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# Computing spectral sequences

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#### Abstract

John McCleary insisted in his interesting textbook entitled "User's guide to spectral sequences" on the fact that the tool "spectral sequence" is *not* in the general situation an *algorithm* allowing its user to compute the looked-for homology groups. The present article explains how the notion of "Object with Effective Homology" on the contrary allows the user to recursively obtain all the components of the Serre and Eilenberg–Moore spectral sequences, when the data are objects with effective homology. In particular the computability problem of the higher differentials is solved, the extension problem at abutment is also recursively solved. Furthermore, these methods have been concretely implemented as an extension of the Kenzo computer program. Two typical examples of spectral sequence computations are reported.

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#### 1. Introduction

The computation of homology groups of topological spaces is one of the first problems in Algebraic Topology, and these groups can be difficult to reach, for example, when loop spaces or classifying spaces are involved. The methods of *Effective Homology* (Rubio and Sergeraert, 2002) give in particular to their user *algorithms* replacing the important spectral sequences of Serre and Eilenberg–Moore: when the usual inputs of these spectral sequences are organized as

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objects with effective homology, general algorithms are produced computing, for example, the homology groups of the total space of a fibration, of an arbitrarily iterated loop space (Adams' problem), of a classifying space, etc. The main idea consists in systematically keeping a deep and subtle connection between the homology of any object and the object itself, see Rubio and Sergeraert (2002).

But Spectral Sequences are also a useful tool in Algebraic Topology, providing information on homology groups by successive approximations from the homology of appropriate associated complexes. A Spectral Sequence is a family of "pages"  $\{E_{p,q}^r, d^r\}$  of differential bigraded modules, each page being made of the homology groups of the preceding one. As expressed in McCleary (1985), "knowledge of  $E_{*,*}^r$  and  $d^r$  determines  $E_{*,*}^{r+1}$  but not  $d^{r+1}$ . If we think of a spectral sequence as a black box, then the input is a differential bigraded module, usually  $E_{*,*}^1$ , and, with each turn of the handle, the machine computes a successive homology according to a sequence of differentials. If some differential is unknown, then some other (any other) principle is needed to proceed". In most cases, it is in fact a matter of computability: the higher differentials of the spectral sequence are mathematically defined, but their definition is not constructive, i.e. the differentials are not computable with the usually provided information.

In the case of spectral sequences associated with filtered complexes, a formal expression for the different groups  $E_{p,q}^r$  (as quotients of some subgroups of the filtered complex) is known (Mac Lane, 1994, p. 327), but this expression is not sufficient to compute the  $E_{p,q}^r$  when the initial filtered complex is not of finite type, a frequent situation. It was proved in Rubio and Sergeraert (2002) that the so-called *effective homology* methods on the contrary give *actual* algorithms computing homology groups related to the most common spectral sequences, Serre and Eilenberg–Moore, even when the initial filtered complex is not of finite type. In this paper, the process is somewhat *reversed*: we use the effective homology methods to compute, as a byproduct, the relevant spectral sequence, that is, the whole set of its components. The structure of this spectral sequence can give useful information about the involved construction, for example, about the present transgressions; sometimes this information is more interesting than the final homology groups.

This paper is organized as follows. In Section 2, some preliminary concepts are introduced, recalling some basic definitions and results of Algebraic Topology. In the next section we present the effective homology method for the computation of homology groups; specifically, Section 3.1 includes some formal definitions and results, and in Section 3.2 some indications about the program Kenzo (Dousson et al., 1999) (that implements this method) are given. In Section 4, the main theoretical result which relates the notions of effective homology and spectral sequences is explained. Section 5 contains a description of the main features of the new programs, which can be better understood by means of the examples introduced in Sections 6 and 7. The paper ends with a section of conclusions and further work.

#### 2. Preliminaries

The following definitions and results about some basic notions of Algebraic Topology can be found, for instance, in Mac Lane (1994).

**Definition 1.** A chain complex is a pair (C, d) where  $C = \{C_n\}_{n \in \mathbb{Z}}$  is a graded Abelian group and  $d = \{d_n : C_n \to C_{n-1}\}_{n \in \mathbb{Z}}$  (the differential map) is a graded group homomorphism of degree -1 such that  $d_{n-1}d_n = 0 \ \forall n \in \mathbb{Z}$ . The graded homology group of the chain complex C is  $H(C) = \{H_n(C)\}_{n \in \mathbb{N}}$ , with  $H_n(C) = \text{Ker } d_n/\text{Im } d_{n+1}$ . A chain complex homomorphism

 $f:(A,d_A)\to (B,d_B)$  between two chain complexes  $(A,d_A)$  and  $(B,d_B)$  is a graded group homomorphism (degree 0) such that  $fd_A=d_Bf$ .

**Note 1.** From now on in this paper, the chain complexes we work with are supposed to be  $\mathbb{Z}$ -free, i.e. for each  $n \in \mathbb{Z}$ ,  $C_n$  is a free  $\mathbb{Z}$ -module.

**Definition 2.** A *filtration* F of a chain complex (C, d) is a family of sub-chain complexes  $F_pC \subset C$  (that is,  $d(F_pC) \subset F_pC$ ) such that

$$\cdots \subset F_{p-1}C_n \subset F_pC_n \subset F_{p+1}C_n \subset \cdots \quad \forall n \in \mathbb{Z}.$$

**Note 2.** A filtration F on C induces a filtration on the graded homology group H(C). Let  $i_p: F_pC \hookrightarrow C$  the p-injection, then  $F_p(H(C)) = H(i_p)(H(F_p(C)))$ .

**Definition 3.** A filtration F of a chain complex C is said to be *bounded* if for each degree n there are integers s = s(n) < t = t(n) such that  $F_s C_n = 0$  and  $F_t C_n = C_n$ .

**Definition 4.** A  $\mathbb{Z}$ -bigraded module is a family of  $\mathbb{Z}$ -modules  $E = \{E_{p,q}\}_{p,q \in \mathbb{Z}}$ . A differential  $d: E \to E$  of bidegree (-r, r-1) is a family of homomorphisms of  $\mathbb{Z}$ -modules  $d_{p,q}: E_{p,q} \to E_{p-r,q+r-1}$  for each  $p,q \in \mathbb{Z}$ , with  $d_{p,q} \circ d_{p+r,q-r+1} = 0$ . The homology of E under this differential is the bigraded module  $H(E) \equiv H(E,d) = \{H_{p,q}(E)\}_{p,q \in \mathbb{Z}}$  with  $H_{p,q}(E) = \operatorname{Ker} d_{p,q} / \operatorname{Im} d_{p+r,q-r+1}$ .

**Definition 5.** A Spectral Sequence  $E = \{E^r, d^r\}$  is a family of  $\mathbb{Z}$ -bigraded modules  $E^1, E^2, \ldots$ , each provided with a differential  $d^r = \{d^r_{p,q}\}$  of bidegree (-r, r-1) and with isomorphisms  $H(E^r, d^r) \cong E^{r+1}, r = 1, 2, \ldots$ 

**Remark 6.** We must emphasize here that each  $E^{r+1}$  in the spectral sequence is (up to isomorphism) the bigraded homology module of the preceding  $(E^r, d^r)$ . Therefore if we know the stage r in the spectral sequence  $(E^r, d^r)$  we can build the bigraded module at the stage r+1,  $E^{r+1}$ , but this cannot define the next differential  $d^{r+1}$  which therefore must be independently defined too. This means, as said in Section 1, that a spectral sequence is not an algorithm that a machine can compute automatically: at each level r some extra information is needed and obviously a computer is not able to obtain this information.

**Note 3.** A spectral sequence can be presented as a tower

$$0 = B^1 \subset B^2 \subset B^3 \subset \cdots \subset C^3 \subset C^2 \subset C^1 = E^1$$

of bigraded submodules of  $E^1$ , where  $E^{r+1}=C^r/B^r$  and the differential  $d^{r+1}$  can be taken as a mapping  $C^r/B^r\to C^r/B^r$ , with kernel  $C^{r+1}/B^r$  and image  $B^{r+1}/B^r$ .

We say that the module  $C^{r-1}$  is the set of elements that *live till stage* r, while  $B^{r-1}$  is the module of elements that *bound by stage* r. Let  $C^{\infty} = \bigcap_r C^r$  the submodule of  $E^1$  of elements that *survive forever* and  $B^{\infty} = \bigcup_r B^r$  the submodule of those elements which *eventually bound*. It is clear that  $B^{\infty} \subset C^{\infty}$  and therefore the spectral sequence determines a bigraded module:

$$E_{p,q}^{\infty} = C_{p,q}^{\infty} / B_{p,q}^{\infty}$$

which is the bigraded module that remains after the computation of the infinite sequence of successive homologies.

**Definition 7.** A spectral sequence  $(E^r, d^r)$  is said to *converge* to a graded module H (denoted by  $E^1 \Rightarrow H$ ) if there is a filtration F of H and for each p isomorphisms  $E_p^\infty \cong F_pH/F_{p-1}H$  of graded modules.

**Theorem 8** (Theorem 3.1, Chapter XI, in Mac Lane (1994, p. 327)). Each filtration F of a chain complex (C, d) determines a spectral sequence  $(E^r, d^r)$ , defined by

$$E_{p,q}^{r} = \frac{Z_{p,q}^{r} \cup F_{p-1}C_{p+q}}{dZ_{p+r-1,q-r+2}^{r-1} \cup F_{p-1}C_{p+q}}$$

where  $Z_{p,q}^r$  is the submodule  $[a|a \in F_pC_{p+q}, d(a) \in F_{p-r}C_{p+q-1}]$ , and  $d^r: E_{p,q}^r \to E_{p-r,q+r-1}^r$  is the homomorphism induced on these subquotients by the differential map  $d: C \to C$ .

If F is bounded,  $E^1 \Rightarrow H(C)$ ; more explicitly,  $E_{p,q}^{\infty} \cong F_p(H_{p+q}C)/F_{p-1}(H_{p+q}C)$  (with  $F_p(HC)$  induced by the filtration F, as explained in Note 2).

**Note 4.** In most cases, this formal expression is not sufficient to compute the  $E_{p,q}^r$ , because the subgroups  $Z_{p,q}^r$  that appear there are not of finite type in many situations and in those contexts they cannot be precisely represented in computer memory. Hence this construction does not allow us to obtain explicitly spectral sequences associated with every filtered complex, only in very simple cases.

# 3. Effective homology

As said before, spectral sequences are a useful tool in Algebraic Topology, but they cannot be determined in general, only in some elementary cases. On the contrary, the effective homology method provides real algorithms for the computation of homology groups. In fact, the program Kenzo (that will be presented in Section 3.2) uses the notion of *object with effective homology* to compute homology groups, and has obtained the homology groups of some complicated spaces related to the most common spectral sequences, those of Serre and Eilenberg–Moore.

## 3.1. Definitions and fundamental results

In this section we present some definitions, including the notion of object with effective homology, which plays an important role in Kenzo (for the computation of homology groups) and in our new programs. More details can be found in Rubio and Sergeraert (2002).

**Definition 9.** A reduction  $\rho \equiv (D \Rightarrow C)$  between two chain complexes is a triple (f, g, h) where: (a) The components f and g are chain complex morphisms  $f: D \to C$  and  $g: C \to D$ ; (b) The component h is a homotopy operator  $h: D \to D$  (a graded group homomorphism of degree +1); (c) The following relations are satisfied: (1)  $fg = \mathrm{id}_C$ ; (2)  $gf + d_Dh + hd_D = \mathrm{id}_D$ ; (3) fh = 0; (4) hg = 0; (5) hh = 0.

**Remark 10.** These relations express that D is the direct sum of C and a contractible (acyclic) complex. This decomposition is simply  $D = \operatorname{Ker} f \oplus \operatorname{Im} g$ , with  $\operatorname{Im} g \cong C$  and  $H(\operatorname{Ker} f) = 0$ . In particular, this implies that the graded homology groups H(D) and H(C) are canonically isomorphic.

**Definition 11.** A (strong chain) equivalence between the complexes C and E (denoted by  $C \iff E$ ) is a triple  $(D, \rho, \rho')$  where D is a chain complex,  $\rho$  and  $\rho'$  are reductions from D over C and E respectively:  $C \iff D \xrightarrow{\rho'} E$ .

**Note 5.** An effective chain complex is essentially a free chain complex C where each group  $C_n$  is finitely generated, and there is an algorithm that returns a  $\mathbb{Z}$ -base in each degree n (for details, see Rubio and Sergeraert (2002)).

**Definition 12.** An *object with effective homology* is a triple  $(X, HC, \varepsilon)$  where HC is an effective chain complex and  $\varepsilon$  is an equivalence between a free chain complex canonically associated with X and HC.

**Note 6.** It is important to understand that in general the HC component of an object with effective homology is *not* made of the homology groups of X; this component HC is a free  $\mathbb{Z}$ -chain complex of finite type, in general with a non-null differential, allowing to *compute* the homology groups of X; the justification is the equivalence  $\varepsilon$ .

In this way, the notion of object with effective homology makes it possible to compute homology groups of complicated spaces by means of homology groups of effective complexes (which can easily be obtained using some elementary operations). This method is based on the following idea: given some topological spaces  $X_1, \ldots, X_n$ , a topological constructor  $\Phi$  produces a new topological space X. If effective homology versions of the spaces  $X_1, \ldots, X_n$  are known, then an effective homology version of the space X can also be built, and this version allows us to compute the homology groups of X. Some typical examples of this kind of situation are Serre's and Eilenberg–Moore spectral sequences, as we explain in the next two paragraphs.

**Example 13** (Serre Spectral Sequence). Given a fibration  $G \hookrightarrow E \to B$  (the initial data are the fibre space G, the base space B, and the twisting operator  $\tau: B \to G$ ; the topological constructor  $\Phi$  produces the total space of the fibration,  $E = B \times_{\tau} G$ ) where G and B are objects with effective homology (that is, there exist two homotopy equivalences  $C(G) \stackrel{\mathcal{E}_G}{\Longleftrightarrow} HG$  and  $C(B) \stackrel{\mathcal{E}_B}{\Longleftrightarrow} HB$ , with HG and HB effective complexes), it is possible to obtain the effective homology of the total space E.

The starting point is the Eilenberg–Zilber reduction  $C(B \times G) \Rightarrow C(B) \otimes C(G)$  (see Eilenberg and Zilber (1953)). Applying the Basic Perturbation Lemma (BPL) (see Rubio and Sergeraert (2002)) with a perturbation induced by the twisting operator  $\tau$ , a reduction  $C(B \times_{\tau} G) \Rightarrow C(B) \otimes_t C(G)$  is obtained, where the symbol  $\otimes_t$  represents a twisted (perturbed) tensor product, induced by  $\tau$ . On the other hand, from the effective homologies of B and G, we can construct a new equivalence from the tensorial product  $C(B) \otimes C(G)$  to  $HB \otimes HG$ , and using again the BPL (with the perturbation to be applied to the differential of  $C(B) \otimes C(G)$  to obtain the differential of  $C(B) \otimes_t C(G)$ ) we construct an equivalence from  $C(B) \otimes_t C(G)$  to a new twisted tensor product  $HB \otimes_t HG$ , which is an effective complex. Finally, the composition of the two equivalences is the effective homology of  $B \times_{\tau} G$ .

**Example 14** (*Eilenberg–Moore Spectral Sequence*). Another important example of application of the effective homology method is the case where X is a simply connected space and the constructor  $\Phi = \Omega$  is the loop space functor. In this case, the effective homology of  $\Omega X$  can be computed using the cobar construction on a coalgebra (details can be found in Rubio (1991)).

# 3.2. The Kenzo program

The Kenzo program (Dousson et al., 1999), developed by the third author of this paper and some coworkers, is a Lisp 16,000 lines program devoted to Symbolic Computation in Algebraic Topology. It works with rich and complex algebraic structures (chain complexes, differential graded algebras, simplicial sets, simplicial groups, morphisms between these objects, reductions, etc.) and has obtained some results (for example homology groups of iterated loop spaces of a loop space modified by a cell attachment, components of complex Postnikov towers, etc.) which had never been determined before.

The fundamental idea of the Kenzo system for the computation of homology groups is the notion of object with effective homology. Specifically, to obtain the homology groups of a space X, the program proceeds in the following way: if the complex is effective, then its homology groups can be determined by means of diagonalization of matrices. Otherwise, the program uses the effective homology of the space, which is located in one of its slots.

To roughly explain the general style of Kenzo computations, let us firstly consider a didactical example. The definitions and results about Eilenberg–Mac Lane spaces  $K(\pi, n)$  that appear in this subsection can be found in May (1967). The "minimal" simplicial model of the Eilenberg–Mac Lane space  $K(\mathbb{Z}, 1)$  is defined by  $K(\mathbb{Z}, 1)_n = Z^1(\Delta^n, \mathbb{Z}) = \mathbb{Z}^n$ ; an infinite number of simplices is required in every dimension  $\geq 1$ . This does not prevent such an object from being installed and handled by the Kenzo program.

```
> (setf kz1 (k-z 1))
[K1 Abelian-Simplicial-Group]
```

The k-z Kenzo function constructs the standard simplicial Eilenberg–Mac Lane space and this object is assigned to the symbol kz1. In ordinary mathematics notation, a 3-simplex of kz1 could be, for example, [3|5|-5], denoted by  $(3\ 5\ -5)$  in Kenzo. The faces of this simplex can be computed:

```
> (dotimes (i 4)
          (print (face kz1 i 3 '(3 5 -5))))
<AbSm - (5 -5)>
<AbSm - (8 -5)>
<AbSm 1 (3)>
<AbSm - (3 5)>
NIL
```

You recognize the bar construction faces; in particular the face of index 2 is degenerated:  $\partial_2[3|5|-5] = \eta_1[3]$ . "Local" (in fact simplex-wise) computations are so possible, we say this object is locally effective. But no global information is available. For example, if we try to obtain the list of non-degenerate simplices in dimension 3:

```
> (basis kz1 3)
Error: The object [K1 Abelian-Simplicial-Group] is
locally-effective.
```

This basis in fact is  $\mathbb{Z}^3$ , an infinite set whose element *list* cannot be explicitly stored nor displayed! So that the homology groups of kz1 cannot be elementarily computed. But it is well known  $K(\mathbb{Z}, 1)$  has the homotopy type of the circle  $S^1$ ; the Kenzo program knows this fact, reachable as follows. We can ask for the effective homology of  $K(\mathbb{Z}, 1)$ :

```
> (efhm kz1) 

[K22 Homotopy-Equivalence K1 <= K1 => K16] 

A reduction K_1 = K(\mathbb{Z}, 1) \Rightarrow K_{16} is constructed by Kenzo. What is K_{16}? 

> (orgn (k 16)) 

(CIRCLE) 

What about the basis of this circle in dimensions 0, 1 and 2? 

> (dotimes (i 3) 

    (print (basis (k 16) i))) 

(*) 

(S1) 

NIL 

NIL
```

 $NIL = \emptyset$  and the second NIL is "technical" (independently produced by the iterative dotimes). The basis is available, the boundary operators are too:

The boundary of the unique non-degenerate 1-simplex is the null combination of degree 0. So that the homology groups of  $K(\mathbb{Z}, 1)$  are computable through the *effective* equivalent object  $K_{16}$ :

```
> (homology kz1 0 3)
Homology in dimension 0 :
Component Z
---done---
Homology in dimension 1 :
Component Z
---done---
Homology in dimension 2 :
---done---
```

This mechanism for computing homology groups of a chain complex through its effective homology has also been used in our new programs for the computation of spectral sequences, as explained in Section 5.

## 4. Main result

The next theorem combines both spectral sequence and effective homology concepts and is the main result on which the new programs are based.

**Theorem 15.** Let C be a filtered chain complex with effective homology  $(HC, \varepsilon)$ , with  $\varepsilon = (D, \rho, \rho')$ ,  $\rho = (f, g, h)$ , and  $\rho' = (f', g', h')$ . Let us suppose that filtrations are also defined

on the chain complexes HC and D. If the maps f, f', g, and g' are morphisms of filtered complexes (i.e., they are compatible with the filtrations) and both homotopies h and h' have order  $\leq t$  (i.e.  $h(F_pD)$ ,  $h'(F_pD) \subset F_{p+t}D \quad \forall p \in \mathbb{Z}$ ), then the spectral sequences of the complexes C and HC are isomorphic for r > t:

$$E(C)_{p,q}^r \cong E(HC)_{p,q}^r \quad \forall r > t.$$

**Proof.** Since  $fg = \mathrm{id}_C$ , the morphisms induced on the spectral sequence are the same for every r, that is:  $(fg)^r = (\mathrm{id}_C)^r : E(C)^r_{p,q} \longrightarrow E(C)^r_{p,q}$ . Therefore, due to the functoriality of the spectral sequence construction, it follows that  $f^rg^r = \mathrm{id}_{E(C)^r_{p,q}} \forall r$ . On the other hand,  $h: gf \simeq \mathrm{id}_D$ , and using Proposition 3.5 in Mac Lane (1994, p. 331) we obtain  $(gf)^r = (\mathrm{id}_D)^r : E(D)^r_{p,q} \longrightarrow E(D)^r_{p,q} \forall r > t$  and therefore  $g^rf^r = \mathrm{id}_{E(D)^r_{p,q}} \forall r > t$ . Hence  $f: E(D)^r_{p,q} \cong E(C)^r_{p,q} \quad \forall r > t$ . Analogously  $f': E(D)^r_{p,q} \cong E(HC)^r_{p,q} \quad \forall r > t$  and the composition gives us the searched isomorphism.  $\square$ 

**Note 7.** This theorem shows the relation between spectral sequences and effective homology and will allow us to compute spectral sequences of (complicated) filtered complexes with effective homology. If a filtered complex is effective, then its spectral sequence (that of Theorem 8) can be computed by means of elementary operations with matrices (in a similar way to the computation of homology groups); otherwise, the effective homology is needed to compute the  $E_{p,q}^r$  by means of an analogous spectral sequence deduced from an appropriate filtration on the associated effective complex, which is isomorphic to the spectral sequence of the initial complex after some level r. Two examples of application of this theorem are presented in the following paragraphs.

**Example 16** (Serre Spectral Sequence). The Serre spectral sequence (Serre, 1951) associated with a fibration  $G \hookrightarrow E \to B$  is defined as the spectral sequence of the total space E, with the natural filtration of cartesian products. The space E is not effective in most situations, so in general it is not possible to compute directly its spectral sequence. However, as we have seen in Section 3.1, provided that the spaces B and G are spaces with effective homology we can also build the effective homology of the total space E, which allows us to determine the homology groups of E. Moreover, the natural filtration of tensor products can be defined on the effective complex and it is not difficult to prove that all the homotopies involved in the equivalence have order  $\leq 1$ . Applying Theorem 15, the spectral sequence of E and that of the effective complex are isomorphic after level F = 1, and in this way we can compute the Serre spectral sequence associated with the fibration by means of the spectral sequence of an effective complex (which can easily be computed).

**Example 17** (Eilenberg–Moore Spectral Sequence). The Eilenberg–Moore spectral sequence associated with the loop space of a simply connected simplicial set X (whose theoretical definition can be found in Eilenberg and Moore (1966)) expresses relations between the homology groups  $H_*(X)$  and  $H_*(\Omega X)$ . The simplicial group  $\Omega X$  is not effective and therefore the computation of its spectral sequence cannot be done with an elementary algorithm, but the effective homology method can be used again to compute this spectral sequence. In this case, it is easy to prove that the Eilenberg–Moore spectral sequence between the homology groups of a simplicial set and those of its loop space and the spectral sequence of the corresponding effective complex are isomorphic after level r=1, that is, they are isomorphic for every level.

### 5. New programs

The programs we have developed (with about 1800 lines) allow computations of spectral sequences of filtered complexes, when the *effective* homology of this complex is available. The programs determine not only the groups, but also the differential maps  $d^r$  in the spectral sequence, as well as the stage r on which the convergence has been reached. In this section we explain the essential part of these programs, describing the functions with the same format as in the Kenzo documentation (Dousson et al., 1999).

For the development of the new module, we have only dealt with filtered chain complexes satisfying some basic properties: first, we work with filtrations that are bounded below, i.e. for each degree n there is an integer s = s(n) such that  $F_sC_n = 0$ . And second, we suppose that for each  $x \in C$  it is possible to define its filtration index  $p = \min\{t \in \mathbb{Z} | x \in F_tC\}$  (which implies that the filtration is convergent above, that is,  $C = \bigcup_p F_pC$ ).

The first step has been to increase the class system of Kenzo with the class Filtered-Complex, whose definition is:

This class inherits from the class Chain-Complex, and has one slot of its own:

flin (FiLtration INdex function) a Lisp function that, from a degree n and a generator  $g \in C_n$ , determines the filtration index  $p = \min\{t \in \mathbb{Z} | g \in F_t C_n\}$ .

We have designed this class with several functions that allow us to build filtered complexes and to obtain some useful information about them (when they are finitely generated in each degree). The description of some of these methods is shown here:

```
build-FltrChcm :cmpr cmpr :basis basis :bsgn bsgn :intr-dffr
intr-dffr :dffr-strt dffr-strt :flin flin :orgn orgn
```

The returned value is an instance of type FILTERED-COMPLEX. The keyword arguments are similar to those of the function build-chcm (that constructs a chain complex), with the new argument flin which is the filtration index function.

```
change-chcm-to-FltrChcm chcm :flin flin :orgn orgn
```

Build a FILTERED-COMPLEX instance from an already created chain complex *chcm*. The user must introduce the filtration index function and a list explaining the *origin* of the object (see Dousson et al. (1999) for more details about orgn).

```
fltrd-basis fltrcm degr fltr-index
```

Return the elements of the basis of  $F_pC_n$ , with C the *effective* filtered chain complex fltrcm, n = degr and p = fltr-index.

```
fltr-chcm-dffr-mtrx fltrcm degr fltr-index
```

Matrix of the differential application for degree degr of the subcomplex  $F_pC$ , where p = fltr-index and C = fltrcm is an effective chain complex.

The core of this new module consists in several functions that construct the elements of the spectral sequence of a filtered complex (groups, differential maps, and convergence levels). These main functions are:

```
print-spct-sqn-cmpns fltrcm r p q
```

Display on the screen the components ( $\mathbb{Z}$  or  $\mathbb{Z}_m$ ) of the group  $E_{p,q}^r$  of the filtered complex *fltrcm*.

spct-sqn-basis-dvs fltrcm r p q

Return a description of the group  $E_{p,q}^r$ , more precisely of the numerator and denominator of the formula in Theorem 8 (see details of this representation in the examples of Sections 6 and 7).

spct-sqn-dffr fltrcm r p q int-list

Compute the differential  $d_{p,q}^r: E_{p,q}^r \to E_{p-r,q+r-1}^r$  (the role of *int-list* is explained in the examples).

spct-sqn-cnvg-level fltrcm degr

Determine the stage r at which the convergence of the spectral sequence has been reached for a specific degree degr.

To provide a better understanding of these new tools, some elementary examples of their use are shown in the next section. Besides, in Section 7 we present two more interesting examples where the application of the programs allows the computation of some groups and differential maps which are beyond the calculations appearing in the literature.

These new methods work in a way that is similar to the mechanism of Kenzo for computing homology groups. If the filtered complex is effective, its spectral sequence can be determined thanks to elementary computations with the differential matrices. Otherwise, the effective homology is needed to compute it by means of the spectral sequence of the effective complex. Making use of Theorem 15, the spectral sequences of both complexes are isomorphic after some level *t* (depending on the order of the homotopies in both reductions of the equivalence). However, we must bear in mind that in the first stages both spectral sequences are not necessarily the same.

## 6. Didactic examples

As explained in the previous section, the new programs allow us to compute spectral sequences of filtered complexes with effective homology (with the exception, in some cases, of the first stages), even if the complexes are not of finite type. In this section two simple examples of this computation are presented. In these cases, the spectral sequences are well known and can be obtained without using a computer. We propose them as didactic examples for a better understanding of the new functionality.

6.1. 
$$S^2 \times_{\tau} K(\mathbb{Z}, 1)$$

With these programs it is possible to obtain the Serre spectral sequence of the twisted cartesian product  $S^2 \times_{\tau} K(\mathbb{Z}, 1)$  for a twisting operator  $\tau: S^2 \to K(\mathbb{Z}, 1)$  with  $\tau(s2) = [1]$ . We use here the standard simplicial description of the 2-sphere, with a unique non-degenerate simplex s2 in dimension 2. A principal fibration is then defined by a unique 1-simplex of the simplicial structural group. The result in this case is the Hopf fibration, the total space  $S^2 \times_{\tau} K(\mathbb{Z}, 1)$  being a simplicial model for the 3-sphere  $S^3$ . The same example could be processed with  $\tau(s2) = [2]$ , the total space then being the real projective space  $P^3\mathbb{R}$ . Let us remark that, since  $K(\mathbb{Z}, 1)$  is not effective, the space  $S^2 \times_{\tau} K(\mathbb{Z}, 1)$  is not effective either, and therefore the effective homology (whose computation, for a general fibration  $G \hookrightarrow E \to B$ , was explained in Example 13) is necessary to determine its spectral sequence.

The twisted product  $S^2 \times_{\tau} K(\mathbb{Z}, 1)$  is built in Kenzo in the following way:

The object tau implements the twisting operator  $\tau:S^2\to K(\mathbb{Z},1)$  as a simplicial morphism of degree -1 that sends the unique non-degenerate simplex s2 of dimension 2 to the 1-simplex (1) of the simplicial set kz1 (if we changed the list '(1), that represents this 1-simplex, by the list '(2), we would obtain the Hopf fibration of the real projective space  $P^3\mathbb{R}$ ). The function fibration-total builds the total space of the fibration defined by the twisting operator tau (this operator contains as source and target spaces the base and the fibre spaces of the fibration respectively), which is a twisted cartesian product of the base and fibre.

Since the effective complex of  $K(\mathbb{Z}, 1)$  is  $S^1$ , the effective complex of  $S^2 \times_{\tau} K(\mathbb{Z}, 1)$  will be  $S^2 \otimes S^1$ , with an appropriate perturbation of the differential. We can inspect it by applying the function rbcc (right bottom chain complex) to the effective homology of the complex:

```
>(setf s2xts1 (rbcc (efhm s2-tw1-kz1)))
[K95 Chain-Complex]
What is this chain complex K<sub>95</sub>?
>(orgn s2xts1)
(ADD [K74 Chain-Complex] [K93 Morphism (degree -1): K74 -> K74])
```

This origin means that the complex s2xts1 has been obtained by application of the BPL, "adding" a perturbation (the morphism  $K_{93}$ , of degree -1) to the initial chain complex  $K_{74}$ . We want to know now what  $K_{74}$  is:

```
>(orgn (k 74))
(TNSR-PRDC [K23 Simplicial-Set] [K16 Chain-Complex])
  As expected, we have a tensor product. And finally, what about K<sub>23</sub> and K<sub>16</sub>?
> (orgn (k 23))
(SPHERE 2)
> (orgn (k 16))
(CIRCLE)
```

In this way we can state that  $K_{23}=S^2$  and  $K_{16}=S^1$ , and therefore the effective complex of  $s2-tw1-kz1=S^2\times_{\tau}K(\mathbb{Z},1)$  is  $S^2\otimes S^1$  with a perturbation of the differential.

To compute the Serre spectral sequence of this twisted product it is necessary to change it into a filtered complex. The filtration in this complex is defined through the degeneracy degree with

respect to the base space: a generator  $(x_n, y_n) \in C_n(B \times G)$  has a filtration degree less or equal to q if  $\exists \bar{x}_q \in B_q$  such that  $x_n = \eta_{i_{n-q}} \cdots \eta_{i_1} \bar{x}_q$ . Such a filtration can be implemented as follows.

A filtration is also needed in the effective complex,  $S^2 \otimes_t S^1$ , which is filtered by the base dimension. In general, for a tensor product:  $F_p(C(B) \otimes C(G)) = \bigoplus_{m \leq p} (C(B)_m \otimes C(F))$ . The implementation in Common Lisp is as follows.

Once the filtrations are defined, the new programs can be used to compute the spectral sequence of the twisted product  $S^2 \times_{\tau} K(\mathbb{Z}, 1)$ , which is isomorphic in every level to that of the effective complex  $S^2 \otimes_t S^1$  because in this specific case both homotopies in the equivalence have order equal to zero. For instance, the groups  $E_{2.0}^2$  and  $E_{0.1}^2$  are equal to  $\mathbb{Z}$ :

```
> (print-spct-sqn-cmpns s2-tw1-kz1 2 2 0)
Spectral sequence E^2_{2,0}
Component Z
> (print-spct-sqn-cmpns s2-tw1-kz1 2 0 1)
Spectral sequence E^2_{0,1}
Component Z
```

These groups can be recognized as the elements of the Serre spectral sequence of the Hopf fibration.

It is also possible to find the *basis-divisors* representation of these groups. This representation shows a list of combinations which generate the subgroup in the *numerator* of  $E^r_{p,q}$  ( $Z^r_{p,q} \cup F_{p-1}C_{p+q}$ ), as well as the coefficients (with regard to this list of combinations) of the elements that generate the *denominator* ( $dZ^{r-1}_{p+r-1,q-r+2} \cup F_{p-1}C_{p+q}$ ). For the groups  $E^2_{2,0}$  and  $E^2_{0,1}$  that have been computed above:

In both cases, the "basis" (list of combinations) of the numerator has a unique element and the list of "divisors" is the list (0). This means that the subgroup of the numerator is isomorphic to  $\mathbb{Z}$  and that of the denominator is the null group 0, so that the wanted  $E_{p,q}^r$  is in both cases isomorphic to  $\mathbb{Z}$ . For  $E_{2,0}^2$ , the generator is the element  $-1*(s2, \eta_1\eta_0[\ ]) \in C_2(S^2 \times_\tau K(\mathbb{Z}, 1))$ , which is not a torsion element. In a similar way, the unique generator of  $E_{0,1}^2$  is  $-1*(\eta_0*, [1])$ , not a torsion element either. If the second component of a result were, for instance, the list (3) instead of (0), the denominator generator would be 3 times the numerator generator and the corresponding  $E_{p,q}^r$  would be the torsion group  $\mathbb{Z}_3$ .

The differential function in a group  $E_{p,q}^r$  can be computed using the function spct-sqn-dffr. The last argument must be a list that represents the coordinates of the element we want to apply the differential to (with regard to the generators of the subgroup in the numerator). In the example that follows, the differential  $d_{2,0}^2$  is applied to the generator of the group  $E_{2,0}^2 \cong \mathbb{Z}$  (that, as we have seen, is the following combination of degree 2:  $-1*(s2, \eta_1\eta_0[\ ])$ ), and therefore the list of coordinates must be (1) (the list (2), for instance, would correspond to the combination  $-2*(s2, \eta_1\eta_0[\ ])$ ).

```
> (spct-sqn-dffr s2-tw1-kz1 2 2 0 '(1))
(1)
```

The obtained list (1) shows that the result of applying  $d_{2,0}^2$  to the generator of the group is the combination  $1*g_{0,1}^2$ , where  $g_{0,1}^2$  is the generator of the group  $E_{0,1}^2\cong\mathbb{Z}$  (which is the combination of degree  $1:-1*(\eta_0*,[1])$ ). This last result means that the differential map  $d_{2,0}^2:E_{2,0}^2\to E_{0,1}^2$  maps (s2,  $\eta_1\eta_0[$ ]) to ( $\eta_0*,[1]$ ). Since the next stage in the spectral sequence  $E^3$  is isomorphic to the bigraded homology group of  $E^2$ ,  $E_{p,q}^3\cong H_{p,q}(E^2)=\operatorname{Ker} d_{p,q}^2/\operatorname{Im} d_{p+2,q-1}^2$ , it is clear that the groups  $E_{0,1}^3$  and  $E_{2,0}^3$  must be null:

```
> (print-spct-sqn-cmpns s2-tw1-kz1 3 0 1)
Spectral sequence E^3_{0,1}
NIL
> (print-spct-sqn-cmpns s2-tw1-kz1 3 2 0)
Spectral sequence E^3_{2,0}
NIL
```

Finally, it is also possible to obtain, for each degree n, the level r at which the convergence of the spectral sequence has been reached, that is, the smallest r such that  $E_{p,q}^{\infty} = E_{p,q}^{r} \, \forall p,q$  with p+q=n. For instance, for n=0 and n=1 the convergence levels are 1 and 3 respectively:

```
>(spct-sqn-cnvg-level s2-tw1-kz1 0)
1
>(spct-sqn-cnvg-level s2-tw1-kz1 1)
3
```

Thus, we can obtain the groups  $E_{p,q}^{\infty}$  with p+q=0 or p+q=1 by computing the corresponding groups  $E_{0,0}^1, E_{0,1}^3$ , and  $E_{1,0}^3$ :

```
> (print-spct-sqn-cmpns s2-tw1-kz1 1 0 0)

Spectral sequence E^1_{0,0}

Component Z

> (print-spct-sqn-cmpns s2-tw1-kz1 3 0 1)

Spectral sequence E^3_{0,1}

NIL

> (print-spct-sqn-cmpns s2-tw1-kz1 3 1 0)

Spectral sequence E^3_{1,0}

NIL

6.2. S^2 \times_{\tau} K(\mathbb{Z}_2, 1)
```

Another example, similar to the previous one, is the twisted product  $S^2 \times_{\tau} K(\mathbb{Z}_2, 1)$ , with  $\tau: S^2 \to K(\mathbb{Z}_2, 1)$ ,  $\tau(s2) = [1]$ . Let us consider our new structural group, the simplicial group  $K(\mathbb{Z}_2, 1)$ : in dimension n, the only non-degenerate simplex is a sequence of n 1's, represented by the integer n, and the integer 0 encodes the void bar object []. The twisted product is implemented in the same way as the first example, using the function fibration-total to build the total space of the fibration defined by the morphism tau2. Afterwards the complex is provided with the usual filtration for twisted products (through the degeneracy degree with respect to the base space), implemented in the function twpr-flin of the previous subsection.

```
[K122 Fibration K23 -> K110]
>(setf s2-tw2-kz21 (fibration-total tau2))
[K128 Simplicial-Set]
>(CHANGE-chcm-TO-FltrChcm s2-tw2-kz21 :flin twpr-flin :orgn '(filtered-complex ,s2-tw2-kz21))
[K128 Filtered-Complex]
```

In this case the complex is finitely generated (in each degree), and therefore the spectral sequence can be computed directly without the need of effective homology. For instance, some groups of the spectral sequence are:

```
> (print-spct-sqn-cmpns s2-tw2-kz21 2 0 1)
Spectral sequence E^2_{0,1}
Component Z/2Z
> (print-spct-sqn-cmpns s2-tw2-kz21 2 2 0)
Spectral sequence E^2_{2,0}
Component Z
> (print-spct-sqn-cmpns s2-tw2-kz21 3 0 3)
Spectral sequence E^3_{0,3}
Component Z/2Z
```

The groups  $E_{0,1}^2$  and  $E_{0,3}^3$  are isomorphic to  $\mathbb{Z}_2$  and therefore their generators must be torsion elements, with order 2. We can inspect, for instance, the generator of  $E_{0,1}^2$ :

The combination  $1*(\eta_0*,1)=(\eta_0*,1)$  is the generator of the numerator in  $E_{0,1}^2$ . The list of divisors (2) means that the denominator in the quotient is generated by the element 2\*g, where g is the respective generator in the numerator, in this case  $g=(\eta_0*,1)$ . In this way, the group  $E_{0,1}^2=\mathbb{Z}(\eta_0*,1)/\mathbb{Z}(2*(\eta_0*,1))\cong\mathbb{Z}/2\mathbb{Z}\cong\mathbb{Z}_2$ . The generator of the group  $E_{0,1}^2$  is therefore the element  $(\eta_0*,1)$ , with order 2.

Similarly, we can obtain the generator of the group  $E_{2,0}^2 \cong \mathbb{Z}$ :

In this case the numerator is generated by five elements, the combinations  $1*(s2, \eta_11) - 1*(s2, \eta_1\eta_00) + 1*(\eta_1\eta_0*, 2), 1*(s2, 2) - 1*(s2, \eta_01), -1*(s2, \eta_01) + 1*(s2, \eta_1\eta_00), -1*(s2, \eta_11) + 1*(s2, \eta_1\eta_00), and <math>1*(s2, \eta_1\eta_00)$ . The denominator has four generators, the four first combinations in the previous list:  $1*(s2, \eta_11) - 1*(s2, \eta_1\eta_00) + 1*(\eta_1\eta_0*, 2), 1*(s2, 2) - 1*(s2, \eta_01), -1*(s2, \eta_01) + 1*(s2, \eta_1\eta_00), and -1*(s2, \eta_11) + 1*(s2, \eta_1\eta_00).$  Therefore the group  $E_{2,0}^2$ , that is isomorphic to  $\mathbb{Z}$ , is generated by the fifth combination, the element  $1*(s2, \eta_1\eta_00) = (s2, \eta_1\eta_00)$ .

As in the previous example, it is possible to compute the differential of this group applied, for instance, to the generator (s2,  $\eta_1\eta_00$ ) (whose coordinates correspond to the list (1)):

```
> (spct-sqn-dffr s2-tw2-kz21 2 2 0 '(1)) (1) 
 This means that d_{2,0}^2((s2,\eta_1\eta_00)) = (\eta_0*,1). Finally, some convergence levels (for n=1,2,3) are: 
 > (spct-sqn-cnvg-level s2-tw2-kz2 1) 
 3 
 > (spct-sqn-cnvg-level s2-tw2-kz2 2) 
 1 
 > (spct-sqn-cnvg-level s2-tw2-kz2 3) 
 1
```

## 7. Advanced examples

As said in the first paragraph of Section 6, the two filtered complexes presented there are elementary and the computation of their spectral sequences can be done by hand without any special difficulty. We introduce in this section two other examples, which are perhaps not so easy to understand as the preceding ones, but they have a higher interest because their spectral sequences seem difficult to be studied by the theoretical methods documented in the literature.

However, with the use of the new programs the different groups  $E_{p,q}^r$  and the differential maps  $d_{p,q}^r$  are computed.

#### 7.1. Postnikov tower

The first example considered in this section corresponds to the space  $X_4$  of a Postnikov tower (May, 1967) with a  $\pi_i = \mathbb{Z}_2$  at each stage and the "simplest" non-trivial Postnikov invariant. Our programs compute the groups  $E_{p,q}^r$  of the Serre spectral sequence of the fibration producing our space  $X_4$  in a short time for p+q<6, and they determine some differential maps  $d^5$  which are not null.

The theoretical details of the construction of the space  $X_4$  are not included here, they can be found in Rubio and Sergeraert (2005, pp. 142–145). This complex can be built by Kenzo with the following statements:

```
> (setf X2 (k-z2 2))
[K133 Abelian-Simplicial-Group]
> (setf k3 (chml-clss X2 4))
[K245 Cohomology-Class on K150 of degree 4]
> (setf F3 (z2-whitehead X2 k3))
[K260 Fibration K133 -> K246]
> (setf X3 (fibration-total F3))
[K266 Kan-Simplicial-Set]
> (setf k4 (chml-clss X3 5))
[K479 Cohomology-Class on K464 of degree 5]
> (setf F4 (z2-whitehead X3 k4))
[K494 Fibration K266 -> K480]
> (setf X4 (fibration-total F4))
[K500 Kan-Simplicial-Set]
```

This example also corresponds to a total space of a fibration, a twisted product  $K(\mathbb{Z}_2, 4) \times_{k_4} X_3$ , where  $X_3$  is again a twisted product  $K(\mathbb{Z}, 3) \times_{k_3} K(\mathbb{Z}_2, 2)$  and  $k_4$  and  $k_3$  are called the *k-invariants* of the Postnikov tower. Therefore the filtrations in the space  $X_4$  and in its effective complex are defined as in the examples of Section 6 (since all of them are particular instances of the Serre spectral sequence):

```
> (print-spct-sqn-cmpns X4 2 5 0)

Spectral sequence E^2_{5,0}

Component Z/4Z

> (print-spct-sqn-cmpns X4 2 6 0)

Spectral sequence E^2_{6,0}

Component Z/2Z

Component Z/2Z

For p+q=4, 5, 6, 7, the spectral sequence converges at the stage r=6:

> (spct-sqn-cnvg-level X4 4)

6

> (spct-sqn-cnvg-level X4 5)

6

> (spct-sqn-cnvg-level X4 6)
```

This means that there are some differential maps  $d^5$  which are not null. Specifically, the programs compute  $d_{5,0}^5$  and  $d_{7,0}^5$  that map the unique generators of  $E_{5,0}^5 \cong \mathbb{Z}_4$  and  $E_{7,0}^5 \cong \mathbb{Z}_2$  to the unique generators of  $E_{0.4}^5$  and  $E_{2.4}^5$  (both isomorphic to  $\mathbb{Z}_2$ ) respectively:

```
> (spct-sqn-dffr X4 5 5 0 '(1))
(1)
> (spct-sqn-dffr X4 5 7 0 '(1))
(1)
```

> (spct-sqn-cnvg-level X4 7)

Finally, we can conclude that the groups  $E_{p,q}^{\infty}$  for p+q=4,5,6,7 are the same than  $E_{p,q}^{6}$ , which are easily obtained. For instance, for n=7, all of them are null except  $E_{0,7}^{6}$  and  $E_{3,4}^{6}$ :

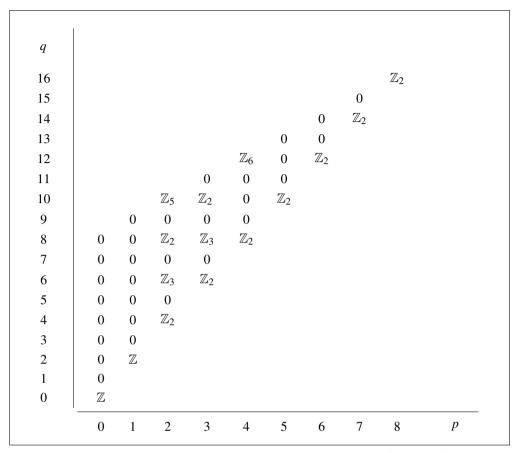


Fig. 1. Groups  $E_{p,q}^{\infty}$  of the Eilenberg–Moore spectral sequence between  $\varOmega S^3$  and  $\varOmega (\varOmega S^3)$ .

# 7.2. Eilenberg-Moore spectral sequence

The programs presented here can also be used to determine the Eilenberg-Moore spectral sequence between a simplicial set X and its loop space  $\Omega X$ , introduced in Example 17. If the space X is a 1-reduced simplicial set with effective homology, the program Kenzo determines the effective homology of its loop space  $\Omega X$  using the cobar construction on a coalgebra. Moreover, if X is m-reduced, this process may be iterated m times, producing an effective homology version of  $\Omega^k X$ ,  $k \le m$ . As seen in Example 17, the effective homology of the loop space together with the natural filtration defined on the cobar construction allows the computation of the spectral sequence between  $H_*(X)$  and  $H_*(\Omega X)$  for every level r.

The Eilenberg-Moore spectral sequence has been traditionally considered to be an important tool for obtaining homotopic information of a space, by means of its relation with its loop space. In particular, it can be used for the study of the effect of the attachment of a disk to an space of infinite dimension, especially a loop space. This problem seems to be very difficult in general as explained in Lemaire (1978). Our programs have determined the different elements of the spectral sequence for some spaces constructed in this way that, up to now, have not appeared in the literature. As a little introduction of this work (that is yet incomplete and whose details will appear in a future paper), we present in Figs. 1 and 2 the groups  $E_{p,q}^{\infty}$  (for  $q - p \le 8$ ) of

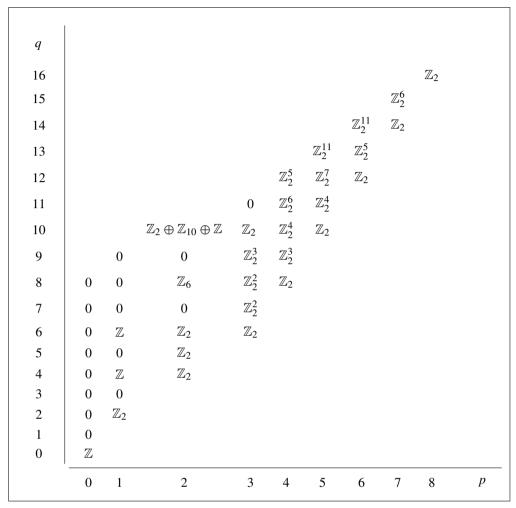


Fig. 2. Groups  $E_{p,q}^{\infty}$  of the Eilenberg–Moore spectral sequence between  $\Omega S^3 \cup_2 D^3$  and  $\Omega(\Omega S^3 \cup_2 D^3)$ .

the spectral sequences for the spaces  $\Omega S^3$  and  $\Omega S^3 \cup_2 D^3$  (the last one obtained from  $\Omega S^3$  by attaching a 3-disk by a map  $\gamma: S^2 \to \Omega S^3$  of degree 2). The first space and its loop space have been extensively considered by theoretical methods and a lot of results about them are known. However, for our second example, the attachment of the 3-disk increases the difficulty of the calculation of the Eilenberg-Moore spectral sequence between  $\Omega S^3 \cup_2 D^3$  and its loop space that, up to our knowledge, had not been determined before. See Figs. 1 and 2 for the calculated  $E^r_{p,q}$ 's.

## 8. Conclusions and further work

In this paper, we have presented some programs that improve the functionality of Kenzo, computing spectral sequences (groups, differential maps and convergence levels) of filtered complexes with effective homology. These programs can be applied to compute, for instance,

spectral sequences of double complexes, the Serre spectral sequence, the Eilenberg-Moore spectral sequences ....

One of our next goals is the development of some new programs (working in a similar way to that explained in this paper) to deal with exact couples (Whitehead, 1978). As it is known, exact couples determine spectral sequences (containing more information that makes it possible to determine the successive differentials  $d^r$ ). This is a way of obtaining spectral sequences more general than that of filtered complexes: a filtered complex determines an exact couple whose spectral sequence is isomorphic to that of the filtered complex; on the other hand, an exact couple does not need to arise from a filtration. In this way, there are spectral sequences (for instance, the Bousfield–Kan spectral sequence) which do not correspond to any filtered complex. Therefore we find that it would be interesting to build a new set of programs allowing its computation.

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