   
**where** *local-bound*  $f x = \text{local-bound-gen } f x 0$

**end**

We now define the simplification rule for *local-bound-gen*:

**lemmas** *local-bound-gen-simp* =  
*For-simp*[of  $(\lambda y. y \neq (0 :: 'a :: \text{ab-group-add})) - \lambda y n. n + (1 :: \text{nat})$ ,  
*simplified local-bound-gen-def*[*symmetric*]]

Two simple "calculations" with *local-bound*:

**lemma** *local-bound f 0 = 0*  
**unfolding** *local-bound-def*  
**unfolding** *local-bound-gen-simp* [of  $f 0 0$ ] **by** *simp*

**lemma**  $x \neq 0 \implies \text{local-bound } (\lambda x. 0) x = 1$   
**unfolding** *local-bound-def*  
**using** *local-bound-gen-simp* **by** *simp*

Now, we connect the necessary termination of *For* with our termination condition, *local-bounded-func*.

Then, under the *local-bounded-func* premise the loop will be terminating.

**lemma** *local-bounded-func-impl-terminates-loop*:  
 $local\text{-}bounded\text{-}func\ f = (\forall\ x.\ terminates\ (\lambda\ y.\ y \neq 0, f, x))$   
**unfolding** *local-bounded-func-def*  
**unfolding** *terminates-simp*  
**unfolding** *Orbit-def* **by** *simp*

**lemma** *LEAST-local-bound-0*:  
 $(LEAST\ n::nat.\ (f\ \wedge\ n)\ (0::'a::ab\text{-}group\text{-}add) = (0::'a)) = 0$   
**using** *Least-le* [*of*  $\lambda n.\ (f\ \wedge\ n)\ 0 = 0\ 0$ ] **by** *simp*

**lemma** *local-bound-gen-correct*:  
 $terminates\ (\lambda\ y.\ y \neq (0::'a::ab\text{-}group\text{-}add), f, x)$   
 $\implies local\text{-}bound\text{-}gen\ f\ x\ m = m + (LEAST\ n::nat.\ (f\ \wedge\ n)\ x = 0)$   
**apply** (*rule For-pinduct*[**where**  $i=x$  **and**  $s=m$  **and**  $Ac=\lambda\ y\ n.\ n+1$ ])  
**apply** *simp*  
**apply** (*subst local-bound-gen-simp*)  
**apply** (*case-tac*  $i = 0$ )  
**apply** (*simp add: LEAST-local-bound-0*)  
**apply** *simp*  
**apply** (*frule-tac*  $x=i$  **in** *terminates-implies*)  
**apply** (*frule-tac*  $i=i$  **in** *terminates-imp*)  
**apply** *simp*  
**apply** (*frule-tac*  $x=f\ i$  **in** *terminates-implies*)  
**apply** *auto*  
**apply** (*rule Least-Suc2*[*symmetric*])  
**apply** (*auto simp add: funpow-zip*)  
**done**

The following lemma exactly represents the difference between our old definitions, with which we proved the BPL, and the new ones, from which we are trying to generate code; under the termination premise, both  $LEAST\ n.\ (f\ \wedge\ n)\ x = (0::'b)$ , the old definition of local nilpotency, and  $local\text{-}bound\ f\ x$ , the loop computing the lower bound, are equivalent

Whereas  $LEAST\ n.\ (f\ \wedge\ n)\ x = (0::'b)$  does not have a computable interpretation,  $local\text{-}bound\ f\ x$  does have it, and code can be generated from it.

**lemma** *local-bound-correct*:  
 $terminates\ (\lambda\ y.\ y \neq (0::'a::ab\text{-}group\text{-}add), f, x)$   
 $\implies local\text{-}bound\ f\ x = (LEAST\ n::nat.\ (f\ \wedge\ n)\ x = 0)$   
**unfolding** *local-bound-def*  
**unfolding** *local-bound-gen-correct* [*of*  $f\ x\ 0$ ] **by** *arith*

**lemma** *local-bounded-func-impl-local-bound-is-Least*:  
**assumes**  $lbf\text{-}f\text{:}local\text{-}bounded\text{-}func\ f$   
**shows**  $local\text{-}bound\ f\ x = (LEAST\ n::nat.\ (f\ \wedge\ n)\ x = 0)$   
**using** *lbf-f*  
**unfolding** *local-bounded-func-impl-terminates-loop* [*OF* ]  
**using** *local-bound-correct* [*of*  $f\ x$ ] **..**

Both *local-bound* and *terminates* are executable.

This is another possible definition of our iterative process as a tail recursion, instead of using *While*, suggested by Alexander Krauss; code generation is also possible from this definition.

A good motivation to use the *While* operator instead of this one, is that some additional induction principles have been provided for the *For* and *While* operators.

```
function (tailrec) local-bound' :: ('a::zero  $\Rightarrow$  'a)  $\Rightarrow$  'a  $\Rightarrow$  nat  $\Rightarrow$  nat
  where
    local-bound' f x n
    = (if (f^n) x = 0 then n else local-bound' f x (Suc n))
  by pat-completeness auto
```

```
lemma [code func]:
  local-bound' f (x::'a::zero) n
  = (if (f^n) x = 0 then n else local-bound' f x (Suc n))
by simp
```

```
export-code local-bound'
in SML file local-bound.ML
```

```
lemma [code func]:
  While continue f s
  = (if continue s then While continue f (f s) else s)
unfolding While-simp [of continue f s] ..
```

```
export-code While
in SML file Loop.ML
```

```
export-code local-bound
in SML file local-bound2.ML
```

## 18 Finite sums

The following definition of *fin-sum* will replace the definitions for sums of series used in the formal proof of the BPL.

That definitions were based on the fold operator over sets, from which direct code generation cannot be obtained.

The finite sum of a series is defined as a primitive recursive function over the natural numbers.

This definition will have to be proved later equivalent, in our setting, to the sums appearing in the BPL proof.

```
primrec fin-sum :: (('a::ab-group-add)  $\Rightarrow$  'a)  $\Rightarrow$  nat  $\Rightarrow$  ('a  $\Rightarrow$  'a)
```

**where**

```
fin-sum f 0 = id
| fin-sum f (Suc n) = f^(Suc n) + (fin-sum f n)
```

The following definition of *diff-group-add-pert-hom-bound-exist* contains the local nilpotency condition. It is based on an existential statement.

For all  $x$  belonging to our differential group, we state the existence of a natural number  $n$  which is a bound for  $\alpha$

We then prove that it is equivalent to the previously given definition of *locale-bounded-func*.

Finally, we link this fact to the previous results about computation of the bounds as a *For* operator.

```
class diff-group-add-pert-hom-bound-exist =
  diff-group-add-pert-hom +
assumes local-nilp-cond:  $\forall x. \exists n::nat. (\alpha^n) x = 0$ 
```

**lemma** *diff-group-add-pert-hom-bound-exist-impl-local-bounded-func-alpha:*

```
assumes diff-group-add-pert-hom-bound-exist:
  diff-group-add-pert-hom-bound-exist
  op - ( $\lambda x. - x$ ) 0 op + ( $\lambda x. d x$ ) ( $\lambda x. \delta x$ ) ( $\lambda x. h x$ )
shows local-bounded-func ( $\alpha::'a::diff-group-add-pert-hom-bound-exist \Rightarrow 'a$ )
unfolding local-bounded-func-def
using local-nilp-cond .
```

**lemma** *diff-group-add-pert-hom-bound-exist-impl-local-bound-is-Least:*

```
assumes diff:
  diff-group-add-pert-hom-bound-exist
  op - ( $\lambda x. - x$ ) 0 op + ( $\lambda x. d x$ ) ( $\lambda x. \delta x$ ) ( $\lambda x. h x$ )
shows local-bound  $\alpha$  ( $x::'a::diff-group-add-pert-hom-bound-exist$ )
  = (LEAST  $n::nat. (\alpha^n) x = 0$ )
unfolding local-bounded-func-impl-local-bound-is-Least
[OF diff-group-add-pert-hom-bound-exist-impl-local-bounded-func-alpha
 [OF diff]] ..
```

Apparently,  $'a$  does not belong to the appropriate type class.

It does not seem either a good option to use long qualifiers with the locale name

Instead, we have to use the following explicit restriction of the type parameter

The following definitions will have to be later compared with the ones  $\Phi$ ,  
...

Additionally, code generation from them must be possible.

**definition**  $\Phi ::$

$(\text{'a}::\text{diff-group-add-pert-hom-bound-exist} \Rightarrow \text{'a})$   
**where**  $\Phi = (\lambda x. \text{fin-sum } \alpha (\text{local-bound } \alpha x) x)$

**definition**  $\beta :: (\text{'a}::\text{diff-group-add-pert-hom-bound-exist} \Rightarrow \text{'a})$

**where**  $\beta = (- ((\lambda x. h x) \circ (\lambda x. \delta x)))$

**definition**  $\Psi :: (\text{'a}::\text{diff-group-add-pert-hom-bound-exist} \Rightarrow \text{'a})$

**where**  $\Psi = (\lambda x. \text{fin-sum } \beta (\text{local-bound } \beta x) x)$

The following definitions are also to be compared with the ones appearing in the output of the *BPL*  $?D ?R ?h ?C ?f ?g ?\delta ?\text{bound-phi} \Rightarrow \text{reduction}$  (*lemma-2-2-15.D' ?D ?R ?\delta*) ( $\text{carrier} = \text{carrier } ?C$ ,  $\text{mult} = \text{op} \otimes_{?C}$ ,  $\text{one} = \mathbf{1}_{?C}$ ,  $\text{diff-group.diff} = \lambda x. \text{if } x \in \text{carrier } ?C \text{ then } \text{diff-group.diff } ?C x \otimes_{?C} (?f \circ ?\delta \circ \text{local-nilpotent-alpha}.\Psi ?D ?R ?h ?\delta \circ ?g) x \text{ else } \mathbf{1}_{?C}$ ) ( $?f \circ \text{local-nilpotent-alpha}.\Phi ?D ?R ?h ?\delta ?\text{bound-phi}$ ) ( $\text{local-nilpotent-alpha}.\Psi ?D ?R ?h ?\delta \circ ?g$ ) (*lemma-2-2-15.h' ?D ?R ?h ?\delta ?\text{bound-phi}*)

**definition**  $dC' :: (\text{'a}::\text{diff-group-add-pert-hom-bound-exist} \Rightarrow \text{'b}::\text{diff-group-add})$

$\Rightarrow (\text{'b} \Rightarrow \text{'a}) \Rightarrow (\text{'b} \Rightarrow \text{'b})$

**where**  $dC' f g = \text{diff} + (f \circ (\lambda x. \delta x) \circ \Psi \circ g)$

**definition**  $f' :: (\text{'a}::\text{diff-group-add-pert-hom-bound-exist} \Rightarrow \text{'b}::\text{diff-group-add})$

$\Rightarrow (\text{'a} \Rightarrow \text{'b})$

**where**  $f' f = f \circ \Phi$

**definition**  $g' :: (\text{'b}::\text{diff-group-add} \Rightarrow \text{'a}::\text{diff-group-add-pert-hom-bound-exist})$

$\Rightarrow (\text{'b} \Rightarrow \text{'a})$

**where**  $g'\text{-def}: g' g == \Psi \circ g$

**definition**  $h' :: (\text{'a}::\text{diff-group-add-pert-hom-bound-exist} \Rightarrow \text{'a})$

**where**  $h' == (\lambda x. h x) \circ \Phi$

**export-code**  $\Phi \Psi f' g' h' dC'$  in *SML file output-reduction.ML*

Some facts about the product of types:

**instantiation**  $* :: (\text{ab-semigroup-add}, \text{ab-semigroup-add}) \text{ab-semigroup-add}$

**begin**

**definition** *mult-plus-def*:

$x + y \equiv (\text{let } (x1, x2) = x; (y1, y2) = y \text{ in } (x1 + y1, x2 + y2))$

**instance proof**

**fix**  $a::\text{'a}::\text{ab-semigroup-add} \times \text{'b}::\text{ab-semigroup-add}$

**obtain**  $i j$  **where**  $a\text{-split}: a = (i, j)$  **by force**

**fix**  $b::\text{'a} \times \text{'b}$

**obtain**  $k l$  **where**  $b\text{-split}: b = (k, l)$  **by force**

**fix**  $c::\text{'a} \times \text{'b}$

**obtain**  $m n$  **where**  $c\text{-split}: c = (m, n)$  **by force**

```

show  $a + b + c = a + (b + c)$ 
  unfolding a-split b-split c-split
  unfolding mult-plus-def
  by (auto simp add: add-assoc)
show  $a + b = b + a$ 
  unfolding a-split b-split
  unfolding mult-plus-def
  by (auto simp add: add-commute)
qed

end

definition  $x5 :: (int \times int)$ 
  where  $x5 = ((3::int), (5::int)) + (5, 7)$ 

definition  $x6 :: (int \times int) \times (int \times int)$ 
  where  $x6 = (((3::int), (5::int)), ((3::int), (5::int))) + ((5, 7), (5, 7))$ 

export-code  $x5\ x6$ 
  in SML file x6.ML

instantiation  $*$  :: (comm-monoid-add, comm-monoid-add) comm-monoid-add
begin

definition mult-zero-def:  $0 \equiv (0, 0)$ 

instance by default (simp add: split-paired-all mult-plus-def mult-zero-def)

end

```

## 19 Equivalence of both approaches

### 19.1 Algebraic structures

In the following section we prove that the results already proved using the definitions provided by the Algebra Isabelle Library (leading to the *reduction*  $D' \langle \text{carrier} = \text{carrier } C, \text{mult} = \text{op} \otimes_C, \text{one} = \mathbf{1}_C, \text{diff-group.diff} = \lambda x. \text{if } x \in \text{carrier } C \text{ then } \text{diff-group.diff } C\ x \otimes_C (f \circ \delta \circ D\text{-}R\text{-}C\text{-}f\text{-}g\text{-}h\text{-}\delta\text{-}\alpha\text{-bound-phi}.\Psi \circ g)\ x \text{ else } \mathbf{1}_C \rangle (f \circ D\text{-}R\text{-}C\text{-}f\text{-}g\text{-}h\text{-}\delta\text{-}\alpha\text{-bound-phi}.\Phi) (D\text{-}R\text{-}C\text{-}f\text{-}g\text{-}h\text{-}\delta\text{-}\alpha\text{-bound-phi}.\Psi \circ g)\ D\text{-}R\text{-}h\text{-}C\text{-}f\text{-}g\text{-}\delta\text{-}\alpha\text{-bound-phi}.h' \rangle$  also hold for the new definitions, where algebraic structures are implemented by means of type classes.

This does not mean that the proof of the BPL can be developed only by using type classes; the degree of expressivity needed in its proofs should be quite hard to achieve using type classes; specially, the parts where restrictions of domains of functions have to be used.

We only pretend to prove that the new definitions, to some extent simplified



(see for instance the new proposed series in relation to the previous implementation of series), are equivalent to the old ones, and thus, also satisfy the BPL.

Functions (or functors) translating type classes into algebraic structures implemented as records are used; these translations are the natural ones

**definition** *monoid-functor* :: ('a => 'a => 'a) => ('a) => 'a monoid  
**where** *monoid-functor* A B == (| carrier = UNIV, mult = A, one = B|)

**lemma** *monoid-add-impl-monoid*:  
**assumes** *mon-add*: *monoid-add* zero' plus'  
**shows** *monoid* (| carrier = UNIV, mult = plus', one = zero'|)  
**using** *mon-add*  
**unfolding** *monoid-def*  
**unfolding** *monoid-add-def monoid-add-axioms-def*  
**unfolding** *ab-semigroup-add-def*  
**unfolding** *ab-semigroup-add-axioms-def*  
**unfolding** *semigroup-add-def* **by** *simp*

**lemma** *monoid-functor-preserv*:  
**assumes** *monoid-add*: *monoid-add* zero' plus'  
**shows** *monoid* (*monoid-functor* plus' zero')  
**using** *monoid-add-impl-monoid* [OF *monoid-add*]  
**unfolding** *monoid-functor-def* [of plus' zero'] .

**lemma** *comm-monoid-add-impl-monoid-add*:  
**assumes** *comm-monoid-add*: *comm-monoid-add* zero' plus'  
**shows** *monoid-add* zero' plus'  
**using** *comm-monoid-add*  
**unfolding** *comm-monoid-add-def*  
**unfolding** *monoid-add-def*  
**unfolding** *ab-semigroup-add-def*  
**unfolding** *comm-monoid-add-axioms-def*  
**unfolding** *monoid-add-axioms-def*  
**unfolding** *ab-semigroup-add-axioms-def* **by** *auto*

**lemma** *comm-monoid-add-impl-monoid*:  
**assumes** *c-m*: *comm-monoid-add* zero' plus'  
**shows** *monoid* (| carrier = UNIV, mult = plus', one = zero'|)  
**using** *monoid-add-impl-monoid* [OF *comm-monoid-add-impl-monoid-add*  
[OF *c-m*]] .

**lemma** *ab-group-add-impl-comm-monoid-add*:  
**assumes** *ab-gr-add*: *ab-group-add* uminus' minus' zero' plus'  
**shows** *comm-monoid-add* zero' plus'  
**using** *ab-gr-add*  
**unfolding** *ab-group-add-def* ..

**lemma** *ab-group-class-impl-group*:

```

assumes ab-gr-class: ab-group-add uminus' minus' zero' plus'
shows group ( $\langle$  carrier = UNIV, mult = plus', one = zero'  $\rangle$ )
proof (intro-locales)
  show monoid ( $\langle$  carrier = UNIV, mult = plus', one = zero'  $\rangle$ )
    using monoid-add-impl-monoid
    [OF comm-monoid-add-impl-monoid-add
     [OF ab-group-add-impl-comm-monoid-add
      [OF ab-gr-class]]] .
  show group-axioms ( $\langle$  carrier = UNIV, mult = plus', one = zero'  $\rangle$ )
    using ab-gr-class
    unfolding group-axioms-def
    unfolding Units-def
    unfolding ab-group-add-def
    unfolding comm-monoid-add-def
    unfolding ab-group-add-axioms-def
    unfolding ab-semigroup-add-def
    ab-semigroup-add-axioms-def by auto +
qed

```

```

lemma monoid-functor-preserv-group:
  assumes ab-gr: ab-group-add uminus' minus' zero' plus'
  shows group (monoid-functor plus' zero')
  using ab-group-class-impl-group [OF ab-gr]
  unfolding monoid-functor-def [of plus' zero'] .

```

```

lemma ab-group-add-impl-comm-group:
  assumes ab-gr-add: ab-group-add uminus' minus' zero' plus'
  shows comm-group ( $\langle$  carrier = UNIV, mult = plus', one = zero'  $\rangle$ )
proof (intro-locales)
  show monoid ( $\langle$  carrier = UNIV, mult = plus', one = zero'  $\rangle$ )
    using comm-monoid-add-impl-monoid
    [OF ab-group-add-impl-comm-monoid-add
     [OF ab-gr-add]] .
  show comm-monoid-axioms ( $\langle$  carrier = UNIV, mult = plus', one = zero'  $\rangle$ )
    using ab-gr-add
    unfolding comm-monoid-axioms-def
    unfolding ab-group-add-def
    unfolding comm-monoid-add-def
    unfolding ab-group-add-axioms-def
    unfolding ab-semigroup-add-def
    unfolding ab-semigroup-add-axioms-def by auto
  show group-axioms ( $\langle$  carrier = UNIV, mult = plus', one = zero'  $\rangle$ )
    using ab-gr-add
    unfolding group-axioms-def
    unfolding Units-def
    unfolding ab-group-add-def
    unfolding comm-monoid-add-def
    unfolding ab-group-add-axioms-def
    unfolding ab-semigroup-add-def

```

```

    unfolding ab-semigroup-add-axioms-def by auto+
qed

lemma monoid-functor-preserv-ab-group:
  assumes ab-gr-add: ab-group-add uminus' minus' zero' plus'
  shows comm-group (monoid-functor plus' zero')
  using ab-group-add-impl-comm-group [OF ab-gr-add]
  unfolding monoid-functor-def [of plus' zero'] .

lemma diff-group-add-impl-comm-group:
  assumes diff-gr-add: diff-group-add uminus' minus' zero' plus' diff'
  shows comm-group (|carrier = UNIV, mult = plus', one = zero'|)
proof (rule ab-group-add-impl-comm-group)
  show ab-group-add uminus' minus' zero' plus'
    using diff-gr-add
    unfolding diff-group-add-def by simp
qed

lemma diff-group-add-impl-diff-group:
  assumes diff-gr-add: diff-group-add uminus' minus' zero' prod' diff'
  shows diff-group (|carrier = UNIV, mult = prod', one = zero', diff-group.diff
= diff'|)
proof (intro-locale)
  from diff-group-add-impl-comm-group [OF diff-gr-add]
  have comm-gr: comm-group (|carrier = UNIV, mult = prod', one = zero'|)
    by simp
  show monoid (|carrier = UNIV, mult = prod', one = zero', diff-group.diff =
diff'|)
    using comm-gr
    unfolding comm-group-def
    unfolding comm-monoid-def
    unfolding monoid-def by simp
  show comm-monoid-axioms (|carrier = UNIV, mult = prod', one = zero',
diff-group.diff = diff'|)
    using comm-gr
    unfolding comm-group-def
    unfolding comm-monoid-def
    unfolding comm-monoid-axioms-def by simp
  show group-axioms (|carrier = UNIV, mult = prod', one = zero', diff-group.diff
= diff'|)
    using comm-gr
    unfolding comm-group-def
    unfolding group-def
    unfolding group-axioms-def
    unfolding Units-def by simp
  show diff-group-axioms (|carrier = UNIV, mult = prod', one = zero', diff-group.diff
= diff'|)
    using diff-gr-add
    unfolding diff-group-add-def

```

```

unfolding diff-group-add-axioms-def
unfolding diff-group-axioms-def
unfolding hom-completion-def
unfolding hom-def
unfolding completion-fun2-def
unfolding completion-def by auto
qed

definition diff-group-functor ::
  ('a => 'a) => ('a => 'a => 'a) => ('a)
=> ('a => 'a => 'a) => ('a => 'a) => 'a diff-group
where diff-group-functor uminus' minus' zero' prod' diff' =
  (| carrier = UNIV, mult = prod', one = zero', diff-group.diff = diff'|)

```

```

lemma diff-group-functor-preserves:
assumes diff-gr-add: diff-group-add minus' uminus' zero' prod' diff'
shows diff-group (diff-group-functor uminus' minus' zero' prod' diff')
using diff-group-add-impl-diff-group [OF diff-gr-add]
unfolding diff-group-functor-def [of uminus' minus' zero' prod' diff'] .

```

After the previous equivalences between algebraic structures, now we prove the equivalence between the old definitions about homomorphisms and the new ones:

## 19.2 Homomorphisms and endomorphisms.

```

definition homo-ab :: ('a::comm-monoid-add => 'b::comm-monoid-add) => bool

```

```

where homo-ab f = (ALL a b. f (a + b) = f a + f b)

```

```

lemma homo-ab-apply:
assumes h-f: homo-ab f
shows f (a + b) = f a + f b
using homo-ab-def [of f] h-f by simp

```

```

lemma homo-ab-preserves-hom-completion:
assumes homo-ab-f: homo-ab f
shows f ∈ hom-completion (monoid-functor (op +) 0) (monoid-functor (op +)
0)
using homo-ab-f
unfolding hom-completion-def
unfolding homo-ab-def
unfolding monoid-functor-def
unfolding completion-fun2-def
unfolding completion-def
unfolding hom-def by auto

```

```

lemma plus-fun-apply:
(f + g) (x::'a::ab-semigroup-add) = f x + g x

```

```

using plus-fun-def [of f g] by simp

lemma homo-ab-plus-closed:
  assumes comm-monoid-add-A: comm-monoid-add (0::'a::comm-monoid-add) op +
+
  and comm-monoid-add-B: comm-monoid-add (0::'b::comm-monoid-add) op +
  and x: homo-ab (x::'a::comm-monoid-add => 'b::comm-monoid-add)
  and y: homo-ab y
  shows homo-ab (x + y)
proof (unfold homo-ab-def, rule allI, rule allI)
  have ab-semigroup-add-plus: ab-semigroup-add (op +::'b => 'b => 'b)
    using comm-monoid-add-B
    unfolding comm-monoid-add-def ..
  fix a b :: 'a
  show (x + y) (a + b) = (x + y) a + (x + y) b
proof -
  have (x + y) (a + b) = x (a + b) + y (a + b)
    using plus-fun-apply [of x y a + b] .
  also have ... = x a + x b + (y a + y b)
    unfolding homo-ab-apply [OF x]
    unfolding homo-ab-apply [OF y] ..
  also have ... = x a + (x b + y a + y b)
    by (auto simp add: add-assoc)
  also have ... = x a + (y a + x b + y b)
    by (auto simp add: add-commute add-assoc)
  also have ... = x a + y a + (x b + y b)
    by (auto simp add: add-assoc)
  also have ... = (x + y) a + (x + y) b
    unfolding sym [OF plus-fun-apply [of x y a]]
    unfolding sym [OF plus-fun-apply [of x y b]] ..
  finally show ?thesis .
qed
qed

lemma end-comm-monoid-add-closed:
  assumes comm-monoid-add: comm-monoid-add (0::'a::comm-monoid-add) op +
  and x: homo-ab (x::'a::comm-monoid-add => 'a)
  and y: homo-ab y
  shows homo-ab (x + y)
  using homo-ab-plus-closed [OF comm-monoid-add comm-monoid-add x y] .

lemma comm-monoid-add-impl-homo-abelian-monoid:
  assumes comm-monoid-add: comm-monoid-add (0::'a::comm-monoid-add) op +
+
  shows abelian-monoid (carrier = {f::'a::comm-monoid-add => 'a. homo-ab f},

  mult = op ∘,
  one = id,
  zero = 0,

```

```

    add = op +|)
proof (intro abelian-monoidI, auto)
  fix x y :: 'a => 'a
  assume x: homo-ab x and y: homo-ab y
  show homo-ab (x + y)
    using end-comm-monoid-add-closed [OF comm-monoid-add x y] .
next
  show homo-ab (0::'a => 'a)
    unfolding zero-fun-def homo-ab-def by simp
next
  fix x y z :: 'a => 'a
  assume x: homo-ab x and y: homo-ab y and z: homo-ab z
  show x + y + z = x + (y + z)
    unfolding plus-fun-def by (simp only: add-assoc)
next
  fix x y :: 'a => 'a
  assume x: homo-ab x and y: homo-ab y
  show x + y = y + x unfolding plus-fun-def expand-fun-eq by (simp add:
add-ac)
qed

lemma ab-group-add-impl-uminus-fun-closed:
  assumes ab-group-add: ab-group-add op - (λx. - x) (0::'a::ab-group-add) op +

  and f: homo-ab (f::'a::ab-group-add => 'a)
  shows homo-ab (- f)
proof (unfold fun-Compl-def homo-ab-def, rule allI, rule allI)
  fix a b :: 'a
  show - f (a + b) = - f a + - f b
  proof -
  have l-h-s: f (a + b) + - f (a + b) = 0
    using sym [OF add-commute [of - f (a + b) f (a + b)]]
    unfolding ab-left-minus [of f (a + b)] .
  have f (a + b) + (- f a + - f b) = - f a + - f b + f (a + b)
    using sym [OF add-commute [of - f a + - f b f (a + b)]] .
  also have - f a + - f b + f (a + b) = - f a + - f b + (f a + f b)
    unfolding homo-ab-apply [OF f] ..
  also have ... = ((- f a + - f b) + f a) + f b
    unfolding sym [OF add-assoc [of - f a + - f b f a f b]] ..
  also have ... = (- f a + (- f b + f a)) + f b
    unfolding add-assoc [of - f a - f b f a] ..
  also have ... = (- f a + (f a + - f b)) + f b
    unfolding add-commute [of - f b f a] ..
  also have ... = ((- f a + f a) + - f b) + f b
    unfolding sym [OF add-assoc [of - f a f a - f b]] ..
  also have ... = 0 + - f b + f b
    unfolding ab-left-minus [of f a] ..
  also have ... = 0 + (- f b + f b)
    unfolding add-assoc [of 0 - f b f b] ..

```

```

also have ... = 0 + 0 unfolding ab-left-minus [of f b] ..
also have ... = 0 by simp
finally have r-h-s:  $f(a + b) + (-f a + -f b) = 0$  .
with l-h-s have  $f(a + b) + -f(a + b) = f(a + b) + (-f a + -f b)$  by
simp
then have  $-(f :: 'a \Rightarrow 'a) ((a :: 'a) + (b :: 'a)) + (f(a + b) + -f(a + b))$ 
  =  $-f(a + b) + (f(a + b) + (-f a + -f b))$  by simp
with sym [OF add-assoc [of - f(a + b) f(a + b) - f(a + b)]]
  sym [OF add-assoc [of - f(a + b) f(a + b) - f a + -f b]]
have  $-(f :: 'a \Rightarrow 'a) ((a :: 'a) + (b :: 'a)) + f(a + b) + -f(a + b)$ 
  =  $(-f(a + b) + f(a + b)) + (-f a + -f b)$  by simp
with ab-left-minus [of f(a + b)]
have  $0 + -f(a + b) = 0 + (-f a + -f b)$  by simp
with left-minus [of - f(a + b)] left-minus [of (-f a + -f b)]
show ?thesis by simp
qed
qed

```

```

lemma ab-group-add-impl-homo-abelian-group-axioms:
assumes ab-group-add: ab-group-add op - ( $\lambda x. - x$ ) ( $0 :: 'a :: \text{ab-group-add}$ ) op +
shows abelian-group-axioms ( $\lambda \text{carrier} = \{f :: 'a :: \text{ab-group-add} \Rightarrow 'a. \text{homo-ab } f\}$ ,

```

```

  mult = op  $\circ$ ,
  one = id,
  zero = 0,
  add = op +)

```

```

proof (unfold abelian-group-axioms-def, simp)
show comm-group ( $\lambda \text{carrier} = \text{Collect homo-ab, mult} = \text{op} +, \text{one} = (0 :: 'a \Rightarrow 'a)$ )
proof (intro-locale)
show monoid ( $\lambda \text{carrier} = \text{Collect homo-ab, mult} = \text{op} +, \text{one} = (0 :: 'a \Rightarrow 'a)$ )
proof (intro monoidI, simp-all)
  fix x y :: 'a  $\Rightarrow$  'a
  assume x: homo-ab x and y: homo-ab y
  show homo-ab (x + y)
    using ab-group-add end-comm-monoid-add-closed [OF - x y]
    unfolding ab-group-add-def by simp
next
  show homo-ab ( $0 :: 'a \Rightarrow 'a$ )
    unfolding zero-fun-def homo-ab-def by simp
qed
next
show comm-monoid-axioms ( $\lambda \text{carrier} = \text{Collect homo-ab,}$ 
  monoid.mult = op +,
  one = ( $0 :: 'a \Rightarrow 'a$ ))
  unfolding comm-monoid-axioms-def by auto
next
show group-axioms ( $\lambda \text{carrier} = \text{Collect homo-ab,}$ 
  monoid.mult = op +,

```

```

    one = (0::'a => 'a))
  proof (unfold group-axioms-def Units-def, auto)
    fix x :: 'a => 'a
    assume x: homo-ab x
    show  $\exists y::'a \Rightarrow 'a. \text{homo-ab } y \wedge y + x = 0 \wedge x + y = 0$ 
    proof (rule exI [of - x], intro conjI)
      show homo-ab (- x)
        using ab-group-add-impl-uminus-fun-closed [OF ab-group-add x] .
      show  $(- x) + x = 0$  by simp
      show  $x + (- x) = 0$  by simp
    qed
  qed
qed
qed

```

The previous lemma,  $\text{ab-group-add } op - \text{uminus } (0::?'a) \text{ } op + \implies \text{abelian-group-axioms } (\text{carrier} = \{f. \text{homo-ab } f\}, \text{mult} = op \circ, \text{one} = id, \text{zero} = 0, \text{add} = op +)$ , proves the elements of *homo-ab* to be an abelian monoid under suitable operations.

In order to show that composition gives place to a monoid, the underlying structure needs not to be even a monoid

```

lemma homo-monoid:
  shows monoid ( $\text{carrier} = \{f. \text{homo-ab } f\}$ ,
    monoid.mult = op  $\circ$ ,
    one = id,
    zero = 0,
    add = op +)
  (is monoid ?HOMO-AB)
proof (intro monoidI, auto)
  fix x y :: 'a => 'a
  assume x: homo-ab x and y: homo-ab y
  then show homo-ab (x  $\circ$  y) unfolding homo-ab-def by simp
next
  show homo-ab id unfolding homo-ab-def by simp
next
  fix x y z :: 'a => 'a
  assume x: homo-ab x and y: homo-ab y and z: homo-ab z
  show  $x \circ y \circ z = x \circ (y \circ z)$  by (simp add: expand-fun-eq)
qed

```

A couple of lemmas completing the proof of the set *homo-ab* being a ring, with suitable operations

```

lemma ab-group-add-impl-homo-ring-axioms:
  assumes ab-group-add: ab-group-add op -  $(\lambda x. - x) (0::'a::\text{ab-group-add}) \text{ } op +$ 
  shows ring-axioms ( $\text{carrier} = \{f. \text{homo-ab } f\}$ ,
    mult = op  $\circ$ ,
    one = id,
    zero =  $(0::'a::\text{ab-group-add} \Rightarrow 'a)$ ,

```



```

    add = op +|)
proof (intro ring-axioms.intro, auto)
  fix x y z :: 'a => 'a
  assume x: homo-ab x and y: homo-ab y and z: homo-ab z
  show (x::'a => 'a) + y ∘ z = (x ∘ z) + (y ∘ z)
    unfolding plus-fun-def
    unfolding expand-fun-eq by auto
  show (z::'a => 'a) ∘ x + y = (z ∘ x) + (z ∘ y)
    using z
    unfolding expand-fun-eq plus-fun-def homo-ab-def by auto
qed

lemma ab-group-add-impl-homo-ring:
  assumes ab-group-add: ab-group-add op - (λx. - x) (0::'a::ab-group-add) op +
  shows ring (|carrier = {f::'a::ab-group-add => 'a. homo-ab f},
    mult = op ∘,
    one = id,
    zero = (0::'a => 'a),
    add = op +|)
  using ab-group-add
  using comm-monoid-add-impl-homo-abelian-monoid
  using ab-group-add-impl-homo-abelian-group-axioms
  using homo-monoid
  using ab-group-add-impl-homo-ring-axioms by (unfold ab-group-add-def, intro-locales,
    auto)

```

The following definition includes the notion of differential homomorphism, a homomorphism that additionally commutes with the corresponding differentials.

```

definition homo-diff :: ('a::diff-group-add => 'b::diff-group-add) => bool
  where homo-diff f = ((ALL a b. f (a + b) = f a + f b) ∧ f ∘ diff = diff ∘ f)

```

```

lemma homo-diff-preserves-hom-diff:
  assumes homo-diff-f: homo-diff f
  shows f ∈ hom-diff (diff-group-functor (λx. - x) op - 0 (op +) diff)
    (diff-group-functor (λx. - x) op - 0 (op +) diff)
  using homo-diff-f
  unfolding hom-diff-def
  unfolding hom-completion-def
  unfolding homo-diff-def
  unfolding diff-group-functor-def
  unfolding completion-fun2-def
  unfolding completion-def
  unfolding hom-def
  by auto

```

### 19.3 Definition of constants.

The following definition of reduction is to be understood as follows: a pair of homomorphisms  $(f, g)$  will be a reduction iff: the underlying algebraic structures (given as classes) are, respectively, a differential group class with a perturbation and a homology operator (i.e, class *diff-group-add-pert-hom-bound-exists*) satisfying the local nilpotency condition, and a differential group class (*diff-group-add*), and the homomorphisms  $f, g$  and  $h$  satisfy the properties required by the usual reduction definition.

In the definition it can be noted the convenience of using overloading symbols provided by the class mechanism.

**definition**

```

reduction-class-ext ::
('a::diff-group-add-pert-hom-bound-exist => 'b::diff-group-add)
=> ('b => 'a) => bool
where reduction-class-ext f g =
  ((diff-group-add-pert-hom-bound-exist op - (λx::'a. - x) 0 (op +) diff pert
hom-oper)
  ∧ (diff-group-add op - (λx::'b. - x) 0 (op +) diff)
  ∧ (homo-diff f) ∧ (homo-diff g)
  ∧ (f ∘ g = id)
  ∧ ((g ∘ f) + (diff ∘ hom-oper) + (hom-oper ∘ diff) = id)
  ∧ (f ∘ hom-oper = (0::'a => 'b))
  ∧ (hom-oper ∘ g = (0::'b => 'a)))

```

The previous definition contains all the ingredients required to apply the old proof of the BPL.

**lemma** *reduction-class-ext-impl-diff-group-add-pert-hom-bound-exist:*

```

assumes r-c-e: reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
=> 'b::diff-group-add) g
shows (diff-group-add-pert-hom-bound-exist op -
(λx::'a::diff-group-add-pert-hom-bound-exist. - x) 0 (op +) diff pert hom-oper)
using r-c-e unfolding reduction-class-ext-def ..

```

**lemma** *reduction-class-ext-impl-diff-group-add:*

```

assumes r-c-e: reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
=> 'b::diff-group-add) g
shows (diff-group-add op - (λx::'b::diff-group-add. - x) 0 (op +) diff)
using r-c-e unfolding reduction-class-ext-def by fast

```

**lemma** *reduction-class-ext-impl-homo-diff-f:*

```

assumes r-c-e: reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
=> 'b::diff-group-add) g
shows homo-diff f
using r-c-e unfolding reduction-class-ext-def by fast

```

**lemma** *reduction-class-ext-impl-homo-diff-g:*

```

assumes r-c-e: reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
=> 'b::diff-group-add) g
shows homo-diff g
using r-c-e unfolding reduction-class-ext-def by fast

```

```

lemma reduction-class-ext-impl-fg-id:
assumes r-c-e: reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
=> 'b::diff-group-add) g
shows f ∘ g = id
using r-c-e unfolding reduction-class-ext-def by fast

```

```

lemma reduction-class-ext-impl-gf-dh-hd-id:
assumes r-c-e: reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
=> 'b::diff-group-add) g
shows (g ∘ f) + (diff ∘ hom-oper) + (hom-oper ∘ diff) = id
using r-c-e unfolding reduction-class-ext-def by fast

```

```

lemma reduction-class-ext-impl-fh-0:
assumes r-c-e: reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
=> 'b::diff-group-add) g
shows f ∘ hom-oper = 0
using r-c-e unfolding reduction-class-ext-def by fast

```

```

lemma reduction-class-ext-impl-hg-0:
assumes r-c-e: reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
=> 'b::diff-group-add) g
shows hom-oper ∘ g = 0
using r-c-e unfolding reduction-class-ext-def by fast

```

The following lemma will be useful later, when we verify the premises of the BPL

```

lemma hdh-eq-h:
assumes r-c-e: reduction-class-ext f (g::'b::diff-group-add
=> 'a::diff-group-add-pert-hom-bound-exist)
shows (hom-oper::'a::diff-group-add-pert-hom-bound-exist => 'a)
  ∘ diff ∘ hom-oper = hom-oper
proof –
  have hom-oper = hom-oper ∘ id by simp
  also have ... = hom-oper ∘ ((g ∘ f) + (diff ∘ hom-oper) + (hom-oper ∘ diff))
    using r-c-e
    unfolding reduction-class-ext-def by simp
  also have ... = hom-oper ∘ (diff ∘ hom-oper)
    using reduction-class-ext-impl-diff-group-add-pert-hom-bound-exist
    [OF r-c-e]
    using reduction-class-ext-impl-hg-0 [OF r-c-e]
    unfolding diff-group-add-pert-hom-bound-exist-def
    unfolding diff-group-add-pert-hom-def
    unfolding diff-group-add-pert-hom-axioms-def
    unfolding expand-fun-eq plus-fun-def zero-fun-def by auto

```

**finally show** *?thesis* **by** (*simp add: o-assoc*)  
**qed**

**lemma** *diff-group-add-pert-hom-bound-exist-impl-diff-group-add*:  
**assumes** *d-g*: (*diff-group-add-pert-hom-bound-exist op -*  
( $\lambda x::'a::\text{diff-group-add-pert-hom-bound-exist.} - x$ ) *0 (op +) diff pert hom-oper*)  
**shows** *diff-group-add op -*  
( $\lambda x::'a::\text{diff-group-add-pert-hom-bound-exist.} - x$ ) *0 (op +) diff*  
**using** *d-g*  
**unfolding** *diff-group-add-pert-hom-bound-exist-def*  
**unfolding** *diff-group-add-pert-hom-def*  
**unfolding** *diff-group-add-pert-def* **by** *fast*

The new definition of *reduction-class-ext* preserves the previous definition of reduction in the old approach, *reduction*

**lemma** *reduction-class-ext-preserves-reduction*:  
**assumes** *r-c-e*: *reduction-class-ext f g*  
**shows** *reduction (diff-group-functor ( $\lambda x::'a::\text{diff-group-add-pert-hom-bound-exist.}$   
 $- x$ )  
(*op -*) *0 (op +) diff*)  
(*diff-group-functor ( $\lambda x::'b::\text{diff-group-add.} - x$ ) (op -) 0 (op +) diff*)  
*f g hom-oper*  
(is *reduction ?D ?C f g hom-oper*)*  
**proof** (*unfold reduction-def reduction-axioms-def, auto*)  
**have** *dga*: *diff-group-add-pert-hom-bound-exist op - uminus (0::'a) op + diff pert*  
*hom-oper*  
**using** *reduction-class-ext-impl-diff-group-add-pert-hom-bound-exist [OF r-c-e]* .  
**show** *diff-group ?D*  
**using** *diff-group-functor-preserves [of op - uminus (0::'a) op + diff]*  
*diff-group-add-pert-hom-bound-exist-impl-diff-group-add [OF dga]* **by** *simp*  
**next**  
**show** *diff-group ?C*  
**using** *r-c-e diff-group-functor-preserves [of op - uminus (0::'b) op + diff]*  
**unfolding** *reduction-class-ext-def [of f g]* **by** *simp*  
**next**  
**show** *f ∈ hom-diff ?D ?C*  
**using** *r-c-e homo-diff-preserves-hom-diff [of f]*  
**unfolding** *reduction-class-ext-def [of f g]* **by** *simp*  
**next**  
**show** *g ∈ hom-diff ?C ?D*  
**using** *r-c-e homo-diff-preserves-hom-diff [of g]*  
**unfolding** *reduction-class-ext-def [of f g]* **by** *simp*  
**next**  
**show** *hom-oper ∈ hom-completion ?D ?D*  
**using** *diff-group-add-pert-hom.h-hom-ab*  
*[of op - uminus (0::'a) op + diff pert hom-oper]*  
**using** *homo-ab-preserves-hom-completion [of ( $\lambda x::'a. h x$ )]*  
**using** *r-c-e*  
**unfolding** *hom-completion-def*

```

    unfolding hom-def
    unfolding completion-fun2-def
    unfolding completion-def
    unfolding reduction-class-ext-def
    unfolding diff-group-functor-def
    unfolding diff-group-add-pert-hom-bound-exist-def by auto
next
show  $(\lambda x. h\ x) \circ (\lambda x. h\ x) = (\lambda x::'a. \text{monoid.one } ?D)$ 
  using diff-group-add-pert-hom.h-nilpot
  [of  $op - \text{uminus } (0::'a) \text{ op} + \text{diff pert hom-oper}$ ]
  using r-c-e
  unfolding reduction-class-ext-def
  unfolding diff-group-add-pert-hom-bound-exist-def
  unfolding zero-fun-def
  unfolding reduction-class-ext-def
  unfolding diff-group-functor-def by simp
next
show  $f \circ g = (\lambda x::'b. \text{if } x \in \text{carrier } ?C \text{ then id } x \text{ else monoid.one } ?C)$ 
  using r-c-e
  unfolding reduction-class-ext-def
  unfolding diff-group-functor-def expand-fun-eq by simp
next
show  $f \circ (\lambda x. h\ x) = (\lambda x::'a. \text{monoid.one } ?C)$ 
  using r-c-e
  unfolding reduction-class-ext-def diff-group-functor-def zero-fun-def
  by simp
next
show  $(\lambda x. h\ x) \circ g = (\lambda x::'b. \text{monoid.one } ?D)$ 
  using r-c-e
  unfolding reduction-class-ext-def diff-group-functor-def zero-fun-def
  by simp
next
show  $(\lambda x::'a. \text{if } x \in \text{carrier } ?D \text{ then monoid.mult } ?D ((g \circ f)\ x)$ 
   $(\text{if } x \in \text{carrier } ?D \text{ then monoid.mult } ?D ((\text{diff-group.diff } ?D \circ \text{hom-oper})\ x)$ 
   $((\text{hom-oper} \circ \text{diff-group.diff } ?D)\ x) \text{ else monoid.one } ?D)$ 
   $\text{else monoid.one } ?D) =$ 
   $(\lambda x::'a. \text{if } x \in \text{carrier } ?D \text{ then id } x \text{ else monoid.one } ?D)$ 
  using r-c-e
  unfolding reduction-class-ext-def
  unfolding diff-group-functor-def
  unfolding plus-fun-def
  by (auto simp add: expand-fun-eq add-assoc)
qed

```

The new definition of perturbation, included in the definition of *diff-group-add-pert*, also preserves the old definition of perturbation, *analytic-part-local.pert*

**lemma** *diff-group-add-pert-hom-bound-exist-preserves-pert:*  
**assumes** *diff-group-add-pert-hom-bound-exist:*  
*diff-group-add-pert-hom-bound-exist* ( $op -$ )

```

( $\lambda x::'a::\text{diff-group-add-pert-hom-bound-exist.} - x$ ) 0 ( $op +$ )  $\text{diff pert hom-oper}$ 
shows  $\text{pert} \in \text{analytic-part-local.pert}$ 
( $\text{diff-group-functor } (\lambda x::'a::\text{diff-group-add-pert-hom-bound-exist.} - x) (op -)$ ) 0
( $op +$ )  $\text{diff}$ )
(is  $\text{pert} \in \text{analytic-part-local.pert ?D}$ )
proof ( $\text{unfold pert-def, auto}$ )
  show  $\text{pert} \in \text{hom-completion ?D ?D}$ 
    using  $\text{diff-group-add-pert-hom-bound-exist}$ 
    unfolding  $\text{diff-group-add-pert-hom-bound-exist-def}$ 
    unfolding  $\text{diff-group-add-pert-hom-def}$ 
    unfolding  $\text{diff-group-add-pert-def}$ 
    unfolding  $\text{diff-group-add-pert-axioms-def}$ 
    unfolding  $\text{diff-group-functor-def}$ 
    unfolding  $\text{monoid-functor-def}$ 
    unfolding  $\text{hom-completion-def}$ 
    unfolding  $\text{completion-fun2-def completion-def hom-def}$  by  $\text{simp}$ 
  next
    have  $\text{diff-group-add: diff-group-add } (op -) \text{uminus } (0::'a) \text{ op} + \text{diff}$ 
      using  $\text{diff-group-add-pert-hom-bound-exist-impl-diff-group-add}$ 
      [ $OF \text{ diff-group-add-pert-hom-bound-exist}$ ] .
    show  $\text{diff-group } (\text{carrier} = \text{carrier ?D}, \text{mult} = \text{mult ?D}, \text{one} = \text{one ?D},$ 
       $\text{diff-group.diff} = \lambda x::'a. \text{ if } x \in \text{carrier ?D}$ 
       $\text{ then mult ?D } (\text{diff-group.diff ?D } x) (\delta x) \text{ else one ?D})$ 
    using  $\text{diff-group-add-pert-hom-bound-exist}$ 
    using  $\text{diff-group-functor-preserves } [of \text{ op} - \text{uminus } (0::'a) \text{ op} + \text{diff} + \text{pert}]$ 
    unfolding  $\text{plus-fun-def}$ 
    unfolding  $\text{diff-group-add-pert-hom-bound-exist-def}$ 
    unfolding  $\text{diff-group-add-pert-hom-def}$ 
    unfolding  $\text{diff-group-add-pert-def}$ 
    unfolding  $\text{diff-group-add-pert-axioms-def diff-group-functor-def}$  by  $\text{auto}$ 
  qed

```

From the premises stated in *diff-group-add-pert-hom-bound-exist*,  $\alpha$  is nilpotent

**lemma**  $\alpha$ -locally-nilpotent:

```

assumes  $\text{diff-group-add-pert-hom-bound-exist:}$ 
 $\text{diff-group-add-pert-hom-bound-exist } (op -)$ 
( $\lambda x::'a::\text{diff-group-add-pert-hom-bound-exist.} - x$ ) 0 ( $op +$ )  $\text{diff pert hom-oper}$ 
shows  $(\alpha \wedge (\text{local-bound } \alpha x)) (x::'a::\text{diff-group-add-pert-hom-bound-exist}) = 0$ 
unfolding  $\text{diff-group-add-pert-hom-bound-exist-impl-local-bound-is-Least}$ 
[ $OF \text{ diff-group-add-pert-hom-bound-exist, of } x$ ]
proof ( $\text{rule LeastI-ex}$ )
  show  $\exists k. (\alpha \wedge k) x = (0::'a)$ 
  proof -
    obtain  $n$  where  $n\text{-bound: } (\alpha \wedge n) (x::'a::\text{diff-group-add-pert-hom-bound-exist})$ 
     $= 0$ 
    using  $\text{diff-group-add-pert-hom-bound-exist-impl-local-bounded-func-alpha}$ 
    [ $OF \text{ diff-group-add-pert-hom-bound-exist}$ ]
    unfolding  $\text{local-bounded-func-def}$  by  $\text{auto}$ 

```

then show ?thesis using exI by auto  
 qed  
 qed

The algebraic structure given by the endomorphisms of a *diff-group-add* with suitable operations is a ring

**lemma** (in *group-add*) **shows**  $op - = (\lambda x y. x + (- y))$   
**unfolding** *expand-fun-eq* **unfolding** *diff-minus* **by** *simp*

**lemma** (in *diff-group-add*) *hom-completion-ring*:  
**shows** *ring* ( $\downarrow carrier = hom-completion$   
 $(diff-group-functor (\lambda x::'a. - x) (op -) 0 (op +) diff)$   
 $(diff-group-functor (\lambda x::'a. - x) (op -) 0 (op +) diff),$   
 $mult = op \circ,$   
 $one = \lambda x::'a. if\ x \in carrier\ (diff-group-functor (\lambda x::'a. - x) (op -) 0 (op +) diff)$   
 $diff)$  then  $id\ x$   
 $else\ one\ (diff-group-functor (\lambda x::'a. - x) (op -) 0 (op +) diff),$   
 $zero = \lambda x::'a. if\ x \in carrier\ (diff-group-functor (\lambda x::'a. - x) (op -) 0 (op +) diff)$   
 $diff)$   
 $then\ one\ (diff-group-functor (\lambda x::'a. - x) (op -) 0 (op +) diff)$   
 $else\ one\ (diff-group-functor (\lambda x::'a. - x) (op -) 0 (op +) diff),$   
 $add = \lambda (f::'a \Rightarrow 'a) (g::'a \Rightarrow 'a) x::'a.$   
 $if\ x \in carrier\ (diff-group-functor (\lambda x::'a. - x) (op -) 0 (op +) diff)$  then  
 $mult\ (diff-group-functor (\lambda x::'a. - x) (op -) 0 (op +) diff)\ (f\ x)\ (g\ x)$   
 $else\ one\ (diff-group-functor (\lambda x::'a. - x) (op -) 0 (op +) diff))\]$   
**using** *diff-group-functor-preserves* [*OF prems*]  
**using** *comm-group.hom-completion-ring*  
 $[of\ (diff-group-functor (\lambda x::'a. - x) op - 0 (op +) diff)]$   
**using** *diff-minus*  
**unfolding** *diff-group-def* **by** *simp*

**lemma** *homo-ab-is-hom-completion*:  
**assumes** *homo-ab-f*: *homo-ab* *f*  
**and** *diff-group-add*: *diff-group-add*  $(op -)$   
 $(\lambda x::'a::diff-group-add. - x) 0 (op +) diff$   
**shows**  $f \in hom-completion$   
 $(diff-group-functor (\lambda x::'a::diff-group-add. - x) (op -) 0 (op +) diff)$   
 $(diff-group-functor (\lambda x::'a. - x) (op -) 0 (op +) diff)$   
**using** *homo-ab-f*  
**using** *diff-group-add*  
**unfolding** *hom-completion-def*  
**unfolding** *homo-ab-def*  
**unfolding** *diff-group-functor-def*  
**unfolding** *hom-def*  
**unfolding** *completion-fun2-def*  
**unfolding** *completion-def* **by** *auto*

**lemma** *hom-completion-is-homo-ab*:  
**assumes** *f-hom-compl*:  $f \in hom-completion$

```

(diff-group-functor (λx::'a::diff-group-add. - x) (op -) 0 (op +) diff)
(diff-group-functor (λx::'a. - x) (op -) 0 (op +) diff)
and diff-group-add: diff-group-add (op -) (λx::'a::diff-group-add. - x) 0 (op +)
diff
shows homo-ab f
using f-hom-compl
using diff-group-add
unfolding hom-completion-def
unfolding homo-ab-def
unfolding diff-group-functor-def
unfolding hom-def
unfolding completion-fun2-def
unfolding completion-def by auto

```

```

lemma hom-completion-equiv-homo-ab:
  assumes diff-group-add: diff-group-add (op -) (λx::'a::diff-group-add. - x) 0
  (op +) diff
  shows homo-ab f ⟷ f ∈ hom-completion
  (diff-group-functor (λx::'a::diff-group-add. - x) (op -) 0 (op +) diff)
  (diff-group-functor (λx::'a. - x) (op -) 0 (op +) diff)
  using homo-ab-is-hom-completion [of f]
  using hom-completion-is-homo-ab [of f]
  using diff-group-add by auto

```

Equivalence between the definition of power in the Isabelle Algebra Library, *nat-pow-def*, over the ring of endomorphisms, and the definition of power for functions, definition *fun-pow*

```

definition ring-hom-compl :: ('a diff-group) => ('a => 'a) ring
  where ring-hom-compl D == (| carrier = hom-completion D D,
    mult = op ∘,
    one = λx::'a. if x ∈ carrier D then id x else one D,
    zero = λx::'a. if x ∈ carrier D then one D else one D,
    add = λ(f::'a ⇒ 'a) (g::'a ⇒ 'a) x::'a. if x ∈ carrier D then mult D (f x) (g x)
    else one D)

```

```

lemma ring-nat-pow-equiv-funpow:
  assumes diff-group-add: diff-group-add (op -) (λx::'a::diff-group-add. - x) 0
  (op +) diff
  and f-hom-completion: f ∈ hom-completion
  (diff-group-functor (λx::'a::diff-group-add. - x) (op -) 0 (op +) diff)
  (diff-group-functor (λx::'a. - x) (op -) 0 (op +) diff)
  shows f ( ^ )_ring-hom-compl (diff-group-functor (λx::'a::diff-group-add. - x) (op -) 0 (op +) diff)
  (n::nat) = f ^ n
  (is f ( ^ )_R n = f ^ n)
proof (induct n)
  case 0
  show f ( ^ )_R (0::nat) = f ^ 0

```



```

    unfolding ring-hom-compl-def
    unfolding nat-pow-def
    unfolding diff-group-functor-def expand-fun-eq by auto
next
case Suc
fix n :: nat
assume hypo: f ( ^ ) ?R n = f ^ n
show f ( ^ ) ?R (Suc n) = f ^ (Suc n)
proof -
  have f ^ (Suc n) = f ∘ (f ^ n) by simp
  also have ... = mult ?R (f ( ^ ) ?R (1::nat)) (f ( ^ ) ?R n)
    using hypo analytic-part-local.monoid.nat-pow-1 [of ?R f]
    using f-hom-completion
    using diff-group-add.hom-completion-ring [OF diff-group-add]
    unfolding Ring.ring-def ring-hom-compl-def by simp
  also have ... = f ( ^ ) ?R (1 + n)
    using monoid.nat-pow-mult [of ?R f 1 n]
    using f-hom-completion diff-group-add.hom-completion-ring [OF diff-group-add]
    unfolding Ring.ring-def ring-hom-compl-def by simp
  also have ... = f ( ^ ) ?R (Suc n) by simp
  finally show ?thesis by simp
qed
qed

```

Equivalence between the *uminus* definition in the ring of endomorphisms,  
and the  $- \ ?A = (\lambda x. - \ ?A \ x)$

```

lemma minus-ring-homo-equal-uminus-fun:
  assumes diff-group-add: diff-group-add (op -) (λx::'a::diff-group-add. - x) 0
  (op +) diff
  and homo-ab-f: homo-ab f
  shows ⊖ring-hom-compl (diff-group-functor (λx::'a::diff-group-add. - x) (op -) 0 (op +) diff)
  (f::'a::diff-group-add => 'a) = - f
  (is ⊖?R f = - f)
  using diff-group-add.hom-completion-ring [OF diff-group-add]
  using abelian-group.minus-equality [of ?R f - f]
  using homo-ab-is-hom-completion [OF homo-ab-f diff-group-add]
  using homo-ab-is-hom-completion
  [OF ab-group-add-impl-uminus-fun-closed
   [OF - homo-ab-f]]
  using diff-group-add
  unfolding ring-hom-compl-def
  [of diff-group-functor (λx::'a::diff-group-add. - x) (op -) 0 (op +) diff]
  unfolding Ring.ring-def
  unfolding abelian-group-def
  unfolding diff-group-add-def
  unfolding ab-group-add-def
  unfolding ab-group-add-axioms-def
  unfolding fun-Compl-def
  unfolding plus-fun-def zero-fun-def

```

**unfolding** *diff-group-functor-def expand-fun-eq* **by** *auto*

**lemma** *minus-ring-hom-completion-equal-uminus-fun*:  
**assumes** *diff-group-add*: *diff-group-add* (*op*  $-$ ) ( $\lambda x::'a::\text{diff-group-add.}$   $- x$ ) *0* (*op*  $+$ ) *diff*  
**and** *f-hom-completion*:  $f \in \text{hom-completion}$   
(*diff-group-functor* ( $\lambda x::'a::\text{diff-group-add.}$   $- x$ ) (*op*  $-$ ) *0* (*op*  $+$ ) *diff*)  
(*diff-group-functor* ( $\lambda x::'a.$   $- x$ ) (*op*  $-$ ) *0* (*op*  $+$ ) *diff*)  
**shows**  $\ominus_{\text{ring-hom-compl}} (\text{diff-group-functor } (\lambda x::'a::\text{diff-group-add.}$   $- x$ ) (*op*  $-$ ) *0* (*op*  $+$ ) *diff*)  
 $f = - f$   
**(is**  $\ominus_{?R} f = - f$ )  
**using** *minus-ring-homo-equal-uminus-fun*  
**using** *hom-completion-equiv-homo-ab*  
**using** *diff-group-add*  
**using** *f-hom-completion* **by** *auto*

**lemma**  *$\alpha$ -in-hom-completion*:  
**assumes** *diff-group-add-pert-hom*:  
*diff-group-add-pert-hom* (*op*  $-$ ) ( $\lambda x::'a::\text{diff-group-add-pert-hom.}$   $- x$ )  
*0 op + diff pert hom-oper*  
**shows**  $\alpha \in \text{hom-completion}$   
(*diff-group-functor* ( $\lambda x::'a::\text{diff-group-add-pert-hom.}$   $- x$ ) *op*  $-$  *0 op + diff*)  
(*diff-group-functor* ( $\lambda x::'a.$   $- x$ ) *op*  $-$  *0 op + diff*)  
**(is**  $\alpha \in \text{hom-completion}$  *?D ?D*)

**proof**  $-$   
**let** *?R* = *ring-hom-compl ?D*  
**have** *diff-group-add*: *diff-group-add* *op*  $-$  *uminus* (*0::'a*) *op*  $+$  *diff*  
**using** *diff-group-add-pert-hom* **by** (*intro-locales*)  
**have** *delta-in-R*: *pert*  $\in$  *carrier ?R* **and** *h-in-R*: *hom-oper*  $\in$  *carrier ?R*  
**using** *diff-group-add-pert-hom*  
**unfolding** *ring-hom-compl-def*  
**unfolding** *reduction-class-ext-def*  
**unfolding** *diff-group-add-pert-hom-def*  
**unfolding** *diff-group-add-pert-def*  
**unfolding** *diff-group-add-pert-axioms-def*  
**unfolding** *diff-group-add-pert-hom-axioms-def*  
**unfolding** *hom-completion-def*  
**unfolding** *hom-def*  
**unfolding** *Pi-def*  
**unfolding** *completion-fun2-def completion-def*  
**unfolding** *diff-group-functor-def* **by** *auto*  
**have** *deltah-in-R*: *pert*  $\circ$  *hom-oper*  $\in$  *carrier ?R*  
**using** *delta-in-R*  
**using** *h-in-R*  
**using** *diff-group-add.hom-completion-ring* [*OF diff-group-add*]  
**using** *monoid.m-closed* [*of ?R pert hom-oper*]  
**unfolding** *Ring.ring-def ring-hom-compl-def* **by** *simp*  
**show** *?thesis*  
**using** *deltah-in-R*

```

using abelian-group.a-inv-closed [OF - deltah-in-R]
using diff-group-add.hom-completion-ring [OF diff-group-add]
using minus-ring-hom-completion-equal-uminus-fun
[of pert  $\circ$  hom-oper, OF diff-group-add]
unfolding ring-hom-compl-def  $\alpha$ -def Ring.ring-def fun-Compl-def by simp
qed

lemma  $\beta$ -in-hom-completion:
assumes diff-group-add-pert-hom:
diff-group-add-pert-hom op  $-$  ( $\lambda x::'a::\text{diff-group-add-pert-hom-bound-exist.}$   $- x$ )
0 op  $+$  diff pert hom-oper
shows  $\beta \in \text{hom-completion}$ 
(diff-group-functor ( $\lambda x::'a::\text{diff-group-add-pert-hom-bound-exist.}$   $- x$ ) op  $-$  0 op
 $+$  diff)
(diff-group-functor ( $\lambda x::'a.$   $- x$ ) op  $-$  0 op  $+$  diff)
(is  $\beta \in \text{hom-completion}$  ?D ?D)
proof  $-$ 
let ?R = ring-hom-compl ?D
have diff-group-add: diff-group-add op  $-$  uminus (0:: $'a$ ) op  $+$  diff
using diff-group-add-pert-hom by (intro-locale)
have delta-in-R: pert  $\in$  carrier ?R and h-in-R: hom-oper  $\in$  carrier ?R
using diff-group-add-pert-hom
unfolding ring-hom-compl-def
unfolding reduction-class-ext-def
unfolding diff-group-add-pert-hom-def
unfolding diff-group-add-pert-def
unfolding diff-group-add-pert-axioms-def
unfolding diff-group-add-pert-hom-axioms-def
unfolding hom-completion-def
unfolding hom-def
unfolding Pi-def
unfolding completion-fun2-def completion-def
unfolding diff-group-functor-def by auto
have hdelta-in-R: hom-oper  $\circ$  pert  $\in$  carrier ?R
using delta-in-R
using h-in-R
using diff-group-add.hom-completion-ring [OF diff-group-add]
using monoid.m-closed [of ?R hom-oper pert]
unfolding Ring.ring-def ring-hom-compl-def by simp
show ?thesis
using hdelta-in-R
using abelian-group.a-inv-closed [OF - hdelta-in-R]
using diff-group-add.hom-completion-ring [OF diff-group-add]
using minus-ring-hom-completion-equal-uminus-fun
[of hom-oper  $\circ$  pert, OF diff-group-add]
unfolding ring-hom-compl-def  $\beta$ -def Ring.ring-def fun-Compl-def by simp
qed

```

Our previous definition of *reduction-class-ext* satisfies the definition of the

locale *local-nilpotent-term*

**lemma** *reduction-class-ext-preserves-local-nilpotent-term*:

**assumes** *reduction-class-ext-f-g*:

*reduction-class-ext* ( $f :: 'a :: \text{diff-group-add-pert-hom-bound-exist} \Rightarrow 'b :: \text{diff-group-add}$ )

*g*

**shows** *local-nilpotent-term*

(*diff-group-functor* ( $\lambda x :: 'a :: \text{diff-group-add-pert-hom-bound-exist}. - x$ ) *op* - 0 *op* + *diff*)

(*ring-hom-compl* (*diff-group-functor* ( $\lambda x :: 'a. - x$ ) *op* - 0 *op* + *diff*))

$\alpha$  (*local-bound*  $\alpha$ )

(**is** *local-nilpotent-term* ?D ?R  $\alpha$  (*local-bound*  $\alpha$ ))

**proof** (*intro-locales*)

**have** *diff-group-add-pert-hom-bound-exist*:

*diff-group-add-pert-hom-bound-exist* *op* - ( $\lambda x :: 'a. - x$ ) 0 *op* + *diff* *pert hom-oper*

**using** *reduction-class-ext-f-g*

**unfolding** *reduction-class-ext-def* [*of f g*] **by** *simp*

**then have** *diff-group-add-pert-hom*:

*diff-group-add-pert-hom* *op* - ( $\lambda x :: 'a. - x$ ) 0 *op* + *diff* *pert hom-oper*

**unfolding** *diff-group-add-pert-hom-bound-exist-def* **by** *simp*

**have** *diff-group-add*: *diff-group-add* *op* - ( $\lambda x :: 'a. - x$ ) 0 *op* + *diff*

**using** *diff-group-add-pert-hom-bound-exist-impl-diff-group-add*

[*OF diff-group-add-pert-hom-bound-exist*]

**by** *simp*

**show** *monoid* ?D

**using** *diff-group-functor-preserves* [*OF diff-group-add*]

**unfolding** *diff-group-def comm-group-def comm-monoid-def* **by** *fast*

**show** *comm-monoid-axioms* ?D

**using** *diff-group-functor-preserves* [*OF diff-group-add*]

**unfolding** *diff-group-def comm-group-def comm-monoid-def* **by** *fast*

**show** *group-axioms* ?D

**using** *diff-group-functor-preserves* [*OF diff-group-add*]

**unfolding** *diff-group-def comm-group-def group-def* **by** *simp*

**show** *diff-group-axioms* ?D

**using** *diff-group-functor-preserves* [*OF diff-group-add*]

**unfolding** *diff-group-def* **by** *simp*

**show** *abelian-monoid* ?R

**using** *diff-group-add.hom-completion-ring* [*OF diff-group-add*]

**unfolding** *ring-hom-compl-def Ring.ring-def abelian-group-def* **by** *simp*

**show** *abelian-group-axioms* ?R

**using** *diff-group-add.hom-completion-ring* [*OF diff-group-add*]

**unfolding** *ring-hom-compl-def Ring.ring-def abelian-group-def* **by** *simp*

**show** *ring-axioms* ?R

**using** *diff-group-add.hom-completion-ring* [*OF diff-group-add*]

**unfolding** *Ring.ring-def ring-hom-compl-def* **by** *simp*

**show** *monoid* ?R

**using** *diff-group-add.hom-completion-ring* [*OF diff-group-add*]

**unfolding** *Ring.ring-def abelian-group-def ring-hom-compl-def* **by** *simp*

**show** *ring-endomorphisms-axioms* ?D ?R

**using** *diff-group-add.hom-completion-ring* [*OF diff-group-add*]

```

unfolding ring-hom-compl-def ring-endomorphisms-axioms-def by simp
show local-nilpotent-term-axioms ?D ?R  $\alpha$  (local-bound  $\alpha$ )
proof (unfold local-nilpotent-term-axioms-def, intro conjI)
  show alpha-in-R:  $\alpha \in \text{carrier } ?R$ 
    using  $\alpha$ -in-hom-completion [OF diff-group-add-pert-hom]
    unfolding  $\alpha$ -def Ring.ring-def ring-hom-compl-def by simp
  show  $\forall x \in \text{carrier } ?D. (\alpha \text{ `})_{?R} (\text{local-bound } \alpha \ x) \ x = \text{one } ?D$ 
proof (rule ballI)
  fix x
  assume x-in-D:  $x \in \text{carrier } ?D$ 
  show  $(\alpha \text{ `})_{?R} (\text{local-bound } \alpha \ x) \ x = \text{monoid.one } ?D$ 
proof -
  have  $(\alpha \text{ `})_{?R} (\text{local-bound } \alpha \ x) \ x = (\alpha \text{ `}(\text{local-bound } \alpha \ x)) \ x$ 
    using ring-nat-pow-equiv-funpow [OF diff-group-add, of  $\alpha$ ] alpha-in-R
    unfolding ring-hom-compl-def by simp
  also have  $(\alpha \text{ `} \text{local-bound } \alpha \ (x::'a::\text{diff-group-add-pert-hom-bound-exist}))$ 
 $x = 0$ 
    using  $\alpha$ -locally-nilpotent [OF diff-group-add-pert-hom-bound-exist, of  $x::'a$ ]
    by simp
  also have  $\dots = \text{one } ?D$  unfolding diff-group-functor-def by simp
  finally show ?thesis by simp
qed
qed
show  $\forall x::'a. (\text{local-bound } \alpha \ x) = (\text{LEAST } n::\text{nat}. (\alpha \text{ `})_{?R} n) \ x = \text{one } ?D$ 
  using diff-group-add-pert-hom-bound-exist-impl-local-bounded-func-alpha
  [OF diff-group-add-pert-hom-bound-exist]
  using local-bounded-func-impl-terminates-loop [of  $\alpha::'a \Rightarrow 'a$ ]
  using local-bound-correct [of  $\alpha::'a \Rightarrow 'a$ ]
  using ring-nat-pow-equiv-funpow [OF diff-group-add, of  $\alpha::'a \Rightarrow 'a$ ]
  using alpha-in-R
  unfolding ring-hom-compl-def diff-group-functor-def by auto
qed
qed

```

The following lemma states that the *reduction-class-ext* definition together with *local-bound-exists* satisfies the premises of the *BPL* ?D ?R ?h ?C ?f ?g ? $\delta$  ?bound-phi  $\implies$  *reduction* (lemma-2-2-15.D' ?D ?R ? $\delta$ ) ( $\downarrow$ carrier = carrier ?C, mult =  $op \otimes_{?C}$ , one =  $1_{?C}$ , diff-group.diff =  $\lambda x. \text{if } x \in \text{carrier } ?C \text{ then } \text{diff-group.diff } ?C \ x \otimes_{?C} (?f \circ ?\delta \circ \text{local-nilpotent-alpha}.\Psi \ ?D \ ?R \ ?h \ ?\delta \circ ?g) \ x \text{ else } 1_{?C}$ ) ( $?f \circ \text{local-nilpotent-alpha}.\Phi \ ?D \ ?R \ ?h \ ?\delta \ ?\text{bound-phi}$ ) ( $\text{local-nilpotent-alpha}.\Psi \ ?D \ ?R \ ?h \ ?\delta \circ ?g$ ) (lemma-2-2-15.h' ?D ?R ?h ? $\delta$  ?bound-phi).

In addition to this result, we also have to prove later that the definitions given in this file for  $f'$ ,  $g'$ ,  $\Phi$ , are equivalent to the ones given inside of the local BPL

**lemma** *reduction-class-ext-preserves-BPL*:  
**assumes** r-c-e:

```

reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist => 'b::diff-group-add)
g
shows BPL
(diff-group-functor (λx::'a::diff-group-add-pert-hom-bound-exist. - x) op - 0 op
+ diff)
(ring-hom-compl (diff-group-functor (λx::'a. - x) op - 0 op + diff))
hom-oper
(diff-group-functor (λx::'b::diff-group-add. - x) op - 0 op + diff)
f g pert
(local-bound α)
(is BPL ?D ?R hom-oper ?C f g pert (local-bound α))
proof (intro-locales)
have diff-group-add-pert-hom-bound-exist:
diff-group-add-pert-hom-bound-exist op - (λx::'a. - x) 0 op + diff pert hom-oper
using r-c-e
unfolding reduction-class-ext-def [of f g] ..
have diff-group-add: diff-group-add op - (λx::'a. - x) 0 op + diff
using diff-group-add-pert-hom-bound-exist-impl-diff-group-add
[OF diff-group-add-pert-hom-bound-exist] .
show monoid ?D
using diff-group-functor-preserves [OF diff-group-add]
unfolding diff-group-def comm-group-def
unfolding comm-monoid-def by fast
show comm-monoid-axioms ?D
using diff-group-functor-preserves [OF diff-group-add]
unfolding diff-group-def comm-group-def
unfolding comm-monoid-def by fast
show group-axioms ?D
using diff-group-functor-preserves [OF diff-group-add]
unfolding diff-group-def comm-group-def group-def by fast
show diff-group-axioms ?D
using diff-group-functor-preserves [OF diff-group-add]
unfolding diff-group-def ..
have diff-group-add-C: diff-group-add op - (λx::'b. - x) 0 op + diff
using r-c-e
unfolding reduction-class-ext-def by simp
show monoid ?C
using diff-group-functor-preserves [OF diff-group-add-C]
unfolding diff-group-def
unfolding comm-group-def
unfolding comm-monoid-def by fast
show comm-monoid-axioms ?C
using diff-group-functor-preserves [OF diff-group-add-C]
unfolding diff-group-def
unfolding comm-group-def
unfolding comm-monoid-def by fast
show group-axioms ?C
using diff-group-functor-preserves [OF diff-group-add-C]
unfolding diff-group-def

```

```

    unfolding comm-group-def
    unfolding group-def by fast
show diff-group-axioms ?C
    using diff-group-functor-preserves [OF diff-group-add-C]
    unfolding diff-group-def by fast
show abelian-monoid ?R
    using diff-group-add.hom-completion-ring [OF diff-group-add]
    unfolding ring-hom-compl-def
    unfolding Ring.ring-def
    unfolding abelian-group-def by fast
show abelian-group-axioms ?R
    using diff-group-add.hom-completion-ring [OF diff-group-add]
    unfolding Ring.ring-def
    unfolding abelian-group-def
    unfolding ring-hom-compl-def by fast
show ring-axioms ?R
    using diff-group-add.hom-completion-ring [OF diff-group-add]
    unfolding ring-hom-compl-def
    unfolding Ring.ring-def by fast
show monoid ?R
    using diff-group-add.hom-completion-ring [OF diff-group-add]
    unfolding Ring.ring-def
    unfolding abelian-group-def
    unfolding ring-hom-compl-def by fast
show ring-endomorphisms-axioms ?D ?R
    using diff-group-add.hom-completion-ring [OF diff-group-add]
    unfolding ring-hom-compl-def
    unfolding ring-endomorphisms-axioms-def by fast
show lemma-2-2-14-axioms ?D ?R (λx. h x)
proof (unfold lemma-2-2-14-axioms-def ring-hom-compl-def, simp, intro conjI)
  show hom-oper ∈ hom-completion ?D ?D
    using r-c-e
    unfolding reduction-class-ext-def
    unfolding diff-group-add-pert-hom-bound-exist-def
    unfolding diff-group-add-pert-hom-def
    unfolding diff-group-add-pert-hom-axioms-def
    unfolding hom-completion-def
    unfolding hom-def
    unfolding Pi-def
    unfolding completion-fun2-def
    unfolding completion-def
    unfolding diff-group-functor-def by simp
  show (λx. h x) ∘ (λx. h x) = (λx::'a. one ?D)
    using r-c-e
    unfolding reduction-class-ext-def
    unfolding diff-group-add-pert-hom-bound-exist-def
    unfolding diff-group-add-pert-hom-def
    unfolding diff-group-add-pert-hom-axioms-def
    unfolding zero-fun-def

```

```

    unfolding diff-group-functor-def by simp
  show  $(\lambda x. h\ x) \circ \text{diff-group.diff } ?D \circ (\lambda x. h\ x) = (\lambda x. h\ x)$ 
    unfolding diff-group-functor-def
    apply simp
    using hdh-eq-h [OF r-c-e] .
qed
show reduction-axioms ?D ?C f g  $(\lambda x. h\ x)$ 
  using reduction-class-ext-preserves-reduction [OF r-c-e]
  unfolding reduction-def by fast
show alpha-beta-axioms ?D  $(\lambda x. \delta\ x)$ 
  using diff-group-add-pert-hom-bound-exist-preserves-pert
  [OF diff-group-add-pert-hom-bound-exist]
  unfolding alpha-beta-axioms-def .
show local-nilpotent-term-axioms
  ?D ?R  $(\ominus_{?R} \text{mult } ?R (\lambda x. \delta\ x) (\lambda x. h\ x)) (\text{local-bound } \alpha)$ 
proof -
  have delta-in-R:  $(\lambda x. \delta\ x) \in \text{carrier } ?R$ 
  and h-in-R:  $(\lambda x. h\ x) \in \text{carrier } ?R$ 
  using r-c-e
  unfolding ring-hom-compl-def
  unfolding reduction-class-ext-def
  unfolding diff-group-add-pert-hom-bound-exist-def
  unfolding diff-group-add-pert-hom-def
  unfolding diff-group-add-pert-hom-axioms-def
  unfolding diff-group-add-pert-def
  unfolding diff-group-add-pert-axioms-def
  unfolding hom-completion-def
  unfolding hom-def
  unfolding Pi-def
  unfolding completion-fun2-def
  unfolding completion-def
  unfolding diff-group-functor-def by simp-all
  have deltah-in-R:  $(\lambda x. \delta\ x) \circ (\lambda x. h\ x) \in \text{carrier } ?R$ 
  using delta-in-R h-in-R
  using diff-group-add.hom-completion-ring [OF diff-group-add]
  using monoid.m-closed [of ?R  $\lambda x. \delta\ x$   $\lambda x. h\ x$ ]
  unfolding ring-hom-compl-def
  unfolding Ring.ring-def by simp
  have minus-eq:  $(\ominus_{?R} \text{mult } ?R (\lambda x. \delta\ x) (\lambda x. h\ x))$ 
    =  $-(\lambda x. \delta\ x) \circ (\lambda x. h\ x)$ 
  using deltah-in-R
  using diff-group-add.hom-completion-ring [OF diff-group-add]
  using abelian-group.a-inv-closed [OF - deltah-in-R]
  using minus-ring-hom-completion-equal-uminus-fun
  [OF diff-group-add, of  $(\lambda x. \delta\ x) \circ (\lambda x. h\ x)$ ]
  unfolding ring-hom-compl-def by simp
show ?thesis
  using reduction-class-ext-preserves-local-nilpotent-term
  [OF r-c-e]

```



```

unfolding minus-eq
unfolding local-nilpotent-term-def
unfolding  $\alpha$ -def
unfolding fun-Compl-def unfolding o-apply ..
qed
qed

```

The definition of *reduction-class-ext* satisfies the definition of the locale *lemma-2-2-15*.

```

lemma reduction-class-ext-preserves-lemma-2-2-15:
  assumes r-c-e:
    reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
    => 'b::diff-group-add) g
  shows lemma-2-2-15
    (diff-group-functor ( $\lambda x::'a::\text{diff-group-add-pert-hom-bound-exist. } - x$ )
    op - 0 op + diff)
    (ring-hom-compl (diff-group-functor ( $\lambda x::'a. - x$ ) op - 0 op + diff))
    hom-oper (diff-group-functor ( $\lambda x::'b::\text{diff-group-add. } - x$ ) op - 0 op + diff)
    f g pert
    (local-bound  $\alpha$ )
  using reduction-class-ext-preserves-BPL [OF r-c-e]
  unfolding BPL-def
  unfolding lemma-2-2-17-def
  unfolding proposition-2-2-16-def ..

```

The definition of *reduction-class-ext* satisfies the definition of the locale *local-nilpotent-alpha*.

```

lemma reduction-class-ext-preserves-local-nilpotent-alpha:
  assumes r-c-e:
    reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
    => 'b::diff-group-add) g
  shows local-nilpotent-alpha
    (diff-group-functor ( $\lambda x::'a::\text{diff-group-add-pert-hom-bound-exist. } - x$ )
    op - 0 op + diff)
    (ring-hom-compl (diff-group-functor ( $\lambda x::'a. - x$ ) op - 0 op + diff))
    (diff-group-functor ( $\lambda x::'b::\text{diff-group-add. } - x$ ) op - 0 op + diff)
    f g hom-oper pert
    (local-bound  $\alpha$ )
  using reduction-class-ext-preserves-BPL [OF r-c-e]
  unfolding BPL-def
  unfolding lemma-2-2-17-def
  unfolding proposition-2-2-16-def
  unfolding lemma-2-2-15-def by fast

```

The definition  $\lambda x. \text{fin-sum } \alpha \text{ (local-bound } \alpha \text{ } x) \text{ } x$  in *reduction-class-ext* is equivalent to the previous definition of *power-series* in locale *local-nilpotent-term*.

```

lemma reduction-class-ext-preserves-power-series:
  assumes r-c-e:

```

```

reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
=> 'b::diff-group-add) g
shows local-nilpotent-term.power-series
(diff-group-functor (λx::'a::diff-group-add-pert-hom-bound-exist. - x) op - 0 op
+ diff)
(ring-hom-compl (diff-group-functor (λx::'a. - x) op - 0 op + diff))
α
(local-bound α) = (λx::'a. fin-sum α (local-bound α x) x)
(is local-nilpotent-term.power-series ?D ?R α (local-bound α)
= (λx. fin-sum α (local-bound α x) x))
proof (unfold expand-fun-eq, rule allI)
fix x :: 'a
show local-nilpotent-term.power-series ?D ?R α (local-bound α) x
= fin-sum α (local-bound α x) x
proof -
have local-nilpotent-term: local-nilpotent-term ?D ?R α (local-bound α)
using reduction-class-ext-preserves-local-nilpotent-term [OF r-c-e] .
have diff-group-add-pert-hom-bound-exist:
diff-group-add-pert-hom-bound-exist op - (λx::'a. - x) 0 op + diff pert
hom-oper
using r-c-e
unfolding reduction-class-ext-def [of f g] ..
have diff-group-add-pert-hom:
diff-group-add-pert-hom op - (λx::'a. - x) 0 op + diff pert hom-oper
using diff-group-add-pert-hom-bound-exist
by (intro-locales)
have diff-group-add: diff-group-add op - (λx::'a. - x) 0 op + diff
using diff-group-add-pert-hom-bound-exist-impl-diff-group-add
[OF diff-group-add-pert-hom-bound-exist] .
have local-nilpotent-term.power-series ?D ?R α (local-bound α) x
= (⊗?Di::nat∈{..local-bound α x}. (α (^)?R i) x)
using local-nilpotent-term.power-series-def [OF local-nilpotent-term]
by simp
also have (⊗?Di::nat∈{..local-bound α x}. (α (^)?R i) x)
= (⊗?Di::nat∈{..local-bound α x}. (α ^i) x)
unfolding ring-nat-pow-equiv-funpow [OF diff-group-add
α-in-hom-completion [OF diff-group-add-pert-hom]] ..
also have ... = fin-sum α (local-bound α x) x
proof (induct local-bound α x)
case 0
{
have (⊗?Di::nat∈{..0::nat}. (α ^i) x) = (α ^ (0::nat)) x
using comm-monoid.finprod-0 [of ?D]
using diff-group-functor-preserves [OF diff-group-add]
unfolding diff-group-def
unfolding comm-group-def
unfolding diff-group-functor-def by simp
also have ... = fin-sum α (0::nat) x by simp
finally show (⊗?Di::nat∈{..0::nat}. (α ^i) x) = fin-sum α (0::nat) x .
}
}

```

```

}
next
case Suc
{
  fix n :: nat
  assume hypo: ( $\bigotimes_{?Di::nat \in \{..n\}} (\alpha \hat{~} i) x$ ) = fin-sum  $\alpha$  n x
  have ( $\bigotimes_{?Di::nat \in \{..Suc\ n\}} (\alpha \hat{~} i) x$ )
    = monoid.mult ?D (( $\alpha \hat{~} (Suc\ n)$ ) x) ( $\bigotimes_{?Di::nat \in \{..n\}} (\alpha \hat{~} i) x$ )
    using comm-monoid.finprod-Suc [of ?D]
    using diff-group-functor-preserves [OF diff-group-add]
    unfolding diff-group-def
    unfolding comm-group-def
    unfolding diff-group-functor-def by simp
  also have ... = monoid.mult ?D (( $\alpha \hat{~} (Suc\ n)$ ) x) (fin-sum  $\alpha$  n x)
    unfolding hypo ..
  also have ... = (( $\alpha \hat{~} (Suc\ n)$ ) x) + (fin-sum  $\alpha$  n x)
    unfolding diff-group-functor-def
    unfolding monoid.select-convs (1) ..
  also have ... = (( $\alpha \hat{~} (Suc\ n)$ ) + (fin-sum  $\alpha$  (n))) x
    unfolding plus-fun-def [of (( $\alpha :: 'a \Rightarrow 'a$ )  $\hat{~} (Suc\ n)$ ) (fin-sum  $\alpha$  (n))] ..
  also have ... = (fin-sum  $\alpha$  (Suc n) x)
    unfolding fin-sum.simps (2) ..
  finally
  show ( $\bigotimes_{?Di::nat \in \{..Suc\ (n::nat)\}} (\alpha \hat{~} i) (x::'a)$ ) = fin-sum  $\alpha$  (Suc n) x .
}
qed
finally show ?thesis .
qed
qed
qed

```

The definition of  $\Phi = (\lambda x. \text{fin-sum } \alpha (\text{local-bound } \alpha\ x)\ x)$  is equivalent to the previous definition of *D-R-C-f-g-h- $\delta$ - $\alpha$ -bound-phi.* $\Phi$  in *locale-nilpotent-alpha*

**lemma** *reduction-class-ext-preserves- $\Phi$* :

```

assumes r-c-e:
  reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
=> 'b::diff-group-add) g
shows local-nilpotent-alpha. $\Phi$ 
  (diff-group-functor ( $\lambda x::'a::diff-group-add-pert-hom-bound-exist. -\ x$ )
    op - 0 op + diff)
  (ring-hom-compl (diff-group-functor ( $\lambda x::'a. -\ x$ ) op - 0 op + diff))
  hom-oper
  pert
  (local-bound  $\alpha$ ) =  $\Phi$ 
  (is local-nilpotent-alpha. $\Phi$  ?D ?R hom-oper pert (local-bound  $\alpha$ ) =  $\Phi$ )
  unfolding local-nilpotent-alpha.phi-def
  [OF reduction-class-ext-preserves-local-nilpotent-alpha
    [OF r-c-e]]
  unfolding local-nilpotent-term.power-series-def [OF -, of x]
proof -

```

```

show local-nilpotent-term.power-series ?D ?R
  (⊖ ?R monoid.mult ?R pert hom-oper) (local-bound α) = Φ
proof -
  have local-nilpotent-term.power-series ?D ?R
    (⊖ ?R monoid.mult ?R (λx. δ x) (λx. h x)) (local-bound α) =
      local-nilpotent-term.power-series ?D ?R α (local-bound α)
  proof -
    have diff-group-add-pert-hom-bound-exist:
      diff-group-add-pert-hom-bound-exist op - (λx::'a. - x) 0
      op + diff pert hom-oper
    using r-c-e
    unfolding reduction-class-ext-def [of f g] by fast
  have diff-group-add: diff-group-add op - (λx::'a. - x) 0 op + diff
    using diff-group-add-pert-hom-bound-exist-impl-diff-group-add
    [OF diff-group-add-pert-hom-bound-exist] .
  have delta-in-R: pert ∈ carrier ?R and h-in-R: (λx. h x) ∈ carrier ?R
    using r-c-e
    unfolding ring-hom-compl-def
    unfolding reduction-class-ext-def
    unfolding diff-group-add-pert-hom-bound-exist-def
    unfolding diff-group-add-pert-hom-def
    unfolding diff-group-add-pert-hom-axioms-def
    unfolding diff-group-add-pert-def
    unfolding diff-group-add-pert-axioms-def
    unfolding hom-completion-def
    unfolding hom-def
    unfolding Pi-def
    unfolding completion-fun2-def
    unfolding completion-def
    unfolding diff-group-functor-def by auto
  have deltah-in-R: (λx. δ x) ∘ (λx. h x) ∈ carrier ?R
    using delta-in-R h-in-R
    using diff-group-add.hom-completion-ring [OF diff-group-add]
    using monoid.m-closed [of ?R (λx. δ x) (λx. h x)]
    unfolding Ring.ring-def ring-hom-compl-def by simp
  have minus-equiv: ⊖ ?R mult ?R (λx. δ x) (λx. h x) = α
    using abelian-group.a-inv-closed [OF - deltah-in-R]
    using diff-group-add.hom-completion-ring [OF diff-group-add]
    using minus-ring-hom-completion-equal-uminus-fun
    [of (λx. δ x) ∘ (λx. h x), OF diff-group-add]
    using deltah-in-R
    unfolding α-def
    unfolding ring-hom-compl-def
    unfolding fun-Compl-def by simp
  show ?thesis unfolding minus-equiv ..
qed
also have ... = (λx::'a. (fin-sum α (local-bound α x)) x)
  unfolding reduction-class-ext-preserves-power-series
  [OF r-c-e] ..

```

also have ... =  $\Phi$  **unfolding**  $\Phi$ -def  $\alpha$ -def ..  
 finally show *?thesis* .  
**qed**  
**qed**

Now, as a corollary, we prove that the previous definition of the output  $D\text{-}R\text{-}h\text{-}C\text{-}f\text{-}g\text{-}\delta\text{-}\alpha\text{-}bound\text{-}\phi.f'$  of the  $BPL$ , is equivalent to the definition  $f \circ \Phi$ .

**corollary** *reduction-class-ext-preserves-output-f*:  
**assumes**  $r\text{-}c\text{-}e$ : *reduction-class-ext* ( $f::'a::diff\text{-}group\text{-}add\text{-}pert\text{-}hom\text{-}bound\text{-}exist$   
 $\Rightarrow 'b::diff\text{-}group\text{-}add$ )  $g$   
**shows**  $f \circ$   
 $local\text{-}nilpotent\text{-}\alpha.\Phi$   
 $(diff\text{-}group\text{-}functor (\lambda x::'a::diff\text{-}group\text{-}add\text{-}pert\text{-}hom\text{-}bound\text{-}exist. - x) op - 0 op$   
 $+ diff)$   
 $(ring\text{-}hom\text{-}compl (diff\text{-}group\text{-}functor (\lambda x::'a. - x) op - 0 op + diff))$   
 $hom\text{-}oper$   
 $pert$   
 $(local\text{-}bound \alpha)$   
 $= f \circ \Phi$   
**unfolding** *reduction-class-ext-preserves- $\Phi$*  [ $OF\ r\text{-}c\text{-}e$ ] ..

Now, as a corollary, we prove that the previous definition of the output  $h'$  of the  $BPL$ , is equivalent to the definition  $h' \equiv hom\text{-}oper \circ \Phi$ .

**corollary** *reduction-class-ext-preserves-output-h*:  
**assumes**  $r\text{-}c\text{-}e$ :  
 $reduction\text{-}class\text{-}ext$  ( $f::'a::diff\text{-}group\text{-}add\text{-}pert\text{-}hom\text{-}bound\text{-}exist$   
 $\Rightarrow 'b::diff\text{-}group\text{-}add$ )  $g$   
**shows** *lemma-2-2-15.h'*  
 $(diff\text{-}group\text{-}functor (\lambda x::'a::diff\text{-}group\text{-}add\text{-}pert\text{-}hom\text{-}bound\text{-}exist. - x) op - 0 op$   
 $+ diff)$   
 $(ring\text{-}hom\text{-}compl (diff\text{-}group\text{-}functor (\lambda x::'a. - x) op - 0 op + diff))$   
 $hom\text{-}oper$   
 $pert$   
 $(local\text{-}bound \alpha)$   
 $= h'$   
**unfolding** *lemma-2-2-15.h'-def*  
 $[OF\ reduction\text{-}class\text{-}ext\text{-}preserves\text{-}lemma\text{-}2\text{-}2\text{-}15$   
 $[OF\ r\text{-}c\text{-}e]]$   
**unfolding** *reduction-class-ext-preserves- $\Phi$*  [ $OF\ r\text{-}c\text{-}e$ ]  
**unfolding** *h'-def*  
**unfolding** *ring-hom-compl-def*  
**unfolding** *monoid.select-convs* (1) ..

The definition of *reduction-class-ext* satisfies the definition of the locale *alpha-beta*

**lemma** *reduction-class-ext-preserves-alpha-beta*:  
**assumes**  $r\text{-}c\text{-}e$ :

```

reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
=> 'b::diff-group-add) g
shows alpha-beta (diff-group-functor (λx::'a. - x) op - 0 op + diff)
(ring-hom-compl
(diff-group-functor (λx::'a::diff-group-add-pert-hom-bound-exist. - x) op - 0 op
+ diff))
(diff-group-functor (λx::'b::diff-group-add. - x) op - 0 op + diff)
f
g (λx. h x) (λx. δ x)
using reduction-class-ext-preserves-BPL [OF r-c-e]
unfolding BPL-def
unfolding lemma-2-2-17-def
unfolding proposition-2-2-16-def
unfolding lemma-2-2-15-def
unfolding lemma-2-2-14-def
unfolding local-nilpotent-alpha-def by fast

```

The new definition of the power series over  $\beta = - (hom-oper \circ diff-group-add-pert-class.pert)$  is equivalent to the definition of the power series over  $\beta$  in the previous version.

**lemma** *reduction-class-ext-preserves-beta-bound:*

```

assumes r-c-e:
reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
=> 'b::diff-group-add) g
shows local-bounded-func (β::'a::diff-group-add-pert-hom-bound-exist => 'a)
proof -
let ?D = (diff-group-functor (λx::'a. - x) op - 0 op + diff)
let ?R = ring-hom-compl ?D
obtain bound-psi
where local-nilp-term:
local-nilpotent-term ?D ?R (alpha-beta.β ?R hom-oper pert) bound-psi
using local-nilpotent-alpha.bound-psi-exists
[OF reduction-class-ext-preserves-local-nilpotent-alpha
[OF r-c-e]] by auto
have diff-group-add-pert-hom-bound-exist:
diff-group-add-pert-hom-bound-exist op - (λx::'a. - x) 0 op + diff pert hom-oper
using r-c-e
unfolding reduction-class-ext-def [of f g] by fast
have diff-group-add: diff-group-add op - (λx::'a. - x) 0 op + diff
using diff-group-add-pert-hom-bound-exist-impl-diff-group-add
[OF diff-group-add-pert-hom-bound-exist] .
have delta-in-R: pert ∈ carrier ?R and h-in-R: hom-oper ∈ carrier ?R
using r-c-e
unfolding ring-hom-compl-def
unfolding reduction-class-ext-def
unfolding diff-group-add-pert-hom-bound-exist-def
unfolding diff-group-add-pert-hom-def
unfolding diff-group-add-pert-hom-axioms-def
unfolding diff-group-add-pert-def

```

```

unfolding diff-group-add-pert-axioms-def
unfolding hom-completion-def
unfolding hom-def
unfolding Pi-def
unfolding completion-fun2-def
unfolding completion-def
unfolding diff-group-functor-def by auto
have hdelta-in-R:  $(\lambda x. h\ x) \circ (\lambda x. \delta\ x) \in \text{carrier } ?R$ 
using delta-in-R h-in-R
using diff-group-add.hom-completion-ring [OF diff-group-add]
using monoid.m-closed [of ?R  $(\lambda x. h\ x)$   $(\lambda x. \delta\ x)$ ]
unfolding Ring.ring-def ring-hom-compl-def by simp
have  $\beta$ -equiv:  $\text{alpha-beta}.\beta\ ?R\ (\lambda x. h\ x)\ (\lambda x. \delta\ x) = \beta$ 
proof -
  have  $\text{alpha-beta}.\beta\ ?R\ (\lambda x. h\ x)\ (\lambda x. \delta\ x)$ 
    =  $\ominus_{?R}\ \text{monoid.mult}\ ?R\ (\lambda x. h\ x)\ (\lambda x. \delta\ x)$ 
  unfolding alpha-beta.beta-def
  [OF reduction-class-ext-preserves-alpha-beta
   [OF r-c-e]] ..
  also have  $\ominus_{?R}\ \text{monoid.mult}\ ?R\ (\lambda x. h\ x)\ (\lambda x. \delta\ x)$ 
    = -  $((\lambda x. h\ x) \circ (\lambda x. \delta\ x))$ 
  using hdelta-in-R
  using minus-ring-hom-completion-equal-uminus-fun
  [OF diff-group-add]
  unfolding ring-hom-compl-def by simp
  finally show ?thesis unfolding  $\beta$ -def .
qed
have lnt: local-nilpotent-term ?D ?R  $\beta$  bound-psi
  unfolding sym [OF  $\beta$ -equiv]
  using local-nilp-term .
have bound-ex:  $\forall x::'a. \exists n::\text{nat}. (\beta\ (\wedge)\ ?R\ n)\ x = 0$ 
  using lnt
  unfolding local-nilpotent-term-def
  unfolding local-nilpotent-term-axioms-def
  unfolding diff-group-functor-def by auto
have  $\forall x::'a. \exists n::\text{nat}. (\beta\ \wedge\ n)\ x = 0$ 
  using ring-nat-pow-equiv-funpow
  [OF diff-group-add  $\beta$ -in-hom-completion]
  using diff-group-add-pert-hom-bound-exist
  using bound-ex
  unfolding diff-group-add-pert-hom-bound-exist-def by simp
then show ?thesis
  unfolding local-bounded-func-def .
qed

lemma reduction-class-ext-preserves-power-series- $\beta$ :
assumes r-c-e:
  reduction-class-ext  $(f::'a::\text{diff-group-add-pert-hom-bound-exist}$ 
     $\Rightarrow\ 'b::\text{diff-group-add})\ g$ 

```

```

shows local-nilpotent-term.power-series
  (diff-group-functor (λx::'a. diff-group-add-pert-hom-bound-exist. - x) op - 0 op
+ diff)
  (ring-hom-compl (diff-group-functor (λx::'a. - x) op - 0 op + diff))
  β (local-bound β)
  = (λx::'a. fin-sum β (local-bound β x) x)
  (is local-nilpotent-term.power-series ?D ?R β (local-bound β)
    = (λx::'a. fin-sum β (local-bound β x) x))
proof (unfold expand-fun-eq, rule allI)
  fix x :: 'a
  show local-nilpotent-term.power-series ?D ?R β (local-bound β) x
    = fin-sum β (local-bound β x) x
  proof -
    have diff-group-add-pert-hom-bound-exist:
      diff-group-add-pert-hom-bound-exist op - (λx::'a. - x) 0 op + diff pert
hom-oper
    using r-c-e
    unfolding reduction-class-ext-def [of f g] by fast
    have diff-group-add: diff-group-add op - (λx::'a. - x) 0 op + diff
    using diff-group-add-pert-hom-bound-exist-impl-diff-group-add
    [OF diff-group-add-pert-hom-bound-exist] .
    have delta-in-R: pert ∈ carrier ?R and h-in-R: hom-oper ∈ carrier ?R
    using r-c-e
    unfolding reduction-class-ext-def
    unfolding ring-hom-compl-def
    unfolding diff-group-add-pert-hom-bound-exist-def
    unfolding diff-group-add-pert-hom-def
    unfolding diff-group-add-pert-hom-axioms-def
    unfolding diff-group-add-pert-def
    unfolding diff-group-add-pert-axioms-def
    unfolding hom-completion-def
    unfolding hom-def
    unfolding Pi-def
    unfolding completion-fun2-def
    unfolding completion-def
    unfolding diff-group-functor-def by auto
    have hdelta-in-R: (λx. h x) ∘ (λx. δ x) ∈ carrier ?R
    using delta-in-R h-in-R
    using diff-group-add.hom-completion-ring [OF diff-group-add]
    using monoid.m-closed [of ?R (λx. h x) (λx. δ x)]
    unfolding ring-hom-compl-def
    unfolding Ring.ring-def by simp
    have β-equiv: alpha-beta.β ?R (λx. h x) (λx. δ x) = β
    proof -
      have alpha-beta.β ?R (λx. h x) (λx. δ x)
        = ⊖ ?R monoid.mult ?R (λx. h x) (λx. δ x)
      unfolding alpha-beta.beta-def
      [OF reduction-class-ext-preserves-alpha-beta
        [OF r-c-e]] ..

```



```

also have  $\ominus_{?R} \text{monoid.mult } ?R (\lambda x. h x) (\lambda x. \delta x)$ 
  = - (( $\lambda x. h x$ )  $\circ$  ( $\lambda x. \delta x$ ))
using hdelta-in-R
using minus-ring-hom-completion-equal-uminus-fun
  [of ( $\lambda x. h x$ )  $\circ$  ( $\lambda x. \delta x$ ), OF diff-group-add]
unfolding ring-hom-compl-def by simp
finally show ?thesis unfolding  $\beta$ -def .
qed
have bound-equiv: ( $\lambda x. \text{LEAST } n. (\beta (\wedge)_{?R} n) x = \text{one } ?D$ ) = (local-bound  $\beta$ )
using local-bounded-func-impl-local-bound-is-Least
  [OF reduction-class-ext-preserves-beta-bound
   [OF r-c-e]]
using ring-nat-pow-equiv-funpow [OF diff-group-add  $\beta$ -in-hom-completion]
using diff-group-add-pert-hom-bound-exist
unfolding diff-group-add-pert-hom-bound-exist-def
unfolding diff-group-functor-def by (simp add: expand-fun-eq)
have local-nilpotent-term: local-nilpotent-term  $?D$   $?R$   $\beta$  (local-bound  $\beta$ )
using local-nilpotent-alpha.nilp-alpha-nilp-beta
  [OF reduction-class-ext-preserves-local-nilpotent-alpha
   [OF r-c-e]]
using local-nilpotent-alpha.nilp-alpha-nilp-beta
using  $\beta$ -equiv
using bound-equiv by simp
have local-nilpotent-term.power-series  $?D$   $?R$   $\beta$  (local-bound  $\beta$ )  $x$ 
  = ( $\bigotimes_{?Di::nat \in \{..local-bound \beta x\}. (\beta (\wedge)_{?R} i) x}$ )
unfolding local-nilpotent-term.power-series-def [OF local-nilpotent-term] ..
also have ( $\bigotimes_{?Di::nat \in \{..local-bound \beta x\}. (\beta (\wedge)_{?R} i) x}$ )
  = ( $\bigotimes_{?Di::nat \in \{..local-bound \beta x\}. (\beta \wedge i) x}$ )
using ring-nat-pow-equiv-funpow [OF diff-group-add  $\beta$ -in-hom-completion]
using diff-group-add-pert-hom-bound-exist
unfolding diff-group-add-pert-hom-bound-exist-def by simp
also have ... = fin-sum  $\beta$  (local-bound  $\beta$ )  $x$ 
proof (induct local-bound  $\beta$   $x$ )
  case 0
  {
    have ( $\bigotimes_{?Di::nat \in \{..0::nat\}. (\beta \wedge i) x} = (\beta \wedge (0::nat))$ )  $x$ 
      using comm-monoid.finprod-0 [of  $?D$ ]
      using diff-group-functor-preserves [OF diff-group-add]
      unfolding diff-group-def
      unfolding comm-group-def
      unfolding diff-group-functor-def by simp
    also have ... = fin-sum  $\beta$  (0::nat)  $x$  by simp
    finally show ( $\bigotimes_{?Di::nat \in \{..0::nat\}. (\beta \wedge i) x} = \text{fin-sum } \beta (0::nat) x$ ) by
      simp
  }
next
case Suc
  {
    fix  $n :: nat$ 

```

```

assume hypo: ( $\bigotimes_{?D i::nat \in \{..n\}} (\beta \wedge i) x$ ) = fin-sum  $\beta$  n x
have ( $\bigotimes_{?D i::nat \in \{..Suc\ n\}} (\beta \wedge i) x$ )
  = mult  $?D ((\beta \wedge (Suc\ n)) x)$  ( $\bigotimes_{?D i::nat \in \{..n\}} (\beta \wedge i) x$ )
using comm-monoid.finprod-Suc [of  $?D$ ]
using diff-group-functor-preserves [OF diff-group-add]
unfolding diff-group-def
unfolding comm-group-def
unfolding diff-group-functor-def by simp
also have ... = monoid.mult  $?D ((\beta \wedge (Suc\ n)) x)$  (fin-sum  $\beta$  n x)
unfolding hypo ..
also have ... =  $((\beta \wedge (Suc\ n)) x) + (fin-sum\ \beta\ n\ x)$ 
unfolding diff-group-functor-def
unfolding monoid.select-convs (1) ..
also have ... =  $((\beta \wedge (Suc\ n)) + (fin-sum\ \beta\ (n))) x$ 
unfolding plus-fun-def [of  $((\beta::'a \Rightarrow 'a) \wedge (Suc\ n)) (fin-sum\ \beta\ (n))$ ] ..
also have ... = (fin-sum  $\beta$  (Suc n) x) by simp
finally show ( $\bigotimes_{?D i::nat \in \{..Suc\ (n::nat)\}} (\beta \wedge i) (x::'a)$ )
  = fin-sum  $\beta$  (Suc n) x .
}
qed
finally show ?thesis by simp
qed
qed

```

As well as the equivalence between both definitions of the power series, also the definitions of the bounds are equivalent.

**lemma** *reduction-class-ext-preserves-bound-psi*:

```

assumes r-c-e:
  reduction-class-ext ( $f::'a::diff-group-add-pert-hom-bound-exist$ 
    =>  $'b::diff-group-add$ ) g
shows local-nilpotent-alpha.bound-psi
  (diff-group-functor ( $\lambda x::'a::diff-group-add-pert-hom-bound-exist. - x$ ) op - 0 op
    + diff)
  (ring-hom-compl (diff-group-functor ( $\lambda x::'a. - x$ ) op - 0 op + diff))
  hom-oper pert
  = (local-bound  $\beta$ )
  (is local-nilpotent-alpha.bound-psi  $?D\ ?R (\lambda x. h\ x) (\lambda x. \delta\ x) = (local-bound\ \beta)$ )

```

**proof** –

```

have diff-group-add-pert-hom-bound-exist:
  diff-group-add-pert-hom-bound-exist
  op - ( $\lambda x::'a::diff-group-add-pert-hom-bound-exist. - x$ )
  0 op + diff pert hom-oper
using r-c-e
unfolding reduction-class-ext-def [of f g] by fast
have diff-group-add: diff-group-add op - ( $\lambda x::'a. - x$ ) 0 op + diff
using diff-group-add-pert-hom-bound-exist-impl-diff-group-add
  [OF diff-group-add-pert-hom-bound-exist] .
have delta-in-R: pert ∈ carrier  $?R$  and h-in-R: hom-oper ∈ carrier  $?R$ 
using r-c-e

```

```

unfolding reduction-class-ext-def
unfolding ring-hom-compl-def
unfolding diff-group-add-pert-hom-bound-exist-def
unfolding diff-group-add-pert-hom-def
unfolding diff-group-add-pert-hom-axioms-def
unfolding diff-group-add-pert-def
unfolding diff-group-add-pert-axioms-def
unfolding hom-completion-def
unfolding hom-def
unfolding Pi-def
unfolding completion-fun2-def
unfolding completion-def
unfolding diff-group-functor-def by auto
have hdelta-in-R:  $(\lambda x. h\ x) \circ (\lambda x. \delta\ x) \in \text{carrier } ?R$ 
using delta-in-R h-in-R
using diff-group-add.hom-completion-ring [OF diff-group-add]
using monoid.m-closed [of ?R  $(\lambda x. h\ x)$   $(\lambda x. \delta\ x)$ ]
unfolding Ring.ring-def ring-hom-compl-def by simp
have local-nilpotent-alpha.bound-psi ?D ?R  $(\lambda x. h\ x)$   $(\lambda x. \delta\ x)$ 
  =  $(\lambda x::'a. \text{LEAST } n::\text{nat. } (\alpha\text{-beta}.\beta\ ?R\ (\lambda x. h\ x)\ (\lambda x. \delta\ x)\ (\hat{\ })_{?R}\ n)\ x =$ 
one ?D)
using local-nilpotent-alpha.bound-psi-def
[OF reduction-class-ext-preserves-local-nilpotent-alpha
[OF r-c-e]] by simp
also have ... =  $(\lambda x::'a. \text{LEAST } n::\text{nat. } (\beta\ (\hat{\ })_{?R}\ n)\ x = \text{one } ?D)$ 
proof -
have  $\beta\text{-equiv: } \alpha\text{-beta}.\beta\ ?R\ (\lambda x. h\ x)\ (\lambda x. \delta\ x) = \beta$ 
proof -
have  $\alpha\text{-beta}.\beta\ ?R\ (\lambda x. h\ x)\ (\lambda x. \delta\ x)$ 
  =  $\ominus_{?R}\ \text{mult } ?R\ (\lambda x. h\ x)\ (\lambda x. \delta\ x)$ 
unfolding alpha-beta.beta-def
[OF reduction-class-ext-preserves-alpha-beta
[OF r-c-e]] ..
also have  $\ominus_{?R}\ \text{monoid.mult } ?R\ (\lambda x. h\ x)\ (\lambda x. \delta\ x)$ 
  =  $- ((\lambda x. h\ x) \circ (\lambda x. \delta\ x))$ 
using hdelta-in-R
using minus-ring-hom-completion-equal-uminus-fun
[of  $(\lambda x. h\ x) \circ (\lambda x. \delta\ x)$ , OF diff-group-add]
unfolding ring-hom-compl-def by simp
finally show ?thesis unfolding  $\beta\text{-def}$  .
qed
then show ?thesis by simp
qed
also have ... =  $(\lambda x::'a. \text{LEAST } n::\text{nat. } (\beta\ (\hat{\ })_{?R}\ n)\ x = (0::'a))$ 
unfolding diff-group-functor-def
unfolding monoid.select-convs ..
also have ... =  $(\lambda x::'a. \text{LEAST } n::\text{nat. } (\beta\ \hat{\ }n)\ x = (0::'a))$ 
using ring-nat-pow-equiv-funpow
[OF diff-group-add  $\beta\text{-in-hom-completion}$ ]

```

```

    using diff-group-add-pert-hom-bound-exist
    unfolding diff-group-add-pert-hom-bound-exist-def by simp
  also have bound-equiv: ... = (local-bound  $\beta$ )
    unfolding expand-fun-eq
    unfolding local-bounded-func-impl-local-bound-is-Least
    [OF reduction-class-ext-preserves-beta-bound
     [OF r-c-e]] by fast
  finally show ?thesis .
qed

```

From the equivalence between the power series and the equality of the bounds,  
it follows the equivalence between the old and the new definition of  $D-R-C-f-g-h-\delta-\alpha$ -bound-phi. $\Psi$

**lemma** *reduction-class-ext-preserves- $\Psi$* :

```

  assumes r-c-e:
    reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
    => 'b::diff-group-add) g
  shows local-nilpotent-alpha. $\Psi$ 
    (diff-group-functor ( $\lambda x::'a::diff-group-add-pert-hom-bound-exist.$  - x) op - 0 op
  + diff)
    (ring-hom-compl (diff-group-functor ( $\lambda x::'a.$  - x) op - 0 op + diff))
    hom-oper pert
    =  $\Psi$ 
    (is local-nilpotent-alpha. $\Psi$  ?D ?R ( $\lambda x. h\ x$ ) ( $\lambda x. \delta\ x$ ) =  $\Psi$ )
proof -
  have diff-group-add-pert-hom-bound-exist:
    diff-group-add-pert-hom-bound-exist
    op - ( $\lambda x::'a::diff-group-add-pert-hom-bound-exist.$  - x)
    0 op + diff pert hom-oper
  using r-c-e
  unfolding reduction-class-ext-def [of f g] by fast
  have diff-group-add: diff-group-add op - ( $\lambda x::'a.$  - x) 0 op + diff
  using diff-group-add-pert-hom-bound-exist-impl-diff-group-add
  [OF diff-group-add-pert-hom-bound-exist] .
  have delta-in-R: pert  $\in$  carrier ?R and h-in-R: hom-oper  $\in$  carrier ?R
  using r-c-e
  unfolding reduction-class-ext-def
  unfolding ring-hom-compl-def
  unfolding diff-group-add-pert-hom-bound-exist-def
  unfolding diff-group-add-pert-hom-def
  unfolding diff-group-add-pert-hom-axioms-def
  unfolding diff-group-add-pert-def
  unfolding diff-group-add-pert-axioms-def
  unfolding hom-completion-def
  unfolding hom-def
  unfolding Pi-def
  unfolding completion-fun2-def
  unfolding completion-def
  unfolding diff-group-functor-def by auto
  have hdelta-in-R: ( $\lambda x. h\ x$ )  $\circ$  ( $\lambda x. \delta\ x$ )  $\in$  carrier ?R

```

```

using delta-in-R h-in-R
using diff-group-add.hom-completion-ring [OF diff-group-add]
using monoid.m-closed [of ?R (λx. h x) (λx. δ x)]
unfolding ring-hom-compl-def Ring.ring-def by simp
have local-nilpotent-alpha.Ψ ?D ?R (λx. h x) (λx. δ x)
  = local-nilpotent-term.power-series ?D ?R (alpha-beta.β ?R (λx. h x) (λx. δ
x))
  (local-nilpotent-alpha.bound-psi ?D ?R (λx. h x) (λx. δ x))
unfolding local-nilpotent-alpha.psi-def
[OF reduction-class-ext-preserves-local-nilpotent-alpha
[OF r-c-e]] ..
also have ...
  = local-nilpotent-term.power-series ?D ?R (alpha-beta.β ?R
(λx. h x) (λx. δ x)) (local-bound β)
using reduction-class-ext-preserves-bound-psi [OF r-c-e] by simp
also have ... = local-nilpotent-term.power-series ?D ?R β (local-bound β)
proof -
have β-equiv: alpha-beta.β ?R (λx. h x) (λx. δ x) = β
proof -
have alpha-beta.β ?R (λx. h x) (λx. δ x)
  = ⊖?R monoid.mult ?R (λx. h x) (λx. δ x)
using alpha-beta.beta-def
[OF reduction-class-ext-preserves-alpha-beta
[OF r-c-e]] .
also have ⊖?R monoid.mult ?R (λx. h x) (λx. δ x) = β
using hdelta-in-R
using minus-ring-hom-completion-equal-uminus-fun
[of (λx. h x) ∘ (λx. δ x), OF diff-group-add]
unfolding ring-hom-compl-def by (fold β-def, simp)
finally show ?thesis .
qed
then show ?thesis by simp
qed
also have ... = (λx. fin-sum β (local-bound β x) x)
using reduction-class-ext-preserves-power-series-β [OF r-c-e] .
also have ... = Ψ unfolding Ψ-def β-def ..
finally show ?thesis .
qed

```

Now, as a corollary, we prove the equivalence between the previous definition of the output  $g$  of the BPL, and the one in this new approach

**corollary** *reduction-class-ext-preserves-output-g:*

```

assumes r-c-e:
  reduction-class-ext (f::'a::diff-group-add-pert-hom-bound-exist
=> 'b::diff-group-add) g
shows local-nilpotent-alpha.Ψ
  (diff-group-functor (λx::'a::diff-group-add-pert-hom-bound-exist. - x) op - 0 op
+ diff)
  (ring-hom-compl (diff-group-functor (λx::'a. - x) op - 0 op + diff))

```

$hom-oper\ pert \circ g$   
 $= \Psi \circ g$   
**unfolding** *reduction-class-ext-preserves-Ψ* [*OF r-c-e*] ..

It also follows the equality of the previous definition of  $d\ C'$  and the new definition,  $dC' \ ?f \ ?g = diff-group-add-class.diff + (?f \circ diff-group-add-pert-class.pert \circ \Psi \circ ?g)$

**corollary** *reduction-class-ext-preserves-output-dC*:

**assumes** *r-c-e*:  
*reduction-class-ext* ( $f :: 'a :: diff-group-add-pert-hom-bound-exist$   
 $=> 'b :: diff-group-add$ )  $g$   
**shows** ( $\lambda x :: 'b.$   
 $if\ x \in carrier\ (diff-group-functor\ (\lambda x :: 'b :: diff-group-add. -\ x)\ op - 0\ op + diff)$   
 $then\ mult\ (diff-group-functor\ (\lambda x :: 'b :: diff-group-add. -\ x)\ op - 0\ op + diff)$   
 $(diff-group.diff\ (diff-group-functor\ (\lambda x :: 'b :: diff-group-add. -\ x)\ op - 0\ op + diff)$   
 $x)$   
 $((f \circ pert \circ$   
 $(local-nilpotent-alpha.\Psi$   
 $(diff-group-functor\ (\lambda x :: 'a :: diff-group-add-pert-hom-bound-exist. -\ x)\ op - 0\ op$   
 $+ diff)$   
 $(ring-hom-compl\ (diff-group-functor\ (\lambda x :: 'a. -\ x)\ op - 0\ op + diff))$   
 $hom-oper\ pert) \circ g) \ x) \ else\ one\ (diff-group-functor\ (\lambda x :: 'b. -\ x)\ op - 0\ op +$   
 $diff))$   
 $= dC' \ f \ g$   
**unfolding** *reduction-class-ext-preserves-Ψ*  
 $[OF\ r-c-e]$   
**unfolding** *dC'-def* [*of f g*]  
**unfolding** *diff-group-functor-def*  
**unfolding** *plus-fun-def* **by** *simp*

Now, from the previous equivalences, we are ready to give the proof of the reduction  $D' \ (\downarrow carrier = carrier\ C, mult = op \otimes_C, one = \mathbf{1}_C, diff-group.diff = \lambda x. if\ x \in carrier\ C\ then\ diff-group.diff\ C\ x \otimes_C\ (f \circ \delta \circ D-R-C-f-g-h-\delta-\alpha-bound-phi.\Psi \circ g) \ x \ else\ \mathbf{1}_C) \ (f \circ D-R-C-f-g-h-\delta-\alpha-bound-phi.\Phi) \ (D-R-C-f-g-h-\delta-\alpha-bound-phi.\Psi \circ g) \ D-R-h-C-f-g-\delta-\alpha-bound-phi.h'$  with the new introduced definitions in terms of classes:

**lemma assumes** *reduction-class-ext-f-g*:

*reduction-class-ext* ( $f :: 'a :: diff-group-add-pert-hom-bound-exist$   
 $=> 'b :: diff-group-add$ )  $g$   
**shows** *reduction*  
 $(lemma-2-2-15.D')$   
 $(diff-group-functor\ (\lambda x :: 'a :: diff-group-add-pert-hom-bound-exist. -\ x)\ op - 0\ op$   
 $+ diff)$   
 $(ring-hom-compl$   
 $(diff-group-functor\ (\lambda x :: 'a. -\ x)\ op - 0\ op + diff))\ pert)$   
 $(\downarrow carrier = carrier\ (diff-group-functor\ (\lambda x :: 'b :: diff-group-add. -\ x)\ op - 0\ op +$   
 $diff),$

```

mult = mult (diff-group-functor ( $\lambda x::'b. - x$ ) op - 0 op + diff),
one = one (diff-group-functor ( $\lambda x::'b. - x$ ) op - 0 op + diff),
diff-group.diff = dC' f g)
(f' f)
(g' g)
(h')
using BPL.BPL
[OF reduction-class-ext-preserves-BPL
 [OF reduction-class-ext-f-g]]
unfolding reduction-class-ext-preserves-output-f [OF reduction-class-ext-f-g]
unfolding reduction-class-ext-preserves-output-g [OF reduction-class-ext-f-g]
unfolding reduction-class-ext-preserves-output-h [OF reduction-class-ext-f-g]
unfolding reduction-class-ext-preserves-output-dC [OF reduction-class-ext-f-g]
unfolding f'-def [of f]
unfolding g'-def [of g]
unfolding h'-def
unfolding dC'-def [of f g] .

end

```

## 20 Pretty integer literals for code generation

```

theory Code-Integer
imports ATP-Linkup
begin

```

HOL numeral expressions are mapped to integer literals in target languages, using predefined target language operations for abstract integer operations.

```

code-type int
  (SML IntInf.int)
  (OCaml Big'-int.big'-int)
  (Haskell Integer)

code-instance int :: eq
  (Haskell -)

setup <<
  fold (Numeral.add-code @{const-name number-int-inst.number-of-int}
    true true) [SML, OCaml, Haskell]
  >>

code-const Int.Pls and Int.Min and Int.Bit0 and Int.Bit1
  (SML raise/ Fail/ Pls
    and raise/ Fail/ Min
    and !((-);/ raise/ Fail/ Bit0)
    and !((-);/ raise/ Fail/ Bit1))
  (OCaml failwith/ Pls
    and failwith/ Min
    and !((-);/ failwith/ Bit0)

```

```

    and !((-);/ failwith/ Bit1))
  (Haskell error/ Pls
    and error/ Min
    and error/ Bit0
    and error/ Bit1)

code-const Int.pred
  (SML IntInf.- ((-), 1))
  (OCaml Big'-int.pred'-big'-int)
  (Haskell !(-/ -/ 1))

code-const Int.succ
  (SML IntInf.+ ((-), 1))
  (OCaml Big'-int.succ'-big'-int)
  (Haskell !(-/ +/ 1))

code-const op + :: int ⇒ int ⇒ int
  (SML IntInf.+ ((-), (-)))
  (OCaml Big'-int.add'-big'-int)
  (Haskell infixl 6 +)

code-const uminus :: int ⇒ int
  (SML IntInf.~)
  (OCaml Big'-int.minus'-big'-int)
  (Haskell negate)

code-const op - :: int ⇒ int ⇒ int
  (SML IntInf.- ((-), (-)))
  (OCaml Big'-int.sub'-big'-int)
  (Haskell infixl 6 -)

code-const op * :: int ⇒ int ⇒ int
  (SML IntInf.* ((-), (-)))
  (OCaml Big'-int.mult'-big'-int)
  (Haskell infixl 7 *)

code-const op = :: int ⇒ int ⇒ bool
  (SML !((- : IntInf.int) = -))
  (OCaml Big'-int.eq'-big'-int)
  (Haskell infixl 4 ==)

code-const op ≤ :: int ⇒ int ⇒ bool
  (SML IntInf.<= ((-), (-)))
  (OCaml Big'-int.le'-big'-int)
  (Haskell infix 4 <=)

code-const op < :: int ⇒ int ⇒ bool
  (SML IntInf.< ((-), (-)))
  (OCaml Big'-int.lt'-big'-int)

```



```

(Haskell infix 4 <>)

code-reserved SML IntInf
code-reserved OCaml Big-int

end

```

## 21 Type of indices

```

theory Code-Index
imports ATP-Linkup
begin

```

Indices are isomorphic to HOL *nat* but mapped to target-language builtin integers

### 21.1 Datatype of indices

```

typedef index = UNIV :: nat set
morphisms nat-of-index index-of-nat by rule

lemma index-of-nat-nat-of-index [simp]:
  index-of-nat (nat-of-index k) = k
by (rule nat-of-index-inverse)

lemma nat-of-index-index-of-nat [simp]:
  nat-of-index (index-of-nat n) = n
by (rule index-of-nat-inverse)
    (unfold index-def, rule UNIV-I)

lemma index:
  ( $\bigwedge n::index. PROP P n$ )  $\equiv$  ( $\bigwedge n::nat. PROP P (index-of-nat n)$ )
proof
  fix n :: nat
  assume  $\bigwedge n::index. PROP P n$ 
  then show PROP P (index-of-nat n) .
next
  fix n :: index
  assume  $\bigwedge n::nat. PROP P (index-of-nat n)$ 
  then have PROP P (index-of-nat (nat-of-index n)) .
  then show PROP P n by simp
qed

lemma index-case:
  assumes  $\bigwedge n. k = index-of-nat n \implies P$ 
  shows P
  by (rule assms [of nat-of-index k]) simp

lemma index-induct-raw:

```

```

    assumes  $\bigwedge n. P \text{ (index-of-nat } n)$ 
    shows  $P \ k$ 
  proof -
    from assms have  $P \text{ (index-of-nat (nat-of-index } k))}$  .
    then show ?thesis by simp
  qed

lemma nat-of-index-inject [simp]:
   $\text{nat-of-index } k = \text{nat-of-index } l \longleftrightarrow k = l$ 
  by (rule nat-of-index-inject)

lemma index-of-nat-inject [simp]:
   $\text{index-of-nat } n = \text{index-of-nat } m \longleftrightarrow n = m$ 
  by (auto intro!: index-of-nat-inject simp add: index-def)

instantiation index :: zero
begin

definition [simp, code func del]:
   $0 = \text{index-of-nat } 0$ 

instance ..

end

definition [simp]:
   $\text{Suc-index } k = \text{index-of-nat (Suc (nat-of-index } k))}$ 

lemma index-induct:  $P \ 0 \implies (\bigwedge k. P \ k \implies P \ (\text{Suc-index } k)) \implies P \ k$ 
proof -
  assume  $P \ 0$  then have init:  $P \ (\text{index-of-nat } 0)$  by simp
  assume  $\bigwedge k. P \ k \implies P \ (\text{Suc-index } k)$ 
    then have  $\bigwedge n. P \ (\text{index-of-nat } n) \implies P \ (\text{Suc-index } (\text{index-of-nat } (n)))$  .
    then have step:  $\bigwedge n. P \ (\text{index-of-nat } n) \implies P \ (\text{index-of-nat } (\text{Suc } n))$  by simp
  from init step have  $P \ (\text{index-of-nat } (\text{nat-of-index } k))$ 
    by (induct nat-of-index k) simp-all
  then show  $P \ k$  by simp
qed

lemma Suc-not-Zero-index:  $\text{Suc-index } k \neq 0$ 
  by simp

lemma Zero-not-Suc-index:  $0 \neq \text{Suc-index } k$ 
  by simp

lemma Suc-Suc-index-eq:  $\text{Suc-index } k = \text{Suc-index } l \longleftrightarrow k = l$ 
  by simp

rep-datatype index

```

```

distinct Suc-not-Zero-index Zero-not-Suc-index
inject Suc-Suc-index-eq
induction index-induct

lemmas [code func del] = index.recs index.cases

declare index-case [case-names nat, cases type: index]
declare index-induct [case-names nat, induct type: index]

lemma [code func]:
  index-size = nat-of-index
proof (rule ext)
  fix k
  have index-size k = nat-size (nat-of-index k)
    by (induct k rule: index.induct) (simp-all del: zero-index-def Suc-index-def,
simp-all)
  also have nat-size (nat-of-index k) = nat-of-index k by (induct nat-of-index k)
simp-all
  finally show index-size k = nat-of-index k .
qed

lemma [code func]:
  size = nat-of-index
proof (rule ext)
  fix k
  show size k = nat-of-index k
    by (induct k) (simp-all del: zero-index-def Suc-index-def, simp-all)
qed

lemma [code func]:
  k = l  $\longleftrightarrow$  nat-of-index k = nat-of-index l
  by (cases k, cases l) simp

```

## 21.2 Indices as datatype of ints

```

instantiation index :: number
begin

```

```

definition
  number-of = index-of-nat o nat

```

```

instance ..

```

```

end

```

```

lemma nat-of-index-number [simp]:
  nat-of-index (number-of k) = number-of k
  by (simp add: number-of-index-def nat-number-of-def number-of-is-id)

```

**code-datatype** *number-of* :: *int*  $\Rightarrow$  *index*

### 21.3 Basic arithmetic

**instantiation** *index* :: {*minus*, *ordered-semidom*, *Divides.div*, *linorder*}  
**begin**

**lemma** *zero-index-code* [*code inline*, *code func*]:

(*0::index*) = *Numeral0*

**by** (*simp add: number-of-index-def Pls-def*)

**lemma** [*code post*]: *Numeral0* = (*0::index*)

**using** *zero-index-code ..*

**definition** [*simp*, *code func del*]:

(*1::index*) = *index-of-nat 1*

**lemma** *one-index-code* [*code inline*, *code func*]:

(*1::index*) = *Numeral1*

**by** (*simp add: number-of-index-def Pls-def Bit1-def*)

**lemma** [*code post*]: *Numeral1* = (*1::index*)

**using** *one-index-code ..*

**definition** [*simp*, *code func del*]:

*n* + *m* = *index-of-nat (nat-of-index n + nat-of-index m)*

**lemma** *plus-index-code* [*code func*]:

*index-of-nat n* + *index-of-nat m* = *index-of-nat (n + m)*

**by** *simp*

**definition** [*simp*, *code func del*]:

*n* - *m* = *index-of-nat (nat-of-index n - nat-of-index m)*

**definition** [*simp*, *code func del*]:

*n* \* *m* = *index-of-nat (nat-of-index n \* nat-of-index m)*

**lemma** *times-index-code* [*code func*]:

*index-of-nat n* \* *index-of-nat m* = *index-of-nat (n \* m)*

**by** *simp*

**definition** [*simp*, *code func del*]:

*n* div *m* = *index-of-nat (nat-of-index n div nat-of-index m)*

**definition** [*simp*, *code func del*]:

*n* mod *m* = *index-of-nat (nat-of-index n mod nat-of-index m)*

**lemma** *div-index-code* [*code func*]:

*index-of-nat n* div *index-of-nat m* = *index-of-nat (n div m)*

**by** *simp*

**lemma** *mod-index-code* [*code func*]:  
 $\text{index-of-nat } n \text{ mod index-of-nat } m = \text{index-of-nat } (n \text{ mod } m)$   
**by** *simp*

**definition** [*simp, code func del*]:  
 $n \leq m \iff \text{nat-of-index } n \leq \text{nat-of-index } m$

**definition** [*simp, code func del*]:  
 $n < m \iff \text{nat-of-index } n < \text{nat-of-index } m$

**lemma** *less-eq-index-code* [*code func*]:  
 $\text{index-of-nat } n \leq \text{index-of-nat } m \iff n \leq m$   
**by** *simp*

**lemma** *less-index-code* [*code func*]:  
 $\text{index-of-nat } n < \text{index-of-nat } m \iff n < m$   
**by** *simp*

**instance** *by default* (*auto simp add: left-distrib index*)

**end**

**lemma** *Suc-index-minus-one*:  $\text{Suc-index } n - 1 = n$  **by** *simp*

**lemma** *index-of-nat-code* [*code*]:  
 $\text{index-of-nat} = \text{of-nat}$   
**proof**  
  **fix**  $n :: \text{nat}$   
  **have**  $\text{of-nat } n = \text{index-of-nat } n$   
  **by** (*induct n*) *simp-all*  
  **then show**  $\text{index-of-nat } n = \text{of-nat } n$   
  **by** (*rule sym*)  
**qed**

**lemma** *index-not-eq-zero*:  $i \neq \text{index-of-nat } 0 \iff i \geq 1$   
**by** (*cases i*) *auto*

**definition**  
 $\text{nat-of-index-aux} :: \text{index} \Rightarrow \text{nat} \Rightarrow \text{nat}$   
**where**  
 $\text{nat-of-index-aux } i \ n = \text{nat-of-index } i + n$

**lemma** *nat-of-index-aux-code* [*code*]:  
 $\text{nat-of-index-aux } i \ n = (\text{if } i = 0 \text{ then } n \text{ else } \text{nat-of-index-aux } (i - 1) (\text{Suc } n))$   
**by** (*auto simp add: nat-of-index-aux-def index-not-eq-zero*)

**lemma** *nat-of-index-code* [*code*]:  
 $\text{nat-of-index } i = \text{nat-of-index-aux } i \ 0$   
**by** (*simp add: nat-of-index-aux-def*)

## 21.4 ML interface

```
ML <<
  structure Index =
    struct

      fun mk k = HOLogic.mk-number @ {typ index} k;

    end;
  >>
```

## 21.5 Specialized $op -$ , $op div$ and $op mod$ operations

### definition

$minus-index-aux :: index \Rightarrow index \Rightarrow index$

### where

$[code\ func\ del]: minus-index-aux = op -$

**lemma**  $[code\ func]: op - = minus-index-aux$

**using**  $minus-index-aux-def$  **..**

### definition

$div-mod-index :: index \Rightarrow index \Rightarrow index \times index$

### where

$[code\ func\ del]: div-mod-index\ n\ m = (n\ div\ m, n\ mod\ m)$

**lemma**  $[code\ func]:$

$div-mod-index\ n\ m = (if\ m = 0\ then\ (0, n)\ else\ (n\ div\ m, n\ mod\ m))$

**unfolding**  $div-mod-index-def$  **by**  $auto$

**lemma**  $[code\ func]:$

$n\ div\ m = fst\ (div-mod-index\ n\ m)$

**unfolding**  $div-mod-index-def$  **by**  $simp$

**lemma**  $[code\ func]:$

$n\ mod\ m = snd\ (div-mod-index\ n\ m)$

**unfolding**  $div-mod-index-def$  **by**  $simp$

## 21.6 Code serialization

Implementation of indices by bounded integers

**code-type**  $index$

$(SML\ int)$

$(OCaml\ int)$

$(Haskell\ Int)$

**code-instance**  $index :: eq$

$(Haskell\ -)$

```

setup <<
  fold (Numeral.add-code @{const-name number-index-inst.number-of-index}
    false false) [SML, OCaml, Haskell]
  >>

code-reserved SML Int int
code-reserved OCaml Pervasives int

code-const op + :: index ⇒ index ⇒ index
  (SML Int.+ / ((-), / (-)))
  (OCaml Pervasives.( + ))
  (Haskell infixl 6 +)

code-const minus-index-aux :: index ⇒ index ⇒ index
  (SML Int.max / (- / - / -, / 0 : int))
  (OCaml Pervasives.max / (- / - / -) / (0 : int) )
  (Haskell max / (- / - / -) / (0 :: Int))

code-const op * :: index ⇒ index ⇒ index
  (SML Int.* / ((-), / (-)))
  (OCaml Pervasives.( * ))
  (Haskell infixl 7 *)

code-const div-mod-index
  (SML (fn n => fn m => / (n div m, n mod m)))
  (OCaml (fun n -> fun m -> / (n ' / m, n mod m)))
  (Haskell divMod)

code-const op = :: index ⇒ index ⇒ bool
  (SML !((- : Int.int) = -))
  (OCaml !((- : int) = -))
  (Haskell infixl 4 ==)

code-const op ≤ :: index ⇒ index ⇒ bool
  (SML Int.<= / ((-), / (-)))
  (OCaml !((- : int) <= -))
  (Haskell infix 4 <=)

code-const op < :: index ⇒ index ⇒ bool
  (SML Int.< / ((-), / (-)))
  (OCaml !((- : int) < -))
  (Haskell infix 4 <)

end

```

## 22 Implementation of natural numbers by target-language integers

```
theory Efficient-Nat
imports Code-Integer Code-Index
begin
```

When generating code for functions on natural numbers, the canonical representation using  $0$  and  $Suc$  is unsuitable for computations involving large numbers. The efficiency of the generated code can be improved drastically by implementing natural numbers by target-language integers. To do this, just include this theory.

### 22.1 Basic arithmetic

Most standard arithmetic functions on natural numbers are implemented using their counterparts on the integers:

```
code-datatype number-nat-inst.number-of-nat
```

```
lemma zero-nat-code [code, code unfold]:
   $0 = (\text{Numeral0} :: \text{nat})$ 
by simp
lemmas [code post] = zero-nat-code [symmetric]
```

```
lemma one-nat-code [code, code unfold]:
   $1 = (\text{Numeral1} :: \text{nat})$ 
by simp
lemmas [code post] = one-nat-code [symmetric]
```

```
lemma Suc-code [code]:
   $\text{Suc } n = n + 1$ 
by simp
```

```
lemma plus-nat-code [code]:
   $n + m = \text{nat } (\text{of-nat } n + \text{of-nat } m)$ 
by simp
```

```
lemma minus-nat-code [code]:
   $n - m = \text{nat } (\text{of-nat } n - \text{of-nat } m)$ 
by simp
```

```
lemma times-nat-code [code]:
   $n * m = \text{nat } (\text{of-nat } n * \text{of-nat } m)$ 
unfolding of-nat-mult [symmetric] by simp
```

Specialized *op div* and *op mod* operations.

```
definition
```



$\text{divmod-aux} :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \times \text{nat}$   
**where**  
 $[\text{code func del}]: \text{divmod-aux} = \text{divmod}$

**lemma**  $[\text{code func}]$ :  
 $\text{divmod } n \ m = (\text{if } m = 0 \text{ then } (0, n) \text{ else } \text{divmod-aux } n \ m)$   
**unfolding**  $\text{divmod-aux-def divmod-div-mod}$  **by**  $\text{simp}$

**lemma**  $\text{divmod-aux-code } [\text{code}]$ :  
 $\text{divmod-aux } n \ m = (\text{nat } (\text{of-nat } n \ \text{div } \text{of-nat } m), \text{nat } (\text{of-nat } n \ \text{mod } \text{of-nat } m))$   
**unfolding**  $\text{divmod-aux-def divmod-div-mod zdiv-int [symmetric] zmod-int [symmetric]}$   
**by**  $\text{simp}$

**lemma**  $\text{eq-nat-code } [\text{code}]$ :  
 $n = m \longleftrightarrow (\text{of-nat } n :: \text{int}) = \text{of-nat } m$   
**by**  $\text{simp}$

**lemma**  $\text{less-eq-nat-code } [\text{code}]$ :  
 $n \leq m \longleftrightarrow (\text{of-nat } n :: \text{int}) \leq \text{of-nat } m$   
**by**  $\text{simp}$

**lemma**  $\text{less-nat-code } [\text{code}]$ :  
 $n < m \longleftrightarrow (\text{of-nat } n :: \text{int}) < \text{of-nat } m$   
**by**  $\text{simp}$

## 22.2 Case analysis

Case analysis on natural numbers is rephrased using a conditional expression:

**lemma**  $[\text{code func}, \text{code unfold}]$ :  
 $\text{nat-case} = (\lambda f \ g \ n. \text{if } n = 0 \text{ then } f \text{ else } g \ (n - 1))$   
**by**  $(\text{auto simp add: expand-fun-eq dest!: gr0-implies-Suc})$

## 22.3 Preprocessors

In contrast to  $\text{Suc } n$ , the term  $n + 1$  is no longer a constructor term. Therefore, all occurrences of this term in a position where a pattern is expected (i.e. on the left-hand side of a recursion equation or in the arguments of an inductive relation in an introduction rule) must be eliminated. This can be accomplished by applying the following transformation rules:

**lemma**  $\text{Suc-if-eq}: (\bigwedge n. f \ (\text{Suc } n) = h \ n) \implies f \ 0 = g \implies$   
 $f \ n = (\text{if } n = 0 \text{ then } g \text{ else } h \ (n - 1))$   
**by**  $(\text{case-tac } n) \ \text{simp-all}$

**lemma**  $\text{Suc-clause}: (\bigwedge n. P \ n \ (\text{Suc } n)) \implies n \neq 0 \implies P \ (n - 1) \ n$   
**by**  $(\text{case-tac } n) \ \text{simp-all}$

The rules above are built into a preprocessor that is plugged into the code

generator. Since the preprocessor for introduction rules does not know anything about modes, some of the modes that worked for the canonical representation of natural numbers may no longer work.

## 22.4 Target language setup

For ML, we map *nat* to target language integers, where we assert that values are always non-negative.

```
code-type nat
  (SML int)
  (OCaml Big'-int.big'-int)

types-code
  nat (int)
attach (term-of) ⟨⟨
  val term-of-nat = HOLogic.mk-number HOLogic.natT;
  ⟩⟩
attach (test) ⟨⟨
  fun gen-nat i =
    let val n = random-range 0 i
    in (n, fn () => term-of-nat n) end;
  ⟩⟩
```

For Haskell we define our own *nat* type. The reason is that we have to distinguish type class instances for *nat* and *int*.

```
code-include Haskell Nat ⟨⟨
  newtype Nat = Nat Integer deriving (Show, Eq);

  instance Num Nat where {
    fromInteger k = Nat (if k >= 0 then k else 0);
    Nat n + Nat m = Nat (n + m);
    Nat n - Nat m = fromInteger (n - m);
    Nat n * Nat m = Nat (n * m);
    abs n = n;
    signum - = 1;
    negate n = error negate Nat;
  };

  instance Ord Nat where {
    Nat n <= Nat m = n <= m;
    Nat n < Nat m = n < m;
  };

  instance Real Nat where {
    toRational (Nat n) = toRational n;
  };

  instance Enum Nat where {
```

```

    toEnum k = fromInteger (toEnum k);
    fromEnum (Nat n) = fromEnum n;
  };

instance Integral Nat where {
  toInteger (Nat n) = n;
  divMod n m = quotRem n m;
  quotRem (Nat n) (Nat m) = (Nat k, Nat l) where (k, l) = quotRem n m;
};

```

**code-reserved** *Haskell Nat*

**code-type** *nat*  
*(Haskell Nat)*

**code-instance** *nat :: eq*  
*(Haskell -)*

Natural numerals.

**lemma** [*code inline, symmetric, code post*]:  
*nat (number-of i) = number-nat-inst.number-of-nat i*  
 — this interacts as desired with *number-of ?v = nat (number-of ?v)*  
**by** (*simp add: number-nat-inst.number-of-nat*)

**setup** «  
*fold (Numeral.add-code @{const-name number-nat-inst.number-of-nat}*  
*true false) [SML, OCaml, Haskell]*  
 »

Since natural numbers are implemented using integers in ML, the coercion function *of-nat* of type *nat*  $\Rightarrow$  *int* is simply implemented by the identity function. For the *nat* function for converting an integer to a natural number, we give a specific implementation using an ML function that returns its input value, provided that it is non-negative, and otherwise returns 0.

**definition**

*int :: nat*  $\Rightarrow$  *int*

**where**

[*code func del*]: *int = of-nat*

**lemma** *int-code'* [*code func*]:  
*int (number-of l) = (if neg (number-of l :: int) then 0 else number-of l)*  
**unfolding** *int-nat-number-of* [*folded int-def*] ..

**lemma** *nat-code'* [*code func*]:  
*nat (number-of l) = (if neg (number-of l :: int) then 0 else number-of l)*  
**by** *auto*

**lemma** *of-nat-int* [*code unfold*]:

```

of-nat = int by (simp add: int-def)
declare of-nat-int [symmetric, code post]

```

```

code-const int
  (SML -)
  (OCaml -)

```

```

consts-code
  int ((-))
  nat ((module)nat)
attach ⟨⟨
fun nat i = if i < 0 then 0 else i;
⟩⟩

```

```

code-const nat
  (SML IntInf.max / (/0,/ -))
  (OCaml Big'-int.max'-big'-int / Big'-int.zero'-big'-int)

```

For Haskell, things are slightly different again.

```

code-const int and nat
  (Haskell toInteger and fromInteger)

```

Conversion from and to indices.

```

code-const index-of-nat
  (SML IntInf.toInt)
  (OCaml Big'-int.int'-of'-big'-int)
  (Haskell toEnum)

```

```

code-const nat-of-index
  (SML IntInf.fromInt)
  (OCaml Big'-int.big'-int'-of'-int)
  (Haskell fromEnum)

```

Using target language arithmetic operations whenever appropriate

```

code-const op + :: nat ⇒ nat ⇒ nat
  (SML IntInf.+ ((-), (-)))
  (OCaml Big'-int.add'-big'-int)
  (Haskell infixl 6 +)

```

```

code-const op * :: nat ⇒ nat ⇒ nat
  (SML IntInf.* ((-), (-)))
  (OCaml Big'-int.mult'-big'-int)
  (Haskell infixl 7 *)

```

```

code-const divmod-aux
  (SML IntInf.divMod / ((-), / (-)))
  (OCaml Big'-int.quomod'-big'-int)
  (Haskell divMod)

```

```

code-const op = :: nat ⇒ nat ⇒ bool
  (SML !((- : IntInf.int) = -))
  (OCaml Big'-int.eq'-big'-int)
  (Haskell infixl 4 ==)

code-const op ≤ :: nat ⇒ nat ⇒ bool
  (SML IntInf.<= ((-), (-)))
  (OCaml Big'-int.le'-big'-int)
  (Haskell infix 4 <=)

code-const op < :: nat ⇒ nat ⇒ bool
  (SML IntInf.< ((-), (-)))
  (OCaml Big'-int.lt'-big'-int)
  (Haskell infix 4 <)

consts-code
  0                                (0)
  Suc                            ((- +/ 1))
  op + :: nat ⇒ nat ⇒ nat    ((- +/ -))
  op * :: nat ⇒ nat ⇒ nat    ((- */ -))
  op ≤ :: nat ⇒ nat ⇒ bool  ((- <=/ -))
  op < :: nat ⇒ nat ⇒ bool  ((- </ -))

Module names

code-modulename SML
  Nat Integer
  Divides Integer
  Efficient-Nat Integer

code-modulename OCaml
  Nat Integer
  Divides Integer
  Efficient-Nat Integer

code-modulename Haskell
  Nat Integer
  Divides Integer
  Efficient-Nat Integer

hide const int

end

theory example-Z4Z2
  imports
    BPL-classes-2008
    Efficient-Nat

```

begin

## 23 An example of the BPL: a reduction from $Z^4$ to $Z^2$

We fit a concrete example of the BPL into the code previously generated

The example is the following: we have a "big" differential group,  $D = Z^4$ , with componentwise addition, and a differential defined as  $d_D x = (0, 2 * fst(x), 0, thrd(x))$ ; the homotopy operator is given by  $hx = (0, 0, frthx, 0)$  and the perturbation is  $\delta_D x = (0, fst(x) + thrd(x), 0, fst(x))$ ; the nilpotency condition in this example is globally satisfied for  $n = 2$

This means that  $\forall x. (\delta_D \circ h)^2(x) = 0$ ; we will later prove this in Isabelle.

The small differential group is defined as  $C = Z^2$ , with componentwise addition, and a differential defined as  $d_C(x) = (0, fst(x) + fst(x))$

Then,  $f(x) = (fst(x), snd(x))$ ,  $g(x) = (fst(x), snd(x), 0, 0)$  and  $h(x) = (0, 0, frth(x), 0)$

In order to apply the example in Isabelle, we first define a type representing the 4-tuples, which will be our representation of  $Z^4$

The following definitions and concrete syntax have been mainly extracted from file *Product-Type.thy*, resembling the ones given there for pairs.

The generic product was not instantiable with a single parameter type (*int* in our case), since this gave place to a too restrictive instance. This is why we produced our own single parameterized product type for pairs and four tuples.

The data type has been defined just to allow us to generate code, which means that a very small number of facts are available about it

### 23.1 Type definition for $Z^2$

The following type definition represents tuples. We will use it to represent  $Z^2$ . There exists already a type representing products in HOL, but it cannot be *instantiated* with a single parameter type (in our case,  $Z$ ), since the type obtained is too restrictive with respect to the type representation.

Therefore, we defined our own product type with only a single type parameter.

This type will be also used later to obtain a type representing  $Z^4$ .

**datatype**  $'a$  *SProd* = *SPair*  $'a$   $'a$

Some basic definitions over the previous type:

**definition** *fst-spair* ::  $'a$  *SProd*  $\Rightarrow$   $'a$   
**where** *fst-spair*  $p$  = (*THE*  $a$ . *EX*  $b$ .  $p$  = *SPair*  $a$   $b$ )

**definition** *snd-spair* ::  $'a$  *SProd*  $\Rightarrow$   $'a$   
**where** *snd-spair*  $p$  == (*THE*  $b$ . *EX*  $a$ .  $p$  = *SPair*  $a$   $b$ )

We omit the previous definitions from the code generator, since they do not have an executable content.

**lemmas** [*code del*] = *fst-spair-def* *snd-spair-def*

## 23.2 Concrete syntax

Special syntax for the produced type:

**translations**  $[(x, y)]$  == *SPair*  $x$   $y$

**instance** *SProd* :: (*type*) *type* ..

## 23.3 Lemmas and proof tool setup

**lemma** *SPair-eq* [*iff*]:  
 $[(a, b)] = [(a', b')] = (a = a' \ \& \ b = b')$   
**by** *simp*

**lemma** *fst-spair-conv* [*simp, code*]:  
*fst-spair*  $[(a, b)] = a$   
**unfolding** *fst-spair-def* **by** *blast*

**lemma** *snd-spair-conv* [*simp, code*]:  
*snd-spair*  $[(a, b)] = b$   
**unfolding** *snd-spair-def* **by** *blast*

**lemma** *fst-spair-eqD*:  
*fst-spair*  $[(x, y)] = a \implies x = a$   
**by** *simp*

**lemma** *snd-spair-eqD*:  
*snd-spair*  $[(x, y)] = a \implies y = a$   
**by** *simp*

**lemma** *surjective-spair*:  
 $p = [(fst\text{-}spair\ p, snd\text{-}spair\ p)]$   
— Do not add as rewrite rule: invalidates some proofs in IMP  
**by** (*cases*  $p$ ) *simp*

**lemma** *split-SPair-all*:

```

  (!!x. PROP P x) == (!!a b. PROP P [(a, b)])
proof
  fix a b
  assume !!x. PROP P x
  then show PROP P [(a, b)] .
next
  fix x
  assume !!a b. PROP P [(a, b)]
  show PROP P x
    using surjective-spair [of x::'a SProd]
    using ⟨PROP P [(fst-spair (x::'a SProd), snd-spair x)]⟩
    by simp
qed

```

We now prove that the introduced datatype is an instance of the type classes needed in the BPL

As far as *int* is not a type class, but a type constructor, we will use *Ring.ring* as a type class.

Therefore, we prove that our type constructor *SProd* with suitable operations is a valid instance of the type class *(ring) diff-group-add*

Being *int* a valid instance of *Ring.ring*, we can then, in the code generation phase, replace *Ring.ring* with the concrete structure *int*

```
instance SProd :: (eq) eq ..
```

```
lemma [code func]:
  [(x1::'a::eq, x2)] = [(y1, y2)]  $\longleftrightarrow$  x1 = y1  $\wedge$  x2 = y2
  by auto
```

```
instantiation SProd :: ({eq,ring}) ab-semigroup-add
begin
```

```
definition SProd-plus-def:
  a + b = [( (fst-spair a + fst-spair b), (snd-spair a + snd-spair b))]
```

```
instance
by default (simp-all add: split-SPair-all SProd-plus-def)
```

```
end
```

```
instantiation SProd :: ({eq,ring}) comm-monoid-add
begin
```

```
definition SProd-zero-def: 0 = [(0, 0)]
```

```
instance by default (simp-all add: split-SPair-all SProd-plus-def SProd-zero-def)
```



```

end

instantiation SProd :: ({eq,ring}) ab-group-add
begin

definition SProd-uminus-def:
  - x = [( - fst-spair x, - snd-spair x)]

definition SProd-minus-def:
  (x::'a SProd) - y = (x + (- y))

instance
  apply default
  unfolding split-SPair-all
  unfolding SProd-zero-def
  unfolding SProd-minus-def
  unfolding SProd-uminus-def
  unfolding SProd-plus-def by simp-all

end

instantiation SProd:: ({eq,ring}) diff-group-add
begin

definition SProd-diff-def:
  diff x ≡ [( 0, fst-spair x + fst-spair x)]

instance
  apply default
  unfolding split-SPair-all
  unfolding expand-fun-eq
  unfolding o-apply
  unfolding SProd-diff-def
  unfolding SProd-plus-def
  unfolding SProd-zero-def by simp-all

end

```

## 23.4 Type definition for $Z^4$

```

datatype 'a Quad-type-const = Quad 'a SProd 'a SProd

```

## 23.5 Definitions over the given type.

```

definition fst-quad :: 'a Quad-type-const => 'a
  where fst-quad p == THE a. EX b c e. p = Quad (SPair a b) (SPair c e)

```

```

definition snd-quad :: 'a Quad-type-const => 'a
  where snd-quad p == THE b. EX a c e. p = Quad (SPair a b) (SPair c e)

```

**definition** *thrd-quad* :: 'a Quad-type-const => 'a  
**where** *thrd-quad* p == THE c. EX a b e. p = Quad (SPair a b) (SPair c e)

**definition** *frth-quad* :: 'a Quad-type-const => 'a  
**where** *frth-quad* p == THE e. EX a b c. p = Quad (SPair a b) (SPair c e)

We delete the previous definitions from the code generator setup, since they do not have an executable meaning.

**lemmas** [code del] = *fst-quad-def snd-quad-def thrd-quad-def frth-quad-def*

## 23.6 Concrete syntax.

**translations**

$[(x, y, z, t)] == \text{Quad } (\text{SPair } x \ y) \ (\text{SPair } z \ t)$

**instance** *Quad-type-const* :: (type) type ..

## 23.7 Lemmas and proof tool setup.

**lemma**  $[(a, b, c, e)] = [(a', b', c', e')]$   
 $= (a = a' \ \& \ b = b' \ \& \ c = c' \ \& \ e = e')$   
**by** *simp*

**lemma** *Quad-eq* [iff]:  
 $[(a, b, c, e)] = [(a', b', c', e')]$   
 $= (a = a' \ \& \ b = b' \ \& \ c = c' \ \& \ e = e')$   
**by** *auto*

**lemma** *fst-quad-conv* [simp,code]:  
 $\text{fst-quad } [(a, b, c, e)] = a$   
**unfolding** *fst-quad-def* **by** *blast*

**lemma** *snd-quad-conv* [simp,code]:  
 $\text{snd-quad } [(a, b, c, e)] = b$   
**unfolding** *snd-quad-def* **by** *blast*

**lemma** *thrd-quad-conv* [simp,code]:  
 $\text{thrd-quad } [(a, b, c, e)] = c$   
**unfolding** *thrd-quad-def* **by** *blast*

**lemma** *frth-quad-conv* [simp,code]:  
 $\text{frth-quad } [(a, b, c, e)] = e$   
**unfolding** *frth-quad-def* **by** *blast*

**lemma** *fst-quad-eqD*:  
 $\text{fst-quad } [(x, y, z, t)] = a ==> x = a$   
**by** *simp*

**lemma** *snd-quad-eqD*:

```

  snd-quad [(x, y, z, t)] = a ==> y = a
  by simp

lemma thrd-quad-eqD:
  thrd-quad [(x, y, z, t)] = a ==> z = a
  by simp

lemma frth-quad-eqD:
  frth-quad [(x, y, z, t)] = a ==> t = a
  by simp

lemma surjective-quad:
  p = [(fst-quad p, snd-quad p, thrd-quad p, frth-quad p)]
proof (cases p)
  fix SProd1 SProd2
  show p = Quad SProd1 SProd2 ==>
    p = Quad
      (SPair (fst-quad p) (snd-quad p))
      (SPair (thrd-quad p) (frth-quad p))
  by (cases SProd1, cases SProd2, auto simp add: surjective-spair)
qed

lemma split-Quad-all:
  (!!x. PROP P x) == (!!a b c e. PROP P [(a, b, c, e)])
proof
  fix a b c e
  assume !!x. PROP P x
  then show PROP P [(a, b, c, e)] .
next
  fix x
  assume !!a b c e. PROP P [(a, b, c, e)]
  from ⟨PROP P [(fst-quad (x::'a Quad-type-const),
    snd-quad x, thrd-quad x, frth-quad x)]⟩
  sym [OF surjective-quad [of x::'a Quad-type-const]]
  show PROP P x by simp
qed

```

We now prove that the introduced four tuples data type is an instance of the type classes needed in the BPL

As far as *int* is not a type class, but a type constructor, we will use *ring* as a type class.

Therefore, we prove that our type constructor *Quad-type-const* with suitable operations is a valid instance of the type class (*ring*) *diff-group-add-pert-hom-bound-exist*

Being *int* a valid instance of *ring*, we can then, in the code generation phase, replace *ring* by its concrete structure *int*

Note that giving an instance of *diff-group-add-pert-hom-bound-exist* requires

proving that *(ring) diff-group-add-pert-hom-bound-exist* is a differential group, with a perturbation and a homotopy operator, which also satisfy the nilpotency condition. This type class contains all definitions involved in the specification of the series  $\Phi$  and  $\Psi$

**instance** *Quad-type-const* :: (eq) eq ..

**lemma** [*code func*]:

$[(x1::'a::eq, x2, x3, x4)] = [(y1, y2, y3, y4)]$   
 $\longleftrightarrow x1 = y1 \wedge x2 = y2 \wedge x3 = y3 \wedge x4 = y4$   
**by** *auto*

**instantiation** *Quad-type-const* :: ({eq,ring}) *ab-semigroup-add*  
**begin**

**definition** *Quad-type-const-plus-def*:

$a + b = [(fst-quad\ a + fst-quad\ b), (snd-quad\ a + snd-quad\ b),$   
 $(thrd-quad\ a + thrd-quad\ b), (frth-quad\ a + frth-quad\ b)]$

**instance**

**by default** (*simp-all add: split-Quad-all Quad-type-const-plus-def*)

**end**

**instantiation** *Quad-type-const* :: ({eq,ring}) *comm-monoid-add*  
**begin**

**definition** *Quad-type-const-zero-def*:

$0 \equiv [(0, 0, 0, 0)]$

**instance by default** (*simp-all add: split-Quad-all*  
*Quad-type-const-plus-def Quad-type-const-zero-def*)

**end**

**instantiation** *Quad-type-const* :: ({eq,ring}) *ab-group-add*  
**begin**

**definition** *Quad-type-const-uminus-def*:

$- x = [(-fst-quad\ x, -snd-quad\ x, -thrd-quad\ x, -frth-quad\ x)]$

**definition** *Quad-type-const-minus-def*:

$(x::'a\ Quad-type-const) - y = x + (-y)$

**instance**

**apply default**

**unfolding** *Quad-type-const-uminus-def*

**unfolding** *Quad-type-const-plus-def*

**unfolding** *Quad-type-const-zero-def*

**unfolding** *Quad-type-const-minus-def*

```

    unfolding Quad-type-const-uminus-def
    unfolding Quad-type-const-plus-def by simp-all

end

instantiation Quad-type-const :: ({eq,ring}) diff-group-add
begin

definition Quad-type-const-diff-def:
  diff x  $\equiv$  [( 0, fst-quad x + fst-quad x, 0, thrd-quad x)]

instance
  apply default
  unfolding expand-fun-eq
  unfolding o-apply
  unfolding Quad-type-const-diff-def
  unfolding Quad-type-const-plus-def
  unfolding Quad-type-const-zero-def
  unfolding Quad-type-const-minus-def
  unfolding Quad-type-const-uminus-def by simp-all

end

instantiation Quad-type-const :: ({eq,ring}) diff-group-add-pert
begin

definition Quad-type-const-pert-def:
  pert x  $\equiv$  [( 0, fst-quad x + thrd-quad x, 0, fst-quad x)]

instance apply default
  unfolding Quad-type-const-pert-def
  unfolding Quad-type-const-plus-def
  apply simp
  unfolding diff-group-add-def
  unfolding diff-group-add-axioms-def
  unfolding ab-group-add-def
  unfolding ab-group-add-axioms-def
  unfolding comm-monoid-add-def
  unfolding comm-monoid-add-axioms-def
  unfolding ab-semigroup-add-def
  unfolding ab-semigroup-add-axioms-def
  unfolding semigroup-add-def
  unfolding Quad-type-const-plus-def
  unfolding Quad-type-const-zero-def
  unfolding Quad-type-const-uminus-def Quad-type-const-minus-def
  unfolding Quad-type-const-diff-def
  unfolding Quad-type-const-plus-def
  unfolding expand-fun-eq
  by (simp add: sym [OF surjective-quad])

```

```

end

instantiation Quad-type-const :: ({eq,ring}) diff-group-add-pert-hom
begin

definition Quad-type-const-hom-oper-def:
  hom-oper  $x \equiv [(0, 0, \text{frth-quad } x, 0)]$ 

instance apply default
  unfolding split-Quad-all
  unfolding Quad-type-const-hom-oper-def
  unfolding Quad-type-const-plus-def
  unfolding Quad-type-const-zero-def
  unfolding Quad-type-const-minus-def
  unfolding Quad-type-const-uminus-def
  unfolding expand-fun-eq by auto

end

lemma funpow-2:
  shows  $f^{(2::nat)} = f \circ f$ 
  unfolding numerals (3)
  unfolding funpow.simps (2)
  unfolding funpow.simps (1)
  unfolding o-id ..

instantiation Quad-type-const
  :: ({eq,ring}) diff-group-add-pert-hom-bound-exist
begin

instance proof default
  show  $\forall x::'a \text{ } \text{Quad-type-const}. \exists n. (\alpha \wedge n) x = 0$ 
  proof (rule allI)
    fix  $x :: 'a \text{ } \text{Quad-type-const}$ 
    show  $\exists n. (\alpha \wedge n) x = (0)$ 
    unfolding  $\alpha$ -def
    unfolding Quad-type-const-pert-def
    unfolding Quad-type-const-hom-oper-def
    unfolding Quad-type-const-uminus-def
    unfolding Quad-type-const-zero-def
    apply (rule exI [of - 2::nat])
    unfolding funpow-2 by simp
  qed
qed

end

```

## 23.8 Code generation and examples of execution

**definition** *foos* :: *int Quad-type-const*  
**where** *foos* =  $\Phi [(5::int), 3, 8, 9]$

**definition** *foos-2* :: *int Quad-type-const*  
**where** *foos-2* =  $\Phi [(5::int), (-6), 8, 9]$

**definition** *foos-3* :: *int Quad-type-const*  
**where** *foos-3* =  $\Psi [(5::int), 3, 8, 9]$

**definition** *foos-4* :: *int Quad-type-const*  
**where** *foos-4* =  $\Psi [(5::int), (-6), 8, 9]$

**definition** *foos-local-bound-alpha* :: *nat*  
**where** *foos-local-bound-alpha* = *local-bound*  $\alpha [(5::int), 3, 8, 9]$

**definition** *foos-f* :: *int Quad-type-const* => *int SProd*  
**where** *foos-f* = *f'* ( $\lambda x::int$  *Quad-type-const*.  
(*SProd* (*fst-quad* *x*) (*snd-quad* *x*)))

**definition** *foos-f2* :: *int SProd*  
**where** *foos-f2* = *foos-f* [(4::int), 23, 17, 1]

**definition** *foos-g* :: *int SProd* => *int Quad-type-const*  
**where** *foos-g* = *g'* ( $\lambda x::int$  *SProd*.  
[(*fst-spair* *x*, *snd-spair* *x*, 0, 0)])

**definition** *foos-g2* :: *int Quad-type-const*  
**where** *foos-g2* = *foos-g* [(15::int), 8]

**definition** *foos-h* :: *int Quad-type-const* => *int Quad-type-const*  
**where** *foos-h* = (*h'* :: (*int Quad-type-const* => *int Quad-type-const*))

**definition** *foos-h2* :: *int Quad-type-const*  
**where** *foos-h2* = *foos-h* [(12::int), 22, 19, 6]

**definition** *foos-dC'* :: (*int SProd* => *int SProd*)  
**where** *foos-dC'* =  
*dC'* ( $\lambda x::int$  *Quad-type-const*. *SProd* (*fst-quad* *x*) (*snd-quad* *x*))  
( $\lambda x::int$  *SProd*. [(*fst-spair* *x*, *snd-spair* *x*, 0, 0)])

**definition** *foos-dC'2* :: *int SProd*  
**where** *foos-dC'2* = *foos-dC'* [(5::int), 3]

**export-code**  
*foos*  
*foos-2*  
*foos-local-bound-alpha*  
*foos-3*

```

    foos-4
    foos-f
    foos-f2 foos-g foos-g2 foos-h foos-h2 foos-dC' foos-dC'2
    in SML module-name ExampleZ4Z2 file example-Z4Z2.ML

use example-Z4Z2.ML
ML open ExampleZ4Z2

ML foos
ML foos-2
ML foos-3
ML foos-4
ML foos-f2
ML foos-g2
ML foos-h2
ML foos-dC'2
ML foos-local-bound-alpha

end

```