

# O-minimal structures

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# Tutorial 1

- 1 Introduction
- 2 Definition
- 3 Cell decomposition

# Motivating example: semialgebraic and subanalytic sets

The *semialgebraic* subsets of  $\mathbb{R}^n$  form the smallest class  $\mathcal{SA}_n$  of subsets of  $\mathbb{R}^n$  such that:

- 1 If  $P \in \mathbb{R}[X_1, \dots, X_n]$ , then  $\{x \in \mathbb{R}^n ; P(x) = 0\} \in \mathcal{SA}_n$  and  $\{x \in \mathbb{R}^n ; P(x) > 0\} \in \mathcal{SA}_n$ .
- 2 If  $A \in \mathcal{SA}_n$  and  $B \in \mathcal{SA}_n$ , then  $A \cup B$ ,  $A \cap B$  and  $\mathbb{R}^n \setminus A$  are in  $\mathcal{SA}_n$ .

*Semianalytic* sets : locally described by analytic functions (as semialgebraic sets by polynomials). *Subanalytic* sets : locally projection of relatively compact semianalytic sets.

## Features of semialgebraic geometry

- Semialgebraic sets are stable under many constructions : projection (Tarski-Seidenberg), closure, taking connected components,...
- Tame topology : no pathological behavior, semialgebraic sets are triangulable,...
- Finiteness, uniform bounds: finitely many topological types in a semialgebraic family,...

The same holds for globally subanalytic sets (= subanalytic in a compactification of  $\mathbb{R}^n$ )

## O-minimal structures

O-minimal structures: axiomatic generalization of the preceding examples (semialgebraic and globally subanalytic), retaining their nice features. They include other interesting examples:

- with the exponential function,
- more generally, pfaffian functions,
- with some functions associated with non convergent power series.

The entire sine function cannot be in a o-minimal structure (infinitely many zeroes); only its restriction to a compact segment.

## Real closed fields

- Semialgebraic geometry works over *real closed fields*, which retain all algebraic properties of  $\mathbb{R}$ .
- Real closed field  $R$  : ordered field such that every  $P \in R[X]$  satisfies the Intermediate Value Theorem :

$$a < b \text{ and } P(a)P(b) < 0 \Rightarrow \exists c \in (a, b) P(c) = 0 .$$

- Examples: the field of real algebraic numbers, the field of real Puiseux series  $\bigcup_{p \in \mathbb{N}^*} \mathbb{R}((x^{1/p}))$ .
- We shall introduce o-minimal structures in the framework of real closed fields. Real closed fields will appear related to “ideal points”.
- Interval in a real closed field  $R$ :  $(a, b)$  where  $a < b$  in  $R \cup \{-\infty, +\infty\}$ . Products of intervals generate the topology of  $R^n$ .

# Structure

## Definition

A *structure expanding the real closed field  $R$*  is a collection  $\mathcal{S} = (\mathcal{S}_n)_{n \in \mathbb{N}}$ , where each  $\mathcal{S}_n$  is a set of subsets of the affine space  $R^n$ , satisfying the following axioms:

- 1 All semialgebraic subsets of  $R^n$  are in  $\mathcal{S}_n$ .
- 2 For every  $n$ ,  $\mathcal{S}_n$  is a Boolean subalgebra of the powerset of  $R^n$ .
- 3 If  $A \in \mathcal{S}_m$  and  $B \in \mathcal{S}_n$ , then  $A \times B \in \mathcal{S}_{m+n}$ .
- 4 If  $p : R^{n+1} \rightarrow R^n$  is the projection on the first  $n$  coordinates and  $A \in \mathcal{S}_{n+1}$ , then  $p(A) \in \mathcal{S}_n$ .

## O-minimal structure

### Definition

The structure  $\mathcal{S}$  is said to be *o-minimal* if, moreover, it satisfies:

- 5 The elements of  $\mathcal{S}_1$  are precisely the finite unions of points and intervals.

The elements of  $\mathcal{S}_n$  are called the *definable subsets of  $R^n$* . A map  $f : A \rightarrow B$  between definable sets is called *definable* if its graph is definable.

Exercise : an ordered field carrying an o-minimal structure is real closed.



# Elementary facts

- The image of a definable set by a definable map is definable. The composite of definable maps is definable. Definable functions  $A \rightarrow R$  form an  $R$ -algebra.
- The closure and the interior of a definable subset  $A \subset R^n$  are definable.

$$\text{clos}(A) = R^n \setminus \left( p_{n+1,n} \left( R^{n+1} \setminus p_{2n+1,n+1}(B) \right) \right) ,$$

where  $B$  is

$$(R^n \times R \times A) \cap \left\{ (x, \varepsilon, y) \in R^n \times R \times R^n \mid \sum_{i=1}^n (x_i - y_i)^2 < \varepsilon^2 \right\} .$$

## Language of an o-minimal structure

- First-order formula ( $x = (x_1, \dots, x_n)$ ):
  - ①  $P(x) = 0, P(x) > 0$  for  $P \in R[X_1, \dots, X_n]$ ,  
 $x \in A$  for  $A \subset R^n$  definable,
  - ②  $\Phi$  and  $\Psi, \Phi$  or  $\Psi, \text{not } \Phi, \Phi \Rightarrow \Psi$ .
  - ③  $\exists x \in A \Phi(y, x), \forall x \in A \Phi(y, x)$  where  $A \subset R^n$  is definable subset of  $R^n$ .
- If  $\Phi(x)$  is a first-order formula, then  $\{x \in R^n \mid \Phi(x)\}$  is definable.
- Exercise:  $x \mapsto \text{dist}(x, A) = \inf\{\|y - x\| \mid y \in A\}$ , for  $A \subset R^n$  non empty definable, is a continuous definable function on  $R^n$ .
- $\{(x, y) \in \mathbb{R}^2 \mid \exists n \in \mathbb{N} y = nx\}$  is not definable.

# Monotonicity Theorem

## Theorem

*Let  $f : (a, b) \rightarrow R$  be a definable function. There exists a finite subdivision  $a = a_0 < a_1 < \dots < a_k = b$  such that, on each interval  $(a_i, a_{i+1})$ ,  $f$  is continuous and either constant or strictly monotone.*

If there is no subinterval on which  $f$  is constant, there is a subinterval on which  $f$  is injective, hence there is a subinterval on which  $f$  is strictly monotone, hence there is a subinterval on which  $f$  is continuous strictly monotone.

Remark : one can ask  $f$  to be  $C^k$  on each  $(a_i, a_{i+1})$ .

Exercise: every continuous definable  $f : (a, b) \rightarrow R$  has left and right derivatives in  $R \cup \{-\infty, +\infty\}$  at every point.

# CDCD (Cylindrical Definable Cell Decomposition)

## Definition

A cdcd of  $R^n$  is a finite partition of  $R^n$  into definable *cells*, given:

$n = 1$ : by a finite subdivision  $a_1 < \dots < a_\ell$  of  $R$ .

**Cells**: the singletons  $\{a_i\}$ ,  $0 < i \leq \ell$ , and the intervals  $(a_i, a_{i+1})$ ,  $0 \leq i \leq \ell$  ( $a_0 = -\infty$ ,  $a_{\ell+1} = +\infty$ ).

$n > 1$ : by a cdcd of  $R^{n-1}$  and continuous definable functions

$\zeta_{D,1} < \dots < \zeta_{D,\ell(D)} : D \rightarrow R$  for each cell  $D \subset R^{n-1}$ .

**Cells**: the *graphs* of the  $\zeta_{D,i}$ , and the *bands*  $(\zeta_{D,i}, \zeta_{D,i+1})$ ,  $0 \leq i \leq \ell(D)$  cut in  $D \times R$  by these graphs ( $\zeta_{D,0} = -\infty$ ,  $\zeta_{D,\ell(D)+1} = +\infty$ ).

## Dimension of cells

- Definition by induction:
  - $\dim(\text{point}) = 0$ ,  $\dim(\text{interval})=1$ ,
  - $\dim(\text{graph in } D \times R) = \dim(D)$ ,
  - $\dim(\text{band in } D \times R) = \dim(D)+1$ .
- A cell  $C$  of a cdc is definably homeomorphic to  $R^{\dim(C)}$ .
- A cell  $C$  of a cdc of  $R^n$  is open in  $R^n$  iff  $\dim(C) = n$ .

## Adapted cdcd

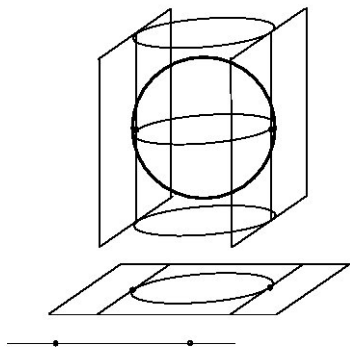


Figure: cdcd adapted to the sphere

A cdcd is said to be *adapted* to a definable set  $A$  if  $A$  is a union of cells.

**Theorem (Cell Decomposition  $\text{CDCD}_n$ )**

Let  $A_1, \dots, A_k$  be definable subsets of  $R^n$ . There is a cdcd of  $R^n$  adapted to  $A_1, \dots, A_k$ .

# Uniform Finiteness and Piecewise Continuity

The Cell Decomposition Theorem is proved by induction on the dimension  $n$ , together with the following results:

## Theorem (Uniform Finiteness $UF_n$ )

*Let  $A$  be a definable subset of  $R^n$  such that, for every  $x \in R^{n-1}$ , the set  $A_x = \{y \in R \mid (x, y) \in A\}$  is finite. Then there exists  $k \in \mathbb{N}$  such that  $\#A_x \leq k$  for every  $x \in R^{n-1}$ .*

## Theorem (Piecewise Continuity $PC_n$ )

*Let  $A$  be a definable subset of  $R^n$  and  $f : A \rightarrow R$  a definable function. There is a cdcd of  $R^n$  adapted to  $A$  such that, for every cell  $C$  contained in  $A$ ,  $f|_C$  is continuous.*

First  $UF_n$ , then  $CDCD_n$  and finally  $PC_n$ .

## Tutorial 2

In Tutorial 1 : o-minimal structures, cylindrical definable cell decomposition.

Today: tameness properties following from this decomposition (connected components, dimension, ...) and description of the topology of definable sets in finite terms

- 4 Compactness, connectedness, dimension
- 5 Good coordinates
- 6 Triangulation of definable sets and functions



## Definable choice, curve selection

### Theorem (Definable Choice)

$A \subset R^m \times R^n$  definable,  $p : R^m \times R^n \rightarrow R^m$  the projection. There is a definable function  $f : p(A) \rightarrow R^n$  such that, for every  $x \in p(A)$ ,  $(x, f(x))$  belongs to  $A$ .

### Theorem (Curve Selection Lemma)

$A \subset R^n$  definable,  $b \in \text{clos}(A)$ . There is a continuous definable map  $\gamma : [0, 1) \rightarrow R^n$  such that  $\gamma(0) = b$  and  $\gamma((0, 1)) \subset A$ .

Apply definable choice to

$X = \{(t, x) \in R \times R^n ; x \in A \text{ and } \|x - b\| < t\}$ , and then monotonicity.

## Definable compactness

### Theorem

Let  $A \subset \mathbb{R}^n$  definable. TFAE:

- 1  $A$  is closed and bounded.
- 2 Every definable continuous map  $(0, 1) \rightarrow A$  extends by continuity to a map  $[0, 1) \rightarrow A$ .
- 3 For every definable continuous function  $f : A \rightarrow \mathbb{R}$ ,  $f(A)$  is closed and bounded.

Such a  $A$  is called *definably compact*. This is an intrinsic notion (invariant by definable homeomorphism). Being *locally closed* (= locally definably compact) is also intrinsic.

## Definable connectednes

### Definition

A definable set  $A$  is said to be *definably connected* if, for all disjoint definable open subsets  $U$  and  $V$  of  $A$  such that  $A = U \cup V$ , one has  $A = U$  or  $A = V$ .

It is said to be *definably arcwise connected* if, for all points  $a$  and  $b$  in  $A$ , there is a definable continuous map  $\gamma : [0, 1] \rightarrow A$  such that  $\gamma(0) = a$  and  $\gamma(1) = b$ .

Cells of a cdcd are definably arcwise connected.

Exercise: definable + definably connected  $\Rightarrow$  definably arcwise connected.

## Connected components

### Theorem

*Let  $A$  be a definable subset of  $R^n$ . There is a partition of  $A$  into finitely many definable subsets  $A_1, \dots, A_k$  such that each  $A_i$  is nonempty, open and closed in  $A$ , and definably arcwise connected. Such a partition is unique. The  $A_1, \dots, A_k$  are called the definable connected components of  $A$ .*

### Theorem (Uniform Finiteness revisited)

*Let  $A$  be a definable subset of  $R^m \times R^n$ . There is  $\beta \in \mathbb{N}$  such that, for every  $x \in R^m$  the number of definable connected components of  $A_x = \{y \in R^n \mid (x, y) \in A\}$  is not greater than  $\beta$ .*

# Dimension

The dimension of cells coincide with the following intrinsic notion:

## Definition

The dimension of a definable set  $A$  is the sup of  $d$  such that there exists a injective definable map from  $R^d$  to  $A$ .

If  $\mathcal{C}$  is a cdcd adapted to  $A$ , then  $\dim(A) = \max_{C \in \mathcal{C}} \dim(C)$ .

## Theorem

*Let  $A$  be a definable subset of  $R^m \times R^n$ . For  $d \in \mathbb{N} \cup \{-\infty\}$ , set  $X_d = \{x \in R^m \mid \dim(A_x) = d\}$ . Then  $X_d$  is a definable subset of  $R^m$ , and  $\dim(A \cap (X_d \times R^n)) = \dim(X_d) + d$ .*

## Dimension (2)

### Theorem

Let  $A$  be a nonempty definable subset of  $R^n$ . Then  $\dim(\text{clos}(A) \setminus A) < \dim(A)$ .

Dimension is invariant by definable bijection (not necessarily continuous). Another such invariant is *Euler characteristic*: for  $A \subset R^n$  definable, set  $\chi(A) = \sum_{C \subset A} (-1)^{\dim(C)}$ , where the sum is taken over the cells of an adapted cdcd contained in  $A$ . This does not depend on the cdcd.

Exercise: there is a definable bijection  $A \rightarrow B$  iff  $\dim(A) = \dim(B)$  and  $\chi(A) = \chi(B)$ .

## Extension by continuity

Adjacency between cells in different cylinders of a cdcd is not clear.  
Problem : extend by continuity  $\zeta : D \rightarrow R$  to  $\text{clos}(D)$ .

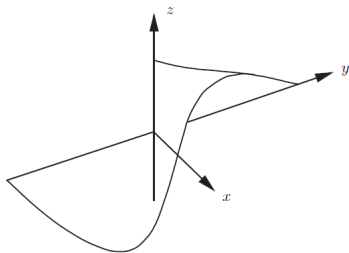


Figure:  $\Gamma \subset R^3$  near the origin

Ex:  $\zeta$  defined by  
 $\zeta(x, y) = 2xy/(x^2 + y^2)$  on  
 $x > 0$  does not extend  
continuously to  $(0, 0)$ . The  
closure  $\Gamma$  of the graph of  $\zeta$  has  
dimension 2, but the projection  
 $\Gamma \rightarrow R^2$  is not finite-to-one.

What if we tilt it a little?

## Good change of coordinates

Given:  $F \subset R^n$  definable, closed and bounded, such that the restriction to  $F$  of the projection  $p : R^n \rightarrow R^{n-1}$  is finite-to-one.  $X \subset p(F)$  definable, such that every  $x' \in \text{clos}(X)$  has a basis of neighborhoods  $U$  such that  $U \cap X$  is definably connected. Then every continuous definable function  $\zeta : X \rightarrow R$  with graph contained in  $F$  extends continuously to  $\text{clos}(X)$ .

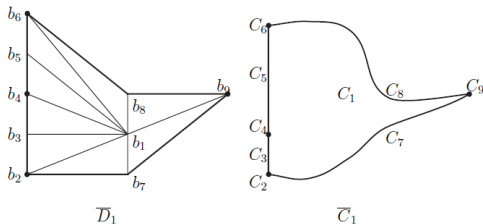
### Lemma

*$G \subset R^q \times R^n$  definable such that, for every  $t$  in  $R^q$ , the dimension of  $G_t \subset R^n$  is  $< n$ . Then there is a polynomial automorphism  $u$  of  $R^n$  such that the restriction to  $G$  of the projection  $R^q \times R^n \rightarrow R^q \times R^{n-1}$  is finite-to-one.*

If  $q = 0$ , the change of coordinates  $u$  can be taken linear.



## Triangulation of definable sets



Using induction on  $n$   
and the  
“good coordinates”  
lemma for  $q = 0$ ,  
one obtains:

### Theorem

Let  $A$  be a closed and bounded definable subset of  $\mathbb{R}^n$  and  $B_i$ ,  $i = 1, \dots, k$ , definable subsets of  $A$ . Then there exist a finite simplicial complex  $K$  with vertices in  $\mathbb{Q}^n$  and a definable homeomorphism  $\Phi : |K|_{\mathbb{R}} \rightarrow A$  such that each  $B_i$  is a union of images by  $\Phi$  of open simplices of  $K$ .

## Triangulation of definable functions

Still using induction on  $n$  and the “good coordinates” lemma (now with  $q = 1$ ) for the graph of a function, we obtain:

### Theorem

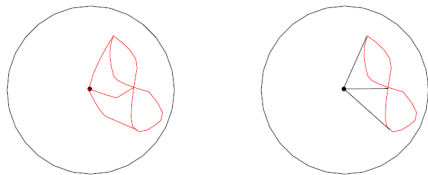
*Let  $X \subset \mathbb{R}^n$  be definable, closed and bounded,  $f : X \rightarrow \mathbb{R}$  continuous definable. Then there exist a finite simplicial complex  $K$  in  $\mathbb{R}^{n+1}$  and a definable homeomorphism  $\rho : |K|_{\mathbb{R}} \rightarrow X$  such that  $f \circ \rho$  is an affine function on each simplex of  $K$ .  
Given  $B_1, \dots, B_k \subset X$  definable, we may choose the triangulation so that each  $B_i$  is a union of images of open simplices of  $K$ .*

Beware! No triangulation of definable continuous maps  $X \rightarrow Y$  if  $\dim Y > 1$ . Ex.: show that  $f : [0, 1]^2 \rightarrow \mathbb{R}^2$  defined by  $f(x, y) = (x, xy)$  cannot be triangulated.

## Local conic structure

### Theorem

Let  $A \subset \mathbb{R}^n$  be a closed definable set,  $a \in A$ . There is  $r > 0$  such that there exists a definable homeomorphism  $h$  from the cone with vertex  $a$  and base  $S(a, r) \cap A$  onto  $\overline{B}(a, r) \cap A$ , satisfying  $h|_{S(a, r) \cap A} = \text{Id}$  and  $\|h(x) - a\| = \|x - a\|$  for all  $x$  in the cone.



Easily obtained by triangulating the function  $x \mapsto \|x - a\|$ .

## Tutorial 3

- First two lectures: o-minimal structures, Cylindrical Definable Cell Decomposition, tameness properties, triangulation.
- Today: uniformity in definable families  $X \subset R^m \times R^n$ , using “generic fibers”  $X_\alpha$  at “ideal points”  $\alpha$  of the parameter space.

- 7 Ideal points
- 8 Residual o-minimal structure at an ideal point
- 9 Ideal points as generic points
- 10 Triviality theorems

# Ultrafilters

Ideal point  $\alpha$  of  $R^m =$  ultrafilter of the Boolean algebra  $\mathcal{S}_m$ .

- 1  $R^m \in \alpha$
- 2  $A \cap B \in \alpha$  if and only if  $A \in \alpha$  and  $B \in \alpha$
- 3  $\emptyset \notin \alpha$
- 4  $A \cup B \in \alpha$  if and only if  $A \in \alpha$  or  $B \in \alpha$

Notation:  $\widetilde{R}^m$  for the set of ideal points. Note  $R^m \subset \widetilde{R}^m$ .

Examples:

- in  $\widetilde{R}$ :  $\{A \in \mathcal{S}_1 \mid \exists a \in R (a, +\infty) \subset A\}$ ; this ideal point may be called  $+\infty$ .
- in  $\widetilde{R}^2$ : ultrafilter generated by all curvilinear triangles  $\{(x, y) \in R^2 \mid 0 < x < a, 0 < y < f(x)\}$  where  $a > 0$  and  $f : (0, a) \rightarrow (0, +\infty)$  definable.

# Topologies on $\widetilde{R^m}$

Notation: for  $A \in \mathcal{S}_m$ , set  $\widetilde{A} = \{\alpha \in R^m \mid A \in \alpha\}$ .

**Stone space of the Boolean algebra.** Compact, Hausdorff, totally disconnected. Clopens: all  $\widetilde{A}$  for  $A \in \mathcal{S}_m$ .

**Stone space of the lattice of definable opens.** Compact, not Hausdorff (spectral space). Compact opens: all  $\widetilde{U}$  for  $U \subset R^m$  open definable.

In the semialgebraic case, ideal points = prime cones of  $R[X_1, \dots, X_m]$  = couples (prime  $\mathfrak{p}$ , ordering of  $k(\mathfrak{p})$ ). The real spectrum of  $R[X_1, \dots, X_m]$  is  $\widetilde{R^m}$  with the second topology.

## Residual field at an ideal point

- For  $\alpha \in \widetilde{R^m}$ , the elements of  $\kappa(\alpha)$  are definable functions  $f : A \rightarrow R$  where  $A \in \alpha$ , modulo identification of  $f$  and  $g$  when they coincide on some  $B \in \alpha$  (germs of definable functions along  $\alpha$ ). Denote by  $f(\alpha) \in \kappa(\alpha)$  the class of  $f$ .
- $\kappa(\alpha)$  is a real closed field.
- If  $\alpha = t \in R^m$ , then  $\kappa(\alpha) = R$ .
- In the semialgebraic case,  $\kappa(+\infty)$  is the field of algebraic Puiseux series in  $1/x$ . More generally, if  $\alpha = (\mathfrak{p}, \leq)$ , then  $\kappa(\alpha)$  is the real closure of  $k(\mathfrak{p})$  for  $\leq$ .

## Fiber of a definable family at an ideal point

- $X \subset R^m \times R^n$  definable = definable family of subsets of  $R^n$  parametrized by  $R^m$ . If  $A \subset R^m$ , set  $X|_A = X \cap (A \times R^n)$ .
- If  $t \in R^m$ ,  $X_t = \{x \in R^n \mid (t, x) \in X\}$ .
- For  $\alpha \in \widetilde{R^m}$ , define the fiber  $X_\alpha$  to be the set of  $f(\alpha) \in \kappa(\alpha)^n$  such that there exists  $A \in \alpha$  with  $(t, f(t)) \in X$  for all  $t \in A$ .
- Set  $\mathcal{S}_n(\alpha)$  to be the set of fibers  $X_\alpha$  for all definable families  $X \subset R^m \times R^n$ .



## Residual o-minimal structure

### Theorem

*The collection  $(S_n(\alpha))_{n \in \mathbb{N}}$  is an o-minimal structure expanding the real closed field  $\kappa(\alpha)$ .*

Main points of the proof:

- stability by projection: definable choice implies that the projection of the fiber at  $\alpha$  is the fiber at  $\alpha$  of the projection.
- elements of  $S_1(\alpha)$  are unions of points and intervals in  $\kappa(\alpha)$ : CDCD in  $R^m \times R$ .

The extension of a definable subset  $A \subset R^n$  to  $\kappa(\alpha)$  is

$$A_{\kappa(\alpha)} = (R^m \times A)_{\alpha}.$$

From a model-theoretic point of view,  $\kappa(\alpha)$  is an elementary extension of the o-minimal structure on  $R$ .

## What means validity at an ideal point?

- In algebraic geometry, the properties of the generic fiber hold for almost all fibers.
- Here : a property (expressible by a first-order formula) holds for  $X_\alpha$  iff there exists  $A \in \alpha$  such that it holds for all  $X_t$  with  $t \in A$ .
- For instance (property = being the graph of a function): definable functions  $Y_\alpha \rightarrow Z_\alpha$  are precisely the fibers at  $\alpha$  of definable families of functions, i.e. definable functions  $f : Y \rightarrow Z$  commuting with projection to  $R^m$  :

$$\begin{array}{ccc} Y & \xrightarrow{f} & Z \\ & \searrow & \swarrow \\ & & R^m \end{array}$$

## Fiberwise and global properties

If  $X_\alpha \subset \kappa(\alpha)^n$ , we know that there exists  $A \in \alpha$  such that  $X_t \subset R^n$  is closed for every  $t \in A$ . Actually, something better holds:

### Theorem

*Let  $X \subset Y$  be definable families. The fiber  $X_\alpha$  is closed in  $Y_\alpha$  if and only if there exists  $A \in \alpha$  such that  $X|_A$  is closed in  $Y|_A$ .  
Let  $f : X \rightarrow Y$  be a definable family of maps. Then  $f_\alpha$  is continuous if and only if there exists  $A \in \alpha$  such that  $f|_A : X|_A \rightarrow Y|_A$  is continuous.*

Exercise: Suppose  $f : X \rightarrow Y$  is a definable family such that  $f_t$  is continuous for each  $t \in R^m$ . Then there exists a finite definable partition  $R^m = \bigcup C_i$  such that  $f|_{C_i}$  is continuous for each  $i$ . (Hint: use compactness of  $\widetilde{R^m}$ ).

## Trivialisation

Let  $A \subset R^m$  definable. The definable family  $X \subset R^m \times R^n$  is said to be *definably trivial over A* if there exist a definable set  $F$  and a definable homeomorphism  $h : A \times F \rightarrow X|_A$  such that the following diagram commutes:

$$\begin{array}{ccc}
 A \times F & \xrightarrow{h} & X_A \\
 \text{projection} \searrow & & \swarrow \text{projection} \\
 & A \subset R^m &
 \end{array}$$

The trivialization  $h$  is said to be compatible with a definable subset  $Y \subset X$  if there is a definable subset  $G$  of  $F$  such that  $h(A \times G) = Y|_A$ .

## Hardt's theorem

### Theorem (Hardt's Theorem for Definable Families)

*Let  $X \subset R^m \times R^n$  be a definable family,  $Y_1, \dots, Y_\ell$  definable subsets of  $X$ . There exists a finite partition of  $R^m$  into definable sets  $C_1, \dots, C_k$  such that  $X$  is definably trivial over each  $C_i$  and, moreover, the trivializations over each  $C_i$  are compatible with  $Y_1, \dots, Y_\ell$ .*

Proof:

- Can assume  $X_t$  closed and bounded for every  $t$ .
- For every  $\alpha \in \widetilde{R}^m$ , triangulate  $X_\alpha$ :  $h_\alpha : |K|_{\kappa(\alpha)} \rightarrow X_\alpha$ .
- This gives a trivialisation  $h : A \times |K|_R \rightarrow X|_A$  for some  $A \in \alpha$ .
- Hardt's theorem follows using the compactness of  $\widetilde{R}^m$ .

## Finiteness of topological types in definable families

- Example: given  $n$  and  $d$ , there are finitely many topological types of  $V \subset \mathbb{R}^n$  where  $V$  is an algebraic subset described by equations of degrees  $\leq d$ .
- There is also a “Hardt’s theorem” for families of definable functions (with values in  $R$ ), using the triangulation of functions.
- Example of consequence: finiteness of topological types of fewnomials. For  $n$  and  $p$  fixed, there is a finite number of topological types of fewnomials  $\mathbb{R}^n \rightarrow \mathbb{R}$  with  $\leq p$  monomials (whatever are the degrees). These fewnomials can be put in a definable family for the o-minimal structure  $\mathbb{R}_{\text{exp}}$ .
- There are semialgebraic families of maps  $\mathbb{R}^2 \rightarrow \mathbb{R}^2$  with infinitely many topological types.

## Tutorial 4

Yesterday: how to obtain triviality results for definable families (Hardt's theorem) almost for free from triangulation theorems, using ideal points of the parameter space.

Today: relations between complexity and families, some effective bounds including a constant depending on the family. Problem of effectiveness of uniform bounds. Finally, a few words concerning effectiveness issues in proving that a given structure is o-minimal.

- 11 Complexity and families
- 12 Effectiveness/non effectiveness of uniform bounds
- 13 Effectiveness in proofs of o-minimality

# Complexity and families

Say a semialgebraic set in  $R^n$  has complexity  $\leq (c, d)$  if it can be described by a boolean combination of sign conditions on  $\leq c$  polynomials. of degrees  $\leq d$ . Then:

- Given a semialgebraic family, there is  $(c, d)$  such that every semialgebraic set in the family has complexity  $\leq (c, d)$ .
- Semialgebraic subsets of  $R^n$  of complexity  $\leq (c, d)$  form a semialgebraic family.

So uniform bounds in term of complexity = uniform bounds in families.



# A metric uniform bound

## Theorem

*Let  $X \subset \mathbb{R}^m \times \mathbb{R}^2$  be a family of curves, definable for some o-minimal structure. Then there is a constant  $c(X)$  depending only on the family  $X$  such that, for every disk  $D(a, r) \subset \mathbb{R}^2$  and every  $t \in \mathbb{R}^m$ , one has  $\text{length}(X_t \cap D(a, r)) \leq c(X) r$ .*

Proof: Uniform finiteness for the definable family of  $X_t \cap D(a, r) \cap L$  ( $L$  a line) parameterized by  $t, a, r$  and  $L$  + Cauchy-Crofton formula which computes the length of a curve by integrating over the Grassmannian of lines its number of intersections with a line.

# Combinatorial complexity (S. Basu)

If  $X_1, \dots, X_s$  are definable subsets of  $R^m$ , denote by  $\mathcal{C}(X_1, \dots, X_s)$  the collection of all non empty intersections of the form  $Y_1 \cap \dots \cap Y_s$  where  $Y_i = X_i$  or  $Y_i = R^m \setminus X_i$ .

## Theorem

*Let  $X \subset R^m \times R^n$  be a definable family for some o-minimal structure. Then there is a constant  $c(X)$  depending only on the family  $X$  such that, for every positive integer  $s$  and every  $t_1, \dots, t_s$  in  $R^m$ , we have*

$$\sum_{Y \in \mathcal{C}(X_{t_1}, \dots, X_{t_s})} \beta_i(Y) \leq c(X) s^{m-i}$$

S. Basu, Proc. London Math. Soc. (2010) 100: 405-428.

# h-cobordism

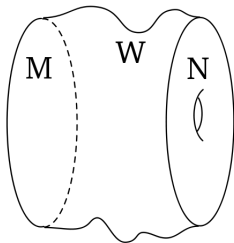


Figure: Cobordism  
between  $M$  and  $N$

- A *cobordism* between  $M$  and  $N$  is a compact manifold  $W$  with boundary equal to the disjoint union of  $M$  and  $N$ .
- It is called *h-cobordism* if both  $M$  and  $N$  are deformation retract of  $W$ .

## Theorem (Smale, 1961)

Let  $(W, M, N)$  be a simply connected *h-cobordism*,  $\dim W \geq 6$ .  
Then  $W \simeq M \times [0, 1]$ .

## Semialgebraic h-cobordism with complexity

### Theorem

*There exists  $\Psi(m, n, p, q) \in \mathbb{N}^2$  such that for every simply connected semialgebraic h-cobordism  $(W, M, N)$  in  $\mathbb{R}^n$  with  $\dim(W) = m \geq 6$ , of complexity  $\leq (p, q)$ , there exists a semialgebraic homeomorphism  $h : W \rightarrow M \times [0, 1]$  of complexity  $\leq \Psi(m, n, p, q)$ .*

Sketch of proof:

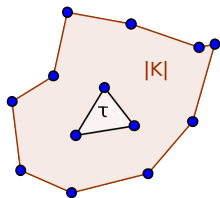
- Put all semialgebraic triples  $(W, M, N)$  of subsets of  $\mathbb{R}^n$  of complexity  $\leq (p, q)$  in a semialgebraic family.
- Apply Hardt's trivialisation theorem and retain the pieces of the parameter space over which the assumptions of the PL-h cobordism theorem are fulfilled.
- Over each piece, apply PL-h cobordism theorem to one fiber.

## Non-effectiveness of the bound

### Theorem

$\Psi(m, n, p, q)$  cannot be recursive.

Proof. Reduction of the problem of recognizing PL balls to h-cobordism:  
 $K$  a simplicial complex such that  $|K|$  is a PL  $m$ -ball.  
There is a subdivision  $K'$  of  $K$  simplicially isomorphic to a subdivision of the  $m$ -simplex; for  $m \geq 6$ ,  $\#K'$  cannot be bounded by a recursive function of  $\#K$ .



K. Demdah, to appear in Ann. Inst. Fourier

## Proving o-minimality

- Usual tools for proving that a structure (presented by a family of functions) is o-minimal are quantifier elimination and model completeness.
- Quantifier elimination: every definable set may be described by a quantifier free formula (combination of  $f > 0$ ,  $f = 0$ ). Example: semialgebraic sets, Tarski-Seidenberg theorem. Then one has to check that such a set has finitely many connected components.
- Model-completeness: every definable set may be described by an existential formula (projection of a set defined by a combination of  $f > 0$ ,  $f = 0$ ). Example: subanalytic sets, theorem of the complement (A. Gabrielov). It is then sufficient to check that sets defined by a combination of  $f > 0$ ,  $f = 0$  have finitely many connected components (Khovanskii, ...).

## Algorithmic issues

- The case of quantifier elimination for real closed fields is well known.
- Effective (algorithmic) aspects of the theorem of the complement for a specified structure? Gabrielov, Wilkie, Macintyre, Speissegger, Rolin. . .